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# WIPO



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## WORLD INTELLECTUAL PROPERTY ORGANIZATION GENEVA

### PATENT COOPERATION TREATY

#### INTERIM ADVISORY COMMITTEE FOR ADMINISTRATIVE QUESTIONS

#### WORKING GROUP ON FORMS

First Session: Geneva, September 9 to 13, 1974

#### DRAFT FORMS

UNDER THE PCT ADMINISTRATIVE INSTRUCTIONS

prepared by the International Bureau

#### SUMMARY

This document contains the first part of a revised draft of the forms relating to Chapters I and II of the PCT. They are intended for the use of the international authorities in dealing with communications which may arise in the PCT procedure. To facilitate their use, the forms are accompanied by notes which set forth the relevant PCT provisions. In revising the forms, questions of layout have been considered as well. The second part of the revised draft of the forms will be contained in document PCT/AAQ/WGF/I/3.

#### CONTENTS

	<u>pages</u>
INTRODUCTION .....	2
DRAFT FORMS .....	4
I. RECEIVING OFFICE FORMS (Forms PCT/RO/101-128)	
II. INTERNATIONAL SEARCHING AUTHORITY FORMS (Forms PCT/ISA/201-222)	

## Introduction

1. This document contains the first part of a revised draft of the forms which are intended for the use of the international authorities (namely, the Receiving Office, the International Searching Authority, the International Bureau, and the International Preliminary Examining Authority) in dealing with the communications which may arise in the PCT procedure, that is, in the processing of international applications under Chapters I and II of the PCT. The first part contains the forms for the Receiving Office and the International Searching Authority, whereas the forms for the International Bureau and the International Preliminary Examining Authority will be contained in a separate document (PCT/AAQ/WGF/I/3). This introductory note pertains to both parts of the revised draft of the forms.

2. The PCT Interim Advisory Committee for Administrative Questions (hereinafter called the Interim Committee) at its fourth session held in Tokyo in October, 1973, considered a draft of the forms revised in accord with the advice of the Standing Subcommittee of the PCT Interim Committee for Technical Cooperation (see document PCT/AAQ/IV/3).

3. The Interim Committee, due to shortage of time and to the difficult nature of the task, did not review the forms on a case by case basis. Instead the Interim Committee discussed the basic principles in light of which decisions should be taken on the obligatory or non-obligatory nature of the forms (see paragraphs 14 to 19 of the Report of the fourth session of the Interim Committee, document PCT/AAQ/IV/9).

4. The Interim Committee invited its member to submit written observations on the forms to the International Bureau by February 28, 1974. These observations have been taken into consideration by the International Bureau in establishing the revised draft forms contained in this document.

## Contents of this Document

5. The forms are so devised that they usually contain matter relating to (i) the addressing and mailing of the communication, (ii) the identification of the international application to which the communication relates, (iii) the information which it is necessary to communicate in order to satisfy a particular procedural step, and (iv) the identification of the international authority issuing the communication.

6. The question whether a more appropriate identification of the international application (item (ii) above), could be achieved for certain forms (notably PCT/RO/102 to 105, 119, and 126) if they also contained the title of the invention, would seem to merit further consideration.

7. The contents of the forms are accompanied by notes which contain the relevant PCT provisions upon which such contents are based. This was done in order to facilitate the use of the forms by the international authority which fills in and issues any given form and the understanding of the information communicated on that form by the applicant or international authority receiving the form.

8. In revising the forms, questions of layout have been considered as well. The layout has been structured in a manner intended to facilitate the use and understanding of the forms.

9. The forms have been set forth in different colors in order to facilitate identification of the international authorities from which they emanate. Also a separate numbering series has been used to further identify the international authority from which a particular form emanates, e.g. the Receiving Office is identified by PCT/RO/(100) series, the International Searching Authority by the PCT/ISA/(200) series, the International Bureau by the PCT/IB/(300) series, and the International Preliminary Examining Authority by the PCT/IPEA/(400) series.

10. Four forms of particular importance (namely the request (Form PCT/RO/101), the international search report (Form PCT/ISA/210), the demand (Form PCT/IPEA/401), and the international preliminary examination report (Form PCT/IPEA/409)) are not contained in this document or in document PCT/AAQ/WGF/I/3 but will be submitted as a separate document containing these forms in a printed version (PCT/AAQ/WGF/I/4).

Task of the Working Group

11. The Interim Committee agreed to establish a Working Group on Forms composed of specialists in the field of standardization of Patent Office procedures which would examine the forms in detail with respect to contents and layout before they are submitted to the Interim Committee for review.

12. The Interim Committee asked the Working Group to consider also the question of the mandatory or optional character of the forms. (See paragraph 79 of the Report of the fourth session of the Interim Committee, document PCT/AAQ/IV/9.)

13. It is proposed that the Working Group should concentrate on its task of examining the questions dealing with both the content and layout of the forms, and should reserve, for the time being, the question of whether the use of any particular form should be mandatory or optional since the latter question can only usefully be discussed once a detailed consideration of content and layout has taken place.

14. The Working Group is invited to examine and comment on the forms contained in this document.

I. FORMS TO BE EMPLOYED BY THE RECEIVING OFFICE

Form Identification Number:	Title of Form:	Provisions pursuant to which Form is issued:
PCT/RO/101*	REQUEST	Rule 4
PCT/RO/102	NOTIFICATION CONCERNING PAYMENT OF TRANSMITTAL, SEARCH, AND INTERNATIONAL FEES	Rules 14, 15, 16
PCT/RO/103	INVITATION TO CORRECT THE PURPORTED INTERNATIONAL APPLICATION	Article 11(2)(a)
PCT/RO/104	NOTIFICATION THAT THE PURPORTED INTERNATIONAL APPLICATION IS NOT TREATED AS AN INTERNATIONAL APPLICATION	Rule 20.7(i)
PCT/RO/105	NOTIFICATION OF THE INTERNATIONAL APPLICATION NUMBER AND OF THE INTERNATIONAL FILING DATE	Rule 20.5(c)
PCT/RO/106	INVITATION TO CORRECT DEFECTS IN THE INTERNATIONAL APPLICATION	Article 14(1)(b)
PCT/RO/107	NOTIFICATION OF NON-INCLUSION OF DRAWINGS WITH THE INTERNATIONAL APPLICATION	Article 14(2)
PCT/RO/108	INVITATION TO REQUEST RECTIFICATION	Rule 91.1(d)
PCT/RO/109	NOTIFICATION CONCERNING REQUEST FOR RECTIFICATION	Administrative Instructions, Section 109
PCT/RO/110	INVITATION TO CORRECT PRIORITY DATE	Rule 4.10(d)
PCT/RO/111	NOTIFICATION OF CORRECTION OR CANCELLATION OF PRIORITY CLAIM	Rule 4.10(d)
PCT/RO/112	NOTIFICATION CONCERNING EXPRESSIONS, ETC., NOT TO BE USED IN THE INTERNATIONAL APPLICATION	Rule 9
PCT/RO/113	REQUEST FOR THE RECORDING OF CHANGE IN THE PERSON, NAME, OR ADDRESS OF APPLICANT	Rules 18.5 or 54.4, Administrative Instructions, Section 206
PCT/RO/114	NOTIFICATION OF NON-COLLECTION OF RECORD COPY	Rule 22.2(d), third sentence
PCT/RO/115	NOTIFICATION OF INTENTION TO MAKE DECLARATION THAT INTERNATIONAL APPLICATION CONSIDERED WITHDRAWN	Rule 29.4
PCT/RO/116	NOTIFICATION OF DESIGNATIONS CONSIDERED TO BE WITHDRAWN	Rule 29.1(b)
PCT/RO/117	NOTIFICATION THAT INTERNATIONAL APPLICATION CONSIDERED TO BE WITHDRAWN	Articles 14(1)(b), 14(3)(a), 14(4) and Rule 29.1(a)(ii), (iii)
PCT/RO/118	NOTIFICATION CONCERNING DOCUMENTS TRANSMITTED	Article 12(1), Rules 20.7(iv), 22.2(e), 26.4(c), (d), 29.1(a)(i), Administrative Instructions, Sections 209(a)(iii), (b)(ii) and 210(a)(iii), (b)(ii)
PCT/RO/119	NOTIFICATION OF REFUND OF FEES	Rules 15.6 and 16.2
PCT/RO/120	INVITATION TO PAY FEE FOR PREPARATION OF COPIES	Rule 21.1(c)
PCT/RO/121	NOTIFICATION THAT PRIORITY CLAIM CONSIDERED NOT TO HAVE BEEN MADE	Rule 4.10, Administrative Instructions, Section 202

\* Printed Form (see Document PCT/AAO/WGF/I/4)

PCT/RO/122.....	NOTIFICATION OF TRANSMITTAL OF REQUESTED DOCUMENTS	Rules 20.9, 22.1(b) and 22.2(d)
PCT/RO/123.....	NOTIFICATION OF POWER OF ATTORNEY OR REVOCATION OF POWER OF ATTORNEY	Rules 90.3(b) and 90.4(b)
PCT/RO/124.....	NOTIFICATION OF DEFECTIVE POWER OF ATTORNEY OR DEFECTIVE REVOCATION OF POWER OF ATTORNEY	Rules 90.3(c) and 90.4(b)
PCT/RO/125.....	NOTIFICATION OF RECEIPT OF PAPERS PURPORTING TO BE AN INTERNATIONAL APPLICATION	Administrative Instructions, Section 201
PCT/RO/126.....	NOTIFICATION CONCERNING LATER SUBMITTED SHEETS OR DRAWINGS	Administrative Instructions, Sections 209 and 210
PCT/RO/127.....	NOTIFICATION OF DECISION NOT TO ISSUE DECLARATION THAT INTERNATIONAL APPLICATION CONSIDERED WITHDRAWN	Administrative Instructions, Section 213
PCT/RO/128.....	INVITATION TO PAY FOR REQUESTED DOCUMENTS	Rule 20.9

**PATENT COOPERATION TREATY**

TO

FROM

the RECEIVING OFFICE  
identified at the bottom of this page

**NOTIFICATION CONCERNING PAYMENT  
OF TRANSMITTAL, SEARCH, AND  
INTERNATIONAL FEES**

issued pursuant to PCT Rules 14, (2) 15, (3) 16(4)

DATE OF MAILING by the Receiving Office

Inscribe NAME and ADDRESS of the AGENT and if there is no agent, of the APPLICANT (1)

IDENTIFICATION OF THE INTERNATIONAL APPLICATION/PURPORTED INTERNATIONAL APPLICATION	
International Application No./Provisional File No.	International Filing Date/Date of Receipt
Applicant (Name)	

**NOTIFICATION**

The applicant is hereby notified that this Receiving Office has calculated the amounts of the prescribed fees and has recorded any payment thereof as indicated below:

**I. TRANSMITTAL FEE (2)**

Amount Prescribed \_\_\_\_\_

Amount Paid \_\_\_\_\_

Balance Due  
 Overpayment

THE APPLICANT IS HEREBY INVITED WITHIN ..... (MONTH) (DAYS) FROM THE DATE OF MAILING INDICATED ABOVE TO SUBMIT ANY BALANCE DUE. (5)  
FAILURE TO DO SO SHALL RESULT IN THE INTERNATIONAL APPLICATION BEING CONSIDERED WITHDRAWN. (6)

**II. INTERNATIONAL FEE (3)**

A. Basic Fee part of the International Fee (7):

\$45 plus the number of sheets of the international application in excess of 30 \_\_\_\_\_ x \$1.00 per sheet.

Amount Prescribed \_\_\_\_\_

Amount Paid \_\_\_\_\_

Balance Due  
 Overpayment

THE APPLICANT IS HEREBY INVITED WITHIN ..... (MONTH) (DAYS) FROM THE DATE OF MAILING INDICATED ABOVE TO SUMIT ANY BALANCE DUE. (8)  
FAILURE TO DO SO SHALL RESULT IN THE INTERNATIONAL APPLICATION BEING CONSIDERED WITHDRAWN. (6)

(NOTIFICATION continued on following page)

NOTES TO FORM PCT/RO/102

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where an applicant is represented by an agent, that agent, or where an applicant is represented by several agents, the agent first mentioned in the request or, in the absence of such an indication, the agent first mentioned in the first filed separate power of attorney shall be considered as the addressee for the purposes of correspondence from the International Authorities." (Section 108(a))

"Where several applicants are represented by a common representative or a common agent, that representative or agent, or where several applicants are represented by several common agents, the common agent first mentioned in the request or, in the absence of such an indication, the common agent first mentioned in the first filed separate power of attorney shall be considered as the addressee." (Section 108(b))

(2) Rule 14 entitled "The Transmittal Fee" reads as follows:

"14.1 The Transmittal Fee

(a) Any receiving Office may require that the applicant pay a fee to it, for its own benefit, for receiving the international application, transmitting copies to the International Bureau and the competent International Searching Authority, and performing all the other tasks which it must perform in connection with the international application in its capacity of receiving Office ("transmittal fee").

(b) The amount and the due date of the transmittal fee, if any, shall be fixed by the receiving Office."

(3) Rule 15 entitled "The International Fee" reads as follows:

"15.1 Basic Fee and Designation Fee

Each international application shall be subject to the payment of a fee for the benefit of the International Bureau ("international fee") consisting of

(i) a "basic fee", and

(ii) as many "designation fees" as there are States designated in the international application, provided that, where a regional patent is sought for certain designated States, only one designation fee shall be due for those States.

15.2 Amounts

(a) The amounts of the basic fee shall be:

(i) if the international application contains not more than 30 sheets:  
US\$45.00 or 194 Swiss francs,

(ii) if the international application contains more than 30 sheets: US\$45.00  
or 194 Swiss francs plus US\$1.00 or 4.30 Swiss francs per sheet in excess of 30 sheets.

(b) The amount of the designation fee shall be:

(i) for each designated State or each group of designated States for which the same regional patent is sought which does not require the furnishing of a copy under Article 13:  
US\$12.00 or 52 Swiss francs,

(ii) for each designated State or each group of designated States for which the same regional patent is sought which requires the furnishing of a copy under Article 13:  
US\$14.00 or 60 Swiss francs.

15.3 Mode of Payment

(a) The international fee shall be collected by the receiving Office.

(b) The international fee shall be payable in the currency prescribed by the receiving Office, it being understood that, when transferred by the receiving Office to the International Bureau, it shall be freely convertible into Swiss currency.

15.4 Time of Payment

(a) The basic fee shall be due on the date of receipt of the international application. However, any receiving Office may, at its discretion, notify the applicant of any lack of receipt or insufficiency of any amount received, and permit applicants to pay the basic fee later, without loss of the international filing date, provided that:

NOTIFICATION CONCERNING PAYMENT OF TRANSMITTAL, SEARCH, AND INTERNATIONAL FEE (Continued)

B. Designation Fee part of the International Fee<sup>(9)</sup>:

Number of DESIGNATED STATES for which regional patents have not been sought \_\_\_\_\_:

Number of these which require the furnishing under Article 13 of a copy of the international application \_\_\_\_\_ x \$14

Number of these which DO NOT require said copy \_\_\_\_\_ x \$12

Number of GROUPS of designated States for which regional patents have been sought \_\_\_\_\_:

Number of these which require said copy \_\_\_\_\_ x \$14

Number of these which DO NOT require said copy \_\_\_\_\_ x \$12

Amount Prescribed \_\_\_\_\_

Amount Paid \_\_\_\_\_

Balance Due

Overpayment

The amount paid covers the designation fee for those States due to the order specified or in which they have been designated in the request up to and including \_\_\_\_\_ (designated State).<sup>(10)</sup>

THE APPLICANT HAS ONE YEAR FROM THE PRIORITY DATE TO SUBMIT ANY BALANCE DUE. FAILURE TO PAY THE DESIGNATION FEE IN RESPECT OF ANY OF THE DESIGNATED STATES SHALL RESULT IN THE INTERNATIONAL APPLICATION BEING CONSIDERED WITHDRAWN.<sup>(11)</sup> PAYMENT OF THE DESIGNATION FEE IN RESPECT OF ONE OR MORE BUT LESS THAN ALL OF THE DESIGNATED STATES SHALL RESULT IN THE DESIGNATION OF THOSE STATES IN RESPECT OF WHICH PAYMENT HAS NOT BEEN MADE BEING CONSIDERED WITHDRAWN.<sup>(12)</sup>

III. SEARCH FEE<sup>(4)</sup>

Amount Prescribed \_\_\_\_\_

Amount Paid \_\_\_\_\_

Balance Due

Overpayment

THE APPLICANT IS HEREBY INVITED WITHIN ..... (MONTH) (DAYS) FROM THE DATE OF MAILING INDICATED ABOVE TO SUBMIT ANY BALANCE DUE.<sup>(13)</sup> FAILURE TO DO SO SHALL RESULT IN THE INTERNATIONAL APPLICATION BEING CONSIDERED WITHDRAWN.<sup>(6)</sup>

IV. TOTALS OF ALL THE ABOVE PRESCRIBED FEES AND PAYMENTS

Total Amount Prescribed \_\_\_\_\_

Total Amount Paid \_\_\_\_\_

Balance Due

Overpayment

Any overpayment will be refunded in due course.

Computation of any time limit indicated above starts on the day following the date of mailing of this notification. Within this time limit payment of any balance due has to reach this Receiving Office.<sup>(14)</sup>

THE APPLICANT MAY PAY ANY BALANCE DUE BY /CHECK, POSTAL MONEY ORDER, BANK DRAFT, CASH, REVENUE STAMPS, DEBITING DEPOSIT ACCOUNT NO. ...., COUPONS, ETC./.  
PAYMENT SHOULD BE MADE IN /\_\_\_\_\_/ TO THE /ACCOUNT OF, ACCOUNT INDICATED BELOW OF, ORDER OF/ THE RECEIVING OFFICE.

THE RECEIVING OFFICE

Name and Mailing Address

Signature of Authorized Officer



(i) permission shall not be given to pay later than 1 month after the date of receipt of the international application;

(ii) permission may not be subject to any extra charge.

(b) The designation fee may be paid on the date of receipt of the international application or on any later date but, at the latest, it must be paid before the expiration of one year from the priority date.

#### 15.5 Partial Payment

(a) If the applicant specifies the States to which he wishes any amount paid to be applied as designation fee, the amount shall be applied accordingly to the number of States which are covered by the amount in the order specified by the applicant.

(b) If the applicant does not specify any such wish and if the amount or amounts received by the receiving Office are higher than the basic fee and one designation fee but lower than what is due according to the number of the designated States, any amount in excess of the basic fee and one designation fee shall be treated as designation fees for the States following the State first named in the request and in the order in which the States are designated in the request up to and including that designated State for which the total amount of the designation fee is covered by the amount or amounts received.

(c) The designation fee for the first mentioned State belonging to a group of States for which the same regional patent is sought and which is specified under paragraph (a) or which is reached under paragraph (b) shall, for the purposes of the said paragraphs, be considered as covering also the other States of the said group.

#### 15.6 Refund

(a) The international fee shall be refunded to the applicant if the determination under Article 11(1) is negative.

(b) In no other case shall the international fee be refunded."

(4) Rule 16 entitled "The Search Fee" reads as follows:

##### "16.1 Right to Ask for a Fee

(a) Each International Searching Authority may require that the applicant pay a fee ("search fee") for its own benefit for carrying out the international search and for performing all other tasks entrusted to International Searching Authorities by the Treaty and these Regulations.

(b) The search fee shall be collected by the receiving Office. It shall be payable in the currency prescribed by that Office, it being understood that, if the currency is not the same as the currency of the State in which the International Searching Authority is located, the search fee, when transferred by the receiving Office to that Authority, shall be freely convertible into the currency of the said State. As to the time of payment of the search fee, Rule 15.4(a) shall apply.

##### 16.2 Refund

The search fee shall be refunded to the applicant if the determination under Article 11(1) is negative.

##### 16.3 Partial Refund

Where the international application claims the priority of an earlier international application which has been the subject of an international search by the same International Searching Authority, that Authority shall refund the search fee paid in connection with the later international application to the extent and under the conditions provided for in the agreement under Article 16(3)(b), if the international search report on the later international application could wholly or partly be based on the results of the international search effected on the earlier international application.

(5) See Rule 14.1(b) quoted in note (2) above.

(6) "If the receiving Office finds that, within the prescribed time limits, the fees prescribed under Article 3(4)(iv) have not been paid, or no fee prescribed under Article 4(2) has been paid in respect of any of the designated States, the international application shall be considered withdrawn and the receiving Office shall so declare." (Article 14(3)(a))

"For the purposes of Article 14(3)(a), "fees prescribed under Article 3(4)(iv)" means: the transmittal fee (Rule 14), the basic fee part of the international fee (Rule 15.1(i)), and the search fee (Rule 16)." (Rule 27.1(a))

- (7) See Rule 15.2(a) quoted in note (3) above.
- (8) See Rule 15.4(a) quoted in note (3) above.
- (9) See Rule 15.2(b) quoted in note (3) above.
- (10) See Rule 15.5 quoted in note (3) above.
- (11) See Rule 15.4(b) quoted in note (3) above and Article 14(3)(a) quoted in note (6) above.

"Every designation shall be subject to the payment of the prescribed fee within the prescribed time limit." (Article 4(2))

(12) "If the receiving Office finds that the fee prescribed under Article 4(2) has been paid in respect of one or more (but less than all) designated States within the prescribed time limit, the designation of those States in respect of which it has not been paid within the prescribed time limit shall be considered withdrawn and the receiving Office shall so declare." (Article 14(3)(b))

(13) See Rule 16.1(b) quoted in note (4) above.

(14) "When a period is expressed as one month or a certain number of months, computation shall start on the day following the day on which the relevant event occurred, and the period shall expire in the relevant subsequent month on the day which has the same number as the day on which the said event occurred, provided that if the relevant subsequent month has no day with the same number the period shall expire on the last day of that month." (Rule 80.2)

"When a period is expressed as a certain number of days, computation shall start on the day following the day on which the relevant event occurred, and the period shall expire on the day on the day on which the last day of the count has been reached." (Rule 80.3)

"The date which is taken into consideration as the starting date of the computation of any period shall be the date which prevails in the locality at the time when the relevant event occurred." (Rule 80.4(a)).

"The date on which any period expires shall be the date which prevails in the locality in which the required document must be filed or the required fee must be paid." (Rule 80.4(b))

"If the expiration of any period during which any document or fee must reach a national Office or intergovernmental organization falls on a day on which such Office or organization is not open to the public for the purposes of the transaction of official business, or on which ordinary mail is not delivered in the locality in which such Office or organization is situated, the period shall expire on the next subsequent day on which neither of the said two circumstances exists." (Rule 80.5)

"A period expiring on a given day shall expire at the moment the national Office or intergovernmental organization with which the document must be filed or to which the fee must be paid closes for business on that day." (Rule 80.7(a))

"Any Office or organization may depart from the provisions of paragraph (a) up to midnight on the relevant day." (Rule 80.7(b))

# PATENT COOPERATION TREATY

TO

FROM

the RECEIVING OFFICE  
identified at the bottom of this page

## INVITATION TO CORRECT THE PURPORTED INTERNATIONAL APPLICATION

Issued pursuant to PCT Article 11(2)(a)<sup>(2)</sup>

DATE OF MAILING by the Receiving Office
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Inscribe NAME and ADDRESS of the AGENT and if there is no agent, of the APPLICANT <sup>(1)</sup>

IDENTIFICATION OF THE PURPORTED INTERNATIONAL APPLICATION	
Provisional File No.	Date of Receipt
Applicant (Name)	

INVITATION
<p>This Receiving Office has noted that the above-identified purported international application does not fulfill the requirements under Article 11(1) for the reasons indicated below.</p> <ol style="list-style-type: none"> <li>1. <input type="checkbox"/> The applicant obviously lacks, for reasons of <input type="checkbox"/> residence <input type="checkbox"/> nationality, the right to file an international application with this Receiving Office.<sup>(3)</sup></li> <li>2. <input type="checkbox"/> The application is not in the prescribed language.<sup>(4)</sup></li> <li>3. <input type="checkbox"/> The application does not contain an indication that it is intended as an international application.<sup>(5)</sup></li> <li>4. <input type="checkbox"/> The application does not contain the designation of at least one Contracting State.<sup>(6)</sup></li> <li>5. <input type="checkbox"/> The application does not contain the name of the applicant, as prescribed.<sup>(7)</sup></li> <li>6. <input type="checkbox"/> The application does not contain a part which on the face of it appears to be a description.<sup>(8)</sup></li> <li>7. <input type="checkbox"/> The application does not contain a part which on the face of it appears to be a claim or claims.<sup>(9)</sup></li> </ol> <p>THE APPLICANT IS HEREBY INVITED TO SUBMIT THE REQUIRED CORRECTIONS WITHIN <sup>(10)</sup>..... (MONTH) (DAYS) FROM THE DATE OF MAILING INDICATED ABOVE. FAILURE TO DO SO WITHIN THIS TIME LIMIT WILL RESULT IN THE APPLICATION NOT BEING TREATED AS AN INTERNATIONAL APPLICATION.<sup>(11)</sup></p> <p>Computation of the time limit starts on the day following the date of mailing of the present invitation.<sup>(12)</sup> Within this time limit the corrections have to reach this Receiving Office.</p> <p><input type="checkbox"/> The applicant's attention is called to the fact that the time limit expires later than one year from the filing date of the application whose priority is claimed.<sup>(10)</sup></p>

THE RECEIVING OFFICE	
Name and Mailing Address	Signature of Authorized Officer

NOTES TO FORM PCT/RO/103

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where an applicant is represented by an agent, that agent, or where an applicant is represented by several agents, the agent first mentioned in the request or, in the absence of such an indication, the agent first mentioned in the first filed separate power of attorney shall be considered as the addressee for the purposes of correspondence from the International Authorities." (Section 108(a))

"Where several applicants are represented by a common representative or a common agent, that representative or agent, or where several applicants are represented by several common agents, the common agent first mentioned in the request or, in the absence of such an indication, the common agent first mentioned in the first filed separate power of attorney shall be considered as the addressee." (Section 108(b))

(2) "The receiving Office shall accord as the international filing date the date of receipt of the international application, provided that that Office has found that, at the time of receipt:

(i) the applicant does not obviously lack, for reasons of residence or nationality, the right to file an international application with the receiving Office,

(ii) the international application is in the prescribed language,

(iii) the international application contains at least the following elements:

(a) an indication that it is intended as an international application,

(b) the designation of at least one Contracting State,

(c) the name of the applicant, as prescribed,

(d) a part which on the face of it appears to be a description,

(e) a part which on the face of it appears to be a claim or claims." (Article 11(1))

"If the receiving Office finds that the international application did not, at the time of receipt, fulfill the requirements listed in paragraph (1), it shall, as provided in the Regulations, invite the applicant to file the required correction." (Article 11(2)(a))

"If the applicant complies with the invitation, as provided in the Regulations, the Receiving Office shall accord as the international filing date the date of receipt of the required correction." (Article 11(2)(b))

(3) See Article 11(1)(i) quoted in note (2) above.

(4) See Article 11(1)(ii) quoted in note (2) above.

(5) See Article 11(1)(iii)(a) quoted in note (2) above.

(6) See Article 11(i)(iii)(b) quoted in note (2) above.

(7) See Article 11(1)(iii)(c) quoted in note (2) above.

(8) See Article 11(1)(iii)(d) quoted in note (2) above.

(9) See Article 11(1)(iii)(e) quoted in note (2) above.

(10) "The receiving Office shall promptly mail the invitation to the applicant and shall fix a time limit, reasonable under the circumstances of the case, for filing the correction. The time limit shall not be less than 10 days, and shall not exceed 1 month, from the date of the invitation. If such time limit expires after the expiration of 1 year from the filing date of any application whose priority is claimed, the receiving Office may call this circumstance to the attention of the applicant." (Rule 20.6(b))

(11) "If the receiving Office does not, within the prescribed time limit, receive a reply to its invitation to correct, or if the correction offered by the applicant still does not fulfill the requirements provided for under Article 11(1), it shall:

(i) promptly notify the applicant that his application is not and will not be treated as an international application and shall indicate the reasons therefor," (Rule 20.7(i))

(12) "When a period is expressed as one month or a certain number of months, computation shall start on the day following the day on which the relevant event occurred, and the period shall expire in the relevant subsequent month on the day which has the same number as the day on which the said event occurred, provided that if the relevant subsequent month has no day with the same number the period shall expire on the last day of that month." (Rule 80.2)

"When a period is expressed as a certain number of days, computation shall start on the day following the day on which the relevant event occurred, and the period shall expire on the day on which the last day of the count has been reached." (Rule 80.3)

"The date which is taken into consideration as the starting date of the computation of any period shall be the date which prevails in the locality at the time when the relevant event occurred." (Rule 80.4(a))

"The date on which any period expires shall be the date which prevails in the locality in which the required document must be filed or the required fee must be paid." (Rule 80.4(b))

"If the expiration of any period during which any document or fee must reach a national Office or intergovernmental organization falls on a day on which such Office or organization is not open to the public for the purposes of the transaction of official business, or on which ordinary mail is not delivered in the locality in which such Office or organization is situated, the period shall expire on the next subsequent day on which neither of the said two circumstances exists." (Rule 80.5)

"A period expiring on a given day shall expire at the moment the national Office or intergovernmental organization with which the document must be filed or to which the fee must be paid closes for business on that day." (Rule 80.7(a))

"Any Office or organization may depart from the provisions of paragraph (a) up to midnight on the relevant day." (Rule 80.7(b))

**PATENT COOPERATION TREATY**

TO

FROM

the RECEIVING OFFICE  
identified at the bottom of this page

NOTIFICATION THAT THE PURPORTED INTERNATIONAL APPLICATION IS NOT TREATED AS AN INTERNATIONAL APPLICATION

issued pursuant to PCT Rule 20.7(1)<sup>(2)</sup>

DATE OF MAILING by the Receiving Office
---

Inscribe NAME and ADDRESS of the AGENT and if there is no agent, of the APPLICANT<sup>(1)</sup>

IDENTIFICATION OF THE PURPORTED INTERNATIONAL APPLICATION	
Provisional File No.	Date of Receipt
Applicant (Name)	

NOTIFICATION
<p>The applicant is hereby notified that the above-identified purported international application is not and will not be treated as an international application for the reason indicated below.</p> <ol style="list-style-type: none"> <li>1. <input type="checkbox"/> The applicant has failed to reply to the invitation to correct which was mailed on ..... (date) by this Receiving Office.</li> <li>2. <input type="checkbox"/> The applicant's reply to the invitation to correct, which was mailed on ..... (date) by this Receiving Office, was received by this Office only on ..... (date), that is, after the expiration of the prescribed time limit.</li> <li>3. <input type="checkbox"/> The applicant's reply to the invitation to correct, which was mailed on ..... (date) by this Receiving Office, does not fulfill the requirements which were indicated under No. ... of the said invitation.</li> </ol> <p>Any payments which the applicant has made in respect of the international fee and the search fee shall be refunded in due course.<sup>(3)</sup></p> <p>(A copy of this notification has been sent to the International Bureau to inform it that the provisional file number indicated above will not be used as an international application number.<sup>(4)</sup>)</p>

THE RECEIVING OFFICE	
Name and Mailing Address	Signature of Authorized Officer

NOTES TO FORM PCT/RO/104

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where an applicant is represented by an agent, that agent, or where an applicant is represented by several agents, the agent first mentioned in the request or, in the absence of such an indication, the agent first mentioned in the first filed separate power of attorney shall be considered as the addressee for the purposes of correspondence from the International Authorities." (Section 108(a))

"Where several applicants are represented by a common representative or a common agent, that representative or agent, or where several applicants are represented by several common agents, the common agent first mentioned in the request or, in the absence of such an indication, the common agent first mentioned in the first filed separate power of attorney shall be considered as the addressee." (Section 108(b))

(2) "If the receiving Office does not, within the prescribed time limit, receive a reply to its invitation to correct, or if the correction offered by the applicant still does not fulfill the requirements provided for under Article 11(1), it shall:

(i) promptly notify the applicant that his application is not and will not be treated as an international application and shall indicate the reasons therefor,

(ii) notify the International Bureau that the number it has marked on the papers will not be used as an international application number...." (Rule 20.7(i), (ii))

(3) "The international fee shall be refunded to the applicant if the determination under Article 11(1) is negative." (Rule 15.6(a))

"The search fee shall be refunded to the applicant if the determination under Article 11(1) is negative." (Rule 16.2)

(4) See Rule 20.7(ii) quoted in note (2) above.

**PATENT COOPERATION TREATY**

TO

FROM

the RECEIVING OFFICE  
identified at the bottom of this page

NOTIFICATION OF THE INTERNATIONAL  
APPLICATION NUMBER AND OF THE  
INTERNATIONAL FILING DATE

Issued pursuant to PCT Rule 20.5(c)<sup>(2)</sup>

Inscribe NAME and ADDRESS of the AGENT and if there  
is no agent, of the APPLICANT (1)

DATE OF MAILING by the Receiving Office

**IDENTIFICATION OF THE INTERNATIONAL APPLICATION**

International Application No.

International Filing Date

Applicant (Name)

**NOTIFICATION**

The applicant is hereby notified that the above-identified international  
application has been accorded the international application number and  
the international filing date indicated.<sup>(2)</sup>

**THE RECEIVING OFFICE**

Name and Mailing Address

Signature of Authorized Officer



These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where an applicant is represented by an agent, that agent, or where an applicant is represented by several agents, the agent first mentioned in the request or, in the absence of such an indication, the agent first mentioned in the first filed separate power of attorney shall be considered as the addressee for the purposes of correspondence from the International Authorities." (Section 108(a))

"Where several applicants are represented by a common representative or a common agent, that representative or agent, or where several applicants are represented by several common agents, the common agent first mentioned in the request or, in the absence of such an indication, the common agent first mentioned in the first filed separate power of attorney shall be considered as the addressee." (Section 108(b))

(2) "The receiving Office shall promptly notify the applicant of the international application number and the international filing date." (Rule 20.5(c))

# PATENT COOPERATION TREATY

TO

FROM

the RECEIVING OFFICE  
identified at the bottom of this page

## INVITATION TO CORRECT DEFECTS IN THE INTERNATIONAL APPLICATION

issued pursuant to PCT Article 14(1)<sup>(2)</sup>

DATE OF MAILING by the Receiving Office

Inscribe NAME and ADDRESS of the AGENT and if there  
is no agent, of the APPLICANT<sup>(1)</sup>

### IDENTIFICATION OF THE INTERNATIONAL APPLICATION

International Application No.	International Filing Date
Applicant (Name)	

### INVITATION

This Receiving Office has found the following defects in the above-identified international application:

As to signature,<sup>(3)</sup> the request part of the international application

- was not signed
- was not signed by all the applicants
- was signed by what appears to be a purported agent but the latter cannot be considered as an agent since the said request did not contain the appointment of an agent nor was the said request accompanied by a power of attorney appointing an agent
- other (specify)

As to indications concerning the applicant, the request part of the international application

- does not properly indicate his name<sup>(4)</sup> (specify)
- does not indicate his address<sup>(4)</sup>
- does not properly indicate his address<sup>(5)</sup> (specify)
- does not indicate his nationality<sup>(4) (6)</sup>
- does not indicate his residence<sup>(4) (7)</sup>
- other (specify)

(INVITATION continued on the following page)

NOTES TO FORM PCT/RO/106

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where an applicant is represented by an agent, that agent, or where an applicant is represented by several agents, the agent first mentioned in the request or, in the absence of such an indication, the agent first mentioned in the first filed separate power of attorney shall be considered as the addressee for the purposes of correspondence from the International Authorities." (Section 108(a))

"Where several applicants are represented by a common representative or a common agent, that representative or agent, or where several applicants are represented by several common agents, the common agent first mentioned in the request or, in the absence of such an indication, the common agent first mentioned in the first filed separate power of attorney shall be considered as the addressee." (Section 108(b))

(2) "The receiving Office shall check whether the international application contains any of the following defects, that is to say:

(i) it is not signed as provided in the Regulations;

(ii) it does not contain the prescribed indications concerning the applicant;

(iii) it does not contain a title;

(iv) it does not contain an abstract;

(v) it does not comply to the extent provided in the Regulations with the prescribed physical requirements." (Article 14(1)(a))

"If the receiving Office finds any of the said defects, it shall invite the applicant to correct the international application within the prescribed time limit, failing which that application shall be considered withdrawn and the receiving Office shall so declare." (Article 14(1)(b))

(3) See Article 14(1)(a)(i) in the note above.

The signature must be that of the applicant and if there are several applicants all must sign (See Rules 4.1(d) and 4.15); however, the signature may be that of the agent (See Rule 2.1) where the international application was accompanied by a separate power of attorney appointing the agent (See Rule 90.3(a)). For common representatives, see Rules 4.8(a) and 90.3(a).

(4) See Article 14(1)(a)(ii) in note (2) above.

"The request shall indicate the name, address, nationality and residence of the applicant or, if there are several applicants, of each of them." (Rule 4.5(a))

"Names of natural persons shall be indicated by the person's family name and given name(s), the family name being indicated before the given name(s)," (Rule 4.4(a))

"Names of legal entities shall be indicated by their full, official designation." (Rule 4.4(b))

(5) See Article 14(1)(a)(ii) in note (2) above.

"Addresses shall be indicated in such a way as to satisfy the customary requirements for prompt postal delivery at the indicated address and, in any case, shall consist of all the relevant administrative units up to, and including, the house number, if any. Where the national law of the designated State does not require the indication of the house number, failure to indicate such number shall have no effect in that State. It is recommended to indicate any telegraphic and teletype address and telephone number." (Rule 4.4(c))

"For each applicant, inventor, or agent, only one address may be indicated." (Rule 4.4(d))

(6) "The applicant's nationality shall be indicated by the name of the State of which he is a national." (Rule 4.5(b))

(7) "The applicant's residence shall be indicated by the name of the State of which he is a resident." (Rule 4.5(c))

INVITATION (Continued)

The international application

- does not contain an indication of the title of the invention <sup>(8)</sup>

The international application

- does not contain an abstract <sup>(9)</sup>

As to the prescribed physical requirements of the international application <sup>(10)</sup>

- defects exist in the presentation of the text matter as specified in Annex B to the present invitation
- defects exist in the presentation of the drawings as specified in Annex B to the present invitation

THE APPLICANT IS HEREBY INVITED TO CORRECT THE INTERNATIONAL APPLICATION WITHIN A TIME LIMIT OF ..... (DAYS) (MONTHS) <sup>(11)</sup>

This time limit counts from the date of mailing of the present invitation as indicated on the top of this page. Within the time limit the correction has to reach the undersigned Receiving Office.

If the defect consists of lack of signature, the signature must be given in the Receiving Office. Any other correction offered to the Receiving Office may be stated in a letter addressed to that Office if the correction is of such a nature that it can be transferred from the letter to the record copy without adversely affecting the clarity and the direct reproductibility of the sheet onto which the correction is to be transferred; otherwise, the applicant shall be required to submit a replacement sheet embodying the correction and the letter accompanying the replacement sheet shall draw attention to the differences between the replaced sheet and the replacement sheet.

THE RECEIVING OFFICE

Name and Mailing Address of the Receiving Office

Signature of Authorized Officer of the Receiving Office

(8) See Article 14(1)(a)(iii) in note (2) above.

"The request shall contain the title of the invention." (Article 4(1)(iv))

"The description shall first state the title of the invention as appearing in the request..." (Rule 5.1(a))

(9) See Article 14(1)(a)(iv) in note (2) above.

"An international application shall contain, as specified in this Treaty and the Regulations, a request, a description, one or more claims, one or more drawings (where required), and an abstract." (Article 3(2))

(10) See Article 14(1)(a)(v) in note (2) above.

"The international application shall comply with the prescribed physical requirements." (Article 3(4)(ii))

(11) "The time limit referred to in Article 14(1)(b) shall be reasonable under the circumstances of the particular case and shall be fixed in each case by the receiving Office. It shall not be less than 1 month and normally not more than 2 months from the date of the invitation to correct." (Rule 26.2)

# PATENT COOPERATION TREATY

## ANNEX A

### DEFECTS IN THE PRESENTATION OF THE TEXT MATTER OF THE INTERNATIONAL APPLICATION

The indicated elements of the international application suffer from the indicated defects: (1)

	Request	Description	Claims	Abstract
(a) The element does not commence on a new sheet <sup>(2)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(b) Some/all sheets are not free from creases, cracks, folds <sup>(3)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(c) Some/all sheets are not used in the upright position <sup>(4)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(d) One side of some/all sheets is not left unused <sup>(5)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(e) The paper of some/all sheets is not flexible/strong/white/smooth/non shiny/durable <sup>(6)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(f) The sheets are not connected as prescribed <sup>(7)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(g) Some/all sheets are not A4 size	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(h) The margins on some/all sheets are not as prescribed <sup>(8)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(i) The sheets are not properly numbered <sup>(10)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(j) The sheet numbers are not properly placed <sup>(11)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(k) Some/all sheets are not typed or printed <sup>(12)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(l) The typing on some/all sheets is not 1½ spaced <sup>(13)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(m) The characters in the text matter on some/all sheets are not large enough <sup>(14)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(n) The text matter on some/all sheets is not in dark, indelible color <sup>(14)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(o) The element contains drawings <sup>(15)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(p) Some/all sheets contain too many erasures, alterations, overwritings or interlineations <sup>(16)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Further specification (where useful) of (some of) the defects referred to above under ( ):

under ( ):

Other possible observations by the Receiving Office:

NOTES TO ANNEX A

- (1) "All elements of the international application (i.e., the request, the description, the claims, the drawings, and the abstract) shall be so presented as to admit of direct reproduction by photography, electrostatic processes, photo offset, and microfilming, in any number of copies." (Rule 11.2(a))
- (2) "Each element (request, description, claims, drawings, abstract) of the international application shall commence on a new sheet." (Rule 11.4(a))
- (3) "All sheets shall be free from creases and cracks; they shall not be folded." (Rule 11.2(b))
- (4) "Subject to Rule 11.13(j), each sheet shall be used in an upright position (i.e., the short sides at the top and bottom)." (Rule 11.2(d))
- (5) "Only one side of each sheet shall be used." (Rule 11.2(c))
- (6) "All elements of the international application shall be on paper which shall be flexible, strong, white, smooth, non-shiny and durable." (Rule 11.3)
- (7) "All sheets of the international application shall be so connected that they can be easily turned when consulted, and easily separated and joined again if they have been separated for reproduction purposes." (Rule 11.4(b))
- (8) "The size of the sheets shall be A4 (29.7 cm x 21 cm). However, any receiving Office may accept international applications on sheets of other sizes provided that the record copy, as transmitted to the International Bureau, and, if the competent International Searching Authority so desires, the search copy, shall be of A4 size." (Rule 11.5)
- (9) "The minimum margins of the sheets containing the request, the description, the claims, and the abstract shall be as follows:
  - top of first sheet, except that of the request: 8 cm
  - top of other sheets: 2 cm
  - left side: 2.5 cm
  - right side: 2 cm
  - bottom: 2 cm." (Rule 11.6(a)),"The recommended maximum, for the margins provided for in paragraph (a) is as follows:
  - top of first sheet, except that of the request: 9 cm
  - top of other sheets: 4 cm
  - left side: 4 cm
  - right side: 3 cm
  - bottom: 3 cm." (Rule 11.6(b))"The margins of the international application, when submitted, must be completely blank." (Rule 11.6(e))
- (10) "All the sheets contained in the international application shall be numbered in consecutive arabic numerals." (Rule 11.7(a))
- (11) "The numbers shall be placed at the top of the sheet, in the middle, but not in the margin." (Rule 11.7(b))
- (12) "The request, the description, the claims and the abstract shall be typed or printed." (Rule 11.9(a))

"Only graphic symbols and characters, chemical or mathematical formulae, and certain characters in the Japanese language may, when necessary, be written by hand or drawn." (Rule 11.9(b))
- (13) "The typing shall be 1½-spaced." (Rule 11.9(c))

"As far as the spacing of the typing and the size of the characters are concerned, paragraphs (c) and (d) shall not apply to texts in the Japanese language." (Rule 11.9(e))

NOTES TO ANNEX A (Continued)

(14) "All text matter shall be in characters the capital letters of which are not less than 0.21 cm high, and shall be in a dark, indelible color, satisfying the requirements specified in Rule 11.2." (Rule 11.9(d))

(15) "The request, the description, the claims, and the abstract shall not contain drawings." (Rule 11.10(a))

(16) "Each sheet shall be reasonably free from erasures and shall be free from alterations, overwritings, and interlineations. Non-compliance with this Rule may be authorized, in exceptional cases, if the authenticity of the content is not in question and the requirements for good reproduction are not in jeopardy." (Rule 11.12)



# PATENT COOPERATION TREATY

## ANNEX B

### DEFECTS IN THE DRAWINGS OF THE INTERNATIONAL APPLICATION

The drawings of the international application suffer from the indicated defects.<sup>(1)</sup>

#### I. In regard to the sheets containing drawings:

- (a)  some/all sheets are not free from creases, cracks, folds<sup>(2)</sup>
- (b)  one side of some/all sheets is not left unused<sup>(3)</sup>
- (c)  the paper of some/all sheets is not flexible/strong/white/smooth/non-shiny/durable<sup>(4)</sup>
- (d)  some/all sheets are not connected as prescribed<sup>(5)</sup>
- (e)  some/all sheets are not A4 size<sup>(6)</sup>
- (f)  the margins on some/all sheets are not as prescribed<sup>(7)</sup>
- (g)  some/all sheets are not free from frames around usable surface<sup>(7)</sup>
- (h)  some/all sheets are not properly numbered<sup>(8)</sup>
- (i)  some/all sheets contain too many erasures, alterations, overwritings or interlineations<sup>(9)</sup>

#### II. Some or all of the drawings:

- (a)  do not admit of direct reproduction<sup>(1)</sup>
- (b)  contain unnecessary text matter<sup>(10)</sup>
- (c)  contains words so placed as to prevent translation without interference with lines thereof<sup>(11)</sup>
- (d)  are not executed in proper color and uniformity<sup>(12)</sup>
- (e)  contain cross-sections not properly hatched<sup>(13)</sup>
- (f)  would not be properly distinguishable in reduced reproduction<sup>(14)</sup>
- (g)  contain scales not represented graphically<sup>(15)</sup>
- (h)  contain members, letters and reference lines lacking simplicity and clarity<sup>(16)</sup>
- (i)  contain lines drafted without the aid of drafting instruments<sup>(17)</sup>
- (j)  contain elements of a figure not indispensably disproportionate<sup>(18)</sup>
- (k)  contain numbers and letters of height less than 0.32 cm<sup>(19)</sup>
- (l)  contain letters not conforming to the Latin, and where customary, Greek alphabets<sup>(19)</sup>
- (m)  contain figures forming a single complete figure on sheets not able to be assembled without concealing parts thereof<sup>(20)</sup>
- (n)  contain figures which are not properly arranged and clearly separated<sup>(21)</sup>
- (o)  contain different figures not numbered in consecutive arabic numerals<sup>(22)</sup>
- (p)  contain different figures not numbered independent of the numbering of the sheets<sup>(22)</sup>
- (q)  are not restricted to reference signs mentioned in the description<sup>(23)</sup>
- (r)  contain the same feature denoted by different reference signs<sup>(24)</sup>

Further specification (where useful) of (some of) the defects referred to above

under ( ) ( ):

under ( ) ( ):

Other possible observations by the Receiving Office:

NOTES TO ANNEX B

- (1) "All elements of the international applications (i.e., the request, the description, the claims, the drawings, and the abstract) shall be so presented as to admit of direct reproduction by photography, electrostatic processes, photo offset, and microfilming, in any number of copies." (Rule 11.2(a))
- (2) "All sheets shall be free from creases and cracks; they shall not be folded." (Rule 11.2(b))
- (3) "Only one side of each sheet shall be used." (Rule 11.2(c))
- (4) "All elements of the international application shall be on paper which shall be flexible, strong, white, smooth, non-shiny and durable." (Rule 11.3)
- (5) "All sheets of the international application shall be so connected that they can be easily turned when consulted, and easily separated and joined again if they have been separated for reproduction purposes." (Rule 11.4(b))
- (6) "The size of the sheets shall be A4 (29.7 cm x 21 cm). However, any receiving Office may accept international applications on sheets of other sizes provided that the record copy, as transmitted to the International Bureau, and, if the competent International Searching Authority so desires, the search copy, shall be of A4 size." (Rule 11.5)
- (7) "On sheets containing drawings, the surface usable shall not exceed 26.2 cm x 17.0 cm. The sheets shall not contain frames around the usable or used surface. The minimum margins shall be as follows:
  - top: 2.5 cm
  - left side: 2.5 cm
  - right side: 1.5 cm
  - bottom: 1.0 cm. (Rule 11.6(c))"

"The margins referred to in paragraphs (a) to (c) apply to A4-size sheets, so that, even if the receiving Office accepts other sizes, the A4-size record copy and, when so required, the A4-size search copy shall leave the aforesaid margins." (Rule 11.6(d))

"The margins of the international application when submitted, must be completely blank." (Rule 11.6(e))
- (8) "All the sheets contained in the international application shall be numbered in consecutive arabic numerals." (Rule 11.7(a))

"The numbers shall be placed at the top of the sheet, in the middle, but not in the margin." (Rule 11.7(b))
- (9) "Each sheet shall be reasonably free from erasures and shall be free from alterations, overwritings, and interlineations. Non-compliance with this Rule may be authorized, in exceptional cases, if the authenticity of the content is not in question and the requirements for good reproduction are not in jeopardy." (Rule 11.12)
- (10) "The drawings shall not contain text matter, except a single word or words, when absolutely indispensable, such as "water," "steam," "open," "closed," "section on AB," and, in the case of electric circuits and block schematic or flow sheet diagrams, a few short catch words indispensable for understanding." (Rule 11.11(a))
- (11) "Any words used shall be so placed that, if translated, they may be pasted over without interfering with any lines of the drawings." (Rule 11.11(b))
- (12) "Drawings shall be executed in durable, black or blue, sufficiently dense and dark, uniformly thick and well-defined, lines and strokes without coloring." (Rule 11.13(a))
- (13) "Cross-sections shall be indicated by oblique hatching which should not impede the clear reading of the reference signs and leading lines." (Rule 11.13(b))
- (14) "The scale of the drawings and the distinctness of their graphical execution shall be such that a photographic reproduction with a linear reduction in the size to two-thirds would enable all details to be distinguished without difficulty." (Rule 11.13(c))
- (15) "When in exceptional cases, the scale is given on a drawing, it shall be represented graphically." (Rule 11.13(d))

NOTES TO ANNEX B (Continued)

- (16) "All numbers, letters and reference lines, appearing on the drawings, shall be simple and clear. Brackets, circles or inverted commas shall not be used in association with numbers and letters." (Rule 11.13(e))
- (17) "All lines in the drawings shall, ordinarily, be drawn with the aid of drafting instruments." (Rule 11.13(f))
- (18) "Each element of each figure shall be in proper proportion to each of the other elements in the figure, except where the use of a different proportion is indispensable for the clarity of the figure." (Rule 11.13(g))
- (19) "The height of the numbers and letters shall not be less than 0.32 cm. For the lettering of drawings, the Latin and, where customary, the Greek alphabets shall be used." (Rule 11.13(h))
- (20) "The same sheet of drawings may contain several figures. Where figures on two or more sheets form in effect a single complete figure, the figures on the several sheets shall be so arranged that the complete figure can be assembled without concealing any part of any of the figures appearing on the various sheets." (Rule 11.13(i))
- (21) "The different figures shall be arranged on a sheet or sheets without wasting space, preferably in an upright position, clearly separated from one another." (Rule 11.13(j))
- (22) "The different figures shall be numbered in arabic numerals consecutively and independently of the numbering of the sheets." (Rule 11.13(k))
- (23) "Reference signs not mentioned in the description shall not appear in the drawings, and vice versa." (Rule 11.13(l))
- (24) "The same features, when denoted by reference signs, shall throughout the international application, be denoted by the same signs." (Rule 11.13(m))

# PATENT COOPERATION TREATY

TO

FROM

the RECEIVING OFFICE  
identified at the bottom of this page

## NOTIFICATION OF NON-INCLUSION OF DRAWINGS WITH THE INTERNATIONAL APPLICATION

issued pursuant to PCT Article 14(2)<sup>(2)</sup>

DATE OF MAILING by the Receiving Office

Inscribe NAME and ADDRESS of the AGENT and if there  
is no agent, of the APPLICANT (1)

### IDENTIFICATION OF THE INTERNATIONAL APPLICATION

International Application No.

Date of Receipt of Incomplete Papers  
(provisional International Filing Date)

Applicant (Name)

### NOTIFICATION

The applicant is hereby notified that this Receiving Office has found that reference is made on pages \_\_\_\_\_ to drawings which were not included in the above-identified international application.<sup>(2)</sup>

THE APPLICANT MAY, WITHIN 30 DAYS FROM THE DATE ON WHICH THE INCOMPLETE PAPERS WERE FIRST RECEIVED BY THIS RECEIVING OFFICE (i.e. THE DATE INDICATED ABOVE), SUBMIT THE SAID DRAWINGS.<sup>(3)</sup> IF THEY REACH THIS RECEIVING OFFICE WITHIN THE SAID TIME LIMIT THE INTERNATIONAL FILING DATE WILL BE THE DATE ON WHICH THE SAID DRAWINGS ARE RECEIVED. OTHERWISE THE REFERENCE IN THE INTERNATIONAL APPLICATION TO THE DRAWINGS WILL BE CONSIDERED NON-EXISTENT AND THE DATE INDICATED ABOVE WILL BECOME THE DEFINITIVE INTERNATIONAL FILING DATE.<sup>(2)</sup>

Computation of the time limit starts on the day following the above date of receipt of the incomplete papers.<sup>(4)</sup> Within this time limit the missing drawings have to reach this Receiving Office.

### THE RECEIVING OFFICE

Name and Mailing Address

Signature of Authorized Officer

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where an applicant is represented by an agent, that agent, or where an applicant is represented by several agents, the agent first mentioned in the request or, in the absence of such an indication, the agent first mentioned in the first filed separate power of attorney shall be considered as the addressee for the purposes of correspondence from the International Authorities." (Section 108(a))

"Where several applicants are represented by a common representative or a common agent, that representative or agent, or where several applicants are represented by several common agents, the common agent first mentioned in the request or, in the absence of such an indication, the common agent first mentioned in the first filed separate power of attorney shall be considered as the addressee." (Section 108(b))

(2) "If the international application refers to drawings which, in fact, are not included in that application, the receiving Office shall notify the applicant accordingly and he may furnish them within the prescribed time limit and, if he does, the international filing date shall be the date on which the drawings are received by the receiving Office. Otherwise, any reference to the said drawings shall be considered non-existent." (Article 14(2))

(3) "In cases where all the sheets pertaining to the same purported international application are not received on the same day by the receiving Office, that Office shall correct the date marked on the request (still leaving legible, however, the earlier date or dates already marked) so that it indicates the day on which the papers completing the international application were received, provided that

...

(iii) in the case of Article 14(2), the missing drawings are received within 30 days from the date on which the incomplete papers were filed;" (Rule 20.2(a)(iii))

"The date on which the applicant receives the notification provided for in Article 14(2) shall have no effect on the time limit fixed under Rule 20.2(a)(iii)." (Rule 26.6(b))

(4) "When a period is expressed as a certain number of days, computation shall start on the day following the day on which the relevant event occurred, and the period shall expire on the day on which the last day of the count has been reached." (Rule 80.3)

"The date which is taken into consideration as the starting date of the computation of any period shall be the date which prevails in the locality at the time when the relevant event occurred." (Rule 80.4(a))

"The date on which any period expires shall be the date which prevails in the locality in which the required document must be filed or the required fee must be paid." (Rule 80.4(b))

"If the expiration of any period during which any document or fee must reach a national Office or intergovernmental organization falls on a day on which such Office or organization is not open to the public for the purposes of the transaction of official business, or on which ordinary mail is not delivered in the locality in which such Office or organization is situated, the period shall expire on the next subsequent day on which neither of the said two circumstances exists." (Rule 80.5)

"A period expiring on a given day shall expire at the moment the national Office or intergovernmental organization with which the document must be filed or to which the fee must be paid closes for business on that day." (Rule 80.7(a))

"Any Office or organization may depart from the provisions of paragraph (a) up to midnight on the relevant day." (Rule 80.7(b))

# PATENT COOPERATION TREATY

TO

FROM

the RECEIVING OFFICE  
identified at the bottom of this page

## INVITATION TO REQUEST RECTIFICATION

issued pursuant to PCT Rule 91.1(d)<sup>(2)</sup>

DATE OF MAILING by the Receiving Office

Inscribe NAME and ADDRESS of the AGENT and if there  
is no agent, of the APPLICANT <sup>(1)</sup>

### IDENTIFICATION OF THE INTERNATIONAL APPLICATION

International Application No.

International Filing Date

Applicant (Name)

### INVITATION

This Receiving Office has discovered in the above-identified international application what appears to be an obvious error of transcription.<sup>(2)</sup>  
(specify)

THE APPLICANT IS HEREBY INVITED TO REQUEST THE RECTIFICATION OF THE SAID ERROR<sup>(2)</sup>

The request for rectification is to be submitted to<sup>(3)</sup>:

- this Receiving Office
- the International Searching Authority
- the International Bureau

### THE RECEIVING OFFICE

Name and Mailing Address

Signature of Authorized Officer

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where an applicant is represented by an agent, that agent, or where an applicant is represented by several agents, the agent first mentioned in the request or, in the absence of such an indication, the agent first mentioned in the first filed separate power of attorney shall be considered as the addressee for the purposes of correspondence from the International Authorities." (Section 108(a))

"Where several applicants are represented by a common representative or a common agent, that representative or agent, or where several applicants are represented by several common agents, the common agent first mentioned in the request or, in the absence of such an indication, the common agent first mentioned in the first filed separate power of attorney shall be considered as the addressee." (Section 108(b))

(2) Rule 91 entitled "Obvious Errors of Transcription" reads as follows:

"91.1 Rectification

(a) Subject to paragraphs (b) to (g), obvious errors of transcription in the international application or other papers submitted by the applicant may be rectified.

(b) Errors which are due to the fact that something other than what was obviously intended was written in the international application or other paper shall be regarded as obvious errors of transcription. The rectification itself shall be obvious in the sense that anyone would immediately realize that nothing else could have been intended than what is offered as rectification.

(c) Omissions of entire elements or sheets of the international application, even if clearly resulting from inattention, at the stage, for example, of copying or assembling sheets, shall not be rectifiable.

(d) Rectification may be made on the request of the applicant. The authority having discovered what appears to be an obvious error of transcription may invite the applicant to present a request for rectification as provided in paragraphs (e) to (g).

(e) No rectification shall be made except with the express authorization:

(i) of the receiving Office if the error is in the request,

(ii) of the International Searching Authority if the error is in any part of the international application other than the request or in any paper submitted to that Authority,

(iii) of the International Preliminary Examining Authority if the error is in any part of the international application other than the request or in any paper submitted to that Authority, and

(iv) of the International Bureau if the error is in any paper, other than the international application or amendments or corrections to that application, submitted to the International Bureau.

(f) The date of the authorization shall be recorded in the files of the international application.

(g) The authorization for rectification referred to in paragraph (e) may be given until the following events occur:

(i) in the case of authorization given by the receiving Office and the International Bureau, the communication of the international application under Article 20;

(ii) in the case of authorization given by the International Searching Authority, the establishment of the international search report or the making of a declaration under Article 17(2)(a);

(iii) in the case of authorization given by the International Preliminary Examining Authority, the establishment of the international preliminary examination report.

(h) Any authority, other than the International Bureau, which authorizes any rectification shall promptly inform the International Bureau of such rectification."

(3) See Rule 91.1(e) quoted in the preceding note.

**PATENT COOPERATION TREATY**

TO

FROM

the RECEIVING OFFICE  
identified at the bottom of this page

**NOTIFICATION CONCERNING REQUEST  
FOR RECTIFICATION**

issued pursuant to PCT Administrative  
Instructions Section 109<sup>(3)</sup>

DATE OF MAILING by the Receiving Office
---

Inscribe NAME and ADDRESS of the AGENT and if there is no agent, of the APPLICANT (1)

IDENTIFICATION OF THE INTERNATIONAL APPLICATION	
International Application No.	International Filing Date
Applicant (Name)	

NOTIFICATION
<p>The applicant is hereby notified that this Receiving Office has considered his request for rectifying "obvious errors of transcription" (2) in the request of the above-identified international application and that it has decided:</p> <p>1. <input type="checkbox"/> to authorize the rectification requested for the following reasons. (3) (specify)</p> <p>2. <input type="checkbox"/> to refuse to authorize the rectification for the following reasons. (3) (specify)</p> <p>(A copy of this notification has been sent (4) to the International Bureau in the case where rectification was authorized.)</p>

THE RECEIVING OFFICE	
Name and Mailing Address	Signature of Authorized Officer



These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where an applicant is represented by an agent, that agent, or where an applicant is represented by several agents, the agent first mentioned in the request or, in the absence of such an indication, the agent first mentioned in the first filed separate power of attorney shall be considered as the addressee for the purposes of correspondence from the International Authorities." (Section 108(a))

"Where several applicants are represented by a common representative or a common agent, that representative or agent, or where several applicants are represented by several common agents, the common agent first mentioned in the request or, in the absence of such an indication, the common agent first mentioned in the first filed separate power of attorney shall be considered as the addressee." (Section 108(b))

(2) Rule 91 entitled "Obvious Errors of Transcription" reads as follows:

"91.1. Rectification

(a) Subject to paragraphs (b) to (g), obvious errors of transcription in the international application or other papers submitted by the applicant may be rectified.

(b) Errors which are due to the fact that something other than what was obviously intended was written in the international application or other paper shall be regarded as obvious errors of transcription. The rectification itself shall be obvious in the sense that anyone would immediately realize that nothing else could have been intended than what is offered as rectification.

(c) Omissions of entire elements or sheets of the international application, even if clearly resulting from inattention, at the stage, for example, of copying or assembling sheets, shall not be rectifiable.

(d) Rectification may be made on the request of the applicant. The authority having discovered what appears to be an obvious error of transcription may invite the applicant to present a request for rectification as provided in paragraphs (c) to (g).

(e) No rectification shall be made except with the express authorization:

- (i) of the receiving Office if the error is in the request,
- (ii) of the International Searching Authority if the error is in any part of the international application other than the request or in any paper submitted to that Authority,
- (iii) of the International Preliminary Examining Authority if the error is in any part of the international application other than the request or in any paper submitted to that Authority, and
- (iv) of the International Bureau if the error is in any paper, other than the international application or amendments or corrections to that application, submitted to the International Bureau.

(f) The date of the authorization shall be recorded in the files of the international application.

(g) The authorization for rectification referred to in paragraph (e) may be given until the following events occur:

- (i) in the case of authorization given by the receiving Office and the International Bureau, the communication of the international application under Article 20;
- (ii) in the case of authorization given by the International Searching Authority, the establishment of the international search report or the making of a declaration under Article 17(2)(a);
- (iii) in the case of authorization given by the International Preliminary Examining Authority, the establishment of the international preliminary examination report.

(h) Any authority, other than the International Bureau, which authorizes any rectification shall promptly inform the International Bureau of such rectification.

(3) "Any International Authority which authorizes or refuses a request for rectification of obvious errors of transcription under Rule 91 shall promptly inform the applicant of such authorization or refusal and, where appropriate, the reasons therefore." (Section 109)

(4) See Rule 91.1(h) quoted in note (2) above.

**PATENT COOPERATION TREATY**

TO

FROM

the RECEIVING OFFICE  
identified at the bottom of this page

**INVITATION TO CORRECT PRIORITY DATE**  
issued pursuant to PCT Rule 4.10(d)<sup>(2)</sup>

DATE OF MAILING by the Receiving Office
---

Inscribe NAME and ADDRESS of the AGENT and if there is no agent, of the APPLICANT <sup>(1)</sup>
--

IDENTIFICATION OF THE INTERNATIONAL APPLICATION	
International Application No.	International Filing Date
Applicant (Name)	

**INVITATION**

This Receiving Office has noted that the filing date of the earlier application for which priority is claimed has been indicated in the request of the above-identified international application as .....(date). The applicant's attention is called to the fact that this date precedes the international filing date by more than one year.<sup>(2)</sup>

CONSEQUENTLY THE APPLICANT IS HEREBY INVITED WITHIN ONE MONTH FROM THE DATE OF MAILING INDICATED ABOVE EITHER TO CORRECT THE FILING DATE OF THE EARLIER APPLICATION IF ERRONEOUSLY INDICATED OR TO CANCEL THE PRIORITY CLAIM. FAILURE TO DO SO SHALL RESULT IN THE PRIORITY CLAIM BEING CANCELLED EX OFFICIO BY THIS RECEIVING OFFICE.<sup>(2)</sup>

Computation of the time limit starts on the day following the date of mailing of the present invitation.<sup>(3)</sup> Within this time limit the reply has to reach this Receiving Office.

THE RECEIVING OFFICE	
Name and Mailing Address	Signature of Authorized Officer

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where an applicant is represented by an agent, that agent, or where an applicant is represented by several agents, the agent first mentioned in the request or, in the absence of such an indication, the agent first mentioned in the first filed separate power of attorney shall be considered as the addressee for the purposes of correspondence from the International Authorities." (Section 108(a))

"Where several applicants are represented by a common representative or a common agent, that representative or agent, or where several applicants are represented by several common agents, the common agent first mentioned in the request or, in the absence of such an indication, the common agent first mentioned in the first filed separate power of attorney shall be considered as the addressee." (Section 108(b))

(2) "If the filing date of the earlier application as indicated in the request precedes the international filing date by more than one year, the receiving Office, or, if the receiving Office has failed to do so, the International Bureau, shall invite the applicant to ask either for the cancellation of the declaration made under Article 8(1) or, if the date of the earlier application was indicated erroneously, for the correction of the date so indicated. If the applicant fails to act accordingly within 1 month from the date of the invitation, the declaration made under Article 8(1) shall be cancelled ex officio. The receiving Office effecting the correction or cancellation shall notify the applicant accordingly and, if copies of the international application have already been sent to the International Bureau and the International Searching Authority, that Bureau and that Authority. If the correction or cancellation is effected by the International Bureau, the latter shall notify the applicant and the International Searching Authority accordingly." (Rule 4.10(d))

(3) "When a period is expressed as one month or a certain number of months, computation shall start on the day following the day on which the relevant event occurred, and the period shall expire in the relevant subsequent month on the day which has the same number as the day on which the said event occurred, provided that if the relevant subsequent month has no day with the same number the period shall expire on the last day of that month." (Rule 80.2)

"The date which is taken into consideration as the starting date of the computation of any period shall be the date which prevails in the locality at the time when the relevant event occurred." (Rule 80.4(a))

"The date on which any period expires shall be the date which prevails in the locality in which the required document must be filed or the required fee must be paid." (Rule 80.4(b))

"If the expiration of any period during which any document or fee must reach a national Office or intergovernmental organization falls on a day on which such Office or organization is not open to the public for the purposes of the transaction of official business, or on which ordinary mail is not delivered in the locality in which such Office or organization is situated, the period shall expire on the next subsequent day on which neither of the said two circumstances exists." (Rule 80.5)

"A period expiring on a given day shall expire at the moment the national Office or intergovernmental organization with which the document must be filed or to which the fee must be paid closes for business on that day." (Rule 80.7(a))

Any Office or organization may depart from the provisions of paragraph (a) up to midnight on the relevant day." (Rule 80.7(b))

**PATENT COOPERATION TREATY**

TO

FROM

the RECEIVING OFFICE  
identified at the bottom of this page

**NOTIFICATION OF CORRECTION OR  
CANCELLATION OF PRIORITY CLAIM**

issued pursuant to PCT Rule 4.10(d)<sup>(2)</sup>

DATE OF MAILING by the Receiving Office

Inscribe NAME and ADDRESS of the AGENT and if there  
is no agent, of the APPLICANT <sup>(1)</sup>

**IDENTIFICATION OF THE INTERNATIONAL APPLICATION**

International Application No.	International Filing Date
Applicant (Name)	

**NOTIFICATION**

The applicant is hereby notified that this Receiving Office has taken the following action in respect of the above-identified international application:

- The filing date of the earlier application, the priority of which is claimed, has in accordance with the applicant's request been corrected<sup>(2)</sup> to read  
..... (date)
- The priority claim has been cancelled ex officio.<sup>(2)</sup>
- The priority claim has been cancelled in accordance with applicant's request.<sup>(2)</sup>

(where multiple priorities have been claimed, specify the particular priority claim concerned.)

(Where required, a copy of this notification has been sent<sup>(3)</sup> to the International Searching Authority and the International Bureau)

**THE RECEIVING OFFICE**

Name and Mailing Address	Signature of Authorized Officer
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NOTES TO FORM PCT/RO/111

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where an applicant is represented by an agent, that agent, or where an applicant is represented by several agents, the agent first mentioned in the request or, in the absence of such an indication, the agent first mentioned in the first filed separate power of attorney shall be considered as the addressee for the purposes of correspondence from the International Authorities." (Section 108(a))

"Where several applicants are represented by a common representative or a common agent, that representative or agent, or where several applicants are represented by several common agents, the common agent first mentioned in the request or, in the absence of such an indication, the common agent first mentioned in the first filed separate power of attorney shall be considered as the addressee." (Section 108(b))

(2) "If the filing date of the earlier application as indicated in the request precedes the international filing date by more than one year, the receiving Office, or, if the receiving Office has failed to do so, the International Bureau, shall invite the applicant to ask either for the cancellation of the declaration made under Article 8(1) or, if the date of the earlier application was indicated erroneously, for the correction of the date so indicated. If the applicant fails to act accordingly within 1 month from the date of the invitation, the declaration made under Article 8(1) shall be cancelled ex officio. The receiving Office effecting the correction or cancellation shall notify the applicant accordingly and, if copies of the international application have already been sent to the International Bureau and the International Searching Authority, that Bureau and that Authority. If the correction or cancellation is effected by the International Bureau, the latter shall notify the applicant and the International Searching Authority accordingly." (Rule 4.10(d))

(3) Copies of this communication are sent to the International Searching Authority and the International Bureau only if copies of the international application have already been sent to them, see Rule 4.10(d) quoted in the preceding note.

# PATENT COOPERATION TREATY

TO

FROM

the RECEIVING OFFICE  
identified at the bottom of this page

NOTIFICATION CONCERNING EXPRESSIONS ETC., NOT  
TO BE USED IN THE INTERNATIONAL APPLICATION

issued pursuant to PCT Rule 9<sup>(2)</sup>

DATE OF MAILING by the Receiving Office

Inscribe NAME and ADDRESS of the AGENT and if there  
is no agent, of the APPLICANT<sup>(1)</sup>

## IDENTIFICATION OF THE INTERNATIONAL APPLICATION

International Application No.

International Filing Date

Applicant (Name)

## NOTIFICATION

The applicant is hereby notified that the above-identified international application lacks compliance with Rule 9.1 for the reasons indicated below<sup>(2)</sup>:

1.  The international application contains expressions or drawings contrary to morality. (specify)
2.  The international application contains expressions or drawings contrary to public order. (specify)
3.  The international application contains statements disparaging the products or processes of any particular person other than the applicant. (specify)
4.  The international application contains statements disparaging the merits or validity of applications or patents of any particular person other than the applicant. (specify)
5.  The international application contains statements or matter obviously irrelevant or unnecessary under the circumstances. (specify)

IT IS SUGGESTED THAT APPLICANT VOLUNTARILY CORRECT THE INTERNATIONAL APPLICATION ACCORDINGLY.<sup>(2)</sup>

(A copy of this notification has been sent<sup>(3)</sup> to the International Searching Authority and the International Bureau)

## THE RECEIVING OFFICE

Name and Mailing Address

Signature of Authorized Officer

NOTES TO FORM PCT/RO/112

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where an applicant is represented by an agent, that agent, or where an applicant is represented by several agents, the agent first mentioned in the request or, in the absence of such an indication, the agent first mentioned in the first filed separate power of attorney shall be considered as the addressee for the purposes of correspondence from the International Authorities." (Section 108(a))

"Where several applicants are represented by a common representative or a common agent, that representative or agent, or where several applicants are represented by several common agents, the common agent first mentioned in the request or, in the absence of such an indication, the common agent first mentioned in the first filed separate power of attorney shall be considered as the addressee." (Section 108(b))

(2) Rule 9 entitled "Expressions, Etc., Not To Be Used" reads as follows:

"9.1 Definition

The international application shall not contain:

- (i) expressions or drawings contrary to morality;
- (ii) expressions or drawings contrary to public order;
- (iii) statements disparaging the products or processes of any particular person other than the applicant, or the merits or validity of applications or patents of any such person (mere comparisons with the prior art shall not be considered disparaging per se);
- (iv) any statement or other matter obviously irrelevant or unnecessary under the circumstances.

"9.2 Noting of Lack of Compliance

The receiving Office and the International Searching Authority may note lack of compliance with the prescriptions of Rule 9.1 and may suggest to the applicant that he voluntarily correct his international application accordingly. If the lack of compliance was noted by the receiving Office, that Office shall inform the competent International Searching Authority and the International Bureau; if the lack of compliance was noted by the International Searching Authority, that Authority shall inform the receiving Office and the International Bureau.

"9.3 Reference to Article 21(6)

"Disparaging statements", referred to in Article 21(6), shall have the meaning as defined in Rule 9.1(iii)."

(3) See Rule 9.2 quoted in the preceding note.

# PATENT COOPERATION TREATY

TO

FROM

the RECEIVING OFFICE  
identified at the bottom of this page

WIPO  
World Intellectual Property Organization  
32, Chemin des Colombettes  
1211 Geneva 20, Switzerland

REQUEST FOR THE RECORDING OF CHANGE IN THE  
PERSON, NAME, OR ADDRESS OF APPLICANT

issued pursuant to PCT Rules 18.5<sup>(1)</sup> or 54.4<sup>(1)</sup> and Admini-  
strative Instructions, Section 206<sup>(2)</sup>

DATE OF MAILING by the Receiving Office

IN ITS CAPACITY AS THE INTERNATIONAL BUREAU

## IDENTIFICATION OF THE INTERNATIONAL APPLICATION

International Application No.	International Filing Date
Applicant (Name)	

## REQUEST FOR THE RECORDING OF A CHANGE

The Receiving Office hereby requests the International Bureau to record, in the above-identified international application, that the following applicant:

Name <sup>(3)</sup>	
Address <sup>(4)</sup>	Telephone number:
	Telegraphic address:
	Teletype (telex) number:

1.  should be deleted as an applicant<sup>(1)</sup>.
2.  should be included as an additional applicant<sup>(1)</sup>.
3.  has replaced the applicant<sup>(1)</sup> identified below.
4.  has effected a change in name<sup>(1)</sup>. This applicant was formerly on record as indicated below.
5.  has effected a change of address<sup>(2)</sup>. This applicant was formerly on record as indicated below.

Name <sup>(3)</sup>	
Address <sup>(4)</sup>	Telephone number:
	Telegraphic address:
	Teletype (telex) number:

## THE RECEIVING OFFICE

Name and Mailing Address	Signature of Authorized Officer
--------------------------	---------------------------------



These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) "Any change in the person or name of the applicant shall, on the request of the applicant or the receiving Office, be recorded by the International Bureau, which shall notify the interested International Searching Authority and the designated Offices accordingly." (Rule 18.5)

"Any change in the person or name of the applicant shall, on the request of the applicant or the receiving Office, be recorded by the International Bureau, which shall notify the interested International Preliminary Examining Authority and the elected Offices accordingly." (Rule 54.4)

(2) "Any request for the recording of any change in the person or name of the applicant referred to in Rule 18.5 or Rule 54.4 or of any change in the address of the applicant shall be signed by the applicant or, where the receiving Office requested such change under Rule 18.5 or Rule 54.4, by the receiving Office. The request shall indicate the name or address of the applicant for which the change is requested. Rule 4.4 shall apply to any name and address indicated in the request." (Section 206)

(3) "Names of natural persons shall be indicated by the person's family name and given name(s), the family name being indicated before the given name(s)." (Rule 4.4(a))

"Names of legal entities shall be indicated by their full, official designations." (Rule 4.4(b))

(4) "Addresses shall be indicated in such a way as to satisfy the customary requirements for prompt postal delivery at the indicated address and, in any case, shall consist of all the relevant administrative units up to, and including, the house number, if any. Where the national law of the designated State does not require the indication of the house number, failure to indicate such number shall have no effect in that State. It is recommended to indicate any telegraphic and teletype address and telephone number." (Rule 4.4(c))

"For each applicant, inventor, or agent, only one address may be indicated." (Rule 4.4(d))

# PATENT COOPERATION TREATY

TO

FROM

the RECEIVING OFFICE  
identified at the bottom of this page

WIPO  
World Intellectual Property Organization  
32, Chemin des Colombettes  
1211 Geneva 20, Switzerland

NOTIFICATION OF NON-COLLECTION OF RECORD COPY  
issued pursuant to PCT Rule 22.2(d), third sentence<sup>(1)</sup>

IN ITS CAPACITY AS THE INTERNATIONAL BUREAU

DATE OF MAILING by the Receiving Office

IDENTIFICATION OF THE INTERNATIONAL APPLICATION	
International Application No.	International Filing Date
Applicant (Name)	

**NOTIFICATION**

The International Bureau is hereby notified that the prescribed time limit within which it should receive the record copy of the above-identified international application has expired. The said copy, which in accordance with applicant's wish was held by this Receiving Office at the disposal of the applicant, has not been collected<sup>(1)</sup>.

THE RECEIVING OFFICE	
Name and Mailing Address	Signature of Authorized Officer

NOTES TO FORM PCT/RO/114

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations.

(1) "Where the applicant opts for transmittal through him, he shall indicate in the notice referred to in paragraph (b) whether he wishes to collect the record copy at the receiving Office or wishes the receiving Office to mail the record copy to him. If the applicant expresses the wish to collect the record copy, the receiving Office shall hold that copy at the disposal of the applicant as soon as the clearance referred to in Rule 22.1(a) has been obtained and, in any case, including the case where a check for such clearance must be performed, not later than 10 days before the expiration of 13 months from the priority date. If, by the expiration of the time limit for receipt of the record copy by the International Bureau, the applicant has not collected that copy, the receiving Office shall notify the International Bureau accordingly. If the applicant expresses the wish that the receiving Office mail the record to him or fails to express the wish to collect the record copy, the receiving Office shall mail that copy to the applicant as soon as the clearance referred to in Rule 22.1(a) has been obtained and, in any case, including the case where a check for such clearance must be performed, not later than 15 days before the expiration of 13 months from the priority date." (Rule 22.2(d))

# PATENT COOPERATION TREATY

TO

FROM

the RECEIVING OFFICE  
identified at the bottom of this page

**NOTIFICATION OF INTENTION  
TO MAKE DECLARATION THAT INTERNATIONAL  
APPLICATION CONSIDERED WITHDRAWN**  
issued pursuant to PCT Rule 29.4<sup>(2)</sup>

DATE OF MAILING by the Receiving Office

Inscribe NAME and ADDRESS of the AGENT and if there is no agent, of the APPLICANT<sup>(1)</sup>

## IDENTIFICATION OF THE INTERNATIONAL APPLICATION

International Application No.

International Filing Date

Applicant (Name)

## NOTIFICATION

The applicant is hereby notified that, for the reasons indicated below, this Receiving Office intends to declare that the above-identified international application will be considered withdrawn based upon the tentative finding<sup>(2)</sup> that the requirements<sup>(3)</sup> under Article 11(1) were not complied with at the time the international filing date was accorded:

1.  The applicant obviously lacks, for reasons of  residence  nationality, the right to file an international application with this Receiving Office.<sup>(4)</sup>
2.  The application is not in the prescribed language.<sup>(5)</sup>
3.  The application does not contain an indication that it is intended as an international application.<sup>(6)</sup>
4.  The application does not contain the designation of at least one Contracting State.<sup>(7)</sup>
5.  The application does not contain the name of the applicant, as prescribed.<sup>(8)</sup>
6.  The application does not contain a part which on the face of it appears to be a description.<sup>(9)</sup>
7.  The application does not contain a part which on the face of it appears to be a claim or claims.<sup>(10)</sup>

IF THE APPLICANT DISAGREES WITH THIS TENTATIVE FINDING, THE APPLICANT MAY, WITHIN ONE MONTH FROM THE DATE OF MAILING INDICATED ABOVE, SUBMIT TO THIS RECEIVING OFFICE ARGUMENTS TO THAT EFFECT.<sup>(2)</sup>

Computation of the time limit starts on the day following the date of mailing of this present notification.<sup>(11)</sup> Within this time limit the arguments have to reach this Receiving Office.

## THE RECEIVING OFFICE

Name and Mailing Address

Signature of Authorized Officer

NOTES TO FORM PCT/RO/115

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where an applicant is represented by an agent, that agent, or where an applicant is represented by several agents, the agent first mentioned in the request or, in the absence of such an indication, the agent first mentioned in the first filed separate power of attorney shall be considered as the addressee for the purposes of correspondence from the International Authorities." (Section 108(a))

"Where several applicants are represented by a common representative or a common agent, that representative or agent, or where several applicants are represented by several common agents, the common agent first mentioned in the request or, in the absence of such an indication, the common agent first mentioned in the first filed separate power of attorney shall be considered as the addressee." (Section 108(b))

(2) "Before the receiving Office issues any declaration under Article 14(4), it shall notify the applicant of its intent to issue such declaration and the reasons therefor. The applicant may, if he disagrees with the tentative finding of the receiving Office, submit arguments to that effect within 1 month from the notification." (Rule 29.4)

(3) "The receiving Office shall accord as the international filing date of receipt of the international application, provided that that Office has found that, at the time of receipt:

(i) the applicant does not obviously lack, for reasons of residence or nationality, the right to file an international application with the receiving Office,

(ii) the international application is in the prescribed language,

(iii) the international application contains at least the following elements:

(a) an indication that it is intended as an international application,

(b) the designation of at least one Contracting State,

(c) the name of the applicant, as prescribed,

(d) a part which on the face of it appears to be a description,

(e) a part which on the face of it appears to be a claim or claims." (Article 11(1))

(4) See Article 11(1)(i) quoted in note (3) above.

(5) See Article 11(1)(ii) quoted in note (3) above.

(6) See Article 11(1)(iii)(a) quoted in note (3) above.

(7) See Article 11(1)(iii)(b) quoted in note (3) above.

(8) See Article 11(1)(iii)(c) quoted in note (3) above.

(9) See Article 11(1)(iii)(d) quoted in note (3) above.

(10) See Article 11(1)(iii)(e) quoted in note (3) above.

(11) "When a period is expressed as one month or a certain number of months, computation shall start on the day following the day on which the relevant event occurred, and the period shall expire in the relevant subsequent month on the day which has the same number as the day on which the said event occurred, provided that if the relevant subsequent month has no day with the same number the period shall expire on the last day of that month." (Rule 80.2)

"The date which is taken into consideration as the starting date of the computation of any period shall be the date which prevails in the locality at the time when the relevant event occurred." (Rule 80.4(a))

"The date on which any period expires shall be the date which prevails in the locality in which the required document must be filed or the required fee must be paid." (Rule 80.4(b))

"If the expiration of any period during which any document or fee must reach a national Office or intergovernmental organization falls on a day on which such Office or organization is not open to the public for the purposes of the transaction of official business, or on which ordinary mail is not delivered in the locality in which such Office or organization is situated, the period shall expire on the next subsequent day on which neither of the said two circumstances exists." (Rule 80.5)

NOTES TO FORM PCT/RO/115 (Continued)

"A period expiring on a given day shall expire at the moment the national Office or intergovernmental organization with which the document must be filed or to which the fee must be paid closes for business on that day." (Rule 80.7(a))

"Any Office or organization may depart from the provisions of paragraph (a) up to midnight on the relevant day." (Rule 80.7(b))

PATENT COOPERATION TREATY

TO

FROM

the RECEIVING OFFICE  
identified at the bottom of this page

NOTIFICATION OF DESIGNATIONS  
CONSIDERED TO BE WITHDRAWN

issued pursuant to PCT Rule 29.1(b)<sup>(2)</sup>

Inscribe NAME and ADDRESS of the AGENT and if there  
is no agent, of the APPLICANT <sup>(1)</sup>

DATE OF MAILING by the Receiving Office

IDENTIFICATION OF THE INTERNATIONAL APPLICATION

International Application No.

International Filing Date

Applicant (Name)

NOTIFICATION

The applicant is hereby notified that no designation fee has been paid  
within the prescribed time limit <sup>(3)</sup> in respect of the following States:

and/or Group of States intended to be covered by a regional patent: (specify  
also the regional patent).

CONSEQUENTLY, THIS RECEIVING OFFICE HEREBY DECLARES THAT THE CORRESPONDING  
DESIGNATIONS ARE CONSIDERED TO BE WITHDRAWN. <sup>(4)</sup>

(A copy of this notification has been sent <sup>(2)</sup> to the International Bureau)

THE RECEIVING OFFICE

Name and Mailing Address

Signature of Authorized Officer

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where an applicant is represented by an agent, that agent, or where an applicant is represented by several agents, the agent first mentioned in the request or, in the absence of such an indication, the agent first mentioned in the first filed separate power of attorney shall be considered as the addressee for the purposes of correspondence from the International Authorities." (Section 108(a))

"Where several applicants are represented by a common representative or a common agent, that representative or agent, or where several applicants are represented by several common agents, the common agent first mentioned in the request or, in the absence of such an indication, the common agent first mentioned in the first filed separate power of attorney shall be considered as the addressee." (Section 108(b))

(2) "If the receiving Office declares under Article 14(3)(b) (failure to pay the prescribed designation fee under Rule 27.1(b)) that the designation of any given State is considered withdrawn, the receiving Office shall promptly notify both the applicant and the International Bureau of the said declaration. The International Bureau shall in turn notify the interested national Office." (Rule 29.1(b))

(3) "The designation fee may be paid on the date of receipt of the international application or on any later date but, at the latest, it must be paid before the expiration of one year from the priority date." (Rule 15.4(b))

(4) "If the receiving Office finds that the fee prescribed under Article 4(2) has been paid in respect of one or more (but less than all) designated States within the prescribed time limit, the designation of those States in respect of which it has not been paid within the prescribed time limit shall be considered withdrawn and the receiving Office shall so declare." (Article 14(3)(b))



**PATENT COOPERATION TREATY**

TO

FROM the RECEIVING OFFICE  
identified at the bottom of this page

**NOTIFICATION THAT INTERNATIONAL APPLICATION CONSIDERED TO BE WITHDRAWN**

issued pursuant to PCT Articles 14(1)(b),<sup>(2)</sup> 14(3)(a),<sup>(3)</sup> 14(4)<sup>(4)</sup> and Rule 29.1(a)(11), (11i)<sup>(5)</sup>

DATE OF MAILING by the Receiving Office

Inscribe NAME and ADDRESS of the AGENT and if there is no agent, of the APPLICANT<sup>(1)</sup>

IDENTIFICATION OF THE INTERNATIONAL APPLICATION	
International Application No.	International Filing Date
Applicant (Name)	

**NOTIFICATION**

The applicant is hereby notified that the above-identified international application is declared to be considered withdrawn for the reason indicated below.

- The failure to correct certain defects.<sup>(2)</sup>
  - No corrections in response to the invitation to correct defects in the international application mailed on ..... (date) by this Receiving Office have been received within the prescribed time limit.
  - Applicant's corrections as submitted do not properly correct the defects noted in the invitation to correct defects in the international application mailed on ..... (date) by this Receiving Office.
- The failure to pay prescribed fees.<sup>(3)</sup>

The following indicated fees have not been paid in accordance with invitation to submit the required payment within the prescribed time limits which was mailed on ..... (date) by this Receiving Office.

  - a.  transmittal fee.
  - b.  search fee.
  - c. international fee:  basic fee  at least one designation fee.
- The later finding of non-compliance with certain requirements.<sup>(4)</sup>

In response to the notification of intention to declare that the above-identified international application will be considered withdrawn mailed on ..... (date) by this Receiving Office:

  - a.  the applicant has not submitted arguments within the prescribed time limit.
  - b.  the applicant's arguments have been considered but have not been found persuasive.

Consequently, this Receiving Office finds that the requirements which were indicated under No. .... of the said notification were not complied with at the time the international filing date was accorded.

(A copy of this notification has been sent<sup>(5)</sup> to the International Searching Authority and the International Bureau)

THE RECEIVING OFFICE	
Name and Mailing Address	Signature of Authorized Officer

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where an applicant is represented by an agent, that agent, or where an applicant is represented by several agents, the agent first mentioned in the request or, in the absence of such an indication, the agent first mentioned in the first filed separate power of attorney shall be considered as the addressee for the purposes of correspondence from the International Authorities." (Section 108(a))

"Where several applicants are represented by a common representative or a common agent, that representative or agent, or where several applicants are represented by several common agents, the common agent first mentioned in the request or, in the absence of such an indication, the common agent first mentioned in the first filed separate power of attorney shall be considered as the addressee." (Section 108(b))

(2) "If the receiving Office finds any of the said defects, it shall invite the applicant to correct the international application within the prescribed time limit, failing which that application shall be considered withdrawn and the receiving Office shall so declare." (Article 14(1)(b))

(3) "If the receiving Office finds that, within the prescribed time limits, the fees prescribed under Article 3(4)(iv) have not been paid, or no fee prescribed under Article 4(2) has been paid in respect of any of the designated States, the international application shall be considered withdrawn and the receiving Office shall so declare." (Article 14(3)(a))

(4) "If, after having accorded an international filing date to the international application, the receiving Office finds, within the prescribed time limit, that any of the requirements listed in items (i) to (iii) of Article 11(1) was not complied with at that date, the said application shall be considered withdrawn and the receiving Office shall so declare." (Article 14(4))

(5) "If the receiving Office declares, under Article 14(1)(b) and Rule 26.5 (failure to correct certain defects), or under Article 14(3)(a) (failure to pay the prescribed fees under Rule 27.1(a)), or under Article 14(4) (later finding of non-compliance with the requirements listed in items (i) to (iii) of Article 11(1)), that the international application is considered withdrawn:

(i) the receiving Office shall transmit the record copy (unless already transmitted), and any correction offered by the applicant, to the International Bureau;

(ii) the receiving Office shall promptly notify both the applicant and the International Bureau of the said declaration, and the International Bureau shall in turn notify the interested designated Offices;

(iii) the receiving Office shall not transmit the search copy as provided in Rule 23, or, if such copy has already been transmitted, it shall notify the International Searching Authority of the said declaration;

(iv) the International Bureau shall not be required to notify the applicant of the receipt of the record copy." (Rule 29.1(a))

# PATENT COOPERATION TREATY

TO

FROM

the RECEIVING OFFICE

identified at the bottom of this page

## NOTIFICATION CONCERNING DOCUMENTS TRANSMITTED

issued pursuant to PCT Article 12(1),<sup>(1)</sup> Rules 20.7(iv),<sup>(2)</sup>  
22.2(e),<sup>(3)</sup> 26.4(c), (d),<sup>(5)</sup> 29.1(a)(1),<sup>(4)</sup> Administrative  
Instructions, Sections 209(a)(iii), (b)(ii)<sup>(6)</sup> and  
210(a)(iii), (b)(ii)<sup>(7)</sup>

DATE OF MAILING by the Receiving Office

### NOTIFICATION

This Receiving Office transmits herewith the following indicated documents:

1.  \_\_\_\_\_ (number of) record copies/search copies.<sup>(1)</sup>
2.  \_\_\_\_\_ (number of) copies of the purported international applications.<sup>(2)</sup>
3.  \_\_\_\_\_ (number of) substitute record copies.<sup>(3)</sup>
4.  \_\_\_\_\_ (number of) record copies and corrections not already transmitted in respect of the international applications which have been considered withdrawn.<sup>(4)</sup>
5.  \_\_\_\_\_ (number of) copies of the letters of corrections and/or the replacement sheets<sup>(5)</sup> and/or the later submitted sheets<sup>(6)</sup> and drawings.<sup>(7)</sup>

Attached is a list identifying each document transmitted by the international application number (or the provisional file number), the international filing date (or the date of receipt) and the name of the applicant.

This notification is sent to the above addressee in its capacity as the:

- International Searching Authority
- International Bureau
- International Preliminary Examining Authority

### THE RECEIVING OFFICE

Name and Mailing Address

Signature of Authorized Officer

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

- (1) "One copy of the international application shall be kept by the receiving Office ("home copy"), one copy ("record copy") shall be transmitted to the International Bureau, and another copy ("search copy") shall be transmitted to the competent International Searching Authority referred to in Article 16, as provided in the Regulations." (Article 12(1))
- (2) "If the receiving Office does not, within the prescribed time limit, receive a reply to its invitation to correct, or if the correction offered by the applicant still does not fulfill the requirements provided for under Article 11(1), it shall:
- ...
- (iv) send a copy of the said papers to the International Bureau where, pursuant to a request by the applicant under Article 25(1), the International Bureau needs such a copy and specially asks for it." (Rule 20.7(iv))
- (3) "Where the receiving Office does not hold the record copy at the disposal of the applicant by the date fixed in paragraph (d), or where, after having asked for the record copy to be mailed to him, the applicant has not received that copy at least 10 days before the expiration of 13 months from the priority date, the applicant may transmit a copy of his international application to the International Bureau. This copy ("provisional record copy") shall be replaced by the record copy or, if the record copy has been lost, by a substitute record copy certified by the receiving Office, on the bases of the home copy, as soon as practicable and, in any case, before the expiration of 14 months from the priority date." (Rule 22.2(e))
- (4) "If the receiving Office declares, under Article 14(1)(b) and Rule 26.5 (failure to correct certain defects), or under Article 14(3)(a) (failure to pay the prescribed fees under Rule 27.1(a)), or under Article 14(4) (later finding of non-compliance with the requirements listed in item (i) to (iii) of Article 11(1), that the international application is considered withdrawn:
- (i) the receiving Office shall transmit the record copy (unless already transmitted), and any correction offered by the applicant, to the International Bureau;" (Rule 29.1(a)(i))
- (5) "The receiving Office shall promptly transmit the letter and any replacement sheet to the International Bureau. The International Bureau shall transfer to the record copy the corrections requested in a letter, together with the indication of the date of its receipt by the receiving Office, and shall insert any replacement sheet in the record copy. The letter and any replaced sheet shall be kept in the files of the International Bureau." (Rule 26.4(c))
- "The receiving Office shall promptly transmit a copy of the letter and any replacement sheet to the International Searching Authority." (Rule 26.4(d))
- (6) "If later submitted sheets are received by the receiving Office within 30 days from the date on which sheets were first received, the receiving Office shall:
- ...
- (iii) if Article 12(1) transmittals have already been made, notify the International Bureau and the International Searching Authority of any correction effected in the international filing date and forward copies of the later submitted sheets as dated under Rule 20.2(b) to the International Bureau and International Searching Authority;" (Section 209(a)(iii))
- "If later submitted sheets are received by the receiving Office later than 30 days from the date on which sheets were first received, the receiving Office shall:
- ...
- (ii) if Article 12(1) transmittals have already been made, send a copy of the later submitted sheets as dated under Rule 20.2(b) to the International Bureau and the International Searching Authority with the indication that such sheets are not to be taken into consideration for the purposes of international processing;" (Section 209(b)(ii))
- (7) "If later submitted drawings are received within 30 days from the date on which the international application making reference to the missing drawings was filed, the receiving Office shall:
- ...
- (iii) if Article 12(1) transmittals have already been made, notify the International Bureau and the International Searching Authority of any correction effected in the international filing date and transmit copies of the later submitted drawings as dated under Rule 20.2(b) to the International Bureau and the International Searching Authority;" (Section 210(a)(iii))
- "If later submitted drawings are received by the receiving Office later than 30 days from the date on which the international application making reference to the missing drawings was filed, the receiving Office shall:
- ...
- (ii) if Article 12(1) transmittals have already been made, send a copy of the later submitted drawings as dated under Rule 20.2(b) to the International Bureau and the International Searching Authority with the indication that such drawings are not to be taken into consideration for the purposes of international processing;" (Section 210(b)(ii))

International Application No. (or Provisional File No.)	International Filing Date (or Date of Receipt)	Applicant

**PATENT COOPERATION TREATY**

TO \_\_\_\_\_

FROM the RECEIVING OFFICE  
identified at the bottom of this page

**NOTIFICATION OF REFUND OF FEES**  
issued pursuant to PCT Rules 15.6<sup>(2)</sup> and 16.2<sup>(3)</sup>

Inscribe NAME and ADDRESS of the AGENT and if there is no agent, of the APPLICANT (1)

DATE OF MAILING by the Receiving Office

IDENTIFICATION OF THE PURPORTED INTERNATIONAL APPLICATION	
Provisional File No.	Date of Receipt
Applicant (Name)	

NOTIFICATION	
<p>The applicant is hereby notified that the amounts indicated which have been paid in respect of the above-identified purported international application will be refunded as a result of the negative determination, that is, that the said application does not fulfill the requirements provided for under Article 11(1).</p>	
1. International Fee <sup>(2)</sup>	_____
2. Search Fee <sup>(3)</sup>	_____
Total:	=====

THE RECEIVING OFFICE	
Name and Mailing Address	Signature of Authorized Officer

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where an applicant is represented by an agent, that agent, or where an applicant is represented by several agents, the agent first mentioned in the request or, in the absence of such an indication, the agent first mentioned in the first filed separate power of attorney shall be considered as the addressee for the purposes of correspondence from the International Authorities." (Section 108(a))

"Where several applicants are represented by a common representative or a common agent, that representative or agent, or where several applicants are represented by several common agents, the common agent first mentioned in the request or, in the absence of such an indication, the common agent first mentioned in the first filed separate power of attorney shall be considered as the addressee." (Section 108(b))

(2) "The international fee shall be refunded to the applicant if the determination under Article 11(1) is negative." (Rule 15.6(a))

(3) "The search fee shall be refunded to the applicant if the determination under Article 11(1) is negative." (Rule 16.2)

**PATENT COOPERATION TREATY**

TO

FROM

the RECEIVING OFFICE  
identified at the bottom of this page

**INVITATION TO PAY FEE FOR  
PREPARATION OF COPIES**

issued pursuant to PCT Rule 21.1(c)<sup>(3)</sup>

Inscribe NAME and ADDRESS of the AGENT and if there is no agent, of the APPLICANT<sup>(1)</sup>.

**DATE OF MAILING** by the Receiving Office

IDENTIFICATION OF THE INTERNATIONAL APPLICATION	
International Application No.	International Filing Date
Applicant (Name)	

**INVITATION**

This Receiving Office calls applicant's attention to the fact that the filing of the above-identified international application in ..... (number of) copies which is less than the number of copies required<sup>(2)</sup> necessitated the preparation of ..... (number of) additional required copies for which a fee in the amount of ..... is due.<sup>(3)</sup>

CONSEQUENTLY THE APPLICANT IS HEREBY INVITED TO PAY TO THIS RECEIVING OFFICE THE AMOUNT DUE WITHIN ..... (MONTH) (DAYS) FROM THE DATE OF MAILING INDICATED ABOVE.<sup>(3)</sup> PAYMENT BY CHEQUE, POSTAL MONEY ORDER, BANK DRAFT, CASH, REVENUE STAMPS, DEBITING DEPOSIT ACCOUNT NO. ...., COUPONS, ETC. SHOULD BE MADE TO THE ACCOUNT OF, ACCOUNT INDICATED BELOW OF, ORDER OF THIS RECEIVING OFFICE.

Computation of the time limit starts on the day following the date of mailing of the present invitation.<sup>(4)</sup> Within this time limit the required amount has to be paid to this Receiving Office.

THE RECEIVING OFFICE	
Name and Mailing Address	Signature of Authorized Officer



NOTES TO FORM PCT/RO/120

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where an applicant is represented by an agent, that agent, or where an applicant is represented by several agents, the agent first mentioned in the request or, in the absence of such an indication, the agent first mentioned in the first filed separate power of attorney shall be considered as the addressee for the purposes of correspondence from the International Authorities." (Section 108(a))

"Where several applicants are represented by a common representative or a common agent, that representative or agent, or where several applicants are represented by several common agents, the common agent first mentioned in the request or, in the absence of such an indication, the common agent first mentioned in the first filed separate power of attorney shall be considered as the addressee." (Section 108(b))

(2) "Any receiving Office may require that the international application and any of the documents referred to in the check list (Rule 3.3(a)(ii)), except the receipt for the fees paid or the check for the payment of the fees, be filed in two or three copies. In that case, the receiving Office shall be responsible for verifying the identity of the second and the third copies with the record copy." (Rule 11.1(b))

(3) "If the international application is filed in less than the number of copies required under Rule 11.1(b), the receiving Office shall be responsible for the prompt preparation of the number of copies required, and shall have the right to fix a fee for performing that task and to collect such fee from the applicant." (Rule 21.1(c))

(4) "When a period is expressed as one month or a certain number of months, computation shall start on the day following the day on which the relevant event occurred, and the period shall expire in the relevant subsequent month on the day which has the same number as the day on which the said event occurred, provided that if the relevant subsequent month has no day with the same number the period shall expire on the last day of that month." (Rule 80.2)

"When a period is expressed as a certain number of days, computation shall start on the day following the day on which the relevant event occurred, and the period shall expire on the day on which the last day of the count has been reached." (Rule 80.3)

"The date which is taken into consideration as the starting day of the computation of any period shall be the date which prevails in the locality at the time when the relevant event occurred." (Rule 80.4(a))

"The date on which any period expires shall be the date which prevails in the locality in which the required document must be filed or the required fee must be paid." (Rule 80.4(b))

"If the expiration of any period during which any document or fee must reach a national Office or intergovernmental organization falls on a day on which such Office or organization is not open to the public for the purposes of the transaction of official business, or on which ordinary mail is not delivered in the locality in which such Office or organization is situated, the period shall expire on the next subsequent day on which neither of the said two circumstances exists." (Rule 80.5)

"A period expiring on a given day shall expire at the moment the national Office or intergovernmental organization with which the document must be filed or to which the fee must be paid closes for business on that day." (Rule 80.7(a))

"Any Office or organization may depart from the provisions of paragraph (a) up to midnight on the relevant day." (Rule 80.7(b))

# PATENT COOPERATION TREATY

TO

FROM

the RECEIVING OFFICE  
identified at the bottom of this page

**NOTIFICATION THAT PRIORITY CLAIM  
CONSIDERED NOT TO HAVE BEEN MADE**  
issued pursuant to PCT Rule 4.10(b)<sup>(2)</sup> and  
Administrative Instructions, Section 202<sup>(3)</sup>

DATE OF MAILING by the Receiving Office

Inscribe NAME and ADDRESS of the AGENT and if there  
is no agent, of the APPLICANT<sup>(1)</sup>

## IDENTIFICATION OF THE INTERNATIONAL APPLICATION

International Application No.	International Filing Date
Applicant (Name)	

## NOTIFICATION

The applicant is hereby notified that the priority claim set forth in the request of the above-identified international application as filed did not indicate:

1.  the country in which, or, in the case of a regional or an international application, at least one country for which, the earlier application was filed.<sup>(2)</sup>
2.  the date on which the earlier application was filed.<sup>(2)</sup>

(Where multiple priorities have been claimed, specify the particular priority claim concerned.)

CONSEQUENTLY, THE PRIORITY CLAIM, FOR THE PURPOSES OF THE PROCEDURE UNDER THE TREATY, IS CONSIDERED NOT TO HAVE BEEN MADE.<sup>(2)</sup>

(Where required, a copy of this notification has been sent<sup>(3)</sup> to the International Searching Authority and to the International Bureau.)

## THE RECEIVING OFFICE

Name and Mailing Address	Signature of Authorized Officer
--------------------------	---------------------------------

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where an applicant is represented by an agent, that agent, or where an applicant is represented by several agents, the agent first mentioned in the request or, in the absence of such an indication, the agent first mentioned in the first filed separate power of attorney shall be considered as the addressee for the purposes of correspondence from the International Authorities." (Section 108(a))

"Where several applicants are represented by a common representative or a common agent, that representative or agent, or where several applicants are represented by several common agents, the common agent first mentioned in the request or, in the absence of such an indication, the common agent first mentioned in the first filed separate power of attorney shall be considered as the addressee." (Section 108(b))

(2) "If the request does not indicate both

(i) when the earlier application is not a regional or an international application, the country in which it was filed; when the earlier application is a regional or an international application, at least one country for which it was filed, and

(ii) the date on which it was filed,

the priority claim shall, for the purposes of the procedure under the Treaty, be considered not to have been made." (Rule 4.10(b))

(3) "If due to failure to meet the requirements of Rule 4.10(b), the priority claim is, for the purposes of procedure under the Treaty, considered not to have been made, the receiving Office shall indicate that fact in the international application and shall notify the applicant accordingly. If copies of the international application have already been sent to the International Bureau and the International Searching Authority, the receiving Office shall also notify that Bureau and that Authority." (Section 202)

# PATENT COOPERATION TREATY

TO

FROM

the RECEIVING OFFICE  
identified at the bottom of this page

NOTIFICATION OF TRANSMITTAL  
OF REQUESTED DOCUMENTS  
issued pursuant to PCT Rules 20.9<sup>(2)</sup>,  
22.1(b)<sup>(3)</sup>, and 22.2(d)<sup>(3)</sup>

Inscribe NAME and ADDRESS of the AGENT and if there  
is no agent, of the APPLICANT<sup>(1)</sup>

DATE OF MAILING by the Receiving Office

IDENTIFICATION OF THE INTERNATIONAL APPLICATION	
International Application No.	International Filing Date
Applicant (Name)	

NOTIFICATION
<p>The applicant is hereby notified that this Receiving Office, in response to the request received, transmits herewith the following indicated documents:</p> <ol style="list-style-type: none"> <li>1. <input type="checkbox"/> a certified copy of the international application as filed and of any corrections thereto.<sup>(2)</sup></li> <li>2. <input type="checkbox"/> the record copy of the international application.<sup>(3)</sup></li> <li>3. <input type="checkbox"/> a certified copy of the home copy of the international application.<sup>(4)</sup></li> </ol>

THE RECEIVING OFFICE	
Name and Mailing Address	Signature of Authorized Officer

NOTES TO FORM PCT/RO/122

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where an applicant is represented by an agent, that agent, or where an applicant is represented by several agents, the agent first mentioned in the request or, in the absence of such an indication, the agent first mentioned in the first filed separate power of attorney shall be considered as the addressee for the purposes of correspondence from the International Authorities." (Section 108(a))

"Where several applicants are represented by a common representative or a common agent, that representative or agent, or where several applicants are represented by several common agents, the common agent first mentioned in the request or, in the absence of such an indication, the common agent first mentioned in the first filed separate power of attorney shall be considered as the addressee." (Section 108(b))

(2) "Against payment of a fee, the receiving Office shall furnish to the applicant, on request, certified copies of the international application as filed and of any corrections thereto." (Rule 20.9)

(3) "If the applicant is not in possession of the notification of receipt sent by the International Bureau under Rule 24.2(a) by the expiration of 13 months and 10 days from the priority date, he shall have the right to ask the receiving Office to give him the record copy or, should the receiving Office allege that it has transmitted the record copy to the International Bureau, a certified copy based on the home copy." (Rule 22.1(b))

"Where the applicant opts for transmittal through him, he shall indicate in the notice referred to in paragraph (b) whether he wishes to collect the record copy at the receiving Office or wishes the receiving Office to mail the record copy to him. If the applicant expresses the wish to collect the record copy, the receiving Office shall hold that copy at the disposal of the applicant as soon as the clearance referred to in Rule 22.1(a) has been obtained and, in any case, including the case where a check for such clearance must be performed, not later than 10 days before the expiration of 13 months from the priority date. If, by the expiration of the time limit for receipt of the record copy by the International Bureau, the applicant has not collected that copy, the receiving Office shall notify the International Bureau accordingly. If the applicant expresses the wish that the receiving Office mail the record copy to him or fails to express the wish to collect the record copy, the receiving Office shall mail that copy to the applicant as soon as the clearance referred to in Rule 22.1(a) has been obtained and, in any case, including the case where a check for such clearance must be performed, not later than 15 days before the expiration of 13 months from the priority date." (Rule 22.2(d))

(4) See Rule 22.1(b) quoted in the preceding note.

**PATENT COOPERATION TREATY**

TO

FROM: the RECEIVING OFFICE  
identified at the bottom of this page

**NOTIFICATION OF POWER OF ATTORNEY OR  
REVOCATION OF POWER OF ATTORNEY**

issued pursuant to PCT Rules 90.3(b)<sup>(1)</sup> and 90.4(b)<sup>(2)</sup>

DATE OF MAILING by the Receiving Office

**IDENTIFICATION OF THE INTERNATIONAL APPLICATION**

International Application No.

International Filing Date

Applicant (Name)

**NOTIFICATION**

This Receiving Office hereby gives notice of the receipt  
of the following indicated document (a copy of which is annexed  
hereto):

1.  power of attorney.<sup>(1)</sup>
2.  revocation of power of attorney.<sup>(2)</sup>

This notification is sent<sup>(1)</sup> to the above addressee in its capacity  
as the:

- International Searching Authority
- International Bureau
- International Preliminary Examining Authority

**THE RECEIVING OFFICE**

Name and Mailing Address

Signature of Authorized Officer

NOTES TO FORM PCT/RO/123

These notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations.

- (1) "Appointment of any agent or of any common representative within the meaning of Rule 4.8(a), if the said agent or common representative is not designated in the request signed by all applicants, shall be effected in a separate signed power of attorney (i.e., a document appointing an agent or a common representative)." (Rule 90.3(a))

"The power of attorney may be submitted to the receiving Office or the International Bureau. Whichever of the two is the recipient of the power of attorney submitted shall immediately notify the other and the interested International Searching Authority and the interested International Preliminary Examining Authority." (Rule 90.3(b))

- (2) "Any appointment may be revoked by the persons who have made the appointment or their successors in title." (Rule 90.4(a))

"Rule 90.3 shall apply, mutatis mutandis, to the document containing the revocation." (Rule 90.4(b))

**PATENT COOPERATION TREATY**

TO

FROM

the RECEIVING OFFICE  
identified at the bottom of this page

**NOTIFICATION OF DEFECTIVE POWER OF ATTORNEY  
OR DEFECTIVE REVOCATION OF POWER OF ATTORNEY**

issued pursuant to PCT Rules 90.3(c)<sup>(2)</sup> and 90.4(b)<sup>(3)</sup>

DATE OF MAILING by the Receiving Office
---

Inscribe NAME and ADDRESS of the AGENT and if there is no agent, of the APPLICANT<sup>(1)</sup>

IDENTIFICATION OF THE INTERNATIONAL APPLICATION	
International Application No.	International Filing Date
Applicant (Name)	

NOTIFICATION
<p>The applicant is hereby notified that the:</p> <ol style="list-style-type: none"> <li>1. <input type="checkbox"/> power of attorney<sup>(2)</sup></li> <li>2. <input type="checkbox"/> revocation of power of attorney<sup>(3)</sup></li> </ol> <p>submitted to this Receiving Office has been found defective for the reasons indicated below:</p> <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> it is not signed by all applicants.</li> <li>b. <input type="checkbox"/> it is not contained in a separate document.</li> <li>c. <input type="checkbox"/> it does not contain the required indications concerning the name and address of the agent or common representative.<sup>(4)</sup></li> </ol> <p>CONSEQUENTLY, THE APPOINTMENT/REVOCATION IS CONSIDERED NON-EXISTENT UNTIL THE DEFECTS ARE CORRECTED.</p>

THE RECEIVING OFFICE	
Name and Mailing Address	Signature of Authorized Officer



These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where an applicant is represented by an agent, that agent, or where an applicant is represented by several agents, the agent first mentioned in the request or, in the absence of such an indication, the agent first mentioned in the first filed separate power of attorney shall be considered as the addressee for the purposes of correspondence from the International Authorities." (Section 108(a))

"Where several applicants are represented by a common representative or a common agent, that representative or agent, or where several applicants are represented by several common agents, the common agent first mentioned in the request or, in the absence of such an indication, the common agent first mentioned in the first filed separate power of attorney shall be considered as the addressee." (Section 108(b))

(2) "Appointment of any agent or of any common representative within the meaning of Rule 4.8(a), if the said agent or common representative is not designated in the request signed by all applicants, shall be effected in a separate signed power of attorney (i.e., a document appointing an agent or a common representative)." (Rule 90.3(a))

"If the separate power of attorney is not signed as provided in paragraph (a), or if the required separate power of attorney is missing, or if the indication of the name or address of the appointed person does not comply with Rule 4.4, the power of attorney shall be considered non-existent unless the defect is corrected." (Rule 90.3(c))

(3) "Any appointment may be revoked by the persons who have made the appointment or their successors in title." (Rule 90.4(a))

"Rule 90.3 shall apply, mutatis mutandis, to the document containing the revocation." (Rule 90.4(b))

(4) "Names of natural persons shall be indicated by the person's family name and given name(s), the family name being indicated before the given name(s)." (Rule 4.4(a))

"Names of legal entities shall be indicated by their full, official designations." (Rule 4.4(b))

"Addresses shall be indicated in such a way as to satisfy the customary requirements for prompt postal delivery at the indicated address and, in any case, shall consist of all the relevant administrative units up to, and including, the house number, if any. Where the national law of the designated State does not require the indication of the house number, failure to indicate such number shall have no effect in that State. It is recommended to indicate any telegraphic and teletype address and telephone number." (Rule 4.4(c))

"For each applicant, inventor, or agent, only one address may be indicated." (Rule 4.4(d))

**PATENT COOPERATION TREATY**

TO

FROM

the RECEIVING OFFICE  
identified at the bottom of this page

**NOTIFICATION OF RECEIPT OF PAPERS PURPORTING  
TO BE AN INTERNATIONAL APPLICATION**

issued pursuant to PCT Administrative Instructions,  
Section 201<sup>(2)</sup>

Inscribe NAME and ADDRESS of the AGENT and if there  
is no agent, of the APPLICANT<sup>(1)</sup>

DATE OF MAILING by the Receiving Office

IDENTIFICATION OF THE PURPORTED INTERNATIONAL APPLICATION	
Provisional File No.	Title of Invention
Applicant (Name)	

NOTIFICATION
<p>The applicant is hereby notified that this Receiving Office has received papers purported to be an international application on ..... (date) <sup>(2)</sup>.</p> <p>It is to be noted that these papers have not yet been checked by this Receiving Office.</p>

THE RECEIVING OFFICE	
Name and Mailing Address	Signature of Authorized Officer

NOTES TO FORM PCT/RO/125

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where an applicant is represented by an agent, that agent, or where an applicant is represented by several agents, the agent first mentioned in the request or, in the absence of such an indication, the agent first mentioned in the first filed separate power of attorney shall be considered as the addressee for the purposes of correspondence from the International Authorities." (Section 108(a))

"Where several applicants are represented by a common representative or a common agent, that representative or agent, or where several applicants are represented by several common agents, the common agent first mentioned in the request or, in the absence of such an indication, the common agent first mentioned in the first filed separate power of attorney shall be considered as the addressee." (Section 108(b))

(2) "Any receiving Office may, upon receipt of the purported international application, issue to the applicant a notice indicating the date of actual receipt, the number of the purported international application and, where useful for purposes of identification, the title of the invention." (Section 201)

# PATENT COOPERATION TREATY

TO

FROM

the RECEIVING OFFICE  
identified at the bottom of this page

## NOTIFICATION CONCERNING LATER SUBMITTED SHEETS OR DRAWINGS

issued pursuant to PCT Administrative Instructions,  
Sections 209<sup>(2)</sup> and 210<sup>(3)</sup>

Inscribe NAME and ADDRESS of the AGENT and if there  
is no agent, of the APPLICANT<sup>(1)</sup>

DATE OF MAILING by the Receiving Office

IDENTIFICATION OF THE INTERNATIONAL APPLICATION/PURPORTED INTERNATIONAL APPLICATION	
International Application No./Provisional File No.	International Filing Date/Date of Receipt of Incomplete Papers
Applicant (Name)	

NOTIFICATION
<p>The applicant is hereby notified that certain <input type="checkbox"/> sheets<sup>(2)</sup> <input type="checkbox"/> drawings<sup>(3)</sup> pertaining to the above-identified international application/purported international application were submitted to this Receiving Office on ..... (date), that is, on a date later than that on which the incomplete papers were first received.</p> <ol style="list-style-type: none"> <li>1. <input type="checkbox"/> Since the said date is within the prescribed time limit, that date is considered as the corrected international filing date/ the corrected date of receipt of the purported international application.<sup>(4)</sup></li> <li>2. <input type="checkbox"/> Since the said date is outside the prescribed time limit, the later submitted sheets and/or drawings are not considered as part of the international application/the purported international application.<sup>(5)</sup></li> </ol> <p style="text-align: center; margin-top: 20px;">(A copy of this notification has been sent<sup>(6)</sup> to the International Searching Authority and the International Bureau)</p>

THE RECEIVING OFFICE	
Name and Mailing Address	Signature of Authorized Officer

NOTES TO FORM PCT/RO/126

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where an applicant is represented by an agent, that agent, or where an applicant is represented by several agents, the agent first mentioned in the request or, in the absence of such an indication, the agent first mentioned in the first filed separate power of attorney shall be considered as the addressee for the purposes of correspondence from the International Authorities." (Section 108(a))

"Where several applicants are represented by a common representative or a common agent, that representative or agent, or where several applicants are represented by several common agents, the common agent first mentioned in the request or, in the absence of such an indication, the common agent first mentioned in the first filed separate power of attorney shall be considered as the addressee." (Section 108(b))

(2) "If later submitted sheets are received by the receiving Office within 30 days from the date on which sheets were first received, the receiving Office shall:

(i) effect any correction resulting therefrom in the international filing date;  
(ii) notify the applicant of any correction effected in the international filing date;

(iii) if Article 12(1) transmittals have already been made, notify the International Bureau and the International Searching Authority of any correction effected in the international filing date and forward copies of the later submitted sheets as dated under Rule 20.2(b) to the International Bureau and the International Searching Authority;

(iv) if Article 12(1) transmittals have not been made, attach a copy of the later submitted sheets as dated under Rule 20.2(b) to the record copy and the search copy." (Section 209(a))

"If later submitted sheets are received by the receiving Office later than 30 days from the date on which sheets were first received, the receiving Office shall:

(i) notify the applicant of that fact and of the date of receipt;

(ii) if Article 12(1) transmittals have already been made, send a copy of the later submitted sheets as dated under Rule 20.2(b) to the International Bureau and the International Searching Authority with the indication that such sheets are not to be taken into consideration for the purposes of international processing;

(iii) if Article 12(1) transmittals have not been made, attach a copy of the later submitted sheets as dated under Rule 20.2(b) to the record copy and the search copy with the indication that such sheets are not to be taken into consideration for the purposes of international processing." (Section 209(b))

(3) "If later submitted drawings are received within 30 days from the date on which the international application making reference to the missing drawings was filed, the receiving Office shall:

(i) effect any correction resulting therefrom in the international filing date;  
(ii) notify the applicant of any correction effected in the international filing date;

(iii) if Article 12(1) transmittals have already been made, notify the International Bureau and the International Searching Authority of any correction effected in the international filing date and transmit copies of the later submitted drawings as dated under Rule 20.2(b) to the International Bureau and the International Searching Authority;

(iv) if Article 12(1) transmittals have not been made, attach a copy of the later submitted drawings as dated under Rule 20.2(b) to the record copy and the search copy." (Section 210(a))

"If later submitted drawings are received by the receiving Office later than 30 days from the date on which the international application making reference to the missing drawings was filed, the receiving Office shall:

- (i) notify the applicant of that fact and of the date of receipt;
  - (ii) if Article 12(1) transmittals have already been made, send a copy of the later submitted drawings as dated under Rule 20.2(b) to the International Bureau and the International Searching Authority with the indication that such drawings are not to be taken into consideration for the purposes of international processing;
  - (iii) if Article 12(1) transmittals have not been made, attach a copy of the later submitted drawings as dated under Rule 20.2(b) to the record copy and the search copy with the indication that such drawings are not to be taken into consideration for the purposes of international processing." (Section 210(b))
- (4) In the case of later submitted sheets, see Section 209(a)(ii) quoted in note (2) above, and in the case of later submitted drawings, see Section 210(a)(ii) quoted in note (3) above.
  - (5) In the case of later submitted sheets, see Section 209(b)(i) quoted in note (2) above, and in the case of later submitted drawings, see Section 210(b)(i) quoted in note (3) above.
  - (6) In the case of later submitted sheets, see Section 209(a)(iii) and 209(b)(ii), and in the case of later submitted drawings, see Section 210(a)(iii) and 210(b)(ii).

**PATENT COOPERATION TREATY**

TO

FROM

the RECEIVING OFFICE  
identified at the bottom of this page

NOTIFICATION OF DECISION NOT TO  
ISSUE DECLARATION THAT INTERNATIONAL  
APPLICATION CONSIDERED WITHDRAWN  
issued pursuant to PCT Administrative  
Instructions, Section 213<sup>(3)</sup>

Inscribe NAME and ADDRESS of the AGENT and if there  
is no agent, of the APPLICANT<sup>(1)</sup>

DATE OF MAILING by the Receiving Office

IDENTIFICATION OF THE INTERNATIONAL APPLICATION	
International Application No.	International Filing Date
Applicant (Name)	

NOTIFICATION
<p>The applicant is hereby notified that this Receiving Office, after having considered applicant's arguments,<sup>(2)</sup> does not intend to declare that the above-identified international application is considered withdrawn<sup>(3)</sup>.</p>

THE RECEIVING OFFICE	
Name and Mailing Address	Signature of Authorized Officer

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where an applicant is represented by an agent, that agent, or where an applicant is represented by several agents, the agent first mentioned in the request or, in the absence of such an indication, the agent first mentioned in the first filed separate power of attorney shall be considered as the addressee for the purposes of correspondence from the International Authorities." (Section 108(a))

"Where several applicants are represented by a common representative or a common agent, that representative or agent, or where several applicants are represented by several common agents, the common agent first mentioned in the request or, in the absence of such an indication, the common agent first mentioned in the first filed separate power of attorney shall be considered as the addressee." (Section 108(b))

(2) "Before the receiving Office issues any declaration under Article 14(4), it shall notify the applicant of its intent to issue such declaration and the reasons therefor. The applicant may, if he disagrees with the tentative finding of the receiving Office, submit arguments to that effect within 1 month from the notification." (Rule 29.4)

(3) "Should the receiving Office, after having notified the applicant of its intent to issue a declaration under Article 14(4), decide on the basis of arguments timely submitted by the applicant to change its tentative finding and not to issue such a declaration, it shall notify the applicant accordingly." (Section 213)



**PATENT COOPERATION TREATY**

TO

FROM

the RECEIVING OFFICE  
identified at the bottom of this page

INVITATION TO PAY FOR  
REQUESTED DOCUMENTS  
issued pursuant to PCT Rule 20.9<sup>(2)</sup>

Inscribe NAME and ADDRESS of the AGENT and if there  
is no agent, of the APPLICANT <sup>(1)</sup>

DATE OF MAILING by the Receiving Office

**IDENTIFICATION OF THE INTERNATIONAL APPLICATION**

International Application No.	International Filing Date
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Applicant (Name)

**INVITATION**

This Receiving Office will, upon receipt of payment in the amount of \_\_\_\_\_, promptly transmit the requested certified copies of the international application as filed and any corrections thereto. <sup>(2)</sup>

THE APPLICANT IS HEREBY INVITED TO MAKE PAYMENT BY  CHECK, POSTAL MONEY ORDER, BANK DRAFT, CASH, REVENUE STAMPS, DEBITING DEPOSIT ACCOUNT NO. \_\_\_\_\_, COUPONS, ETC. , TO THE  ACCOUNT OF, ACCOUNT INDICATED BELOW OF, ORDER OF  THIS RECEIVING OFFICE.

**THE RECEIVING OFFICE**

Name and Mailing Address	Signature of Authorized Officer
--------------------------	---------------------------------

NOTES TO FORM PCT/RO/128

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where an applicant is represented by an agent, that agent, or where an applicant is represented by several agents, the agent first mentioned in the request or, in the absence of such an indication, the agent first mentioned in the first filed separate power of attorney shall be considered as the addressee for the purposes of correspondence from the International Authorities." (Section 108(a))

"Where several applicants are represented by a common representative or a common agent, that representative or agent, or where several applicants are represented by several common agents, the common agent first mentioned in the request or, in the absence of such an indication, the common agent first mentioned in the first filed separate power of attorney shall be considered as the addressee." (Section 108(b))

(2) "Against payment of a fee, the receiving Office shall furnish to the applicant, on request, certified copies of the international application as filed and of any corrections thereto." (Rule 20.9)

II. FORMS TO BE EMPLOYED BY THE INTERNATIONAL SEARCHING AUTHORITY

Form Identification Number:	Title of Form:	Provisions pursuant to which Form is issued:
PCT/ISA/201.....	INTERNATIONAL-TYPE SEARCH REPORT	Article 15(5)
PCT/ISA/202.....	NOTIFICATION OF RECEIPT OF SEARCH COPY	Rule 25.1
PCT/ISA/203.....	DECLARATION OF NON-ESTABLISHMENT OF INTERNATIONAL SEARCH REPORT	Article 17(2)(a)
PCT/ISA/204.....	INVITATION TO COMMENT ON ABSTRACT	Rule 38.2(a)
PCT/ISA/205.....	NOTIFICATION OF ABSTRACT APPROVED OR ESTABLISHED	Rule 44.2(c)
PCT/ISA/206.....	INVITATION TO PAY ADDITIONAL FEES	Article 17(3)(a) and Rule 40.1
PCT/ISA/207.....	INVITATION TO COMMENT ON TRANSLATION	Rule 48.3(b)
PCT/ISA/208.....	NOTIFICATION OF ACTION TAKEN CONCERNING COMMENTS ON TRANSLATION	Administrative Instructions, Section 306
PCT/ISA/209.....	NOTIFICATION OF FACTS WHICH SHOULD HAVE PRECLUDED THE ACCORDING OF AN INTERNATIONAL FILING DATE	Rule 29.3
PCT/ISA/210*	INTERNATIONAL SEARCH REPORT	Article 18(1) and Rule 43
PCT/ISA/211.....	NOTIFICATION OF TRANSMITTAL OF REQUESTED COPIES OF CITED DOCUMENTS	Article 20(3)
PCT/ISA/212.....	NOTIFICATION OF DECISION OF PROTEST	Rule 40.2(c) and Administrative Instructions, Section 302
PCT/ISA/213.....	NOTIFICATION OF REFUND OF SEARCH FEE	Rules 16.3 and 41.1
PCT/ISA/214.....	REQUEST FOR THE PRODUCTION OF PROOF	Rule 83
PCT/ISA/215.....	NOTIFICATION OF CERTAIN DEFECTS IN THE INTERNATIONAL APPLICATION	Rule 28.1(a)
PCT/ISA/216.....	INVITATION TO REQUEST RECTIFICATION	Rule 91.1(d), second sentence
PCT/ISA/217.....	NOTIFICATION CONCERNING REQUEST FOR RECTIFICATION	Administrative Instructions, Section 109
PCT/ISA/218.....	NOTIFICATION OF EXPRESSIONS, ETC. NOT TO BE USED IN INTERNATIONAL APPLICATIONS	Rule 9
PCT/ISA/219.....	NOTIFICATION CONCERNING DOCUMENTS TRANSMITTED	Rules 44.1, 44.3(c) and 48.3(b)
PCT/ISA/220.....	NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL SEARCH REPORT OR THE DECLARATION	Rule 44.1
PCT/ISA/221.....	INVITATION TO PAY FOR REQUESTED COPIES OF CITED DOCUMENTS	Rule 44.3(b)
PCT/ISA/222.....	INVITATION TO PAY FEE FOR PREPARATION OF DRAFT TRANSLATION	Rule 48.3

\* Printed Form (see Document PCT/AAQ/WGF/I/4)

# PATENT COOPERATION TREATY

## INTERNATIONAL-TYPE SEARCH REPORT

IDENTIFICATION OF APPLICATION	
Application No. <sup>(1)</sup>	Filing Date <sup>(1)</sup>
Country of Filing <sup>(1)</sup>	Priority Date Claimed <sup>(2)</sup>
Applicant (Name) <sup>(1)</sup>	

CLASSIFICATION OF SUBJECT MATTER (if several classification symbols apply, indicate all) <sup>(3)</sup>	
According to International Patent Classification (IPC)	According to National Classification (specify)

FIELDS SEARCHED					
Minimum Documentation Searched <sup>(4)</sup>		Documentation other than Minimum Documentation Searched <sup>(5)</sup>			
Classification System	Classification Symbols	Kinds of Documents	States	Periods	Languages

DOCUMENTS CONSIDERED TO BE RELEVANT <sup>(6)</sup>		
I. Indication of special category into which cited document falls <sup>(7)</sup> II. Citation of document, <sup>(9)</sup> with indication, where appropriate, of the relevant passages <sup>(9)</sup> III. Claims to which the cited document is relevant <sup>(10)</sup>		
I	II	III

NOTES TO FORM PCT/ISA/201

These Notes are intended to facilitate the use of the present form. As this form contains an international-type search report which parallels to a large extent the Patent Cooperation Treaty International Search Report, the texts of the Patent Cooperation Treaty and the Regulations and Administrative Instructions which pertain to the International Search Report should be consulted for general guidance. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

- (1) "The international search report shall identify the International Searching Authority which established it by indicating the name of such Authority, and the international application by indicating the international application number, the name of the applicant, the name of the receiving Office, and the international filing date." (Rule 43.1)
- (2) "The international search report shall be dated and shall indicate the date on which the international search was actually completed. It shall also indicate the filing date of any earlier application whose priority is claimed." (Rule 43.2)
- (3) "The international search report shall contain the classification of the subject matter at least according to the International Patent Classification." (Rule 43.3(a))

"Such classification shall be effected by the International Searching Authority." (Rule 43.3(b))

"Where an international application contains several distinct inventions requiring different classification symbols or where the subject matter of any invention requires different classification symbols, the international search report shall indicate all such classification symbols at least according to the International Patent Classification." (Section 304(a))

"Where any national classification system is used, the international search report may indicate all classification symbols also according to that system." (Section 304(b))

- (4) "The international search report shall list the classification identification of the fields searched. If that identification is effected on the basis of a classification other than the International Patent Classification, the International Searching Authority shall publish the classification used." (Rule 43.6(a))
- (5) "If the international search extended to patents, inventor's certificates, utility certificates, utility models, patents or certificates of addition, inventors' certificates of addition or published applications for any of those kinds of protection, of States, periods, or languages, not included in the minimum documentation as defined in Rule 34, the international search report shall, when practicable, identify the kinds of documents, the States, the periods, and the languages to which it extended. For the purposes of this paragraph, Article 2(ii) shall not apply." (Rule 43.6(b))
- (6) "The objective of the international search is to discover relevant prior art." (Article 15(2))

Rule 33.1, entitled "Relevant Prior Art for International Search," reads as follows:

"(a) For the purposes of Article 15(2), relevant prior art shall consist of everything which has been made available to the public anywhere in the world by means of written disclosure (including drawings and other illustrations) and which is capable of being of assistance in determining that the claimed invention is or is not new and that it does or does not involve an inventive step (i.e., that it is or is not obvious), provided that the making available to the public occurred prior to the international filing date.

"(b) When any written disclosure refers to an oral disclosure, use, exhibition, or other means whereby the contents of the written disclosure were made available to the public, and such making available to the public occurred on a date prior to the international filing date, the international search report shall separately mention that fact and the date on which it occurred if the making available to the public of the written disclosure occurred on a date posterior to the international filing date.

"(c) Any published application or any patent whose publication date is later but whose filing date or, where applicable, claimed priority date, is earlier than the international filing date of the international application searched, and which would constitute relevant prior art for the purposes of Article 15(2) had it been published prior to the international filing date, shall be specially mentioned in the international search report."

"The international search report shall contain the citations of the documents considered to be relevant." (Rule 43.5(a))

DOCUMENTS CONSIDERED TO BE RELEVANT (Continued) (6)

I	II	III

CERTIFICATION

Date of the Actual Completion of the International-Type Search<sup>(2)</sup>

Date of Mailing of the International-Type Search Report<sup>(2)</sup>

International Searching Authority<sup>(1)</sup>

Signature of Authorized Officer<sup>(11)</sup>

- (7) Place the following capital letters opposite any citation which falls into one of the categories specified below:
- "X" where the document is of particular relevance (cf. Rule 43.5(c): "Citations of particular relevance shall be specially indicated.")
- "O" where the cited document refers to an oral disclosure, etc., as prescribed in Rule 33.1(b), quoted in note (6), above.
- "E" where the cited document falls under the definition of Rule 33.1(c), quoted in note (6), above.
- "G" where the cited document is a document which defines the general state of the art (see Section 308).
- (8) "Any document cited in the international search report shall be identified by indicating the following elements:
- (a) in the case of any patent document
- (i) the kind of patent document (patent documents being patents in the sense of Article 2(ii) as well as published applications relating thereto) by the appropriate symbols according to Annex C;
- (ii) the country of issue by the appropriate symbols according to Annex B;
- (iii) the number of the document as given to it by the Office that issued it; and
- (iv) if pertinent, the pages, columns or lines where relevant passages appear;
- (b) in the case of any book or other separately issued publication
- (i) the name of the author;
- (ii) the title of the book or publication (including where applicable, the number of the edition and volume);
- (iii) the year of publication (the day and month may also be indicated);
- (iv) the name of the publisher;
- (v) the place of the publication; and
- (vi) if pertinent, the pages, columns or lines where relevant passages appear;
- (c) in the case of any periodical or other serial publication
- (i) the title of the periodical or other serial publication;
- (ii) the number and date of the volume and the issue number;
- (iii) the place of the publication;
- (iv) if pertinent, the author, title and page of the article; and
- (v) if pertinent, the pages, columns or lines where relevant passages appear;
- (d) in the case of abstracts
- (i) the elements set forth in paragraph (a), (b) or (c), respectively, dependent upon whether the abstract is contained in any patent document, any book or other separately issued publication or any periodical or other serial publication;
- (ii) where available, the identification of the full text document which served as the basis for the abstract by the elements set forth in paragraph (a), (b) or (c), respectively, dependent upon whether the full text document is contained in any patent document, any book or other separately issued publication, or any periodical or other serial publication." (Section 303)
- (9) "If only certain passages of a cited document are relevant or particularly relevant, they shall be identified, for example, by indicating the page, column, or the lines, where the passage appears." (Rule 43.5(e))
- (10) "Citations which are not relevant to all the claims shall be cited in relation to the claim or claims to which they are related." (Rule 43.5(d))
- (11) "The international search report shall be signed by an authorized officer of the International Searching Authority." (Rule 43.8)

# PATENT COOPERATION TREATY

TO

FROM

the INTERNATIONAL SEARCHING AUTHORITY  
identified at the bottom of this page

NOTIFICATION OF RECEIPT OF SEARCH COPY  
issued pursuant to PCT Rule 25.1<sup>(2)</sup>

Inscribe NAME and ADDRESS of the AGENT and if there  
is no agent, of the APPLICANT<sup>(1)</sup>

DATE OF MAILING by the International Searching Authority

IDENTIFICATION OF THE INTERNATIONAL APPLICATION	
International Application No.	International Filing Date
Applicant (Name)	

NOTIFICATION
<p>The applicant is hereby notified that the search copy of the above-identified international application was received on .....(date) by this International Searching Authority. <sup>(2)</sup></p> <p>(A copy of this notification has been sent to the International Bureau and, if necessary, to the Receiving Office <sup>(2)</sup>)</p>

THE INTERNATIONAL SEARCHING AUTHORITY	
Name and Mailing Address	Signature of Authorized Officer



These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where an applicant is represented by an agent, that agent, or where an applicant is represented by several agents, the agent first mentioned in the request or, in the absence of such an indication, the agent first mentioned in the first filed separate power of attorney shall be considered as the addressee for the purposes of correspondence from the International Authorities." (Section 108(a))

"Where several applicants are represented by a common representative or a common agent, that representative or agent, or where several applicants are represented by several common agents, the common agent first mentioned in the request or, in the absence of such an indication, the common agent first mentioned in the first filed separate power of attorney shall be considered as the addressee." (Section 108(b))

(2) Rule 25.1 entitled "Receipt of the Search Copy by the International Searching Authority" reads as follows:

"25.1 Notification of Receipt of the Search Copy

The International Searching Authority shall promptly notify the International Bureau, the applicant, and - unless the International Searching Authority is the same as the receiving Office - the receiving Office, of the fact and the date of receipt of the search copy."

# PATENT COOPERATION TREATY

## DECLARATION OF NON-ESTABLISHMENT OF INTERNATIONAL SEARCH REPORT

issued pursuant to PCT Article 17(2)(a) <sup>(1)</sup>

IDENTIFICATION OF THE INTERNATIONAL APPLICATION	
International Application No.	International Filing Date
Receiving Office	Priority Date Claimed
Applicant	

DECLARATION	
<p>This International Searching Authority hereby declares that no international search report will be established on the above-identified international application for the reasons indicated below. <sup>(1)</sup></p>	
1. <input type="checkbox"/> The subject matter of the international application relates to: <sup>(2)</sup>	
a. <input type="checkbox"/> scientific theories	
b. <input type="checkbox"/> mathematical theories	
c. <input type="checkbox"/> plant varieties	
d. <input type="checkbox"/> animal varieties	
e. <input type="checkbox"/> essentially biological processes for the production of plants and animals, other than microbiological processes and the products of such processes.	
f. <input type="checkbox"/> schemes, rules or methods of doing business	
g. <input type="checkbox"/> schemes, rules or methods of performing purely mental acts.	
h. <input type="checkbox"/> schemes, rules or methods of playing games.	
i. <input type="checkbox"/> methods for treatment of the human body by surgery or therapy.	
j. <input type="checkbox"/> methods for treatment of the animal body by surgery or therapy.	
k. <input type="checkbox"/> diagnostic methods.	
l. <input type="checkbox"/> mere presentations of information.	
m. <input type="checkbox"/> computer programs for which this International Searching Authority is not equipped to search prior art.	
2. <input type="checkbox"/> The failure of the following parts of the international application to comply with prescribed requirements prevents a meaningful search from being carried out: <sup>(3)</sup>	
a. <input type="checkbox"/> the description.	
b. <input type="checkbox"/> the claims.	
c. <input type="checkbox"/> the drawings.	

CERTIFICATION		
International Searching Authority	Date of Mailing	Signature of Authorized Officer

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations.

(1) "If the International Searching Authority considers

(i) that the international application relates to a subject matter which the International Searching Authority is not required, under the Regulations, to search, and in the particular case decides not to search, or

(ii) that the description, the claims, or the drawings, fail to comply with the prescribed requirements to such an extent that a meaningful search could not be carried out, the said Authority shall so declare and shall notify the applicant and the International Bureau that no international search report will be established." (Article 17(2)(a))

(2) See Article 17(2)(a)(i) above and Rule 39 which reads as follows:

"No International Searching Authority shall be required to search an international application if, and to the extent to which, its subject matter is any of the following:

(i) scientific and mathematical theories,

(ii) plant or animal varieties or essentially biological processes for the production of plants and animals, other than microbiological processes and the products of such processes,

(iii) schemes, rules or methods of doing business, performing purely mental acts or playing games,

(iv) methods of treatment of the human or animal body by surgery or therapy, as well as diagnostic methods,

(v) mere presentations of information,

(vi) computer programs to the extent that the International Searching Authority is not equipped to search prior art concerning such programs."

(3) See Article 17(2)(a)(ii) quoted in note (1) above.



These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where an applicant is represented by an agent, that agent, or where an applicant is represented by several agents, the agent first mentioned in the request or, in the absence of such an indication, the agent first mentioned in the first filed separate power of attorney shall be considered as the addressee for the purposes of correspondence from the International Authorities." (Section 108(a))

"Where several applicants are represented by a common representative or a common agent, that representative or agent, or where several applicants are represented by several common agents, the common agent first mentioned in the request or, in the absence of such an indication, the common agent first mentioned in the first filed separate power of attorney shall be considered as the addressee." (Section 108(b))

(2) "If the international application does not contain an abstract and the International Searching Authority has not received a notification from the receiving Office to the effect that the applicant has been invited to furnish an abstract, or if the said Authority finds that the abstract does not comply with Rule 8, it shall itself establish an abstract (in the language in which the international application is published). In the latter case, it shall invite the applicant to comment on the abstract established by it within 1 month from the date of the invitation." (Rule 38.2(a))

(3) "(a) The abstract shall consist of the following:

(i) a summary of the disclosure as contained in the description, the claims, and any drawings; the summary shall indicate the technical field to which the invention pertains and shall be drafted in a way which allows the clear understanding of the technical problem, the gist of the solution of that problem through the invention, and the principal use or uses of the invention;

(ii) where applicable, the chemical formula which, among all the formulae contained in the international application, best characterizes the invention.

(b) The abstract shall be as concise as the disclosure permits (preferably 50 to 150 words if it is in English or when translated into English).

(c) The abstract shall not contain statements on the alleged merits or value of the claimed invention or on its speculative application.

(d) Each main technical feature mentioned in the abstract and illustrated by a drawing in the international application shall be followed by a reference sign, placed between parentheses." (Rule 8.1)

"The abstract shall be so drafted that it can efficiently serve as a scanning tool for purposes of searching in the particular art, especially by assisting the scientist, engineer or researcher in formulating an opinion on whether there is a need for consulting the international application itself." (Rule 8.3)

(4) "When a period is expressed as one month or a certain number of months, computation shall start on the day following the day on which the relevant event occurred, and the period shall expire in the relevant subsequent month on the day which has the same number as the day on which the said event occurred, provided that if the relevant subsequent month has no day with the same number the period shall expire on the last day of that month." (Rule 80.2)

"The date which is taken into consideration as the starting date of the computation of any period shall be the date which prevails in the locality at the time when the relevant event occurred." (Rule 80.4(a))

"The date on which any period expires shall be the date which prevails in the locality in which the required document must be filed or the required fee must be paid." (Rule 80.4(b))

"If the expiration of any period during which any document or fee must reach a national Office or intergovernmental organization falls on a day on which such Office or organization is not open to the public for the purposes of the transaction of official business, or on which ordinary mail is not delivered in the locality in which such Office or organization is situated, the period shall expire on the next subsequent day on which neither of the said two circumstances exists." (Rule 80.5)

"A period expiring on a given day shall expire at the moment the national Office or intergovernmental organization with which the document must be filed or to which the fee must be paid closes for business on that day." (Rule 80.7(a))

"Any Office or organization may depart from the provisions of paragraph (a) up to midnight on the relevant day." (Rule 80.7(b))



These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where an applicant is represented by an agent, that agent, or where an applicant is represented by several agents, the agent first mentioned in the request or, in the absence of such an indication, the agent first mentioned in the first filed separate power of attorney shall be considered as the addressee for the purposes of correspondence from the International Authorities." (Section 108(a))

"Where several applicants are represented by a common representative or a common agent, that representative or agent, or where several applicants are represented by several common agents, the common agent first mentioned in the request or, in the absence of such an indication, the common agent first mentioned in the first filed separate power of attorney shall be considered as the addressee." (Section 108(b))

(2) "If, at the time the international search is completed, the time limit allowed for the applicant to comment on any suggestion of the International Searching Authority in respect of the abstract has not expired, the international search report shall indicate that it is incomplete as far as the abstract is concerned." (Rule 44.2(b))

"As soon as the time limit referred to in paragraph (b) has expired, the International Searching Authority shall notify the abstract approved or established by it to the International Bureau and to the applicant." (Rule 44.2(c))

(3) "The definitive contents of the abstract shall be determined by the International Searching Authority." (Rule 38.2(b))





These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where an applicant is represented by an agent, that agent, or where an applicant is represented by several agents, the agent first mentioned in the request or, in the absence of such an indication, the agent first mentioned in the first filed separate power of attorney shall be considered as the addressee for the purposes of correspondence from the International Authorities." (Section 108(a))

"Where several applicants are represented by a common representative or a common agent, that representative or agent, or where several applicants are represented by several common agents, the common agent first mentioned in the request or, in the absence of such an indication, the common agent first mentioned in the first filed separate power of attorney shall be considered as the addressee." (Section 108(b))

(2) "If the International Searching Authority considers that the international application does not comply with the requirement of unity of invention as set forth in the Regulations, it shall invite the applicant to pay additional fees. The International Searching Authority shall establish the international search report on those parts of the international application which relate to the invention first mentioned in the claims ("main invention") and, provided the required additional fees have been paid within the prescribed time limit, on those parts of the international application which relate to inventions in respect of which the said fees were paid." (Article 17(3)(a))

(3) "The invitation to pay additional fees provided for in Article 17(3)(a) shall specify the reasons for which the international application is not considered as complying with the requirement of unity of invention and shall indicate the amount to be paid." (Rule 40.1)

(4) "The international application shall relate to one invention only or to a group of inventions so linked as to form a single general inventive concept ("requirement of unity of invention")." (Rule 13.1)

(5) "The amount of the additional fee due for searching under Article 17(3)(a) shall be determined by the competent International Searching Authority." (Rule 40.2(a))

"The additional fee due for searching under Article 17(3)(a) shall be payable direct to the International Searching Authority." (Rule 40.2(b))

(6) "The time limit provided for in Article 17(3)(a) shall be fixed, in each case, according to the circumstances of the case, by the International Searching Authority; it shall not be shorter than 15 or 30 days, respectively, depending on whether the applicant's address is in the same country as or in a different country from that in which the International Searching Authority is located, and it shall not be longer than 45 days, from the date of the invitation." (Rule 40.3)

(7) "When a period is expressed as a certain number of days, computation shall start on the day following the day on which the relevant event occurred, and the period shall expire on the day on which the last day of the count has been reached." (Rule 80.3)

"The date which is taken into consideration as the starting date of the computation of any period shall be the date which prevails in the locality at the time when the relevant event occurred." (Rule 80.4(a))

"The date on which any period expires shall be the date which prevails in the locality in which the required document must be filed or the required fee must be paid." (Rule 80.4(b))

"If the expiration of any period during which any document or fee must reach a national Office or intergovernmental organization falls on a day on which such Office or organization is not open to the public for the purposes of the transaction of official business, or on which ordinary mail is not delivered in the locality in which such Office or organization is situated the period shall expire on the next subsequent day on which neither of the said two circumstances exists." (Rule 80.5)

"A period expiring on a given day shall expire at the moment the national Office or intergovernmental organization with which the document must be filed or to which the fee must be paid closes for business on that day." (Rule 80.7(a))

"Any Office or organization may depart from the provisions of paragraph (a) up to midnight on the relevant day." (Rule 80.7(b))

**PATENT COOPERATION TREATY**

TO

FROM the INTERNATIONAL SEARCHING AUTHORITY  
identified at the bottom of this page

INVITATION TO COMMENT ON TRANSLATION  
issued pursuant to PCT Rule 48.3(b)<sup>(2)</sup>

DATE OF MAILING by the International Searching Authority

inscribe NAME and ADDRESS of the AGENT and if there  
is no agent, of the APPLICANT <sup>(1)</sup>

**IDENTIFICATION OF THE INTERNATIONAL APPLICATION**

International Application No.

International Filing Date

Applicant (Name)

**INVITATION**

This International Searching Authority transmits herewith the draft translation of the above-identified international application into the English language. <sup>(2)</sup>

THE APPLICANT IS HEREBY INVITED TO SUBMIT COMMENTS ON THE DRAFT TRANSLATION WITHIN ..... DAYS FROM THE DATE OF MAILING INDICATED ABOVE. <sup>(2)</sup>

Computation of the time limit starts on the day following the date of mailing of the present invitation. <sup>(3)</sup> Within this time limit the comments have to reach this International Searching Authority.

**THE INTERNATIONAL SEARCHING AUTHORITY**

Name and Mailing Address

Signature of Authorized Officer

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where an applicant is represented by an agent, that agent, or where an applicant is represented by several agents, the agent first mentioned in the request or, in the absence of such an indication, the agent first mentioned in the first filed separate power of attorney shall be considered as the addressee for the purposes of correspondence from the International Authorities." (Section 108(a))

"Where several applicants are represented by a common representative or a common agent, that representative or agent, or where several applicants are represented by several common agents, the common agent first mentioned in the request or, in the absence of such an indication, the common agent first mentioned in the first filed separate power of attorney shall be considered as the addressee." (Section 108(b))

(2) "If the international application is filed in a language other than English, French, German, Japanese, or Russian, that application shall be published in English translation. The translation shall be prepared under the responsibility of the International Searching Authority, which shall be obliged to have it ready in time to permit the communication under Article 20 by the prescribed date, or, if the international publication is due at an earlier date than the said communication, to permit international publication by the prescribed date. Notwithstanding Rule 16.1(a), the International Searching Authority may charge a fee for the translation to the applicant. The International Searching Authority shall give the applicant an opportunity to comment on the draft translation. The International Searching Authority shall fix a time limit reasonable under the circumstances of the case for such comments. If there is no time to take the comments of the applicant into account before the translation is communicated or if there is a difference of opinion between the applicant and the said Authority as to the correct translation, the applicant may send a copy of his comments, or what remains of them, to the International Bureau and each designated Office to which the translation was communicated. The International Bureau shall publish the essence of the comments together with the translation of the International Searching Authority or subsequently to the publication of such translation." (Rule 48.3(b))

(3) "When a period is expressed as a certain number of days, computation shall start on the day following the day on which the relevant event occurred, and the period shall expire on the day on which the last day of the count has been reached." (Rule 80.3)

"The date which is taken into consideration as the starting date of the computation of any period shall be the date which prevails in the locality at the time when the relevant event occurred." (Rule 80.4(a))

"The date on which any period expires shall be the date which prevails in the locality in which the required document must be filed or the required fee must be paid." (Rule 80.4(b))

"If the expiration of any period during which any document or fee must reach a national Office or intergovernmental organization falls on a day on which such Office or organization is not open to the public for the purposes of the transaction of official business, or on which ordinary mail is not delivered in the locality in which such Office or organization is situated, the period shall expire on the next subsequent day on which neither of the said two circumstances exists." (Rule 80.5)

"A period expiring on a given day shall expire at the moment the national Office or intergovernmental organization with which the document must be filed or to which the fee must be paid closes for business on that day." (Rule 80.7(a))

"Any Office or organization may depart from the provisions of paragraph (a) up to midnight on the relevant day." (Rule 80.7(b))

# PATENT COOPERATION TREATY

TO

FROM the INTERNATIONAL SEARCHING AUTHORITY  
identified at the bottom of this page

## NOTIFICATION OF ACTION TAKEN CONCERNING COMMENTS ON TRANSLATION

issued pursuant to PCT Administrative  
Instructions, Section 306<sup>(2)</sup>

DATE OF MAILING by the International Searching Authority:

Inscribe NAME and ADDRESS of the AGENT and if there  
is no agent, of the APPLICANT<sup>(1)</sup>

### IDENTIFICATION OF THE INTERNATIONAL APPLICATION

International Application No.	International Filing Date
Applicant (Name)	

### NOTIFICATION

The applicant is hereby notified that, in respect of the comments submitted by the applicant on the draft English translation of the above-identified international application<sup>(2)</sup>, this International Searching Authority:

1.  has considered said comments and has made the necessary corrections to the translation to the extent that this International Searching Authority is in agreement with the comments.<sup>(3)</sup>
  
2.  has considered said comments and disagrees with them for the following reasons.<sup>(3)</sup> (specify)
  
  
3.  has not considered said comments due to lack of time before communication of the translation to the International Bureau<sup>(3)</sup>.
  
4.  has not considered said comments as they were not submitted within the prescribed time limit.<sup>(2)</sup>

### THE INTERNATIONAL SEARCHING AUTHORITY

Name and Mailing Address	Signature of Authorized Officer
--------------------------	---------------------------------

NOTES TO FORM PCT/ISA/208

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where an applicant is represented by an agent, that agent, or where an applicant is represented by several agents, the agent first mentioned in the request or, in the absence of such an indication, the agent first mentioned in the first filed separate power of attorney shall be considered as the addressee for the purposes of correspondence from the International Authorities." (Section 108(a))

"Where several applicants are represented by a common representative or a common agent, that representative or agent, or where several applicants are represented by several common agents, the common agent first mentioned in the request or, in the absence of such an indication, the common agent first mentioned in the first filed separate power of attorney shall be considered as the addressee." (Section 108(b))

(2) "The International Searching Authority shall notify the applicant of the action it has taken in respect of any correction to the draft translation based upon any comments timely submitted by the applicant." (Section 306(a))

"Where the applicant fails to timely submit comments on the draft translation, the International Searching Authority shall not be required to consider such comments on establishing the translation of the international application." (Section 306(b))

(3) "...If there is no time to take the comments of the applicant into account before the translation is communicated or if there is a difference of opinion between the applicant and the said Authority as to the correct translation, the applicant may send a copy of his comments, or what remains of them, to the International Bureau and each designated Office to which the translation was communicated. The International Bureau shall publish the essence of the comments together with the translation of the International Searching Authority or subsequently to the publication of such translation." (Rule 48.3(b))

**PATENT COOPERATION TREATY**

TO

FROM the INTERNATIONAL SEARCHING AUTHORITY  
identified at the bottom of this page

NOTIFICATION OF FACTS WHICH SHOULD  
HAVE PRECLUDED THE ACCORDING OF AN  
INTERNATIONAL FILING DATE

issued pursuant to PCT Rule 29.3<sup>(1)</sup>

DATE OF MAILING by the International Searching Authority

IN ITS CAPACITY AS RECEIVING OFFICE

**IDENTIFICATION OF THE INTERNATIONAL APPLICATION**

International Application No.	International Filing Date
Applicant (Name)	

**NOTIFICATION**

In regard to the above-identified international application, this International Searching Authority hereby calls the following facts to the attention of the Receiving Office. <sup>(1)</sup>

1.  The applicant obviously lacks, for reason of  residence  nationality, the right to file an international application with the Receiving Office. <sup>(3)</sup>
2.  The application is not in the prescribed language. <sup>(4)</sup>
3.  The application does not contain an indication that it is intended as an international application. <sup>(5)</sup>
4.  The application does not contain the designation of at least one Contracting State. <sup>(6)</sup>
5.  The application does not contain the name of the applicant, as prescribed. <sup>(7)</sup>
6.  The application does not contain a part which on the face of it appears to be a description. <sup>(8)</sup>
7.  The application does not contain a part which on the face of it appears to be a claim or claims. <sup>(9)</sup>

Consequently, this International Searching Authority considers that the Receiving Office should make a finding that the international application is to be considered withdrawn. <sup>(2)</sup>

**THE INTERNATIONAL SEARCHING AUTHORITY**

Name and Mailing Address	Signature of Authorized Officer
--------------------------	---------------------------------

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations.

- (1) "If the International Bureau or the International Searching Authority considers that the receiving Office should make a finding under Article 14(4), it shall call the relevant facts to the attention of the receiving Office." (Rule 29.3)
- (2) Article 14(4), referred to in the quoted Rule provides that "if, after having accorded an international filing date to the international application, the receiving Office finds, within the prescribed time limit /i.e., 6 months from the international filing date; see Rule 30/, that any of the requirements listed within (i) to (iii) of Article 11(1) was not complied with at that date, the said application shall be considered withdrawn and the receiving Office shall so declare."
- (3) See Article 11(1)(i)
- (4) See Article 11(1)(ii)
- (5) See Article 11(1)(iii)(a)
- (6) See Article 11(1)(iii)(b)
- (7) See Article 11(1)(iii)(c)
- (8) See Article 11(1)(iii)(d)
- (9) See Article 11(1)(iii)(e)

PATENT COOPERATION TREATY

TO

FROM

the INTERNATIONAL SEARCHING AUTHORITY  
identified at the bottom of this page

NOTIFICATION OF TRANSMITTAL OF  
REQUESTED COPIES OF CITED DOCUMENTS

issued pursuant to PCT Article 20(3)<sup>(2)</sup>

Inscribe NAME and ADDRESS of the AGENT and if there  
is no agent, of the Applicant.<sup>(1)</sup> If the request is  
made by a DESIGNATED OFFICE inscribe NAME and ADDRESS  
of such Office.

DATE OF MAILING by the International Searching Authority

IDENTIFICATION OF THE INTERNATIONAL APPLICATION

International Application No. International Filing Date

Applicant (Name)

NOTIFICATION

This International Searching Authority, in response to the request  
received, transmits herewith copies of the following documents cited  
in the International Search Report which was established on the above-  
identified international application.<sup>(2)</sup>

THE INTERNATIONAL SEARCHING AUTHORITY

Name and Mailing Address Signature of Authorized Officer



NOTES TO FORM PCT/ISA/211

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where an applicant is represented by an agent, that agent, or where an applicant is represented by several agents, the agent first mentioned in the request or, in the absence of such an indication, the agent first mentioned in the first filed separate power of attorney shall be considered as the addressee for the purposes of correspondence from the International Authorities." (Section 108(a))

"Where several applicants are represented by a common representative or a common agent, that representative or agent, or where several applicants are represented by several common agents, the common agent first mentioned in the request or, in the absence of such an indication, the common agent first mentioned in the first filed separate power of attorney shall be considered as the addressee." (Section 108(b))

(2) "At the request of the designated Office or the applicant, the International Searching Authority shall send to the said Office or the applicant, respectively, copies of the documents cited in the international search report, as provided in the Regulations." (Article 20(3))

# PATENT COOPERATION TREATY

TO

FROM

the INTERNATIONAL SEARCHING AUTHORITY  
identified at the bottom of this page

## NOTIFICATION OF DECISION ON PROTEST

issued pursuant to PCT Rule 40.2(c)<sup>(2)</sup> and Administrative  
Instructions, Section 302<sup>(3)</sup>

DATE OF MAILING by the International Searching Authority
--

Inscribe NAME and ADDRESS of the AGENT and if there is no agent, of the APPLICANT<sup>(1)</sup>

IDENTIFICATION OF THE INTERNATIONAL APPLICATION	
International Application No.	International Filing Date
Applicant (Name)	

NOTIFICATION
<p>The applicant is hereby notified that this International Searching Authority, after having examined the protest on the payment of the additional fees,<sup>(2)</sup> has reached the decision<sup>(3)</sup> indicated below.</p> <p>1. <input type="checkbox"/> The protest is found justified to the extent that:</p> <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> total reimbursement has been ordered and will be made in due course.</li> <li>b. <input type="checkbox"/> partial reimbursement in the amount of _____ has been ordered and will be made in due course for the following reasons. (specify)</li> </ul> <p>2. <input type="checkbox"/> The protest is found unjustified and the requirement of payment of additional fees is upheld for:</p> <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> the reasons already specified in the invitation to pay additional fees mailed on ..... (date) by this International Searching Authority.</li> <li>b. <input type="checkbox"/> the following reasons. (specify)</li> </ul>

THE INTERNATIONAL SEARCHING AUTHORITY	
Name and Mailing Address	Signature of Authorized Officer

NOTES TO FORM PCT/ISA/212

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where an applicant is represented by an agent, that agent, or where an applicant is represented by several agents, the agent first mentioned in the request or, in the absence of such an indication, the agent first mentioned in the first filed separate power of attorney shall be considered as the addressee for the purposes of correspondence from the International Authorities." (Section 108(a))

"Where several applicants are represented by a common representative or a common agent, that representative or agent, or where several applicants are represented by several common agents, the common agent first mentioned in the request or, in the absence of such an indication, the common agent first mentioned in the first filed separate power of attorney shall be considered as the addressee." (Section 108(b))

(2) "Any applicant may pay the additional fee under protest, that is, accompanied by a reasoned statement to the effect that the international application complies with the requirement of unity of invention or that the amount of the required additional fee is excessive. Such protest shall be examined by a three-member board or other special instance of the International Searching Authority or any competent higher authority, which, to the extent that it finds the protest justified, shall order the total or partial reimbursement to the applicant of the additional fee. On the request of the applicant, the text of both the protest and the decision thereon shall be notified to the designated Offices together with the international search report. The applicant shall submit any translation thereof with the furnishing of the translation of the international application required under Article 22." (Rule 40.2(c))

"The three-member board, special instance or competent higher authority, referred to in paragraph (c), shall not comprise any person who made the decision which is the subject of the protest." (Rule 40.2(d))

(3) "The International Searching Authority shall transmit to the applicant any decision under Rule 40.2(c) at the latest together with the international search report. Furthermore, it shall transmit to the International Bureau both a copy of the protest and of the decision referred to in Rule 40.2(c) at the latest together with the international search report." (Section 302)

PATENT COOPERATION TREATY

TO

FROM the INTERNATIONAL SEARCHING AUTHORITY  
identified at the bottom of this page

NOTIFICATION OF REFUND  
OF SEARCH FEE  
issued pursuant to PCT Rules 16.5<sup>(2)</sup>  
and 41.1<sup>(3)</sup>

DATE OF MAILING by the International Searching Authority

Inscribe NAME and ADDRESS of the AGENT and if there  
is no agent, of the APPLICANT<sup>(1)</sup>

IDENTIFICATION OF THE INTERNATIONAL APPLICATION

International Application No.	International Filing Date
Applicant (Name)	

NOTIFICATION

The applicant is hereby notified that the amount of \_\_\_\_\_ will  
be refunded in due course on the search fee paid in respect of the  
above-identified international application. This amount is based  
upon the extent to which:

1.  the earlier International Search Report<sup>(2)</sup>
2.  the International-Type Search Report<sup>(3)</sup>

was wholly or partially used to establish the present International  
Search Report.

THE INTERNATIONAL SEARCHING AUTHORITY

Name and Mailing Address	Signature of Authorized Officer
--------------------------	---------------------------------

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where an applicant is represented by an agent, that agent, or where an applicant is represented by several agents, the agent first mentioned in the request or, in the absence of such an indication, the agent first mentioned in the first filed separate power of attorney shall be considered as the addressee for the purposes of correspondence from the International Authorities." (Section 108(a))

"Where several applicants are represented by a common representative or a common agent, that representative or agent, or where several applicants are represented by several common agents, the common agent first mentioned in the request or, in the absence of such an indication, the common agent first mentioned in the first filed separate power of attorney shall be considered as the addressee." (Section 108(b))

(2) "Where the international application claims the priority of an earlier international application which has been the subject of an international search by the same International Searching Authority, that Authority shall refund the search fee paid in connection with the later international application to the extent and under the conditions provided for in the agreement under Article 16(3)(b), if the international search report on the later international application could wholly or partly be based on the results of the international search effected on the earlier international application." (Rule 16.3)

(3) "If reference has been made in the request, in the form provided for in Rule 4.11, to an international-type search carried out under the conditions set out in Article 15(5), the International Searching Authority shall, to the extent possible, use the results of the said search in establishing the international search report on the international application. The International Searching Authority shall refund the search fee, to the extent and under the conditions provided for in the agreement under Article 16(3)(b), if the international search report could wholly or partly be based on the results of the international-type search." (Rule 41.1)

PATENT COOPERATION TREATY

TO

FROM

the INTERNATIONAL SEARCHING AUTHORITY  
identified at the bottom of this page

REQUEST FOR THE PRODUCTION OF PROOF

issued pursuant to PCT Rule 83<sup>(1)</sup>

DATE OF MAILING by the International Searching Authority

IN ITS CAPACITY AS A RECEIVING OFFICE

IDENTIFICATION OF THE INTERNATIONAL APPLICATION

International Application No.	International Filing Date
Applicant (Name)	

REQUEST

This International Searching Authority refers to Article 49<sup>(1)</sup>  
and Rule 83.2<sup>(1)</sup> and hereby requests the addressee, in its  
capacity as receiving Office, to inform it whether:

Mr/Mrs/Miss ..... (Name)

..... (Address)

.....

has the right to practice before it.

THE INTERNATIONAL SEARCHING AUTHORITY

Name and Mailing Address	Signature of Authorized Officer
--------------------------	---------------------------------

These notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations.

- (1) "Right to Practice Before International Authorities" is the title of Article 49 which reads as follows:

"Any attorney, patent agent, or other person, having the right to practice before the national Office with which the international application was filed, shall be entitled to practice before the International Bureau and the competent International Searching Authority and competent International Preliminary Examining Authority in respect of that application."

Rule 83 which is also entitled "Right to Practice Before International Authorities" reads as follows:

"83.1 Proof of Right

The International Bureau, the competent International Searching Authority, and the competent International Preliminary Examining Authority, may require the production of proof of the right to practice referred to in Article 49.

83.2 Information

(a) The national Office or the intergovernmental organization which the interested person is alleged to have a right to practice before shall, upon request, inform the International Bureau, the competent International Searching Authority, or the competent International Preliminary Examining Authority, whether such person has the right to practice before it.

(b) Such information shall be binding upon the International Bureau, the International Searching Authority, or the International Preliminary Examining Authority, as the case may be."

# PATENT COOPERATION TREATY

TO

FROM the INTERNATIONAL SEARCHING AUTHORITY  
identified at the bottom of this page

## NOTIFICATION OF CERTAIN DEFECTS IN THE INTERNATIONAL APPLICATION

issued pursuant to PCT Rule 28.1(a)<sup>(1)</sup>

IN ITS CAPACITY AS A RECEIVING OFFICE

DATE OF MAILING by the International Searching Authority

### IDENTIFICATION OF THE INTERNATIONAL APPLICATION

International Application No.

International Filing Date

Applicant (Name)

### NOTIFICATION

This International Searching Authority hereby calls the attention of the Receiving Office to the defects indicated below which it has found in the above-identified international application.<sup>(1)</sup>

1. As to signature,<sup>(2)</sup> the request part of the international application:
  - a.  was not signed.
  - b.  was not signed by all the applicants.
  - c.  was signed by what appears to be a purported agent but the latter cannot be considered as an agent since neither the said request contained the appointment of an agent nor was the said request accompanied by a power of attorney appointing an agent.
  - d.  other. (specify)
  
2. As to indications concerning the applicant, the request part of the international application:
  - a.  does not properly indicate his name.<sup>(3)</sup> (specify)
  - b.  does not indicate his address.<sup>(3)</sup>
  - c.  does not properly indicate his address.<sup>(4)</sup> (specify)
  - d.  does not indicate his nationality.<sup>(3) (5)</sup>
  - e.  does not indicate his residence.<sup>(3) (6)</sup>
  - f.  other. (specify)
  
3. As to the prescribed physical requirements of the international applications:<sup>(7)</sup>
  - a.  defects exist in the presentation of the text matter as specified in Annex A to the present invitation.
  - b.  defects exist in the presentation of the drawings as specified in Annex B to the present invitation.

### THE INTERNATIONAL SEARCHING AUTHORITY

Name and Mailing Address

Signature of Authorized Officer



These notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the text of the Regulations and the Administrative Instructions under the Treaty. In case of discrepancy between these Notes and the said text, the latter are applicable. "Article" refers to Articles of the Treaty and "Rule" refers to Rules of the Regulations.

- (1) "If, in the opinion of the International Bureau or of the International Searching Authority, the international application contains any of the defects referred to in Article 14(1)(a)(i), (ii), or (v), the International Bureau or the International Searching Authority, respectively, shall bring such defects to the attention of the receiving Office." (Rule 28.1(a))
- (2) The signature must be that of the applicant and if there are several applicants all must sign (See Rules 4.1(d) and 4.15); however, the signature may be that of the agent (See Rule 2.1) where the international application was accompanied by a separate power of attorney appointing the agent (See Rule 90.3(a)). For common representatives, see Rules 4.8(a) and 90.3(a).
- (3) "The request shall indicate the name, address, nationality and residence of the applicant or, if there are several applicants, of each of them." (Rule 4.5(a))  
  
"Names of natural persons shall be indicated by the person's family name and given name(s), the family name being indicated before the given name(s)." (Rule 4.4(a))  
  
"Names of legal entities shall be indicated by their full, official designation." (Rule 4.4(b))
- (4) "Addresses shall be indicated in such a way as to satisfy the customary requirements for prompt postal delivery at the indicated address and, in any case, shall consist of all the relevant administrative units up to, and including, the house number, if any. Where the national law of the designated State does not require the indication of the house number, failure to indicate such number shall have no effect in that State. It is recommended to indicate any telegraphic and teletype address and telephone number." (Rule 4.4(c))  
  
"For each applicant, inventor, or agent, only one address may be indicated." (Rule 4.4(d))
- (5) "The applicant's nationality shall be indicated by the name of the State of which he is a national." (Rule 4.5(b))
- (6) "The applicant's residence shall be indicated by the name of the State of which he is a resident." (Rule 4.5(c))
- (7) "The international application shall comply with the prescribed physical requirements." (Article 3(4)(ii))

# PATENT COOPERATION TREATY

## ANNEX A

### DEFECTS IN THE PRESENTATION OF THE TEXT MATTER OF THE INTERNATIONAL APPLICATION

The indicated elements of the international application suffer from the indicated defects: <sup>(1)</sup>

	Request	Description	Claims	Abstract
(a) The element does not commence on a new sheet <sup>(2)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(b) Some/all sheets are not free from creases, cracks, folds <sup>(3)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(c) Some/all sheets are not used in the upright position <sup>(4)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(d) One side of some/all sheets is not left unused <sup>(5)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(e) The paper of some/all sheets is not flexible/strong/white/smooth/non-shiny/durable <sup>(6)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(f) The sheets are not connected as prescribed <sup>(7)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(g) Some/all sheets are not A4 size	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(h) The margins on some/all sheets are not as prescribed <sup>(9)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(i) The sheets are not properly numbered <sup>(10)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(j) The sheet numbers are not properly placed <sup>(11)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(k) Some/all sheets are not typed or printed <sup>(12)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(l) The typing on some/all sheets is not 1½ spaced <sup>(13)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(m) The characters in the text matter on some/all sheets are not large enough <sup>(14)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(n) The text matter on some/all sheets is not in dark, indelible color <sup>(14)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(o) The element contains drawings <sup>(15)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(p) Some/all sheets contain too many erasures, alterations, overwritings or interlineations <sup>(16)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Further specification (where useful) of (some of) the defects referred to above under ( ):

under ( ):

Other possible observations by the International Searching Authority:

NOTES TO ANNEX A

- (1) "All elements of the international application (i.e., the request, the description, the claims, the drawings, and the abstract) shall be so presented as to admit of direct reproduction by photography, electrostatic processes, photo offset, and microfilming, in any number of copies." (Rule 11.2(a))
- (2) "Each element (request, description, claims, drawings, abstract) of the international application shall commence on a new sheet." (Rule 11.4(a))
- (3) "All sheets shall be free from creases and cracks; they shall not be folded." (Rule 11.2(b))
- (4) "Subject to Rule 11.13(j), each sheet shall be used in an upright position (i.e., the short sides at the top and bottom)." (Rule 11.2(d))
- (5) "Only one side of each sheet shall be used." (Rule 11.2(c))
- (6) "All elements of the international application shall be on paper which shall be flexible, strong, white, smooth, non-shiny and durable." (Rule 11.3)
- (7) "All sheets of the international application shall be so connected that they can be easily turned when consulted, and easily separated and joined again if they have been separated for reproduction purposes." (Rule 11.4(b))
- (8) "The size of the sheets shall be A4 (29.7 cm x 21 cm). However, any receiving Office may accept international applications on sheets of other sizes provided that the record copy, as transmitted to the International Bureau, and, if the competent International Searching Authority so desires, the search copy, shall be of A4 size." (Rule 11.5)
- (9) "The minimum margins of the sheets containing the request, the description, the claims, and the abstract shall be as follows:
  - top of first sheet, except that of the request: 8 cm
  - top of other sheets: 2 cm
  - left side: 2.5 cm
  - right side: 2 cm
  - bottom: 2 cm." (Rule 11.6(a))"

"The recommended maximum, for the margins provided for in paragraph (a) is as follows:

  - top of first sheet, except that of the request: 9 cm
  - top of other sheets: 4 cm
  - left side: 4 cm
  - right side: 3 cm
  - bottom: 3 cm." (Rule 11.6(b))"The margins of the international application, when submitted, must be completely blank." (Rule 11.6(e))
- (10) "All the sheets contained in the international application shall be numbered in consecutive arabic numerals." (Rule 11.7(a))
- (11) "The numbers shall be placed at the top of the sheet, in the middle, but not in the margin." (Rule 11.7(b))
- (12) "The request, the description, the claims and the abstract shall be typed or printed." (Rule 11.9(a))

"Only graphic symbols and characters, chemical or mathematical formulae, and certain characters in the Japanese language may, when necessary, be written by hand or drawn." (Rule 11.9(b))
- (13) "The typing shall be 1½-spaced." (Rule 11.9(c))

"As far as the spacing of the typing and the size of the characters are concerned, paragraphs (c) and (d) shall not apply to texts in the Japanese language." (Rule 11.9(e))

NOTES TO ANNEX A (Continued)

- (14) "All text matter shall be in characters the capital letters of which are not less than 0.21 cm high, and shall be in a dark, indelible color, satisfying the requirements specified in Rule 11.2." (Rule 11.9(d))
- (15) "The request, the description, the claims, and the abstract shall not contain drawings." (Rule 11.10(a))
- (16) "Each sheet shall be reasonably free from erasures and shall be free from alterations, overwritings, and interlineations. Non-compliance with this Rule may be authorized, in exceptional cases, if the authenticity of the content is not in question and the requirements for good reproduction are not in jeopardy." (Rule 11.12)

# PATENT COOPERATION TREATY

## ANNEX B

### DEFECTS IN THE DRAWINGS OF THE INTERNATIONAL APPLICATION

The drawings of the international application suffer from the indicated defects. <sup>(1)</sup>

#### I. In regard to the sheets containing drawings:

- (a)  some/all sheets are not free from creases, cracks, folds <sup>(2)</sup>
- (b)  one side of some/all sheets is not left unused <sup>(3)</sup>
- (c)  the paper of some/all sheets is not flexible/strong/white/smooth/non-shiny/durable <sup>(4)</sup>
- (d)  some/all sheets are not connected as prescribed <sup>(5)</sup>
- (e)  some/all sheets are not A4 size <sup>(6)</sup>
- (f)  the margins on some/all sheets are not as prescribed <sup>(7)</sup>
- (g)  some/all sheets are not free from frames around usable surface <sup>(7)</sup>
- (h)  some/all sheets are not properly numbered <sup>(8)</sup>
- (i)  some/all sheets contain too many erasures, alterations, overwritings or interlineations <sup>(9)</sup>

#### II. Some or all of the drawings:

- (a)  do not admit of direct reproduction <sup>(1)</sup>
- (b)  contain unnecessary text matter <sup>(10)</sup>
- (c)  contains words so placed as to prevent translation without interference with lines thereof <sup>(11)</sup>
- (d)  are not executed in proper color and uniformity <sup>(12)</sup>
- (e)  contain cross-sections not properly hatched <sup>(13)</sup>
- (f)  would not be properly distinguishable in reduced reproduction <sup>(14)</sup>
- (g)  contain scales not represented graphically <sup>(15)</sup>
- (h)  contain members, letters and reference lines lacking simplicity and clarity <sup>(16)</sup>
- (i)  contain lines drafted without the aid of drafting instruments <sup>(17)</sup>
- (j)  contain elements of a figure not indispensably disproportionate <sup>(18)</sup>
- (k)  contain numbers and letters of height less than 0.32 cm <sup>(19)</sup>
- (l)  contain letters not conforming to the Latin, and where customary, Greek alphabets <sup>(19)</sup>
- (m)  contain figures forming a single complete figure on sheets not able to be assembled without concealing parts thereof <sup>(20)</sup>
- (n)  contain figures which are not properly arranged and clearly separated <sup>(21)</sup>
- (o)  contain different figures not numbered in consecutive arabic numerals <sup>(22)</sup>
- (p)  contain different figures not numbered independent of the numbering of the sheets <sup>(22)</sup>
- (q)  are not restricted to reference signs mentioned in the description <sup>(23)</sup>
- (r)  contain the same feature denoted by different reference signs <sup>(24)</sup>

Further specification (where useful) of (some of) the defects referred to above under ( ) ( ):

under ( ) ( ):

Other possible observations by the International Searching Authority:

NOTES TO ANNEX B

- (1) "All elements of the international applications (i.e., the request, the description, the claims, the drawings, and the abstract) shall be so presented as to admit of direct reproduction by photography, electrostatic processes, photo offset, and microfilming, in any number of copies." (Rule 11.2(a))
  - (2) "All sheets shall be free from creases and cracks; they shall not be folded." (Rule 11.2(b))
  - (3) "Only one side of each sheet shall be used." (Rule 11.2(c))
  - (4) "All elements of the international application shall be on paper which shall be flexible, strong, white, smooth, non-shiny and durable." (Rule 11.3)
  - (5) "All sheets of the international application shall be so connected that they can be easily turned when consulted, and easily separated and joined again if they have been separated for reproduction purposes." (Rule 11.4(b))
  - (6) "The size of the sheets shall be A4 (29.7 cm x 21 cm). However, any receiving Office may accept international applications on sheets of other sizes provided that the record copy, as transmitted to the International Bureau, and, if the competent International Searching Authority so desires, the search copy, shall be of A4 size." (Rule 11.5)
  - (7) "On sheets containing drawings, the surface usable shall not exceed 26.2 cm x 17.0 cm. The sheets shall not contain frames around the usable or used surface. The minimum margins shall be as follows:
    - top: 2.5 cm
    - left side: 2.5 cm
    - right side: 1.5 cm
    - bottom: 1.0 cm. (Rule 11.6(c))
- "The margins referred to in paragraphs (a) to (c) apply to A4-size sheets, so that, even if the receiving Office accepts other sizes, the A4-size record copy and, when so required, the A4-size search copy shall leave the aforesaid margins." (Rule 11.6(d))
- "The margins of the international application when submitted, must be completely blank." (Rule 11.6(e))
- (8) "All the sheets contained in the international application shall be numbered in consecutive arabic numerals." (Rule 11.7(a))
- "The numbers shall be placed at the top of the sheet, in the middle, but not in the margin." (Rule 11.7(b))
- (9) "Each sheet shall be reasonably free from erasures and shall be free from alterations, overwritings, and interlineations. Non-compliance with this Rule may be authorized, in exceptional cases, if the authenticity of the content is not in question and the requirements for good reproduction are not in jeopardy." (Rule 11.12)
  - (10) "The drawings shall not contain text matter, except a single word or words, when absolutely indispensable, such as "water," "steam," "open," "closed," "section on AB," and, in the case of electric circuits and block schematic or flow sheet diagrams, a few short catch words indispensable for understanding." (Rule 11.11(a))
  - (11) "Any words used shall be so placed that, if translated, they may be pasted over without interfering with any lines of the drawings." (Rule 11.11(b))
  - (12) "Drawings shall be executed in durable, black or blue, sufficiently dense and dark, uniformly thick and well-defined, lines and strokes without coloring." (Rule 11.13(a))
  - (13) "Cross-sections shall be indicated by oblique hatching which should not impede the clear reading of the reference signs and leading lines." (Rule 11.13(b))
  - (14) "The scale of the drawings and the distinctness of their graphical execution shall be such that a photographic reproduction with a linear reduction in the size to two-thirds would enable all details to be distinguished without difficulty." (Rule 11.13(c))
  - (15) "When in exceptional cases, the scale is given on a drawing, it shall be represented graphically." (Rule 11.13(d))

NOTES TO ANNEX B (Continued)

- (16) "All numbers, letters and reference lines, appearing on the drawings, shall be simple and clear. Brackets, circles or inverted commas shall not be used in association with numbers and letters." (Rule 11.13(e))
- (17) "All lines in the drawings shall, ordinarily, be drawn with the aid of drafting instruments." (Rule 11.13(f))
- (18) "Each element of each figure shall be in proper proportion to each of the other elements in the figure, except where the use of a different proportion is indispensable for the clarity of the figure." (Rule 11.13(g))
- (19) "The height of the numbers and letters shall not be less than 0.32 cm. For the lettering of drawings, the Latin and, where customary, the Greek alphabets shall be used." (Rule 11.13(h))
- (20) "The same sheet of drawings may contain several figures. Where figures on two or more sheets form in effect a single complete figure, the figures on the several sheets shall be so arranged that the complete figure can be assembled without concealing any part of any of the figures appearing on the various sheets." (Rule 11.13(i))
- (21) "The different figures shall be arranged on a sheet or sheets without wasting space, preferably in an upright position, clearly separated from one another." (Rule 11.13(j))
- (22) "The different figures shall be numbered in arabic numerals consecutively and independently of the numbering of the sheets." (Rule 11.13(k))
- (23) "Reference signs not mentioned in the description shall not appear in the drawings, and vice versa." (Rule 11.13(l))
- (24) "The same features, when denoted by reference signs, shall throughout the international application, be denoted by the same signs." (Rule 11.13(m))

# PATENT COOPERATION TREATY

TO

FROM the INTERNATIONAL SEARCHING AUTHORITY  
identified at the bottom of this page

## INVITATION TO REQUEST RECTIFICATION

issued pursuant to PCT Rule 91.1(d), second sentence <sup>(2)</sup>

DATE OF MAILING by the International Searching Authority

Inscribe NAME and ADDRESS of the AGENT and if there  
is no agent, of the APPLICANT <sup>(1)</sup>

### IDENTIFICATION OF THE INTERNATIONAL APPLICATION

International Application No.

International Filing Date

Applicant (Name)

### INVITATION

This International Searching Authority has discovered in the above-identified international application/in other papers submitted by the applicant what appears to be an obvious error of transcription as specified hereafter:

THE APPLICANT IS HEREBY INVITED TO REQUEST THE RECTIFICATION OF THE SAID ERROR <sup>(2)</sup>

The request for rectification is to be submitted to: <sup>(3)</sup>

- the Receiving Office
- this International Searching Authority
- the International Bureau

### THE INTERNATIONAL SEARCHING AUTHORITY

Name and Mailing Address

Signature of Authorized Officer



NOTES TO FORM PCT/ISA/216

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where an applicant is represented by an agent, that agent, or where an applicant is represented by several agents, the agent first mentioned in the request or, in the absence of such an indication, the agent first mentioned in the first filed separate power of attorney shall be considered as the addressee for the purposes of correspondence from the International Authorities." (Section 108(a))

"Where several applicants are represented by a common representative or a common agent, that representative or agent, or where several applicants are represented by several common agents, the common agent first mentioned in the request or, in the absence of such an indication, the common agent first mentioned in the first filed separate power of attorney shall be considered as the addressee." (Section 108(b))

(2) Rule 91 entitled "Obvious Errors of Transcription" reads as follows:

"91.1 Rectification

(a) Subject to paragraphs (b) to (g), obvious errors of transcription in the international application or other papers submitted by the applicant may be rectified.

(b) Errors which are due to the fact that something other than what was obviously intended was written in the international application or other paper shall be regarded as obvious errors of transcription. The rectification itself shall be obvious in the sense that anyone would immediately realize that nothing else could have been intended than what is offered as rectification.

(c) Omissions of entire elements or sheets of the international application, even if clearly resulting from inattention, at the stage, for example, of copying or assembling sheets, shall not be rectifiable.

(d) Rectification may be made on the request of the applicant. The authority having discovered what appears to be an obvious error of transcription may invite the applicant to present a request for rectification as provided in paragraphs (e) to (g).

(e) No rectification shall be made except with the express authorization:

(i) of the receiving Office if the error is in the request,

(ii) of the International Searching Authority if the error is in any part of the International application other than the request or in any paper submitted to that Authority,

(iii) of the International Preliminary Examining Authority if the error is in any part of the international application other than the request or in any paper submitted to that Authority, and

(iv) of the International Bureau if the error is in any paper, other than the international application or amendments or corrections to that application, submitted to the International Bureau.

(f) The date of the authorization shall be recorded in the files of the international application.

(g) The authorization for rectification referred to in paragraph (e) may be given until the following events occur:

(i) in the case of authorization given by the receiving Office and the International Bureau, the communication of the international application under Article 20;

(ii) in the case of authorization given by the International Searching Authority, the establishment of the international search report or the making of a declaration under Article 17(2)(a);

(iii) in the case of authorization given by the International Preliminary Examining Authority, the establishment of the international preliminary examination report.

(h) Any authority, other than the International Bureau, which authorizes any rectification shall promptly inform the International Bureau of such rectification."

(3) See Rule 91.1(e) quoted in the preceding note.

**PATENT COOPERATION TREATY**

TO

FROM the INTERNATIONAL SEARCHING AUTHORITY  
identified at the bottom of this page

**NOTIFICATION CONCERNING REQUEST FOR  
RECTIFICATION**

issued pursuant to PCT Administrative  
Instructions, Section 109<sup>(3)</sup>

DATE OF MAILING by the International Searching Authority

Inscribe NAME and ADDRESS of the AGENT and if there  
is no agent, of the APPLICANT<sup>(1)</sup>

**IDENTIFICATION OF THE INTERNATIONAL APPLICATION**

International Application No.

International Filing Date

Applicant (Name)

**NOTIFICATION**

The applicant is hereby notified that this International Searching Authority has considered the request for rectifying "Obvious Errors of Transcription"<sup>(2)</sup> in the above-identified international application/ in other papers submitted by the applicant and has decided:

1.  to authorize the rectification for the following reasons. <sup>(3)</sup> (specify)

2.  to refuse the rectification for the following reasons. <sup>(3)</sup> (specify)

(A copy of this notification has been sent <sup>(4)</sup> to the International Bureau)

**THE INTERNATIONAL SEARCHING AUTHORITY**

Name and Mailing Address

Signature of Authorized Officer

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where an applicant is represented by an agent, that agent, or where an applicant is represented by several agents, the agent first mentioned in the request or, in the absence of such an indication, the agent first mentioned in the first filed separate power of attorney shall be considered as the addressee for the purposes of correspondence from the International Authorities." (Section 108(a))

"Where several applicants are represented by a common representative or a common agent, that representative or agent, or where several applicants are represented by several common agents, the common agent first mentioned in the request or, in the absence of such an indication, the common agent first mentioned in the first filed separate power of attorney shall be considered as the addressee." (Section 108(b))

(2) Rule 91 entitled "Obvious Errors of Transcription" reads as follows:

"91.1. Rectification

(a) Subject to paragraphs (b) to (g), obvious errors of transcription in the international application or other papers submitted by the applicant may be rectified.

(b) Errors which are due to the fact that something other than what was obviously intended was written in the international application or other paper shall be regarded as obvious errors of transcription. The rectification itself shall be obvious in the sense that anyone would immediately realize that nothing else could have been intended than what is offered as rectification.

(c) Omissions of entire elements or sheets of the international application, even if clearly resulting from inattention, at the stage, for example, of copying or assembling sheets, shall not be rectifiable.

(d) Rectification may be made on the request of the applicant. The authority having discovered what appears to be an obvious error of transcription may invite the applicant to present a request for rectification as provided in paragraphs (e) to (g).

(e) No rectification shall be made except with the express authorization:

(i) of the receiving Office if the error is in the request,

(ii) of the International Searching Authority if the error is in any part of the international application other than the request or in any paper submitted to that Authority,

(iii) of the International Preliminary Examining Authority if the error is in any part of the international application other than the request or in any paper submitted to that Authority, and

(iv) of the International Bureau if the error is in any paper, other than the international application or amendments or corrections to that application, submitted to the International Bureau.

(f) The date of the authorization shall be recorded in the files of the international application.

(g) The authorization for rectification referred to in paragraph (e) may be given until the following events occur:

(i) in the case of authorization given by the receiving Office and the International Bureau, the communication of the international application under Article 20;

(ii) in the case of authorization given by the International Searching Authority, the establishment of the international search report or the making of a declaration under Article 17(2)(a);

(iii) in the case of authorization given by the International Preliminary Examining Authority, the establishment of the international preliminary examination report.

(h) Any authority, other than the International Bureau, which authorizes any rectification shall promptly inform the International Bureau of such rectification.

(3) "Any International Authority which authorizes or refuses a request for rectification of obvious errors of transcription under Rule 91 shall promptly inform the applicant of such authorization or refusal and, where appropriate, the reasons therefore." (Section 109)

(4) See Rule 91.1(h) quoted in note (2) above.

# PATENT COOPERATION TREATY

TO

FROM

the INTERNATIONAL SEARCHING AUTHORITY  
identified at the bottom of this page

NOTIFICATION OF EXPRESSIONS, ETC. NOT TO  
BE USED IN INTERNATIONAL APPLICATIONS

issued pursuant to PCT Rule 9<sup>(2)</sup>

Inscribe NAME and ADDRESS of the AGENT and if there  
is no agent, of the APPLICANT<sup>(1)</sup>

DATE OF MAILING by the International Searching Authority

IDENTIFICATION OF THE INTERNATIONAL APPLICATION	
International Application No.	International Filing Date
Applicant (Name)	

NOTIFICATION
<p>The applicant is hereby notified that the above-identified international application lacks compliance with Rule 9.1 for the reasons indicated below. <sup>(2)</sup></p> <ol style="list-style-type: none"> <li>1. <input type="checkbox"/> The international application contains expressions or drawings contrary to morality. (specify)</li>   <li>2. <input type="checkbox"/> The international application contains expressions or drawings contrary to public order. (specify)</li>   <li>3. <input type="checkbox"/> The international application contains statements disparaging the products or processes of any particular person other than the applicant. (specify)</li>   <li>4. <input type="checkbox"/> The international application contains statements disparaging the merits or validity of applications or patents of any particular person other than the applicant. (specify)</li>   <li>5. <input type="checkbox"/> The international application contains statements or matter obviously irrelevant or unnecessary under the circumstances. (specify)</li> </ol> <p style="text-align: center;">THE APPLICANT IS HEREBY INVITED TO VOLUNTARILY CORRECT THE INTERNATIONAL APPLICATION ACCORDINGLY. <sup>(3)</sup></p> <p>(A copy of this notification has been sent <sup>(3)</sup> to the Receiving Office and the International Bureau)</p>

THE INTERNATIONAL SEARCHING AUTHORITY	
Name and Mailing Address	Signature of Authorized Officer

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where an applicant is represented by an agent, that agent, or where an applicant is represented by several agents, the agent first mentioned in the request or, in the absence of such an indication, the agent first mentioned in the first filed separate power of attorney shall be considered as the addressee for the purposes of correspondence from the International Authorities." (Section 108(a))

"Where several applicants are represented by a common representative or a common agent, that representative or agent, or where several applicants are represented by several common agents, the common agent first mentioned in the request or, in the absence of such an indication, the common agent first mentioned in the first filed separate power of attorney shall be considered as the addressee." (Section 108(b))

(2) Rule 9 entitled "Expressions, Etc., Not To Be Used" reads as follows:

"9.1 Definition

The international application shall not contain:

- (i) expressions or drawings contrary to morality;
- (ii) expressions or drawings contrary to public order;
- (iii) statements disparaging the products or processes of any particular person other than the applicant, or the merits or validity of applications or patents of any such person (mere comparisons with the prior art shall not be considered disparaging per se);
- (iv) any statement or other matter obviously irrelevant or unnecessary under the circumstances.

"9.2 Noting of Lack of Compliance

The receiving Office and the International Searching Authority may note lack of compliance with the prescriptions of Rule 9.1 and may suggest to the applicant that he voluntarily correct his international application accordingly. If the lack of compliance was noted by the receiving Office, that Office shall inform the competent International Searching Authority and the International Bureau; if the lack of compliance was noted by the International Searching Authority, that Authority shall inform the receiving Office and the International Bureau.

"9.3 Reference to Article 21(6)

"Disparaging statements", referred to in Article 21(6), shall have the meaning as defined in Rule 9.1(iii)."

(3) See Rule 9.2 quoted in the preceding note.

# PATENT COOPERATION TREATY

TO

WIPO  
32, chemin des Colombettes  
1211 Geneva 20  
Switzerland

FROM the INTERNATIONAL SEARCHING AUTHORITY  
identified at the bottom of this page

NOTIFICATION CONCERNING  
DOCUMENTS TRANSMITTED

issued pursuant to PCT Rules 44.1, (1)  
44.3(c)(2) and 48.3(b)(3)

DATE OF MAILING by the International Searching Authority

IN ITS CAPACITY AS THE INTERNATIONAL BUREAU

## IDENTIFICATION OF THE INTERNATIONAL APPLICATION

International Application No.

International Filing Date

Applicant (Name)

## NOTIFICATION

This International Searching Authority transmits herewith the following indicated documents:

1.  \_\_\_\_\_ (number of) copies of international search reports <sup>(1)</sup>
2.  \_\_\_\_\_ (number of) copies of declaration of non-establishment of the international search report <sup>(1)</sup>
3.  \_\_\_\_\_ (number of) copies of documents cited in international search reports <sup>(2)</sup>
4.  \_\_\_\_\_ (number of) translations of international applications <sup>(3)</sup>

Attached is a list identifying each document transmitted by the international application number, the international filing date and the name of the applicant.

## THE INTERNATIONAL SEARCHING AUTHORITY

Name and Mailing Address

Signature of Authorized Officer

These notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations.

- (1) "The International Searching Authority shall, on the same day, transmit one copy of the international search report or the declaration referred to in Article 17(2) (a) to the International Bureau and one copy to the applicant." (Rule 44.1)
- (2) "Any International Searching Authority not wishing to send copies direct to any designated Office shall send a copy to the International Bureau and the International Bureau shall then proceed as provided in paragraphs (a) and (b)." (Rule 44.3(c))
- (3) "If the international application is filed in a language other than English, French, German, Japanese, or Russian, that application shall be published in English translation. The translation shall be prepared under the responsibility of the International Searching Authority, which shall be obliged to have it ready in time to permit the communication under Article 20 by the prescribed date, or, if the international publication is due at an earlier date than the said communication, to permit international publication by the prescribed date." (Rule 48.3(b))

International Application No.	International Filing Date	Applicant



# PATENT COOPERATION TREATY

TO

FROM the INTERNATIONAL SEARCHING AUTHORITY  
identified at the bottom of this page

NOTIFICATION OF TRANSMITTAL OF  
THE INTERNATIONAL SEARCH REPORT  
OR THE DECLARATION

issued pursuant to PCT Rule 44.1<sup>(2)</sup>

DATE OF MAILING by the International Searching Authority

Inscribe NAME and ADDRESS of the AGENT and if there  
is no agent, of the APPLICANT<sup>(1)</sup>

## IDENTIFICATION OF THE INTERNATIONAL APPLICATION

International Application No.

International Filing Date

Applicant (Name)

## NOTIFICATION

The applicant is hereby notified that, in regard to the above-identified international application, this International Searching Authority transmits herewith:<sup>(2)</sup>

1.  the international search report.
2.  the declaration to the effect that no international search report will be established.<sup>(3)</sup>
3.  the international search report in respect of certain claims and a declaration to the effect that no international search report will be established in respect of the other claims.<sup>(4)</sup>

THE ATTENTION OF THE APPLICANT IS DRAWN TO THE TIME LIMIT WHICH RUNS FROM THE DATE OF MAILING OF THE INTERNATIONAL SEARCH REPORT<sup>(5)</sup> OR OF THE DECLARATION<sup>(6)</sup>.

## THE INTERNATIONAL SEARCHING AUTHORITY

Name and Mailing Address

Signature of Authorized Officer

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where an applicant is represented by an agent, that agent, or where an applicant is represented by several agents, the agent first mentioned in the request or, in the absence of such an indication, the agent first mentioned in the first filed separate power of attorney shall be considered as the addressee for the purposes of correspondence from the International Authorities." (Section 108(a))

"Where several applicants are represented by a common representative or a common agent, that representative or agent, or where several applicants are represented by several common agents, the common agent first mentioned in the request or, in the absence of such an indication, the common agent first mentioned in the first filed separate power of attorney shall be considered as the addressee." (Section 108(b))

(2) "The International Searching Authority shall, on the same day, transmit one copy of the international search report or the declaration referred to in Article 17(2)(a) to the International Bureau and one copy to the applicant." (Rule 44.1)

(3) "If the International Searching Authority considers

(i) that the international application relates to a subject matter which the International Searching Authority is not required, under the Regulations, to search, and in the particular case decides not to search, or

(ii) that the description, the claims, or the drawings, fail to comply with the prescribed requirements to such an extent that a meaningful search could not be carried out,

the said Authority shall so declare and shall notify the applicant and the International Bureau that no international search report will be established." (Article 17(2)(a))

(4) "If any of the situations referred to in subparagraph (a) is found to exist in connection with certain claims only, the international search report shall so indicate in respect of such claims, whereas, for the other claims, the said report shall be established as provided in Article 18." (Article 17.2(b))

(5) "The applicant shall, after having received the international search report, be entitled to one opportunity to amend the claims of the international application by filing amendments with the International Bureau within the prescribed time limit. He may, at the same time, file a brief statement, as provided in the Regulations, explaining the amendments and indicating any impact that such amendments might have on the description and the drawings." (Article 19(1))

"The time limit referred to in Article 19 shall be 2 months from the date of transmittal of the international search report to the International Bureau and to the applicant by the International Searching Authority or, when such transmittal takes place before the expiration of 14 months from the priority date, 3 months from the date of such transmittal." (Rule 46.1)

(6) "The applicant shall furnish a copy of the international application (unless the communication provided for in Article 20 has already taken place) and a translation thereof (as prescribed), and pay the national fee (if any), to each designated Office not later than at the expiration of 20 months from the priority date. Where the national law of the designated State requires the indication of the name of and other prescribed data concerning the inventor but allows that these indications be furnished at a time later than that of the filing of a national application, the applicant shall, unless they were contained in the request, furnish the said indications to the national Office of or acting for that State not later than at the expiration of 20 months from the priority date." (Article 22(1))

"Notwithstanding the provisions of paragraph (1), where the International Searching Authority makes a declaration, under Article 17(2)(a), that no international search report will be established, the time limit for performing the acts referred to in paragraph (1) of this Article shall be two months from the date of the notification sent to the applicant of the said declaration." (Article 22(2))

**PATENT COOPERATION TREATY**

TO

FROM the INTERNATIONAL SEARCHING AUTHORITY  
identified at the bottom of this page

**INVITATION TO PAY FOR REQUESTED COPIES  
OF CITED DOCUMENTS**

issued pursuant to PCT Rule 44.3(b)<sup>(3)</sup>

Inscribe NAME and ADDRESS of the AGENT and if there is no agent, of the Applicant.<sup>(1)</sup> If the request is made by a DESIGNATED OFFICE inscribe NAME and ADDRESS of such Office.

DATE OF MAILING by the International Searching Authority

**IDENTIFICATION OF THE INTERNATIONAL APPLICATION**

International Application No.

International Filing Date

Applicant (Name)

**INVITATION**

This International Searching Authority will, upon receipt of payment in the amount of \_\_\_\_\_, promptly transmit the requested copies of the documents which were cited in the International Search Report established on the above-identified international application.<sup>(2)</sup>

THE REQUESTER IS HEREBY INVITED TO MAKE PAYMENT BY [CHEQUE, POSTAL MONEY ORDER, BANK DRAFT, CASH, REVENUE STAMPS, DEBITING DEPOSIT ACCOUNT NO. \_\_\_\_\_, COUPONS, ETC.] TO THE [AMOUNT OF, ACCOUNT INDICATED BELOW OF, ORDER OF] THIS INTERNATIONAL SEARCHING AUTHORITY.<sup>(3)</sup>

**THE INTERNATIONAL SEARCHING AUTHORITY**

Name and Mailing Address

Signature of Authorized Officer

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

"Where an applicant is represented by an agent, that agent, or where an applicant is represented by several agents, the agent first mentioned in the request or, in the absence of such an indication, the agent first mentioned in the first filed separate power of attorney shall be considered as the addressee for the purposes of correspondence from the International Authorities." (Section 108(a))

"Where several applicants are represented by a common representative or a common agent, that representative or agent, or where several applicants are represented by several common agents, the common agent first mentioned in the request or, in the absence of such an indication, the common agent first mentioned in the first filed separate power of attorney shall be considered as the addressee." (Section 108(b))

(2) "At the request of the designated Office or the applicant, the International Searching Authority shall send to the said Office or the applicant, respectively, copies of the documents cited in the international search report, as provided in the Regulations." (Article 20(3))

(3) "The International Searching Authority may require that the party (applicant or designated Office) presenting the request pay to it the cost of preparing and mailing the copies. The level of the cost of preparing and mailing copies shall be provided for in the agreements referred to in Article 16(3)(b) between the International Searching Authorities and the International Bureau." (Rule 44.3(b))

**PATENT COOPERATION TREATY**

TO

FROM

the INTERNATIONAL SEARCHING AUTHORITY  
identified at the bottom of this page

INVITATION TO PAY FEE FOR  
PREPARATION OF DRAFT TRANSLATION

issued pursuant to PCT Rule 48.3(b)<sup>(2)</sup>

Inscribe NAME and ADDRESS of the AGENT and if there is no agent, of the APPLICANT<sup>(1)</sup>

DATE OF MAILING by the International Searching Authority

IDENTIFICATION OF THE INTERNATIONAL APPLICATION	
International Application No.	International Filing Date
Applicant (Name)	

INVITATION
<p>This International Searching Authority will, upon receipt of payment of a fee in the amount of _____, undertake the responsibility of preparing a draft translation of the above-identified international application into the English language.<sup>(2)</sup></p> <p>THE APPLICANT IS HEREBY INVITED TO PAY THE FEE WITHIN ..... (DAYS) (MONTHS) FROM THE DATE OF MAILING INDICATED ABOVE.<sup>(2)</sup> PAYMENT MAY BE MADE BY [CHEQUE, POSTAL MONEY ORDER, BANK DRAFT, CASH, REVENUE STAMPS, DEBITING DEPOSIT ACCOUNT NO. ...., COUPONS, ETC.] TO THE [ACCOUNT OF, ACCOUNT INDICATED BELOW OF, ORDER OF] THE INTERNATIONAL SEARCHING AUTHORITY.</p> <p>Computation of the time limit starts on the day following the date of mailing of the present invitation.<sup>(3)</sup> Within this time limit the payment has to reach this Receiving Office.</p>

THE INTERNATIONAL SEARCHING AUTHORITY	
Name and Mailing Address	Signature of Authorized Officer

These Notes are intended to facilitate the use of the present form. For full information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. "Article" refers to Articles of the Treaty, "Rule" refers to Rules of the Regulations and "Section" refers to Sections of the Administrative Instructions.

(1) This applicant is, in the cases contemplated in Rule 4.8, the "Common Representative."

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"Where several applicants are represented by a common representative or a common agent, that representative or agent, or where several applicants are represented by several common agents, the common agent first mentioned in the request or, in the absence of such an indication, the common agent first mentioned in the first filed separate power of attorney shall be considered as the addressee." (Section 108(b))

(2) "If the international application is filed in a language other than English, French, German, Japanese, or Russian, that application shall be published in English translation. The translation shall be prepared under the responsibility of the International Searching Authority, which shall be obliged to have it ready in time to permit the communication under Article 20 by the prescribed date, or, if the international publication is due at an earlier date than the said communication, to permit international publication by the prescribed date. Notwithstanding Rule 16.1(a), the International Searching Authority may charge a fee for the translation to the applicant. The International Searching Authority shall give the applicant an opportunity to comment on the draft translation. The International Searching Authority shall fix a time limit reasonable under the circumstances of the case for such comments. If there is no time to take the comments of the applicant into account before the translation is communicated or if there is a difference of opinion between the applicant and the said Authority as to the correct translation, the applicant may send a copy of his comments, or what remains of them, to the International Bureau and each designated Office to which the translation was communicated. The International Bureau shall publish the essence of the comments together with the translation of the International Searching Authority or subsequently to the publication of such translation." (Rule 48.3(b))

(3) "When a period is expressed as a certain number of days, computation shall start on the day following the day on which the relevant event occurred, and the period shall expire on the day on which the last day of the count has been reached." (Rule 80.3)

"The date which is taken into consideration as the starting date of the computation of any period shall be the date which prevails in the locality at the time when the relevant event occurred." (Rule 80.4(a))

"The date on which any period expires shall be the date which prevails in the locality in which the required document must be filed or the required fee must be paid." (Rule 80.4(b))

"If the expiration of any period during which any document or fee must reach a national Office or intergovernmental organization falls on a day on which such Office or organization is not open to the public for the purposes of the transaction of official business, or on which ordinary mail is not delivered in the locality in which such Office or organization is situated, the period shall expire on the next subsequent day on which neither of the said two circumstances exists." (Rule 80.5)

"A period expiring on a given day shall expire at the moment the national Office or intergovernmental organization with which the document must be filed or to which the fee must be paid closes for business on that day." (Rule 80.7(a))

"Any Office or organization may depart from the provisions of paragraph (a) up to midnight on the relevant day." (Rule 80.7(b))