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WORLD INTELLECTUAL PROPERTY ORGANIZATION

GENEVA

INTERNATIONAL PATENT COOPERATIONUNION (PCTUNION)

MEETINGOFINTERNATI ONALAUTHORITIES UNDERTHEPCT

EighthSession Washington, D.C., May5to 9,2003

DRAFTPCTINTERNATIO NALSEARCHAND PRELIMINARYEXAMINAT IONGUIDELINES:

COMMENTSANDPROPOSA LSBY
THEUNITEDSTATESPÆENTANDTRADEMARKO FFICE,
THEEUROPEANPATENT OFFICE,ROSPATENTAN DIPAUSTRALIA

DocumentpreparedbytheInternation alBureau

- 1. DocumentPCT/MIA/8/2contains are vised draft set of combined guidelines for International Search and Preliminary Examination under the PCT submitted by the United States Patent and Trademark Office. The Annexes to this document contain the following comments and proposals, related to possible additional or alternative material for these guidelines which has not yet been incorporated into the draft, as detailed below.
- (a) AnnexIcontainsexamplesbytheUnitedStatesPatentandTrademarkOf ficefor discussioninrelationtoChapter20ofthedraftguidelines.
- (b) AnnexII contains proposals by the European Patent Office in relation to Example 4 under paragraph 20.11 of the draft guidelines.
- (c) AnnexIIIcontainscommentsandproposalsb ytheUnitedStatesPatentand TrademarkOfficeinrelationtoparagraph21.02ofthedraftguidelines,basedoncomments

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whichweremadeanddiscussedinformallyattheseventhsessionoftheMeetingof InternationalAuthorities(seedocumentPCT/MIA/7/5, paragraph61).

- $(d) \quad Annex IV contains proposals by the United States Patent and Trademark Office \\ relating top a ragraph 21.20 of the draft guide lines.$
- $(e) \quad Annex V contains proposals by Rospatent relating to paragraph 21.20 of the draft guide lines. \\$
- (f) Annex VI contains proposals by IPA ustraliar elating top aragraph 21.21 of the draft guidelines, together with some suggestions in response by the United States Patent and Trademark Office.
 - 4. TheMeetingofInternationalAuthorities is invited to taken oteofthe contents of the Annexes to this document inconjunction with the relevant parts of the draft guidelines in the Annex to document PCT/MIA/8/2.

[AnnexIfollows]

ANNEXI

EXAMPLESBYTHEUNIT EDSTATESPATENTAND TRADEMARKOFFICEFO R DISCUSSIONINRELATIONTOCHAP TER 200FTHEDRAFTGUID ELINES

Bioinformaticsexamples:

- 1. AcomputerreadablemediacomprisingtheatomiccoordinatesofpolypeptideQ.
- 2. Acomputerreadablemediacomprisingacomputerprogramcapableofdisplayingthree dimensional coordinates of a polypeptide and the atomic coordinates of polypeptide Q.

MoreexamplesfromTrilateralStudy:

- Case1:3 -Dstructuraldataofaproteinperse

[Claim1]

AcomputermodelofproteinPgeneratedwiththeatomiccoordinateslistedi nFig.1.

[Claim2]

AdataarraycomprisingtheatomiccoordinatesofproteinPassetforthinFig.1which, whenacteduponbyaproteinmodelingalgorithm, yieldsarepresentationofthe3 -Dstructure ofproteinP.

Case2:Computer -readablestoragem ediumencodedwithstructuraldataofaprotein

[Claim1]

Acomputer -readablestoragemediumencodedwiththeatomiccoordinatesofproteinP

- Case3:Proteindefinedbyitstertiarystructure

[Claim]

Anisolated and purified protein having the structural coordinates as shown in Fig. 1.

Case6: Insilicoscreeningmethodsdirectedtoaspecificprotein(1)

[Claim1]

AmethodofidentifyingcompoundsthatcanbindtoproteinP,comprisingthestepsof: applyinga3 -dimensionalmolecularmodelingalgorithmtotheatomiccoordinatesof proteinPshowninFig.1todeterminethespatialcoordinatesofthebindingpocketofprotein P;and

electronically screening the stored spatial coordinates of a set of candidate compound against the spatial coordinates of the protein P binding pocket to identify compounds that can bind to protein P.

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- Case7:Insilicoscreeningmethodsdirectedtoaspecificprotein(2)

[Claim1]

Amethodofidentifyingcompoundswhichcanbindtoprot einPbycomparingthe3 -D structureofcandidatecompoundswiththe3 -DmolecularmodelshowninFig.5which comprisesthefollowingsteps:

- (1) ...
- (2) ...
- (..) ...
- (n) ...

(The3 -DmolecularmodelofFig.5presentsthepositionsofheteroatomsi ntheaminoacids constitutingthebindingpocketofproteinP(i.e.,aminoacids223,224,227,295,343,366, 370,378and384)whereinsaidheteroatomscanformhydrogenbondswithhydrogen bondingfunctionalgroupsinacandidatecompound.

 $Steps(1)t \ hrough(n) describe a data processing method in which$

a) the coordinated at a of the 3 - D molecular model of Fig. 5 is in put in a data structure such that the interatomic distances between the atoms of protein Pareeasily retrieved, and

b)thedistancesb etweenhydrogen -bondingheteroatomsofdifferentcandidate compounds and the heteroatoms that form the binding pocket in the 3D molecular model are compared the reby allowing the identification of those candidate compounds which would theoretically form the most stable complexes with the 3 -D molecular model binding pocket of protein P, based on optimal hydrogen bonding between the two structures.)

[Claim2]

Acompoundidentifiedbythemethodofclaim1.

[Claim3]

Adatabaseen coded with data comprising names and structures of compounds identified by the method of claim 1.

[AnnexIIfollows]

ANNEXII

PROPOSALSBYTHEEUR OPEANPATENTOFFICE RELATINGTOEXAMPLE 4UNDER PARAGRAPH20.11OFT HEDRAFTGUIDELINES

20.11Examples

Examples Where Searchor Preliminary Examination Possible, with an Indication in the Written Opinion (seeparagraph 20.10[XR])

[...]
Example4

Someclaimsaredirectedtowardsmorethanonespecificembodiment,forexampleMarkush typeclaims.[*addanindicationthatUnitycan beeffectiveintacklingtheseclaims?*]

(i) Incertainextremecases such claims may encompass a very large number of possible embodiments while the description discloses, and provides technical support for, only a relatively small proportion of those embodiments (see paragraph 13.44 [XR]).

Insuchextremecases, these arch should be carried outfor those parts of the claim that are supported by the description, i.e. for which at echnical enabling disclosure is provided. For example, in Markush - type claims, these arch may be directed towards claimed embodiments that relate to specifically disclosed compounds, or prepared or tested compositions, and a structural generalisation of these. The written opinion should include observations on Articles 5 and 6 (sufficiency and support). The ISA should also include in the objection on non-prior grounds an indication as towhat degree these objections have been taken into account for purposes of determining the extent of the search, and this extent should be indicated as precisely as possible, for example the specifically disclosed compounds, or prepared or tested compositions, and as tructured generalisation of these.

(ii) Inothercases, such claims contains omany options, variables, possible permutations and/or provisos, making the claim unclear and/or inconcise to the extent that the presentation of the claim obscures the subject matter for which protection is sought (see paragraph 13.42 [XR]).

Insuchcases, these arch should be carried out for those parts of the claim that are clear and concise. For example, in Markush -type claims, these arch may be directed towards claimed embodiments that relate to clearly disclosed compounds, or prepared or tested compositions, and astructural generalisation thereof. The written opinion should include observations on Article 6 (clarity and/or conciseness). The ISA should also include in the objection on non prior grounds an indication as towards greethese objections have been taken into account for the purposes of determining the extent of these arch, and this extent should be indicated as precisely as possible, for example the clearly disclosed compounds, or prepared and tested compositions, and astructural generalisation of these.

[AnnexIIIfollows]

ANNEXIII

COMMENTSANDPROPOSA LSBYTHEUNITEDSTA TES PATENTANDTRADEMARK OFFICE RELATINGTOPARAGRAP H21.020FTHEDRAFT GUIDELINES

PCTRule13specifiesthattheinternationalapplicationshallrelatetooneinventiononlyorto agroupofinvent ionssolinkedastoformasinglegeneralinventiveconcept("requirementof unityofinvention"). Therulefurtherstatesthattherequirementisfulfilledonlywhenthereis atechnicalrelationshipamongthoseinventionsinvolvingoneormoreofthes ameor correspondingspecialtechnicalfeatures; the latter phrase meaning those technical features that define a contribution which each of the claimed inventions, considered as a whole, makes over the prior art.

Currently, a "contribution" overthepri orartisassessed only interms of novelty and inventive steporobviousness. However, no "contribution" is made in the absence of a disclosure of the invention that is sufficiently clear and complete for a personskilled in the art to carry out the invention and determine the manner in which it can be made and used in industry. Therefore, a "contribution" over the prior art can be properly assessed only if the disclosure is considered in addition to novel ty and inventive steporo by iousness.

Consequently,paragraph21.02statesthat "whetherornotanyparticular technical featuremakesa contribution", and therefore constitutesa special technical feature, over the priorart should be considered both with respect to the priorart stelf, as wella swith respect to reasons other than priorart, i.e. requirements under the Treaty, such assufficient support by the description or industrial applicability. "Because this proposal follows the plain language of PCTRule 13 and simply represents a more apparatus pansive determination of exactly what constitutes a "contribution" over the art, a mendment of PCTRule 13 should not be required.

Bywayofexample, an application may contain independent embodiments or species thatwouldbeconsideredtobedistinct inventions, but for a claim to a genus that links these distinctinventionstopurportedlyformasinglegeneralinventiveconcept. By definition, if the common technical feature, namely the claim to the genus, does not define a "contribution" overthepr iorart, unity of invention is lacking among the inventions. Under current practice, absentpriorartthatdemonstrateslackofnoveltyorinventivestep, the unity of invention requirementisconsideredfulfilledandeachoftheotherwisedistinctinvent ionsmustbe searched/examined.Paragraph21.02clarifiesthemeanstoestablishlackofunityand involvesdemonstratingthatthegenusdoesnotconstitutea" contribution "overthepriorart forreasonsotherthannoveltyorinventivestep;theseother reasonsinvolveafailuretomeet otherrequirements of the Treaty, such as a sufficient description or industrial applicability. Whilethisapproachmaybegenerallyapplied, it has particular applicability in certain sectors, suchasbiotechnology. The following example illustrates the proposed methodology.

Example:

Background

Adouble -strandeddeoxyribonucleicacid(DNA)moleculehasastructurethatcontainstwo chains. Each chain consists of nucleotides connected in a very precise sequence. The sequence of nucleotides in any given molecule relates to both the function of the DNA and its species of origin. Each nucleotide consists of a sugar, aphosphate, and one of four bases,

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adenine(A), thymine(T), cytosine(C) and guanine(G). The bases on one chain pair with a specific complement on the other chain. In particular, Apairs with Tand Gpairs with C. This pairing binds the two chains to gether in a specific manner, a sillustrated.

Chain1 - Chain2
A - T
T - A
G - C
G - C
A - T
C - G
T - A
C - G,etc.

AhybridizationtesttakesadvantageofthisspecificpairingbetweenDNAchainsandcanbe usedtodeterminewhetherornottwodistinctmoleculesofDNAhaveanyblocksorsegments ofcomplementarynucleotidesequences. Thetwo chainsofadouble -strandedDNAmolecule areseparated and the nonesingle -stranded chaincanbe "hybridized" with a single -stranded chain of DNA of different origin. Thus, two DNA single -stranded chainst hat hybridize to each other have regions of idential calor related sequences of nucleotides.

BacterialspeciesA (bsA) and BacterialspeciesB (bsB) belongtothesamegenus and are closely related. Both species are human pathogens and the prior art reveals an intense interest in the ability to distinguis hone species from the other, and the reby differentially detectone species, and preferably all strains within that particular species, in the presence of the other species. Hybridization assays are routinely used to distinguish closely related pathogenic bacteria. However, the prior art discloses that the nucleotide sequence of the genomes of bs A and bs Bareso closely related that identifying nucleic acid probes (fragments of DNA) that are capable of differentially hybridizing to, and there by distinguis hing the two species is a highly unpredictable endeavor. Any probe capable of detecting the presence of bs ADNA is likely to show a positive result when only bs BDNA is present. In the absence of guidance, determination of the characteristic saprobe cap able of differential detection should possess, such as length or the specific region of the roughly 3 million base pair bacterial genome to which the probeshould be directed, requires undue experimentation.

The description states that to be useful to diagnosis of bs Ainfections, the nucleic acid probes of the claimed invention must hybridize to bs A, but not to bs B. The description discloses 15 discrete nucleotide sequences that do not share any common structure, i.e. significant sequence identity, but are asserted to have this hybridization property. However, only one sequence, SEQIDNO: 1, has been demonstrated by example to posses sthere quisite hybridization property. The description does not disclose any structural properties, such as length or consensus sequence, that must be present for the probe to be specific to bs A. The description also lacks any teaching sthat would direct a person skilled in the art to any other DNA sequences that posses essthe claimed property.

Claims

- 1. Anisolated nucleic acid that hybridizes with Bacterias pecies A but does not hybridize to Bacterias pecies B.
- 2. Theisolatednucleicacidofclaim1wheresaidnucleicacidconsistsofSEOIDNO:1.
- 3. Theisolatednucleicac idofclaim1wheresaidnucleicacidconsistsofSEOIDNO:2.

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- 4. Theisolatednucleicacidofclaim1wheresaidnucleicacidconsistsofSEQIDNO:3.
- 5. Theisolatednucleicacidofclaim1wheresaidnucleicacidconsistsofSEQIDNO:4.
- 6. Theisolated nucle icacid of claim 1 where said nucleicacid consists of SEQIDNO:5.
- 7. Theisolated nucleicacido fclaim 1 where said nucleicacid consists of SEQIDNO:6.
- 8. Theisolatednucleicacidofclaim1wheresaidnucleicacidisselectedfromthegroup consisting fSEQIDNOs:7 -15.

UnityofInventionAnalysis

Claims2through8(andeachoftheseparatenucleicacidswithinclaim8)wouldbe considered independent inventions but for claim 1 which recites a purported common technical feature, i.e. the ability of anucleicacid to specifically hybridize with *Bacteria species A*, that links the claims to form a single general inventive concept. No nucleicacid possessing the recited hybridization property was known in the prior art. Therefore, under current unity of invention practice, all claimed 15 nucleotides equences have unity of invention, and would require sear chandex a mination.

Theinvention of claim 1 is not described in a manner sufficiently clear and complete for the inventiontobecarriedoutbya personskilledintheart, as required by PCTArticle 5, becausethedescriptiondoesnotprovidesufficientguidancetoleadapersonskilledintheart toanyDNAsequencesthatpossessthepropertyrecitedinclaim1,otherthanSEQIDNO:1, withoutu ndueexperimentation. Thesequences of the two bacterial genomes are very closely related, and while the description discloses 15 discrete nucleotides equences that are asserted to have the claimed property, those sequences do not share any significant se quenceidentity. they are not the same length, nor are they derived from any particular location on the genome. The description does not indicate any particular features that the claimed DNA should possess toenableoneskilledinthearttoidentifyaddi tional sequences, in the absence of undue experimentation. Furthermore, claim 1 is not fully supported by the description, as required byPCTArticle6. Whilethedescription asserts that the disclosed 15 structurally distinct nucleotidesequenceshavet heclaimedproperty, the description does not demonstrate that applicantrecognized and described the invention asset for thin claim 1 as a whole at the time offilingbecausethedescriptiondoesnotteachanystructuralorchemicalpropertiesshared by themembers of the genus of claim 1, or any nucleic acid structural properties that correlate totheclaimedfunction, i.e., the ability to differentially hybridize tobs A. Therefore, the commontechnicalfeature, i.e. the ability of anucleic acid to spe cificallyhybridize Bacteria speciesA, cannotbeconsidereda" contribution" overtheartas intended by PCTRule 13. Consequently, the requirement for unity of invention is deemed not fulfilled, and a single senceofthepaymentofadditionalfees. specieswouldbesearched/examined,intheab

[AnnexIVfollows]

ANNEXIV

PROPOSALSBYTHEUNI TEDSTATESPATENTAN DTRADEMARKOFFICE RELATINGTOPARAGRAP H21.200FTHEDRAFT GUIDELINES

Example17Revised

- 1. IsolatedProteinXhavingSEQIDNO:1.
- 2. IsolatedDNAmoleculeencodingproteinX.
- 3. AvectorcomprisingtheDNAmoleculeofclaim2.
- 4. Ahostcellcomprisingthevectorofclaim3.
- 5. AmethodofexpressingproteinXbyculturingthehostcellofclaim4.

Givenanaminoacidsequence, aperso nskilledintheart candeducea DNA sequence that encodes that a minoacid sequence. Many DNA sequence sencoding a protein X can be identified due to the red und an cyofthegenetic code 1. The protein and the DNA molecule (as well as a vector or host cel lcomprising said molecule) share corresponding special technical features because the products set for thin claims 2 -4 can be considered as specially adapted or specifically designed to make Protein X. Claim 5 is directed to a method of making protein X. If both the protein and DNA are a contribution over the prior art, unity of invention is present between claims 1 -5.

However, if any of the protein X, aDNA encoding protein X, or both failtomakea contribution over the prior art, the claims lack a corresponding special technical feature as required by PCTRule 13.2, and unity of invention is lacking.

Example17bis

- IsolatedproteinselectedfromthegroupconsistingofProteinXhaving SEQIDNO:1,variants ²ofProteinX,andfunctionalequivale ntsof ProteinX.
- 2. IsolatedDNAmoleculeencodingproteinX.

The DNA molecule of claim 2 encodes Protein X. However, the DNA molecule of claim 2 does not encode variants of Protein X (including those with interalia aminoacid substitutions) or functional equivalents of Protein X (where no structure is specified).

¹ThisreasoningreliesuponthepresenceofonlyoneopenreadingframewithintheclaimedDNA moleculethatisactedupontoresultintheproductionoftheprotein ofclaim1.Notethata singleDNAmoleculepossesses,ataminimum,6differentopenreadingframeswithmany potentialstart,stopandsplicesites.Regulatorysequencesdeterminewhichofthemanyopen readingframesareactuallyexpressedinthehost .ThereforeoneDNAmoleculecanpotentially encodemanydifferentproteinmolecules.Amoreconvincingreasontofindunitybetween ProteinXandDNAencodingProteinXreliesuponknowledgeofeveryaminoacidacidandits correspondingcodingsequence sasexplainedintherevisedexample.

²Proteinvariantsincludethosewhosestructureisdescribedinpart,forexample,termsofpercent identityorsequencesubstitutions.

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Thereforethe DNA of claim 2 is not specially adapted or specifically designed to make the protein of claim 1. The inventions of claims 1 and 2 therefore lack a corresponding special technical feature as required by PCTRule 13.2. Unity of invention between the inventions of claims 1 and 2 is lacking.

Example17ter

- 1. IsolatedProteinXhavingSEQIDNO:1.
- 2. IsolatedDNAmoleculeencodingproteinX,orfragmentsorvariants ³of theDN Amolecule.

Whileclaim2includeswithinitsscopeisolatedDNAmoleculesthatencodeProteinX,by virtueofthe"fragmentsorvariants"language,italsoincludesDNAmoleculesthatmay encodeotherproteinsthatdonotshareacommonstructure,common propertyand/or commonactivitywithProteinX.Theinventionsetforthinclaim2isnotspeciallyadaptedor specificallydesignedtomaketheproteinofclaim1becauseofthelackofaone -to-one correspondencebetweentheproteinsencodedbythevar iousDNAmoleculesrecitedinclaim 2andProteinX.Therefore,theproteinandtheDNAlackacommontechnicalfeature.
Claims1and2lackacorrespondingspecialtechnicalfeatureasrequiredbyPCTRule13.2.
Unitybetweeninventionsofclaims1an d2islacking.

Example17quater

- 1. IsolatedDNAmoleculeXhavingSEQIDNO:1.
- 2. AnisolatedproteinencodedbytheDNAofclaim1.

DNAmoleculeXisafullycharacterizedDNAmoleculeforwhichtheoneopenreading frame,transcriptioninitiation site,polyadenylationsite,translationstartandstopsiteare identifiedinthedisclosure.Assuch,theisolatedDNAofclaim1isspeciallyadaptedor specificallydesignedtomaketheproteinofclaim2.IfboththeDNAandproteinarea contributionoverthepriorart,thenunityofinventionexistsbetweentheinventionsofClaims land2.

Example17quinquis

- 1. IsolatedDNAmoleculeYhavingSEQIDNO:2.
- 2. Anisolated protein encoded by the DNA of claim 1.

The disclosuredoes not point to the one particular open reading frame within DNA molecule Y. Claim 2 is silent concerning the sequence of the protein encoded by DNA molecule Y. A single uncharacterized, genomic DNA molecule possesses, at a minimum, 6 possible open reading frames with many potential start, stop and splices ites. Thus, one uncharacterized or genomic DNA molecule can potentially encode many different protein molecules. DNAX, for example, may be a chromosomeor a cosmid clone that comprises thou sands of genes,

³DNAvariantsincludeDNAmoleculesdescribed,forexample,intermsofperc entidentity, hybridizationconditions,orsequencesubstitutions.

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eachwi ththeirownopenreadingframes. Evenwithinasinglegene, manydifferent proteins may be encoded depending upon the openreading frame, transcription initiation sites and polyadenylation site, translation start and stops it es and alternative splicing. No common structure, and no common property or activity is shared among the many different genes on one chromosomeor cosmidor among the many different proteins encoded by one chromosomeor cosmid. Therefore the isolated DNA of claim 1 is not specially adapted or specifically designed to make the protein of claim 2. Unity of invention is lacking because the reis note chnical features have determined between the DNA claimed and an individual protein that may be encoded by the DNA.

[AnnexVfollows]

ANNEXV

PROPOSALSBYROSPATE NT RELATINGTOPARAGRAP H21.20OFTHEDRAFT GUIDELINES

MarkushPractice:NoCommonStructure (CommentsToExample23)

Underthepresentmethodinthesituationof "Markushpractice" therequiremento fa technical interrelationshipa ndthesame or corresponding special technical features as defined in Rule 13.2 is considered metwhen the alternatives are of a similar nature. A sit follows from the paragraphs relating to Markush practice and from example 23, when the Markush practice grouping is for alternative softhemical compounds, they shall be regarded as being of similar nature where the following criteria are fulfilled (in cases of the absence of a common structure):

- (A) allalternativeshaveacommonpropertyoractivity, and
- $(B) \quad all alternatives belong to a recognized class of chemical compounds in the art to which the invention per tains. \\$

Itseemsreasonabletoemphasize(andtoillustratebysomeexamples)thatthealternativesof chemicalcompoundswithoutcommonstructur ecanberegardedasbeingofasimilarnature incaseswhereallalternativesbelongtoapluralityofclassesifallalternativesexhibita commonproperty,whichissubstantialforusingthesecompoundsinclaimedvariantsofthe invention.Inparti cular,agroupingofchemicalcompoundsrepresentingapluralityofclasses canbeacceptablewhenthecompoundsareunifiedbyaspecificproperty,forexample:

- (a) agroupofcompounds -phosphoricacid, phenol, ethylalcohol, ascorbicacid -has thef ollowing common specific property: all of the mare proton do nors;
- (b) agroupofcompounds -ozone, potassi um permanganate, benzoyl peroxide, chloride acid -hasthefollowing common specific property: they are oxidizers.

If the exhibition of such common specific property by the alternative compounds is substantial in the invention, unity of inventions hall be considered to be met. It is not necessary to include such common specific property into a claim, but it should be disclosed in the description.

Example

Claim1. Aprocessforpreventingtheformation of sedimentinte chnical solution, characterized by adding an agent selected from the group consisting of phosphoricacid, phenol, ethylal coholand as corbicacid.

Ifitisclearfromthedescription thattheadditionofasubstance -protondonor -issubstantial fortheachievementofatechnicalresult,thealternativefeatures(which can be considered as corresponding special technical features) are united by a common property, and the inventions of a group are so linked as to form a single general inventive concept, i.e. the requirement of unity of invention is satisfied.

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If in the description there are no reasonable explanations of the grouping of said substances, it is concluded that the substances represent a plurality of classes and, consequently, the requirement of unity is not satisfied.

Suchapproachcanbetakentotheanalysisofnon -chemicalalternatives.Inthiscasethenon chemicalalternativesshallberegardedasbeingofasimilar naturewherethefollowing criteriaarefulfilled:

- (A) allalternatives are directed to the solution of a common specific problem
- $(B) \quad the alternatives are known for askilled person equivalents with relation to the solution of this specific problem. \\$

The examples of such alternatives: group of substances relating to building materials, group of different materials having common physical property (or example, a melting point over the specified one or strength characteristics above the specified).

Example

Claim1. Asoilforgrowingplantsindoors, comprising of ... (a composition common for all variants)... with the addition of 10% of the material selected from the group consisting of peat, rivers and, sawdust, granulated polystyrene.

Itisobviousfr omthedescriptionthatsaidmaterialhavecommonproperty -theyprovide moisturepermeabilityandsoildrainage. The alternatives can be considered as being corresponding special technical features -unity of invention exists.

Itshouldbeadded, that if in a claim there are not only alternative features which make a contribution over the prior art but others special technical features, common for all variants of the invention, the requirement of unity of invention is satisfied. Thus, if in Example 23 her bicide Aisanewher bicide and defines a contribution over the prior art, unity of invention exists: the claimed variants of the invention shave common special technical feature her bicide A-independently of whether alternative features relate to a recognized class.

[AnnexVIfollows]

ANNEXVI

PROPOSALSBYIPAUST RALIA RELATINGTOPARAGRAP H21.21OFTHEDRAFT GUIDELINES, WITHADDITIONALSUGG ESTIONSBYTHEUNITE DSTATES PATENTANDTRADEMARK OFFICE

The proposal by IPA ustralia is set out firs tasacle ancopy. This is followed by suggestions by the United States Patentand Trademark Office for a mendment stothese condadditional example 24, the differences from the IPA ustralia proposal being shown using under line and strike out.

Proposalby IPAustralia

[- AdditionalExample24 -nocommonpropertyoractivity

ProbeFragments

Claim 1: An isolated nucleotide sequence of SEQUENCE IDNO 1 that codes for enzyme Y.

Claim2: Afragment of SEQUENCEIDNO1 selected from SEQUENCEIDNO2, SEQUENCEIDNO3 and SEQUENCEIDNO4.

The description indicates that enzyme Yisknown and that sequence ID2, 3 and 4 are non overlapping fragments of SEQUENCEIDNO1 that codes for enzyme Y. SEQUENCEID NO2, 3 and 4 are identified as useful as probes for SEQUENCEIDNO1.

Theonlycommonpropertyoractivitysharedbyalltheclaimednucleicacidsisthattheyare allderivedfromSEQUENCEIDNO1.However,SEQUENCEIDNO1isknownand thereforecannotbeconsideredaspecialtechnicalfeature.Alloft hefragmentsrepresent differentportionsofSEQUENCEIDNO1andthusdifferentstructuralandfunctional regionswithinthenucleicacidsequence.Therefore,becausetherequirementunderRule13.2 forthesameorcorrespondingspecialtechnicalfeature isnotmetduetothefactthatthe alternativesoftheMarkushgroupingarenotofasimilarnature,i.e.thealternativesarenot knowntohaveacommonpropertyoractivity,unityislacking.]

[- AdditionalExample24 -commonpropertyoractivity

Multiplenucleotidesequences

Claim1: Anucleicacids elected from SEQUENCEIDNO1, SEQUENCEIDNO2 and SEQUENCEIDNO3.

The description discloses that the three nucleic acids claimed are all dehydrogen as eshaving the same tertiary structure and bioche mical action but are isolated from different organisms. The structure and activity is defined in the specification as the feature of the invention that distinguishes it from the prior art.

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Thespecialtechnicalfeaturepresentineachsequenceisthatth havingthesamestructureandbiochemicalaction.

esequenceencodesanenzyme

Therefore, there is unity between these parately claimed nucleic acids.]

USPTO suggestions for amendment to second additional example 24 above

[- AdditionalExample24 -commonpropertyoractivity

Multiplenucleotidesequences

Claim1: Anucleicacids elected from SEQUENCEIDNO1, SEQUENCEIDNO2 and SEQUENCEIDNO3.

Thedescriptiondisclosesthatthethreenucleicacidsclaimed <u>allencode areall</u> dehydrogenases thathave having thesame overall tertiarystructure andincludeconserved sequencemotifsthatdefinethecatalyticsiteanddehydrogenasefunctionoftheseproteins.

Thethreenucleicacidswereisolatedfromdifferentorganisms. The description concludes that these threenucleicacids are homologues based upon their overall sequence similiarity at both thenucleotide and amino acid sequence levels. and biochemical action but are isolated from differentorganisms. The structure and activity is defined in the specification as the feature of the invention that distinguishes it from the prior art.

Thespecialtechnicalfeaturepresentineachsequenceisthatthesequenceencodesanenzyme having the same structure and biochemical action.

Therefore, there is unity between these parately claimed nucleic acids.]

[EndofAnnexVIandofdocument]