

# WIPO



PCT/CTC/III/3

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## WORLD INTELLECTUAL PROPERTY ORGANIZATION

GENEVA

INTERNATIONAL PATENT COOPERATION UNION

(PCT UNION)

### PCT COMMITTEE FOR TECHNICAL COOPERATION

Second Session

Geneva, October 22 to 26, 1979

DRAFT REPORT

prepared by the International Bureau

#### INTRODUCTION

1. The PCT Committee for Technical Cooperation (hereinafter referred to as "the PCT/CTC") held its second session in Geneva from October 22 to 26, 1979. Following the Decision Establishing the WIPO Permanent Committee on Patent Information and Dealing with Related Matters, the session of the PCT/CTC was held jointly with the third session of the WIPO Permanent Committee on Patent Information (hereinafter referred to as "the PCPI").
2. The following members of the PCT/CTC were represented: Austria, Brazil, Denmark, France, Germany (Federal Republic of), Japan, Netherlands, Soviet Union, Sweden, Switzerland, United Kingdom, United States of America, European Patent Office (EPO) (13). Representatives of the following States were present as special observers: Australia, Canada, Finland, Hungary, Ireland, Norway, Spain (7). Bulgaria, Czechoslovakia, the German Democratic Republic and Portugal (4) were represented by observers. The United Nations (UN), the United Nations Industrial Development Organization (UNIDO), the Commission of the European Communities (CEC), the Council for Mutual Economic Assistance (CMEA), the International Federation for Documentation (FID), the Patent Documentation Group (PDG) and the International Patent Documentation Center (INPADOC) were also represented by observers. The list of participants is attached as Annex I to this report.
3. The session was presided over by the Chairman of the PCPI, Mr. J. Fichte, Austria. Mr. P. Claus, Director, Patent Information Division, WIPO, acted as Secretary of the session.

#### ADOPTION OF THE AGENDA

4. The provisional agenda contained in document PCT/CTC/II/1 Rev. was unanimously adopted and is attached as Annex II to this report.

DISCUSSIONS

5. The PCT/CTC based its discussions upon the conclusions and recommendations of the PCPI Working Group on Planning (hereinafter referred to as "the Planning Group") at its third and fourth sessions, as summarized in document PCT/CTC/II/2, as well as upon the project files of projects P 39 to P 44.

DECISIONS

6. The PCT/CTC endorsed the conclusions and recommendations made by the Planning Group at its third and fourth sessions in respect of the tasks given by the PCT/CTC at its first session to the Planning Group for action in 1979, and came to the following decisions in respect of each of those tasks, namely:

(a) Inventory of Patent Documents Referred to in PCT Rule 34.1(c)(i) to (v) (Project 39): requested the International Bureau to issue a circular letter to the Industrial Property Offices publishing those patent documents and to produce an updated inventory of those patent documents to cover the years 1977 to 1979, noting that the updated inventory would include--for the first time--patent documents published by the EPO and the International Bureau of WIPO and would identify gaps existing in sequentially numbered series of published patent documents;

(b) Inventory of "Reported Gaps" in the Files of the PCT International Searching Authorities (ISAs) (Project 40): requested the International Bureau to issue a circular letter to the ISAs and to produce an updated inventory of the gaps as reported by the ISAs as existing in their files;

(c) Inventories of Sorted Collections of Patent Documents According to PCT Rule 34.1(c)(vi) (Project P 41): requested the International Bureau to:

- (i) explore with those Industrial Property Offices which had so far made available to the ISAs inventories of patent documents according to PCT Rule 34.1(c)(vi), namely, Australia, Austria and Canada, the extent to which the said Offices could make available to the International Bureau machine-readable records of those inventories giving simply the publication numbers of those patent documents,
- (ii) establish, together with INPADOC, the cheapest method of supplementing such machine-readable records, if incomplete, with further such records so as to enable the production of a complete machine-readable inventory of patent documents according to PCT Rule 34.1(c)(vi),
- (iii) establish a system, in conjunction with the three above-mentioned Offices, whereby the publication numbers to be given on the machine-readable inventory would be exactly coincident with those patent documents made available to the ISAs by those Offices,
- (iv) produce cost estimates of providing the complete inventory on COM, magnetic tape and as paper copies, and to obtain from ISAs and other potential users firm commitments to purchase copies of the complete inventory based upon those cost estimates;

(d) Inventories of English-language Abstracts of Patent Documents According to PCT Rule 34.1(e) (Project 42):

- (i) requested the International Bureau to obtain, by circular letter, firm commitments to purchase from ISAs and other potential users of the abstracts on the basis of a probable maximum price and a detailed format and layout of the information carriers, and on the basis of separate inventories being produced relating to Japanese and Soviet Union patent documents respectively,
- (ii) noted with approval the following statement by the Delegation of Japan:

"The Japanese Patent Office has been issuing 'Patents Abstracts of Japan,' which are English abstracts of published unexamined patent applications, since March 1, 1977. We are of the opinion that 'Patents Abstracts of Japan' contains the most important technology of various technical fields in which Japanese and foreign engineers are greatly interested. The total number of the English patent abstracts which will be issued during this fiscal year (April 1979 to March 1980) will reach 80,000, including 21,000 in General and Mechanical Section, 18,000 in Chemical Section, and 41,000 in Electrical and Physical Section.

We will make efforts to improve the English patent abstracts further to make them more useful. We are now negotiating with the finance authority for the cost necessary for expanding the coverage of the technical fields of the English patent abstracts and for inserting various indexes, including an IPC index, in 'Patents Abstracts of Japan.' It is expected that such improvements will be realized from April 1980 if the finance authority permits. Also, at present, we are preparing to decide the technical fields in which patent applications are abstracted in English by using the IPC so as to cope with the situation when the IPC will be the sole patent classification in Japan."

- (iii) noted with appreciation the offer by the Delegation of Japan that several copies of "Patents Abstracts of Japan" would be made available to each ISA, free of charge, to facilitate the incorporation of English-language abstracts of Japanese patent documents in their search files;

(e) List of periodicals established under PCT Rule 34.1(b)(iii) (Project P 43): approved the List of periodicals established under PCT Rule 34.1(b)(iii) and incorporating the International Standard Serial Number (ISSN), as given in Annex 4 to project file PCPI/P 43/79 Rev.2;

(f) Cooperation for Selecting Relevant Articles from PCT Minimum Documentation Journals (Project P 44): requested the International Bureau to circulate a questionnaire, amended in the light of the discussions held at the fourth session of the Planning Group, to all members of the PCT Union to seek an indication of the interest of those members in providing specific information concerning articles contained in non-patent literature.

#### UNIDO PROPOSAL FOR THE CREATION OF AN "INTERNATIONAL PATENT EXAMINATION CENTER"

7. The PCT/CTC noted the conclusions reached by the Governing Bodies of WIPO, as contained in paragraphs 17 and 18 of document AB/X/29, concerning a UNIDO proposal to establish an "International Patent Examination Center."

8. The Delegations of Switzerland and France, having expressed their agreement with paragraph 7 above, expressed the view that duplication of WIPO's tasks by UNIDO should be avoided and that the matter was within the competence of the PCPI since the UNIDO proposal is essentially based on the dissemination of patent information.

#### NEXT SESSION

9. The PCT/CTC noted that its third session, a joint session with the fourth session of the PCPI, was scheduled to take place in Geneva from October 20 to 24, 1980.

[Annexes I and II follow]

LIST OF PARTICIPANTS/  
LISTE DES PARTICIPANTS

(in the English alphabetical order of the name of the States)  
(dans l'ordre alphabétique anglais des noms des Etats)

I. MEMBER STATES/ETATS MEMBRES

AUSTRIA/AUTRICHE

Mr. J. FICHTE, Vice-President, Austrian Patent Office, Vienna

BRAZIL/BRESIL

Mr. G.R. COARACY, Director, Technological Documentation and Information Center,  
National Institute of Industrial Property, Rio de Janeiro

Mr. M.C. CUNHA LIMA, Director of Patents, National Institute of Industrial Property,  
Rio de Janeiro

DENMARK/DANEMARK

Mr. A. MORSING, Head of the Patent Department, Patent and Information Office,  
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Mr. S.T. SIMONSEN, Senior Examiner, Patent and Trademark Office, Copenhagen

FRANCE

Mme M. VERDEROSA, Chef de la Division de la Documentation, des Publications, et de  
l'Information, Institut national de la propriété industrielle, Paris

M. J. FOUCHY, Ingénieur en chef, Institut national de la propriété industrielle, Paris

GERMANY (FEDERAL REPUBLIC OF)/ALLEMAGNE (REPUBLIQUE FEDERALE D\*)

Mr. A.C. WITTMANN, Abteilungspräsident, German Patent Office, Munich

JAPAN/JAPON

Mr. M. UMEDA, Director of the Documentation Division, Japanese Patent Office,  
Tokyo

Mr. S. UEMURA, First Secretary, Permanent Mission of Japan, Geneva

NETHERLANDS/PAYS-BAS

Mr. J.C.H. PERIZONIUS, Vice President, Patent Office, Rijswijk (ZH)

SOVIET UNION/UNION SOVIETIQUE

Mr. V. BLINNIKOV, Director of VNIIGPE, USSR State Committee for Inventions and  
Discoveries, Moscow

Mr. G. NEGULIAEV, Deputy Director of TSNIPI, USSR State Committee for Inventions  
and Discoveries, Moscow

SWEDEN/SUEDE

- Mr. L.G. BJÖRKLUND, Head, The Patent Department, Royal Patent and Registration Office, Stockholm
- Mr. J.-E. BODIN, Byrådirektor, Royal Patent and Registration Office, Stockholm

SWITZERLAND/SUISSE

- M. J.-L. COMTE, Directeur-adjoint, Office fédéral de la propriété intellectuelle, Berne
- M. E. CAUSSIGNAC, Président d'une Chambre de Recours, Office fédéral de la propriété intellectuelle, Berne

UNITED KINGDOM/ROYAUME-UNI

- Mr. V.S. DODD, Superintending Examiner, The Patent Office, London
- Mr. T.W. SAGE, Principal Examiner, The Patent Office, London

UNITED STATES OF AMERICA/ETATS-UNIS D'AMERIQUE

- Mr. A.C. MARMOR, Administrator for Documentation, Patent and Trademark Office, Washington, D.C.
- Mr. T.F. LOMONT, Director, Office of International Patent Classification, Patent and Trademark Office, Washington, D.C.
- Mr. J. SHEEHAN, Legislative and International Intellectual Property Specialist, Patent and Trademark Office, Washington, D.C.

II. MEMBER ORGANIZATION/ORGANISATION MEMBRE

EUROPEAN PATENT OFFICE (EPO)/OFFICE EUROPEEN DES BREVETS (OEB)

- M. A. VANDECASTEELE, Directeur Principal, Classification, Documentation, Statistiques, Direction générale 1, OEB, Rijswijk (ZH), Pays-Bas

III. SPECIAL OBSERVERS/OBSERVATEURS SPECIAUX

AUSTRALIA/AUSTRALIE

- Mr. F.J. SMITH, Commissioner of Patents, Patents, Designs and Trade Marks Office, Canberra

CANADA

- Mr. J.H.A. GARIEPY, Commissioner of Patents, Bureau of Intellectual Property, Hull, Quebec
- Mr. M. LEIR, Second Secretary, Permanent Mission of Canada, Geneva

FINLAND/FINLANDE

Mr. P. SALMI, Head of the Patent Department, National Board of Patents and Registration, Helsinki

HUNGARY/HONGRIE

Mr. Z. SZILVÁSSY, Vice President, National Office of Inventions, Budapest

Mr. I. KINCSES, Deputy Head of Section for Patent Information, National Office of Inventions, Budapest

IRELAND/IRLANDE

Mr. P. SLAVIN, Principal Examiner, Patents Office, Dublin

NORWAY/NORVEGE

Mr. P.E. LILLEJORDET, Head of Division, The Norwegian Patent Office, Oslo

Mr. K.A. HANSEN, Head of Library, The Norwegian Patent Office, Oslo

SPAIN/ESPAGNE

M. E. GUTIERREZ GUINEA, Director del Departamento de Información Tecnológica, Registro de la Propiedad Industrial, Madrid

M. J.M. GARCIA OYAREGUI, Director del Departamento de Patentes y Marcas, Registro de la Propiedad Industrial, Madrid

IV. OBSERVER STATES/ETATS OBSERVATEURS

BULGARIA/BULGARIE

M. I. KOTZEV, Premier Secrétaire, Mission permanente de la Bulgarie, Genève

CZECHOSLOVAKIA/TCHÉCOSLOVAQUIE

Mrs. M. FOŘTOVÁ, Vice-Director of the Central Patent Library, Office for Inventions and Discoveries, Prague

GERMAN DEMOCRATIC REPUBLIC/REPUBLIQUE DEMOCRATIQUE ALLEMANDE

Mr. R. BLUMSTENGEL, Head of Department, Office for Inventions and Patents, Berlin

PORTUGAL

M. J. MOTA MAIA, Directeur général, Institut national de la propriété industrielle, Lisbonne

M. R. SERRÃO, Directeur des Services, Institut national de la propriété industrielle, Lisbonne

V. OBSERVER ORGANIZATIONS/ORGANISATIONS OBSERVATRICES

UNITED NATIONS (UN)/ORGANISATION DES NATIONS UNIES (ONU)

Mr. S.P. PADOLECCHIA, Representative of the Secretary General, UNO, New York,  
United States of America

UNITED NATIONS INDUSTRIAL DEVELOPMENT ORGANIZATION (UNIDO)/ORGANISATION DES NATIONS  
UNIES POUR LE DEVELOPPEMENT (ONUDI)

Mr. S.P. PADOLECCHIA, Assistant Representative of the Executive Director at Geneva,  
UNIDO, Vienna, Austria

COMMISSION OF THE EUROPEAN COMMUNITIES (CEC)/COMMISSION DES COMMUNAUTES EUROPEENES  
(CCE)

Mr. H. BANK, Principal Administrator, CEC, Luxembourg

COUNCIL FOR MUTUAL ECONOMIC ASSISTANCE (CMEA)/CONSEIL D'ASSISTANCE ECONOMIQUE  
MUTUELLE (CAEM)

Mr. D. SCHMIDT, Expert, CMEA, Moscow, USSR

INTERNATIONAL FEDERATION FOR DOCUMENTATION/FEDERATION INTERNATIONALE DE DOCUMENTA-  
TION (FID)

Mr. J.W. PLEVIER, CID-TNO, FID, Delft, Netherlands

PATENT DOCUMENTATION GROUP (PDG)

Mr. D. LIGTENBERG, Secretary, PDG, Basel, Switzerland

INTERNATIONAL PATENT DOCUMENTATION CENTER (INPADOC)/CENTRE INTERNATIONAL DE  
DOCUMENTATION DE BREVETS

Mr. G. QUARDA, Vice-Director, External Relations, INPADOC, Vienna, Austria

VI. OFFICERS/BUREAU

Chairman/Président: Mr. J. FICHTE, Austria/Autriche

Secretary/Secrétaire: Mr. P. CLAUS, WIPO/OMPI

VII. INTERNATIONAL BUREAU OF WIPO/  
BUREAU INTERNATIONAL DE L'OMPI

- Mr. F.A. SVIRIDOV, Deputy Director General
- Mr. P. CLAUS, Director, Patent Information Division
- Mr. P.A. HIGHAM, Senior Patent Information Officer, Patent Information Division
- Mr. R. ANDARY, Technical Officer, General Patent Information Section, Patent Information Division
- Mr. V. ROSLOV, Technical Officer, General Patent Information Section, Patent Information Division

[Annex II follows/Annexe II suit]



AGENDA

1. Opening of the session by the Chairman of the Permanent Committee on Patent Information (PCPI)
2. Adoption of the agenda of the session (document PCT/CTC/II/1 Rev.)
3. PCT Minimum Documentation "Patents" (document PCT/CTC/II/2):
  - (a) Inventory 1920 to date (Project file PCPI/P 39/79)
  - (b) Gaps in ISAs' files (Project file PCPI/P 40/79)
  - (c) According to PCT Rule 34.1(c)(vi) (Project file PCPI/P 41/79 Rev.1)
  - (d) English-language abstracts (Project file PCPI/P 42/79 Rev.1)
4. PCT Minimum Documentation "Non-patent Literature" (document PCT/CTC/II/2):
  - (a) List of periodicals (Project file PCPI/P 43/79 Rev.2)
  - (b) Selection of relevant articles (Project file PCPI/P 44/79 Rev.1)
5. UNIDO Proposal for the creation of an "International Patent Examination Center"
6. Next session
7. Other business (if any)
8. Adoption of the report of the session

[End of Annex II and of document]