

世界知识产权组织标准委员会（CWS）

第七届会议

2019年7月1日至5日，日内瓦

关于已公布文件编号和已注册权利编号的调查问卷

国际局编拟的文件

导 言

1. 在2016年第四届会议续会上，产权组织标准委员会（CWS）同意设立第50号任务，即“确保对产权组织《工业产权信息与文献手册》第七部分公布的调查进行必要的维护和更新”。标准委员会还组建了相应的工作队（第七部分工作队）。国际局被指定为工作队牵头人（见文件CWS/4BIS/16第73段和第122段（e）项）。

2. 在第六届会议上，标准委员会要求第七部分工作队编拟一份提案，通过已公布文件编号和已注册权利编号的调查问卷对《产权组织手册》第7.2.2部分进行更新，并将提案提交给第七届会议审议。委员会注意到，调查问卷应当包括已公布文件编号和已注册权利编号的现行做法和以前做法（见文件CWS/6/34第160段）。

调查问卷草案

3. 由于调查问卷仅是为了更新《产权组织手册》第7.2.2部分中的现有信息，第七部分工作队就调查问卷草案举行了一轮讨论。此外，由于第7.2.2部分中关于申请编号的条目已由第7.2.6部分和第7.2.7部分取代，该部分只剩下涉及已公布文件编号和已注册权利编号的信息。工作队呈交了供标准委员会审议的调查问卷草案终稿（转录于本文件附件），用于对工业产权局进行调查。

4. 请标准委员会：

(a) 注意本文件中的内容；

(b) 审议并批准转录于本文件附件中的调查问卷草案；

(c) 请秘书处发出通函，邀请各工业产权局参与上文所述的调查；并且

(d) 请国际局介绍上文第 3 段所述调查的结果，供第八届会议审议。

[后接附件]

DRAFT QUESTIONNAIRE ON NUMBERING SYSTEMS USED BY INDUSTRIAL PROPERTY OFFICES

INTRODUCTION

1 Publication and registration numbers are assigned by Industrial Property Offices (IPOs) in order to identify each publication or registration document issued by the IPO. They are used by applicants and other offices to reference specific documents. It is important that IPOs, applicants, and users of IP information are always able to identify publication and registration numbers in a unique, clear, and unambiguous manner.

2 At the fifth session of the Committee on WIPO Standards (CWS/5), the Committee noted the Part 7 Task Force's tentative work plan to update the surveys in Part 7 of the WIPO Handbook. This includes updating the information on publication and registration numbering systems, which is accomplished by the present survey.

3 Information on application numbering systems is provided by [Part 7.2.6](#) and [Part 7.2.7](#) of the WIPO Handbook.

BACKGROUND DOCUMENTS

4 The following [WIPO Standards](#) are relevant to this survey:

- ST.6: Numbering of published patent documents
- ST.13: Numbering of applications for IPRs

5 [Part 7.2 “Numbering systems” of the WIPO Handbook](#) could also be helpful when you prepare your response to this questionnaire. In particular, [Part 7.2.2](#) contains responses from the previous survey on this topic in 2001.

INSTRUCTIONS

6 For each type of right that your Office provides, please fill in the chart below for each publication or registration numbering system in use since 1970. If you plan to use other numbering systems in the future, please include them also.

7 In particular, please note the following:

- The columns “From” and “Until” should contain the dates that the system was used in WIPO ST.2 format. If precise dates are not available, please list the year.
- If a numbering system is currently in use, put "present" in the “Until” column.
- If a numbering system is not yet in use, put the expected start date in the “From” column if known, otherwise leave blank. Leave the “Until” column blank.
- In the Explanation column, please note what the numbering system is used for (publication, registration, or both) and explain the meaning of the format.
- Only one numbering format should be provided per row. Add as many rows as required to cover your numbering systems.
- Common types of Intellectual Property (IP) rights are listed in the table. If your Office issues a type of IP right not listed, please add it to your answers.

Examples

| Type of Right | Sample Publication or Registration Number | From | Until | Explanation of format |
|-----------------------|---|------------|------------|--|
| Patents for invention | 400 770 | 2000-06-01 | present | Publication numbers are given by consecutive series number allotted in ascending order. |
| Patents for invention | E 129 079 | 2003-04-01 | present | The publication number for a translation of a European patent specification. Such publication numbers consist of the letter E followed by a serial number allotted in ascending order. |
| Industrial Designs | AP/D/00049 | 1970-01-01 | 1991-01-01 | Registration numbers are given as a continuous series. The letter D indicates a registered industrial design. |
| Industrial Designs | AU-S-126223 | 2022-01-01 | | Registration number consisting of the country code, a dash, the publication code S, a dash and a six-digit serial number. Intended for use starting in 2022. |

RESPONSES

Question 1.

8 For each type of right that your Office provides, please fill in the chart for each publication or registration numbering system in use since 1970. If you plan to use other numbering systems in the future, please include them also. See above for Instructions.

| Type of Right | Sample Publication or Registration Number | From | Until | Explanation of format |
|--|---|------|-------|-----------------------|
| Patents for invention | | | | |
| | | | | |
| Utility models | | | | |
| | | | | |
| Supplementary protection certificates (SPCs) | | | | |
| | | | | |
| Plant patents | | | | |
| | | | | |
| Design patents | | | | |
| | | | | |
| Industrial designs | | | | |
| | | | | |
| Topographies of integrated circuits | | | | |
| | | | | |
| Trademarks | | | | |
| | | | | |

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