

**PCT**

WIPO



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WORLD INTELLECTUAL PROPERTY ORGANIZATION  
GENEVA

INTERNATIONAL PATENT COOPERATION UNION  
(PCT UNION)

PCT COMMITTEE FOR TECHNICAL COOPERATION

Sixth Session  
Geneva, September 19 to 23, 1983

REPORT

adopted by the PCT Committee for Technical Cooperation

INTRODUCTION

1. The PCT Committee for Technical Cooperation (hereinafter referred to as "the Committee") held its sixth session in Geneva from September 19 to 23, 1983. Following the Decision Establishing the WIPO Permanent Committee on Patent Information and Dealing with Related Matters, the session of the Committee was held jointly with the seventh session of the WIPO Permanent Committee on Patent Information (hereinafter referred to as "the Permanent Committee").

2. The following members of the Committee were represented: Australia, Austria, Brazil, Cameroon, Congo, Denmark, Finland, France, Germany (Federal Republic of), Japan, Madagascar, Netherlands, Norway, Soviet Union, Sweden, Switzerland, United Kingdom, United States of America, European Patent Office (EPO) (19). Canada, Czechoslovakia, Egypt, German Democratic Republic, Philippines, Poland, Republic of Korea, Spain and Viet Nam were represented by observers. The Commission of the European Communities, the International Patent Documentation Center (INPADOC) and the Patent Documentation Group (PDG) was also represented by observers. The list of participants is attached as Annex I to this report.

3. Mr. L.E. Kostikov, Deputy Director General, welcomed the participants on behalf of the Director General of WIPO.

4. The session was presided over by the Chairman of the Permanent Committee, Mr. V.S. Dodd (United Kingdom). Mr. P. Higham, Head, Developing Countries Section, Classifications and Patent Information Division, WIPO, acted as Secretary of the session.

ADOPTION OF THE AGENDA

5. The Committee unanimously adopted the agenda which is attached as Annex II to this report.

CONCLUSIONS

6. As decided by the Governing Bodies of WIPO at their tenth series of meetings (see document AB/X/32, paragraphs 51 and 52), held from September 24 to October 2, 1979, the report of this session reflects only the conclusions (decisions, recommendations, opinions, etc.) of the Committee and does not, in particular, reflect the statements made by any participant, except where any reservation in respect of any specific conclusion of the Committee was made or repeated after the conclusion was arrived at.

7. The Committee based its deliberations and discussions upon the conclusions and recommendations of the PCPI Working Group on Planning at its eleventh session, as set forth in document PCPI/PLAN/XI/4, upon the conclusions and recommendations of the PCPI Working Group on Special Questions at its third session, as set forth in document PCPI/SQ/III/2 as well as upon the project files of projects P 39 and P 41 to P 44.

PCT MINIMUM DOCUMENTATION: "PATENTS"

Inventory 1920 to Date (Project P 39)

8. The Committee noted and approved the inventory of patent documents according to PCT Rule 34.1(b)(i) and (ii) as prepared by the International Bureau and given in Annex 9 to the project file, subject to the inclusion in the introduction thereof of a reference to PCT Rule 34.1(d) and (e), and requested the International Bureau to finalize the said inventory before publication in the WIPO Handbook of Patent Information and Documentation by asking all PCT International Searching Authorities to confirm the completeness of, or to indicate what gaps, if any, they have in their search files in respect of each kind of patent document falling within the minimum documentation defined by the said Rule and to indicate in respect of the patent documents published by Japan and the Soviet Union the extent to which numerical collections of those documents are available within their offices.

Sorted collections of patent documents according to PCT Rule 34.1(c)(vi) (Project P 41)

9. The Committee noted and approved the intention of the International Bureau to produce by the end of 1983 updated inventories, on COM microfiche or in paper form, of the patent documents according to PCT Rule 34.1(c)(vi) issued by Australia, Austria and Canada.

Machine Readable Inventories of English Language Abstracts of Patent Documents according to PCT Rule 34.1(e) (Project P 42)

10. The Committee noted and approved the intention of the International Bureau to produce inventories of English-language abstracts of patent documents according to PCT Rule 34.1(e). The Committee requested the International Bureau to continue its efforts to include in the said inventories data concerning the English-language abstracts of Japanese and Soviet Union patent documents published by Chemical Abstracts Service (CAS), but agreed, in view of the fact that the inclusion of such data would increase the coverage of the said inventories only very slightly, that the production and availability of said inventories should not be delayed if the data requested from CAS were still not forthcoming.

PCT MINIMUM DOCUMENTATION NON-PATENT-LITERATURE

List of Periodicals Established Under PCT Rule 34.1(b)(iii) (Project P 43)

11. The Committee came to the following decisions concerning the list of periodicals referred to under PCT Rule 34.1(b)(iii):

(a) the imbalance that seemed to exist, on the basis of a preliminary analysis made by the International Bureau, between technical subjects covered by published patent documents and technical subjects covered by items of non-patent literature selected for adding to search files resulted from the fact that, in some technical areas, little patent relevant literature was to be found in published patent documents whilst, in other technical areas, little patent relevant literature was to be found in non-patent literature although many periodicals existed in those fields; the imbalance, therefore, did not in itself justify large scale revision of the said list;

(b) although widely available on-line computer data bases provided access to most, if not all, of the items of non-patent literature published in the periodicals on the said list, a need still existed to define for the purposes of the PCT a minimum list of periodicals;

(c) PCT periodicals numbered 4, 12, 42, 82, 101, 105 should be deleted from the said list;

(d) the seven periodicals given in Annex III to this report should be added to the said list;

(e) PCT periodicals numbered 18, 19, 24, 25, 46, 119, 137, 142, 152, 153 and 155 should be replaced by the periodicals given in Annex IV to this report.

12. With reference to its decision given in paragraph 11(e) above, the Committee agreed that no similar proposal to replace periodicals on the said list using that procedure would be made within the next five years.

13. The Committee discussed the addition of a further twelve periodicals to the said list (in addition to the seven mentioned in paragraph 11(d)) from the list of 19 periodicals proposed for addition, drawn up by the PCPI Working Group on Special Questions at its third session, and noted that all ISAs except Japan had agreed to the said additions and that Japan would make its position clear by November 15, 1983. The Committee agreed to the addition to the said list of those of the said further twelve periodicals as would be agreed to by Japan.

14. Finally, the Committee noted that the International Bureau would publish the final revised list in a forthcoming issue of the PCT Gazette no later than June, 1984, and the revised list would be in force from January 1, 1985; the presentation of the revised list--including possibly a renumbering--was left to the International Bureau.

#### Journal of Patent-Associated Literature (JOPAL) (Project P 44)

15. The Representative of Brazil drew the attention of the Committee to the fact that one of the main purposes that led to the establishment of the Permanent Committee was to assist developing countries to obtain access to the technological information contained in patent literature; while her Delegation recognized that JOPAL may not be very useful to several developed countries, it wished nevertheless to stress that this publication was quite important to its Patent Office Search File and Technological Documentation Center and believed it to be extremely valuable as a source of selected bibliographic references for research and development activities, industries and universities in developing countries. She proposed, therefore, that JOPAL should be continued for a further twelve month period and that during this period, as could be justified in the light of PCT Chapter IV, a Circular Letter should be sent by the International Bureau to developing countries requesting information as to the use that could be made of JOPAL. At the same time studies should continue into possible improvement that could be made to JOPAL. Finally, she stated that Brazil wished to contribute to the selection of articles from at least one periodical to which her Office already subscribed to demonstrate the importance her country attached to this project.

16. After substantial discussion during which several delegations recalled the position taken at the third session of the PCPI Working Group on Special Questions, the Committee decided:

(a) that JOPAL should continue to be produced in its present form for a period of one year;

(b) to request the International Bureau to draft a questionnaire, for consideration by the PCPI Working Group on Special Questions at its forthcoming fourth session, which would be sent to all countries members of WIPO or the Paris Union, including developing countries, so as to discover:

(i) the extent of interest in developing countries in receiving copies of JOPAL;

(ii) the exact way in which potential users other than ISAs, in particular developing countries, would make use of the information contained in JOPAL, e.g. whether they would use it for current-awareness or for retrospective searches;

(c) to request the PCPI Working Group on Special Questions to study the interest in, and influence on the use of, proposed improvements in the format of JOPAL, e.g. the provision of cumulative indexes, the furnishing of the first page or the abstract of selected articles taking into account copyright provisions, the provision of data in machine-readable form, changes in the layout of JOPAL, and to make recommendations thereon to the Committee in good time before its next session;

(d) to request the International Bureau to study and to report to the Committee at its next session whether the JOPAL project, if continued for a period longer than one year, should be pursued within the more general framework of the Permanent Committee on Patent Information rather than in the framework of the Committee.

17. This report was unanimously adopted by the Committee at its closing meeting on September 23, 1983.

[Annexes I to IV follow]

LIST OF PARTICIPANTS/  
LISTE DES PARTICIPANTS

(in the English alphabetical order of the names of the States)/  
(dans l'ordre alphabétique anglais des noms des Etats)

I. MEMBER STATES/ETATS MEMBRES

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Mr. Y. GYRDYMOV, Deputy Director, External Relations Department, USSR State Committee for Inventions and Discoveries, Moscow

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Mr. J-E. BODIN, Head of Division, The Swedish Patent Office, Stockholm

SWITZERLAND/SUISSE

M. E. CAUSSIGNAC, Président des Chambres de recours, Office fédéral de la propriété intellectuelle, Berne

UNITED KINGDOM/ROYAUME-UNI

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UNITED STATES OF AMERICA/ETATS-UNIS D'AMERIQUE

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Mr. T.F. LOMONT, Director, Office of International Patent Classification, Patent and Trademark Office, Washington D.C.

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Mr. A. OMAR, Under Secretary, President, Patent Office, Cairo

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Mr. VAN VIEN NGUYEN, Director's Assistant, National Office on Inventions, Hanoi

M. TRUONG PHAP, Deuxième secrétaire, Mission permanente, Geneva

IV. OBSERVER ORGANIZATIONS/ORGANISATIONS OBSERVATRICES

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Mr. H. BANK, Principal Administrator, Luxembourg

INTERNATIONAL PATENT DOCUMENTATION CENTER (INPADOC)

Mr. G. QUARDA, Vice-director, External Relations, INPADOC, Vienna

PATENT DOCUMENTATION GROUP (PDG)

Mr. P. OCHSENBEIN, Secretary, PDG, Basel, Switzerland

V. OFFICERS/BUREAU

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First Vice-Chairman/ Premier vice-président:	Mme M. VERDEROSA, France
Second Vice-Chairman/ Deuxième vice-président:	Mr. H. KONRAD, German Democratic Republic
Secretary/Secrétaire:	Mr. P.A. HIGHAM, WIPO

VI. INTERNATIONAL BUREAU OF WIPO/  
BUREAU INTERNATIONAL DE L'OMPI

Mr. L.E. KOSTIKOV, Deputy Director General

Mr. P. CLAUS, Director, Classifications and Patent Information Division

Mr. P. HIGHAM, Head, Developing Countries Section, Classifications and Patent Information Division

Mr. B. BARTELS, Head, PCT Legal Section, PCT Division

Mr. Y. PLOTNIKOV, Senior Counsellor, PCT Legal Section, PCT Division

[Annex II follows/L'annexe II suit]



## ANNEX II

## AGENDA

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[Annex III follows]

PCT/CTC/VI/2  
ANNEX III/ANNEXE III

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Name of Periodical/ Titre de la revue	Name and Adresss of Publisher/ Nom et adresse de l'éditeur	ISSN/ ISSN	Language/ Langue
Farbe und Lack	Curt. R. Vincentz Verlag Postfach 6247 3000 Hannover 1 Federal Republic of Germany	0014-7699	G
Journal of Crystal Growth	North Holland Publishing Co. P.O. Box 211 1000 AE Amsterdam Netherlands	0022-0248	E
Oil and Gas Journal	Pennwell Publishing Co. 14215 Sheridan Road, Tulsa Okla, Box 1260 United States of America	0030-1388	E
Optics Communications	North Holland Publishing Co. P.O. Box 211, 1000 AE Amsterdam Netherlands	0030-4018	E
Radio Fernsehen Elektronik	Veb. Verlag Technik Oranienburger Str. 13/14 1020 Berlin German Democratic Republic	0033-7900	G
Solar Energy	Pergamon Press Limited Oxford OX3 0BW United Kingdom	0038-092X	E
Xerox Disclosure Journal	Xerox Corporation, Stamford Connecticut 06904 United States of America	-	E

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[Annex IV follows/  
L'annexe IV suit]

REPLACEMENTS TO THE LIST OF PERIODICALS ESTABLISHED  
UNDER PCT RULE 34.1(b)(iii)/  
REPLACEMENTS POUR LA LISTE DES PERIODIQUES ETABLIE  
SELON LA REGLE 34.1.b)iii) DU PCT

PCT No	Title of New Periodicals/ Titre des nouveaux périodiques
18	Welding Production
19	Automatic control and computer sciences
24	Soviet Technical Physics Letters
25	Coke and Chemistry
46	Journal of Organic Chemistry of the USSR
119	Steel in the USSR
137	Telecommunications and Radio Engineering
142	Fibre Chemistry
152	Instruments and Experimental Techniques
153	Soviet Physics Uspekhi
155	Soviet Physics - Semiconductors

[End of Annex IV and of document/  
Fin de l'annexe IV et du document]