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UNITED INTERNATIONAL BUREAUX FOR THE PROTECTION OF INTELLECTUAL PROPERTY
GENEVA

PATENT COOPERATION TREATY

INTERIM ADVISORY COMMITTEE FOR ADMINISTRATIVE QUESTIONS

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DRAFT

ADMINISTRATIVE INSTRUCTIONS
UNDER THE PATENT COOPERATION TREATY

prepared by the International Bureau

SUMMARY

This document contains a draft of the PCT "Administrative Instructions" which relate to the procedures under Chapter I of the PCT.

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INTRODUCTION

1. Article 58(4) of the Patent Cooperation Treaty (PCT) stipulates that "The Regulations provide for the establishment, under the control of the Assembly, of Administrative Instructions by the Director General."

2. Rule 89 of the PCT reads as follows:

"Administrative Instructions

"89.1 Scope

(a) The Administrative Instructions shall contain provisions:

(i) concerning matters in respect of which these Regulations expressly refer to such Instructions,

(ii) concerning any details in respect of the application of these Regulations.

(b) The Administrative Instructions shall not be in conflict with the provisions of the Treaty, these Regulations, or any agreement concluded by the International Bureau with an International Searching Authority, or an International Preliminary Examining Authority.

"89.2 Source

(a) The Administrative Instructions shall be drawn up and promulgated by the Director General after consultation with the receiving Offices and the International Searching and Preliminary Examining Authorities.

(b) They may be modified by the Director General after consultation with the Offices or Authorities which have a direct interest in the proposed modifications.

(c) The Assembly may invite the Director General to modify the Administrative Instructions, and the Director General shall proceed accordingly.

"89.3 Publication and Entry Into Force

(a) The Administrative Instructions and any modification thereof shall be published in the Gazette.

(b) Each publication shall specify the date on which the published provisions come into effect. The dates may be different for different provisions, provided that no provision may be declared effective prior to its publication in the Gazette."

Contents of this Document

3. This document represents the first attempt of the International Bureau to draft Administrative Instructions.

This first draft covers the procedures under Chapter I of the PCT as far as the international application and the tasks of receiving Offices and the International Searching Authorities are concerned. Procedures for the International Bureau under Chapter I will be presented in a supplementary document if time permits. Procedures under Chapter II of the PCT will be covered in a draft which will be presented to a subsequent session of the Interim Committee. The drafts of a number of Forms referred to in the draft Administrative Instructions have also been prepared but have been first submitted to the Standing Subcommittee of the PCT Interim Committee for Technical Cooperation since most of them interest the members of that Subcommittee in several capacities: as prospective receiving Offices and as prospective International Searching and/or Preliminary Examining Authorities. Once their advice has been obtained, and the Forms revised accordingly, they will be submitted to the PCT Interim Committee for Administrative Questions.

Distribution of the Material Between Text and Forms

4. In drafting the Administrative Instructions and their Forms, one may hesitate as to how much of what must be provided for should be dealt with in the various provisions (called "Sections") of the Administrative Instructions and how much in the Forms. The system followed in the drafts is based on two principles, namely: (i) that as much as possible should go into the draft Forms, and (ii) that whatever results from the Forms should not be repeated in the Sections of the Administrative Instructions. The reason for the first is that the Form is more easy to understand than any text since the Form not only contains but, to a great extent, also illustrates the practical application of any prescription. The reason for the second is that duplication should be avoided as much as possible and it would be impossible to avoid considerable duplication if the text of the Sections were to give directions on what each Form must contain.

5. The Committee is invited to examine and comment on the draft Administrative Instructions contained in this document.

DRAFT
ADMINISTRATIVE INSTRUCTIONS UNDER THE PCT

PART A

INTRODUCTORY PROVISIONS

SECTION 001

ABBREVIATED EXPRESSIONS

In these Administrative Instructions:

- (i) "Treaty" means the Patent Cooperation Treaty;
- (ii) "Regulations" means the Regulations under the Treaty;
- (iii) "Article" means an Article of the Treaty;
- (iv) "Rule" means a Rule of the Regulations;
- (v) "International Bureau" means the International Bureau of the World Intellectual Property Organization.

SECTION 002

FORMS

All Forms referred to in these Administrative Instructions and all other Forms established by the International Bureau are attached to and are part of these Administrative Instructions.

PART B

INSTRUCTIONS RELATING TO THE
INTERNATIONAL APPLICATION

SECTION 101

PARTICULARS OF THE PRINTED FORM OF THE REQUEST UNDER RULE 3.4

Form PCT/RO/1 contains the particulars which are necessary to meet the requirements of the Regulations with respect to the printed Form of the request under Rule 3.

SECTION 102

MANNER OF INDICATING DATES

Alternative A

Any date shall be indicated by the arabic number of the day, by the name of the month, and by the arabic numeral of the year, in the said order (for example, 30 March 1972).

Alternative B

Any date shall be indicated by two arabic digits each for the day, the month and the year, in that order and with a period after the digit pairs of the day and the month (for example, 30.03.72).

SECTION 103

NAMES OF DESIGNATED STATES UNDER RULE 4.9

Any State shall be indicated either by the full official name thereof or by a shorter version as indicated in Annex A.

SECTION 104

INDICATION OF THE KIND OF PROTECTION UNDER RULE 4.12

(a) Where the applicant wishes his application to be treated in any designated State as an application not for a patent but for another kind of protection referred to in Article 43, he shall place the word(s) "inventor's certificate," "utility certificate," "utility model," "patent of addition," "certificate of addition," "inventor's certificate of addition" or "utility certificate of addition" immediately after the name of the said State.

(b) In the case of Article 44, the applicant shall place, immediately after the name of the designated State concerned, either

(i) any two of the following terms connected by the word "and":
"patent," "inventor's certificate," "utility certificate," "utility model,"
"patent of addition," "certificate of addition," "inventor's certificate of
addition," "utility certificate of addition," or

(ii) any two of the terms indicated in (i) above, one of them preceded by the word "primarily," the other by the word "subsidiarily."

SECTION 105

INDICATION OF REGIONAL PATENT UNDER RULE 4.1(b) (iv)

Where the applicant wishes to obtain a regional patent in respect of any designated State, he shall place the words "regional patent" immediately after the name of the said State, provided that, where Article 45(2) applies, the International Bureau shall treat the designation as if it contained the said words even where the applicant failed to indicate them, and provided further that, where Article 4(1)(ii), third sentence, applies, and not all the States have been designated, the International Bureau shall treat the international application as if all had been designated where in respect of one the wish to obtain a regional patent has been indicated.

SECTION 106

THE HEADINGS OF THE PARTS OF THE DESCRIPTION UNDER RULE 5.1(c)

The following are the headings suggested under Rule 5.1(c) for the parts of the description:

- (i) Technical Field;
- (ii) Background Art;
- (iii) Disclosure;
- (iv) Brief Description of Drawings;
- (v) Best Mode for Carrying Out the Claimed Invention;
- (vi) Industrial Applicability.

SECTION 107

METHOD OF NUMBERING CLAIMS UPON AMENDMENT
OF CLAIMS UNDER RULE 6.1(c)

- (a) A claim amended in part shall maintain its original number.
- (b) A deleted claim shall be indicated by the original number followed by the notation "(cancelled)." If a deleted claim contained the "main invention," that is, the invention first mentioned in the claims (see Article 17(3)(a)), and such "main invention" is contained in another claim, the applicant may place the following indication after the number of the deleted claim "(cancelled; main invention contained in claim No. _____)."
- (c) Any claim which did not appear in the international application as filed shall bear the next number after the highest previously numbered claim followed by the words "(new claim)."

PART C

INSTRUCTIONS RELATING TO THE RECEIVING OFFICE

SECTION 201

NAME OF THE RECEIVING OFFICE

Alternative A

The name of the receiving Office shall be indicated by its full, official designation whenever the indication of the receiving Office is necessary under the Regulations.

Alternative B

The receiving Office shall be indicated by the letters "RO/" followed by the receiving Office's two-letter code (for example, "RO/DT") according to Annex B.

SECTION 202

NON-INDICATION OF CERTAIN DATA IN THE REQUEST CONCERNING
THE PRIORITY CLAIM UNDER RULE 4.10(b)

If the request fails to meet the requirements of Rule 4.10(b), the receiving Office shall notify the applicant that, for the purpose of the procedure under the Treaty, the priority claim shall be considered not to have been made. If copies of the international application have already been sent to the International Bureau and the International Searching Authority, the receiving Office shall also notify that Bureau and that Authority. If the receiving Office has failed to notify the applicant of the failure to meet the requirements of Rule 4.10(b), the International Bureau shall notify the applicant, the receiving Office and the International Searching Authority that the priority claim shall be considered not to have been made.

SECTION 203

DELETION OF ADDITIONAL MATTER
IN THE REQUEST UNDER RULE 4.17(b)

Where, under Rule 4.17(b), the receiving Office deletes ex officio any matter contained in the request, it shall do so by placing such matter between square brackets and entering the word "DELETED" in the right-hand margin adjacent to the matter so bracketed.

SECTION 204

CORRECTIONS UNDER RULE 9 SUBMITTED
TO THE RECEIVING OFFICE

If Rule 9 corrections are submitted by the applicant to the receiving Office, that Office shall, if copies of the international application have already been transmitted to the International Bureau and the International Searching Authority, transmit copies of the corrections submitted to that Bureau and that Authority.

SECTION 205

VERIFYING THE IDENTITY OF COPIES OF THE
INTERNATIONAL APPLICATION UNDER RULE 11.1(b)

Where the international application has been filed in more than one copy, the receiving Office shall choose one copy as the record copy, shall stamp the words "record copy" on its first page and the words "identical with the record copy" on any other copy.

SECTION 206

CHANGE IN THE PERSON OR NAME OF THE APPLICANT
UNDER RULE 18.5

Any request for the recording of any change in the person or name of the applicant referred to in Rule 18.5 shall be signed by the applicant or the receiving Office. Where the change is in the person of the applicant, the request shall, where the address has also changed, contain also the new address. In either case, the request shall also indicate the name of the applicant as appearing in the international application as originally filed. Rule 4.4 shall apply to any name and address indicated in the request.

SECTION 207

SYSTEM FOR NUMBERING PCT INTERNATIONAL APPLICATIONS
UNDER RULE 20.1

Every receiving Office shall, in numbering international applications, stamp on each sheet thereof in the prescribed location the letters "PCT" followed by a dash, a two-letter code as in country code (Annex B) for the receiving Office, a slant, and a serial number which will be assigned from time to time by the International Bureau to each Office, e.g., PCT-US75/12001; PCT-DT76/2578, etc.

SECTION 208

PLACE FOR THE DATE AND NUMBER UNDER RULE 20.1(b)

The international application number shall be stamped in the upper right-hand corner of each sheet of each copy of the international application. Any sheet pertaining to the international application but received after the date of receipt of the sheets first received shall, in addition to the international application number stamped in the upper right-hand corner, be stamped with the date on which that sheet was received and the stamp shall be placed immediately below said number.

SECTION 209

PROCEDURE IN THE CASE OF LATER SUBMITTED
SHEETS UNDER RULE 20.2(a) (i)

(a) If later submitted sheets are received by the receiving Office within 30 days from the date on which sheets were first received, the receiving Office shall:

- (i) effect any correction resulting therefrom in the international filing date;
- (ii) notify the applicant of any correction effected in the international filing date;
- (iii) if Article 12(1) transmittals have already been made, notify the International Bureau and the International Searching Authority of any correction in the international filing date and forward copies of the later submitted sheets to that Bureau and that Authority.

(b) If the later submitted sheets are received by the receiving Office later than 30 days from the date on which sheets were first received, the receiving Office shall:

- (i) notify the applicant of that fact and of the date of receipt;
- (ii) if Article 12(1) transmittals have already been made, send a copy of the later submitted sheets as dated under Rule 20.2(b) to the International Bureau;
- (iii) if Article 12(1) transmittals have not been made, attach a copy of the later submitted sheets as dated under Rule 20.2(b) to the record copy.

SECTION 210

PROCEDURE IN THE CASE OF MISSING DRAWINGS
UNDER ARTICLE 14(2) AND RULES 20.2(a)(iii)
AND 26.6

(a) If later submitted drawings are received within 30 days from the date on which the incomplete papers were filed, the receiving Office shall:

- (i) effect any correction resulting therefrom in the international filing date;
- (ii) notify the applicant of any correction effected in the international filing date;
- (iii) if Article 12(1) transmittals have already been made, notify the International Bureau and the International Searching Authority of any correction in the international filing date and transmit copies of the later submitted drawings to that Bureau and that Authority.

(b) If the later submitted drawings are received by the receiving Office later than 30 days from the date on which the incomplete papers were filed, the receiving Office shall:

- (i) notify the applicant of that fact and of the date of receipt;
- (ii) if Article 12(1) transmittals have already been made, send a copy of the later submitted drawings as dated under Rule 20.2(b) to the International Bureau;
- (iii) if Article 12(1) transmittals have not been made, attach a copy of the later submitted drawings as dated under Rule 20.2(b) to the record copy.

SECTION 211

NUMBERING AND MARKING REPLACEMENT SHEETS UNDER RULE 26.4(b)

All replacement sheets shall retain the original numbering of the sheets replaced. When marking replacement sheets, the international application number shall be indicated in the upper right-hand corner of each replacement sheet together with the date on which it was received and the stamp of the receiving Office.

PART D

INSTRUCTIONS RELATING TO THE
INTERNATIONAL SEARCHING AUTHORITY

SECTION 301

CORRECTIONS UNDER RULE 9 SUBMITTED TO
THE INTERNATIONAL SEARCHING AUTHORITY

If Rule 9 corrections are submitted by the applicant to the International Searching Authority, that Authority shall transmit copies of the corrections submitted to the receiving Office and the International Bureau.

SECTION 302

PROTEST AND DECISION THEREON UNDER RULE 40.2(c)

The International Searching Authority shall transmit to the applicant any decision under Rule 40.2(c) at the latest together with the international search report. Furthermore, it shall transmit to the International Bureau both a copy of the protest and of the decision referred to in Rule 40.2(c) at the latest together with the international search report. The notification referred to in the third sentence of Rule 40.2(c) shall be made by the International Bureau.

SECTION 303

METHOD OF IDENTIFYING CITED DOCUMENTS UNDER RULE 43.5(b)

Any document cited in the international search report shall be identified by indicating the following elements:

- (a) in the case of any patent document
 - (i) the kind of the document by the appropriate symbol according to Annex C;
 - (ii) the country of issue according to Annex B;
 - (iii) the number of the document given to it by the national Office that issued it;
- (b) in the case of any book
 - (i) the title of the book (including, where applicable, the number of the edition and volume);

- (ii) the name of the author;
- (iii) the place of the publication;

- (c) in the case of any periodical
 - (i) the title of the periodical;
 - (ii) the number and date of the volume and issue numbers;
 - (iii) the place of the publication;
 - (iv) the title, author and page of the article.

SECTION 304

PHYSICAL REQUIREMENTS OF THE FORM OF THE
INTERNATIONAL SEARCH REPORT UNDER RULE 43.10

The particulars of the physical requirements of any international search report are contained in Form PCT/ISA/10, annexed to these Administrative Instructions.

Annexes A, B and C follow

ENGLISH ALPHABETICAL LIST OF COUNTRIES

(Members of the Paris Union)

| <u>Short Title</u> | <u>Full Title</u> |
|--|--|
| Algeria | Democratic and Popular Republic of Algeria |
| Argentina | Argentine Republic |
| Australia | Commonwealth of Australia |
| Austria | Republic of Austria |
| Belgium | Kingdom of Belgium |
| Brazil | Federative Republic of Brazil |
| Bulgaria | People's Republic of Bulgaria |
| Cameroon | Federal Republic of Cameroon |
| Canada | Canada |
| Central African Republic | Central African Republic |
| Ceylon | Ceylon |
| Chad | Republic of Chad |
| Congo | People's Republic of the Congo |
| Cuba | Republic of Cuba |
| Cyprus | Republic of Cyprus |
| Czechoslovakia | Czechoslovak Socialist Republic |
| Dahomey | Republic of Dahomey |
| Denmark | Kingdom of Denmark |
| Dominican Republic | Dominican Republic |
| Egypt | Arab Republic of Egypt |
| Finland | Republic of Finland |
| France | French Republic |
| Gabon | Gabonese Republic |
| German Democratic Republic ¹ | German Democratic Republic ¹ |
| Germany (Federal Republic or Fed. Rep.) | Federal Republic of Germany |
| Greece | Kingdom of Greece |
| Haiti | Republic of Haiti |
| Holy See | Holy See |
| Hungary | Hungarian People's Republic |
| Iceland | Republic of Iceland |
| Indonesia | Republic of Indonesia |
| Iran | Empire of Iran |
| Ireland | Ireland |
| Israel | State of Israel |
| Italy | Italian Republic |
| Ivory Coast | Republic of the Ivory Coast |
| Japan | Japan |
| Jordan | Hashemite Kingdom of Jordan |
| Kenya | Republic of Kenya |

¹ The validity of this accession is contested by a number of Member States.

| <u>Short Title</u> | <u>Full Title</u> |
|-----------------------------|--|
| Lebanon | Lebanese Republic |
| Liechtenstein | Principality of Liechtenstein |
| Luxembourg | Grand Duchy of Luxembourg |
| Madagascar | Malagasy Republic |
| Malawi | Republic of Malawi |
| Malta | Malta |
| Mauritania. | Islamic Republic of Mauritania |
| Mexico | United Mexican States |
| Monaco | Principality of Monaco |
| Morocco | Kingdom of Morocco |
| Netherlands | Kingdom of the Netherlands |
| New Zealand | New Zealand |
| Niger | Republic of the Niger |
| Nigeria | Federal Republic of Nigeria |
| Norway | Kingdom of Norway |
| Philippines | Republic of the Philippines |
| Poland | Polish People's Republic |
| Portugal | Portuguese Republic |
| Republic of Viet-Nam | Republic of Viet-Nam |
| Rhodesia | Rhodesia |
| Romania | Socialist Republic of Romania |
| San Marino | Republic of San Marino |
| Senegal | Republic of Senegal |
| South Africa | Republic of South Africa |
| Soviet Union | Union of Soviet Socialist Republics |
| Spain | Spanish State |
| Sweden | Kingdom of Sweden |
| Switzerland | Swiss Confederation |
| Syrian Arab Republic | Syrian Arab Republic |
| Togo | Togolese Republic |
| Trinidad and Tobago | Trinidad and Tobago |
| Tunisia | Republic of Tunisia |
| Turkey | Republic of Turkey |
| Uganda | Republic of Uganda |
| United Kingdom | United Kingdom of Great Britain and Northern Ireland |
| United Republic of Tanzania | United Republic of Tanzania |
| United States of America | United States of America |
| Upper Volta | Republic of Upper Volta |
| Uruguay | Eastern Republic of Uruguay |
| Yugoslavia | Socialist Federal Republic of Yugoslavia |
| Zambia | Republic of Zambia |

LIST OF COUNTRIES AND ORGANIZATIONS
ACCORDING TO TWO-LETTER CODE

(the Codes are for the most part based on ICIREPAT recommendations)

| | |
|----|---|
| AD | ANDORRA/ANDORRE |
| AF | AFGHANISTAN/AFGHANISTAN |
| AG | ALGERIA/ALGÉRIE |
| AN | ALBANIA/ALBANIE |
| AR | ARGENTINA/ARGENTINE |
| AU | AUSTRALIA/AUSTRALIE |
| BB | BAHREIN/BAHREIN |
| BD | BARBADOS/BARBADE |
| BE | BELGIUM/BELGIQUE |
| BG | BULGARIA/BULGARIE |
| BH | BHUTAN/BHOUTAN |
| BI | BURUNDI/BURUNDI |
| BO | BOLIVIA/BOLIVIE |
| BR | BRAZIL/BRÉSIL |
| BT | BOTSWANA/BOTSWANA |
| BU | BURMA/BIRMANIE |
| CA | CANADA/CANADA |
| CB | CONGO/KINSHASA/CONGO |
| CD | CAMBODIA/CAMBODGE |
| CE | CHILE/CHILI |
| CF | CONGO/BRAZZAVILLE/CONGO |
| CH | SWITZERLAND/SUISSE |
| CI | IVORY COAST/COTE D'IVOIRE |
| CL | CEYLON/CEYLAN |
| CO | COLOMBIA/COLOMBIE |
| CR | COSTA RICA/COSTA RICA |
| CS | CZECHOSLOVAKIA/TCHÉCOSLOVAQUIE |
| CT | CHINA/TAIWAN/CHINE/TAÏWAN |
| CU | CUBA/CUBA |
| CV | HOLY SEE/SAINT-SIÈGE |
| CY | CYPRUS/CHYPRE |
| DA | DAHOMY/DAHOMY |
| DK | DENMARK/DANEMARK |
| DL | GERMANY ((DEM. REP.)/ALLEMAGNE (RÉP. DEM.)) |
| DR | DOMINICAN REPUBLIC/RÉPUBLIQUE DOMINICAINE |
| DT | GERMANY (FED. REP.)/ALLEMAGNE (RÉP. FÉD.) |
| EA | ETHIOPIA/ETHIOPIE |
| EC | ECUADOR/EQUATEUR |
| EI | IRELAND/IRLANDE |
| ES | SPAIN/ESPAGNE |

ET EGYPT/EGYPTE
FL LIECHTENSTEIN/LIECHTENSTEIN
FR FRANCE/FRANCE
GA GABON/GABON
GB UNITED KINGDOM/ROYAUME-UNI
GE GAMBIA/GAMBIE
GH GHANA/GHANA
GI GUINEA/GUINÉE
GR GREECE/GRECE
GU GUATEMALA/GUATEMALA
GY GUYANA/GUYANE
HI HAITI/HAÏTI
HO HONDURAS/HONDURAS
HU HUNGARY/HONGRIE
ID INDONESIA/INDONÉSIE
IL ISRAEL/ISRAËL
IN INDIA/INDE
IQ IRAQ/IRAK
IR IRAN/IRAN
IS ICELAND/ISLANDE
IT ITALY/ITALIE
JA JAPAN/JAPON
JM JAMAICA/JAMAÏQUE
JO JORDAN/JORDANIE
KA CAMEROON/CAMEROUN
KE KENYA/KENYA
KN NORTH KOREA/CORÉE DU NORD
KS SOUTH KOREA/CORÉE DU SUD
KU KUWAIT/KOWEÏT
LA LAOS/LAOS
LB LEBANON/LIBAN
LR LIBERIA/LIBÉRIA
LS LESOTHO/LESOTHO
LU LUXEMBOURG/LUXEMBOURG
LY LIBYA/LIBYE
MA MOROCCO/MAROC
MC MONACO/MONACO
MD MALAGASY REPUBLIC/RÉPUBLIQUE MALAGACHE
MJ MALI/MALI
ML MALTA/MALTE
MO MONGOLIA/MONGOLIE
MS MAURITIUS/L'ILE MAURICE
MT MAURITANIA/MAURITANIE
MU MUSCAT AND OMAN/MASCATE ET OMAN
MV MALDIVE ISLANDS/MALDIVES
MW MALAWI/MALAWI
MX MEXICO/MEXIQUE

MY MALAYSIA/MALAISIE
NA NICARAGUA/NICARAGUA
NI NIGER/NIGER
NL NETHERLANDS/PAYS-BAS
NO NORWAY/NORVÈGE
NP NEPAL/NÉPAL
NZ NEW ZEALAND/NOUVELLE-ZÉLANDE
OE AUSTRIA/AUTRICHE
PE PERU/PÉROU
PG PARAGUAY/PARAGUAY
PK PAKISTAN/PAKISTAN
PM PANAMA/PANAMA
PO POLAND/POLOGNE
PT PORTUGAL/PORTUGAL
QA QATAR/QATAR
RC CHINA/CHINE
RH RHODESIA/RHODÉSIE
RP PHILIPPINES/PHILIPPINES
RU ROMANIA/ROUMANIE
RW RWANDA/RWANDA
SA SAUDI ARABIA/L'ARABIE SÉOUDITE
SD SUDAN/SOUDAN
SF FINLAND/FINLANDE
SG SINGAPORE/SINGAPOUR
SL EL SALVADOR/EL SALVADOR
SM SAN MARINO/SAINT-MARIN
SN SENEGAL/SÉNÉGAL
SO SOMALIA/SOMALIE
SR SYRIA/SYRIE
SU SOVIET UNION/UNION SOVIÉTIQUE
SW SWEDEN/SUÈDE
SY SOUTH YEMEN/YEMEN DU SUD
TA TANZANIE/TANZANIE
TD TRINIDAD AND TOBAGO/TRINITÉ ET TOBAGO
TH THAILAND/THAÏLANDE
TN TUNISIA/TUNISIE
TO TOGO/TOGO
TR TURKEY/TURQUIE
TS CHAD/TCHAD
UG UGANDA/UGANDA
US UNITED STATES OF AMERICA/ÉTATS-UNIS D'AMÉRIQUE
UV UPPER VOLTA/HAUTE-VOLTA
UY URUGUAY/URUGUAY
VE VENEZUELA/VENEZUELA
VN NORTH VIETNAM/VIETNAM DU NORD
VS SOUTH VIETNAM/VIETNAM DU SUD

VT VIETNAM/VIETNAM
WL SIERRA LEONE/SIERRA LEONE
WN NIGERIA/NIGÉRIA
WS WESTERN SAMOA/SAMOA-OCCIDENTAL
YE YEMEN/YĒMEN
YU YUGOSLAVIA/YOUGOSLAVIE
ZA SOUTH AFRICA/AFRIQUE DU SUD
ZB ZAMBIA/ZAMBIE
ZR CENTRAL AFRICAN REPUBLIC/RÉPUBLIQUE CENTRAFRICAINE

AM AFRICAN AND MALAGASY / OFFICE AFRICAIN ET MALAGACHE DE LA
INDUSTRIAL PROPERTY OFFICE PROPRIÉTÉ INDUSTRIELLE
EP EUROPEAN PATENT OFFICE / OFFICE EUROPÉEN DE BREVETS
IB INTERNATIONAL PATENT INSTITUTE / INSTITUT INTERNATIONAL DE BREVETS
WO INTERNATIONAL BUREAU / BUREAU INTERNATIONAL

/Annex C follows/

DOCUMENT DESCRIPTION CODES FOR THE IDENTIFICATION
OF KINDS OF PATENT OR LIKE DOCUMENTS

Introduction

1. This document provides a series of single letter DD codes for use in references to patent or like documents, e.g., in international search reports.

Definitions

2. "Patent or inventor's certificate document" includes patents and inventors' certificates and applications therefor.
3. "Utility model or utility certificate document" includes utility models and utility certificates and applications therefor.
4. "Patent or like documents" includes patents, inventors' certificates, utility models or certificates and applications therefor.
5. "Making available to the public" means: (a) publication by printing or similar process and (b) laying open for public inspection and copying on request.
6. "Unsearched and unexamined" means that no documentary search has been made.
7. "Searched but not examined" means that a documentary search has been made but that the novelty or lack of novelty has not been examined.
8. "Searched and examined" means that a documentary search has been made and that the novelty or lack of novelty has been examined in relation to the documents found in the documentary search.
9. "DD Code" is used as an abbreviation for "document description code."
10. The list of DD codes to be used is as follows:

Document Description Code

Main or only series of patent or inventor's certificate documents

- . not granted on or before the date of making available to the public:
- A . . unsearched and unexamined
- S . . searched but not examined
- B . . searched and examined
- . granted on or before the date of making available to the public:
- D . . unsearched and unexamined
- T . . searched but not examined
- C . . searched and examined

Additions to patent or inventor's certificate documents, if differentiated from main series

- . not granted on or before the date of making available to the public:
- E . . unsearched and unexamined
- . granted on or before the date of making available to the public:
- F . . unsearched and unexamined
- G . . searched but not examined
- M Medicament patent documents, if differentiated from main series
- Q Additions to medicament patent documents if differentiated from M series
- H Confirmation patents; importation patents; patent applications lapsed before acceptance or grant; defensive publications
- I Patent or inventor's certificate documents not falling within any of the preceding categories

¹ This document represents a provisional draft of a matter still under study by ICIREPAT.

Main or only series of utility model or utility certificate documents

- . not granted on or before the date of making available to the public:
- U . . unsearched and unexamined
- Y . . searched and examined
- . granted on or before the date of making available to the public:
- . . unsearched and unexamined
- V . . . the application on which the grant is based not having been previously made available to the public
- Z . . . the application on which the grant is based having been previously made available to the public
- W . . searched and examined

Additions to utility model or utility certificate documents, if differentiated from main series

- J . not granted on or before the date of making available to the public
- . granted on or before the date of making available to the public
- K . . the application on which the grant is based not having been previously made available to the public
- L . . the application on which the grant is based having been previously made available to the public