

# Use of patent, utility models and industrial design

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# Overview

(For private firms and other IP holders)

- Defensive use
  - What if your product is infringing other's patent? (in a case of WIPO IP panorama)
  - Prior patent search is needed for R&D project (All patent documents are published in 18 month after application. except for US )
- Proactive use
  - Technology mapping (patent map) and competitive analysis
  - Economic analysis based on patent information (such as creating patent quality index)

(For public agency: patent office and other agencies)

- IP system planning: patent system and utility models (demand forecasting, patent fees or more fundamental changes in IP systems)
- Science, Technology and Innovation Policy: Importance of evidence based policy making



US006871616B2

**(12) United States Patent**  
**Zhadan-Milligan et al.**

**(10) Patent No.: US 6,871,616 B2**  
**(45) Date of Patent: Mar. 29, 2005**

**(54) PET UMBRELLA AND COMBINED PET  
LEASH AND UMBRELLA**

**(76) Inventors:** Irina Zhadan-Milligan, P.O. Box 6412, New York, NY (US) 10150; Yuri Zhadan, P.O. Box 6412, New York, NY (US) 10150

**(\*) Notice:** Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 0 days.

**(21) Appl. No.: 10/782,942**

**(22) Filed:** Feb. 23, 2004

**(65) Prior Publication Data**

US 2004/0200437 A1 Oct. 14, 2004

**Related U.S. Application Data**

**(60)** Provisional application No. 60/449,451, filed on Feb. 24, 2003.

**(51) Int. Cl.<sup>7</sup>** A01K 27/00

**(52) U.S. Cl.** 119/795; 119/769; 135/16

**(58) Field of Search** 119/795, 850, 119/769; 135/16, 20.1; D30/153, 151; 248/514

**(56) References Cited**

**U.S. PATENT DOCUMENTS**

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5,546,970 A	8/1996	Amato	.....	135/16
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*Primary Examiner*—Teri P. Luu

*Assistant Examiner*—Kimberly S Smith

*(74) Attorney, Agent, or Firm*—Nixon & Vanderhye

**(57) ABSTRACT**

A pet umbrella combines an umbrella and a leash. The umbrella includes a shaft carrying a canopy moveable between open and closed positions. In the open position, the convex side of the canopy faces the umbrella handle. A leash is secured to the opposite end of the shaft on the concave side of the canopy. The canopy is preferably oval in plan and formed of clear plastic see-through material. The major axis of the oval defined by the canopy forms a plane with the shaft whereby the shaft forms an included angle in the plane with the shaft of less than 90° and preferably about 30°–60°.

**18 Claims, 5 Drawing Sheets**

# Patent Information Sources

## Official Patent Offices

- WIPO: PATENTSCOPE (for PCT applications):  
<http://www.wipo.int/pctdb/en/search-adv.jsp>
- EPO: esp@snnet: <http://ep.espacenet.com/>
- JPO: IPDL: <http://www.inpit.go.jp/ipdl/service/>
- SIPO: [http://www.sipo.gov.cn/sipo\\_English/](http://www.sipo.gov.cn/sipo_English/)

## Other sources

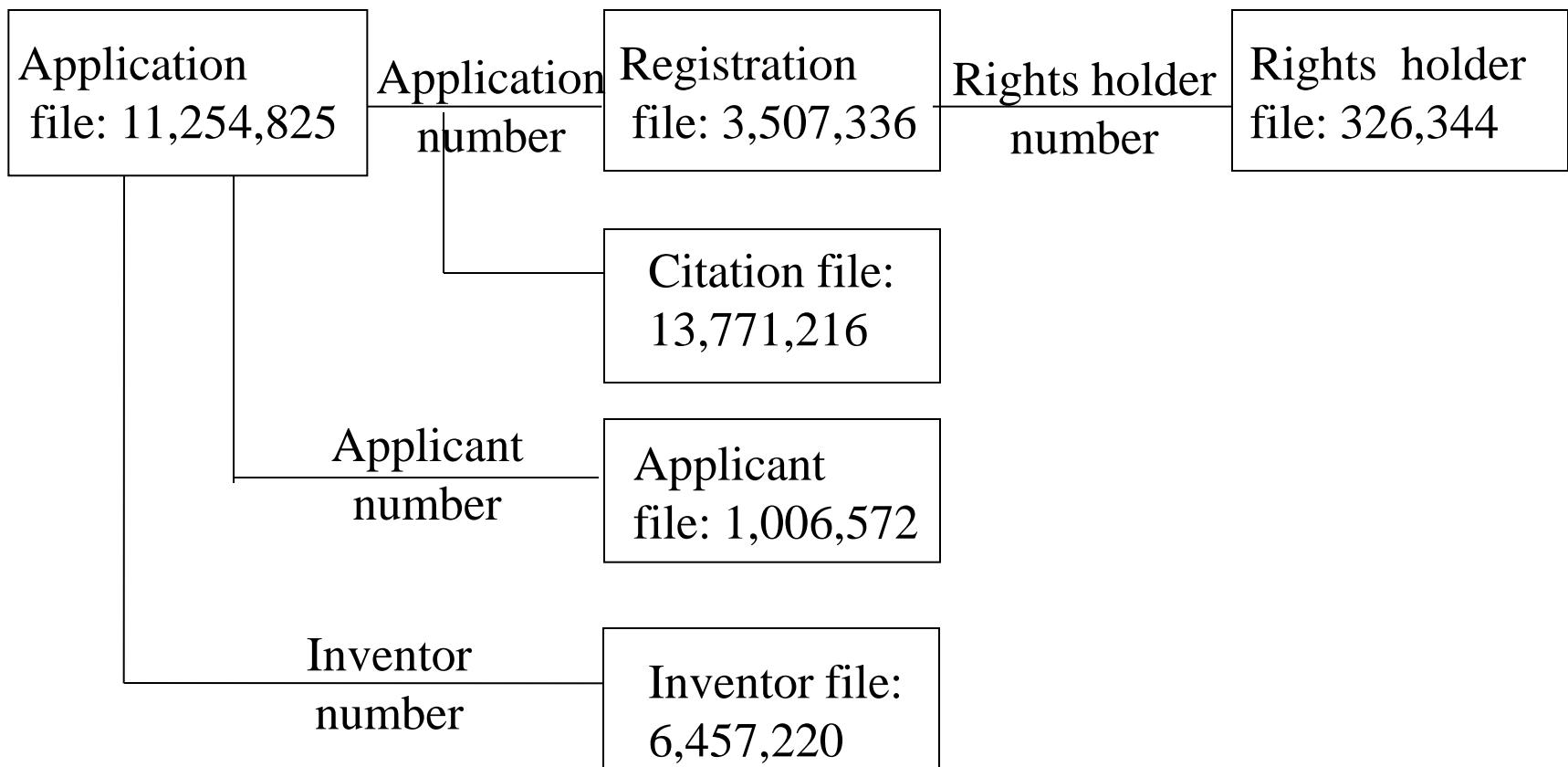
- Patent Databases (for academic researchers):  
PATSTAT, NBER Patent Database, IIP-Patent  
Database etc.
- Private data sources: Derwent-Thomson etc.

# IIP Patent Database for Japan

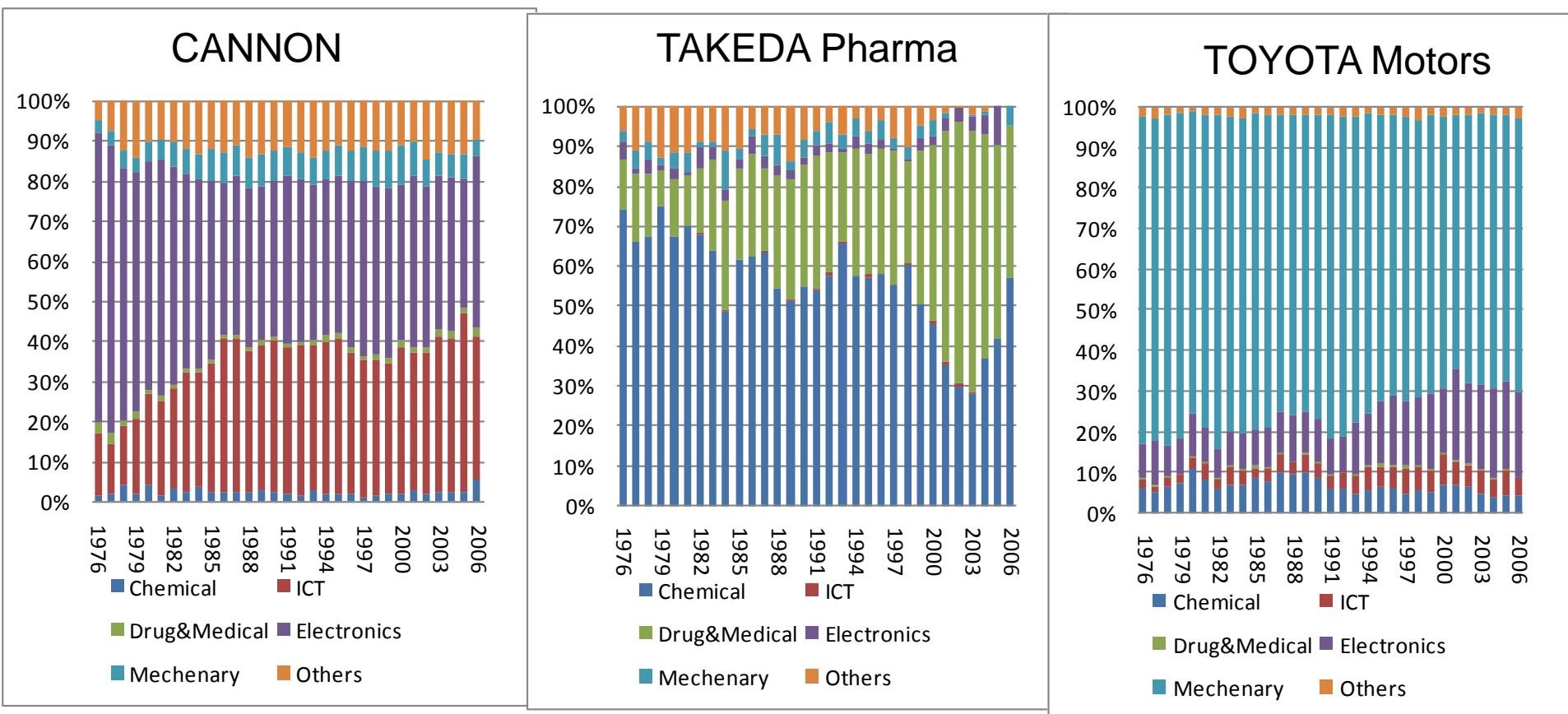
- ◆ Original Data Source; JPO Seiri hyojunka Data  
(publicly available version of JPO examiner's patent information system: embedded text data)
  - Patent
  - Utility models
  - Trademark
  - Industrial design
- ◆ Available at IIP website  
<http://www.iip.or.jp/e/index.html>
- ◆ All patents published until September 2009.

# IIP Patent Dataset Structure

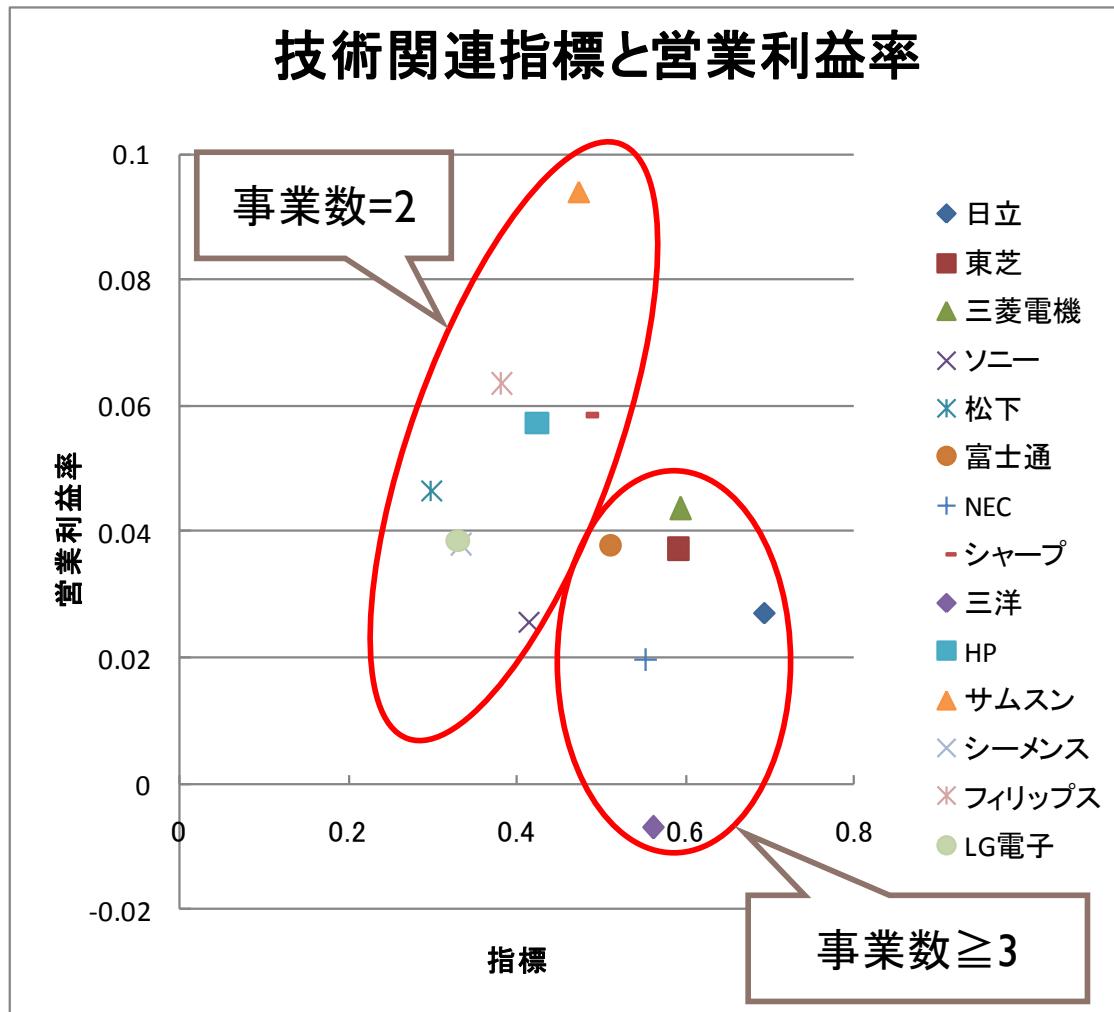
- IIP Patent Database (<http://www.iip.or.jp/patentdb/index.html>)
- Up to 2009 September publication data are available
- NBER Patent Data like public database using JPO patent publication information



# Changes in technology Portfolio

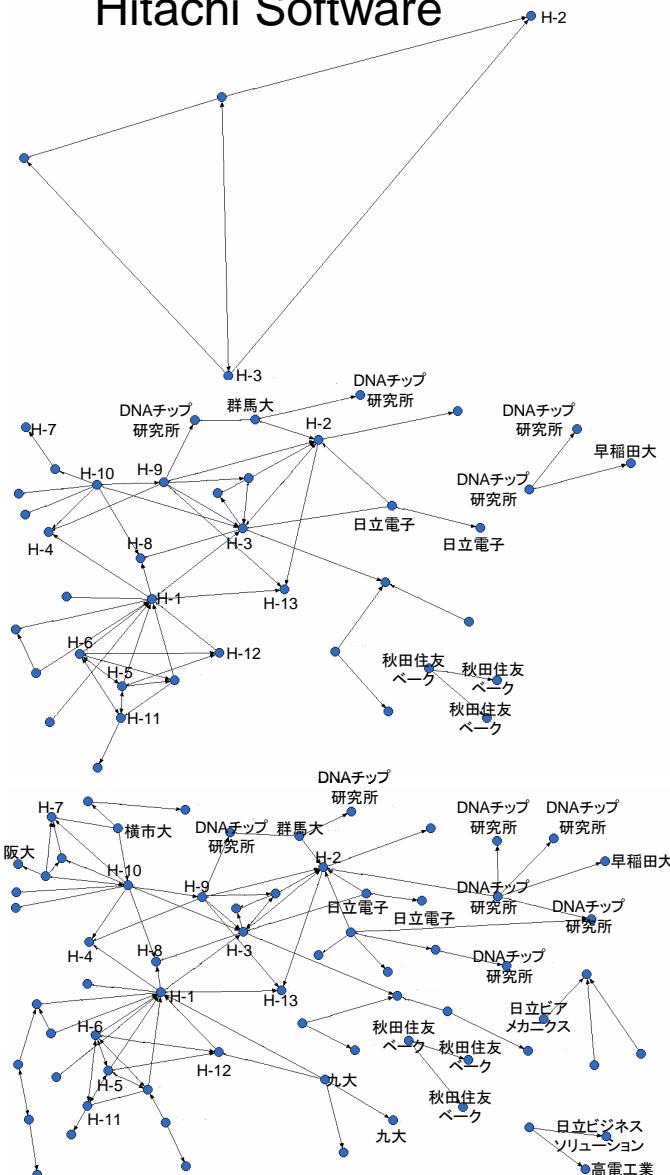


# Technology Portfolio and Performance (Electronics firms)

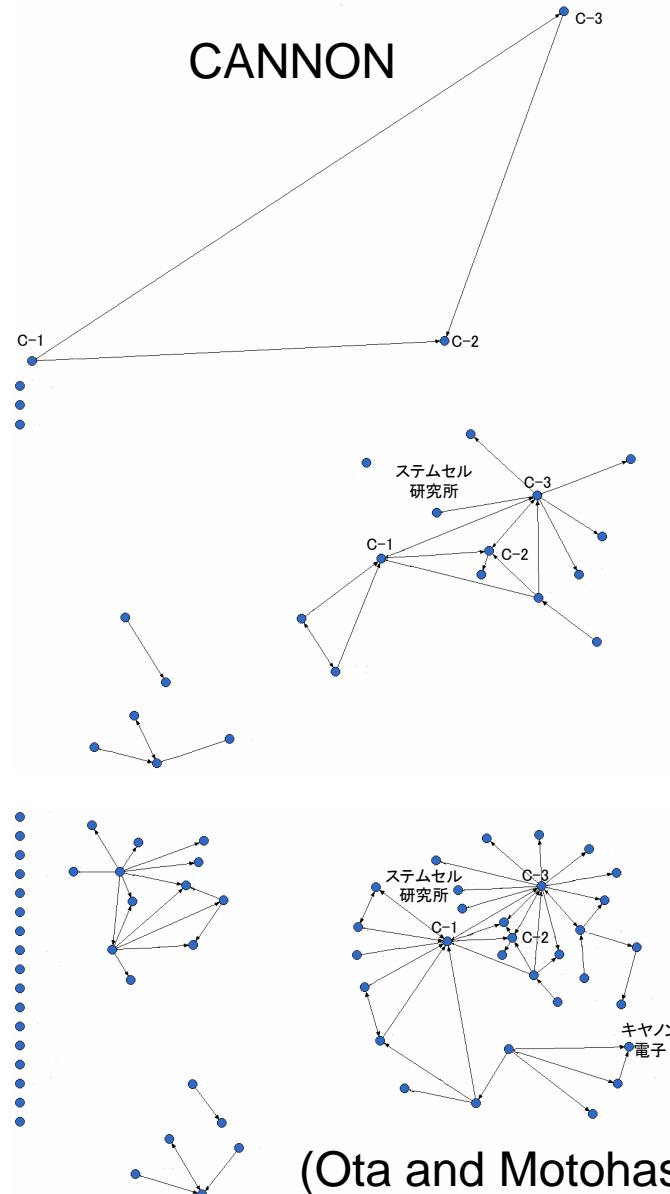


# Organization of research team by inventor information

Hitachi Software

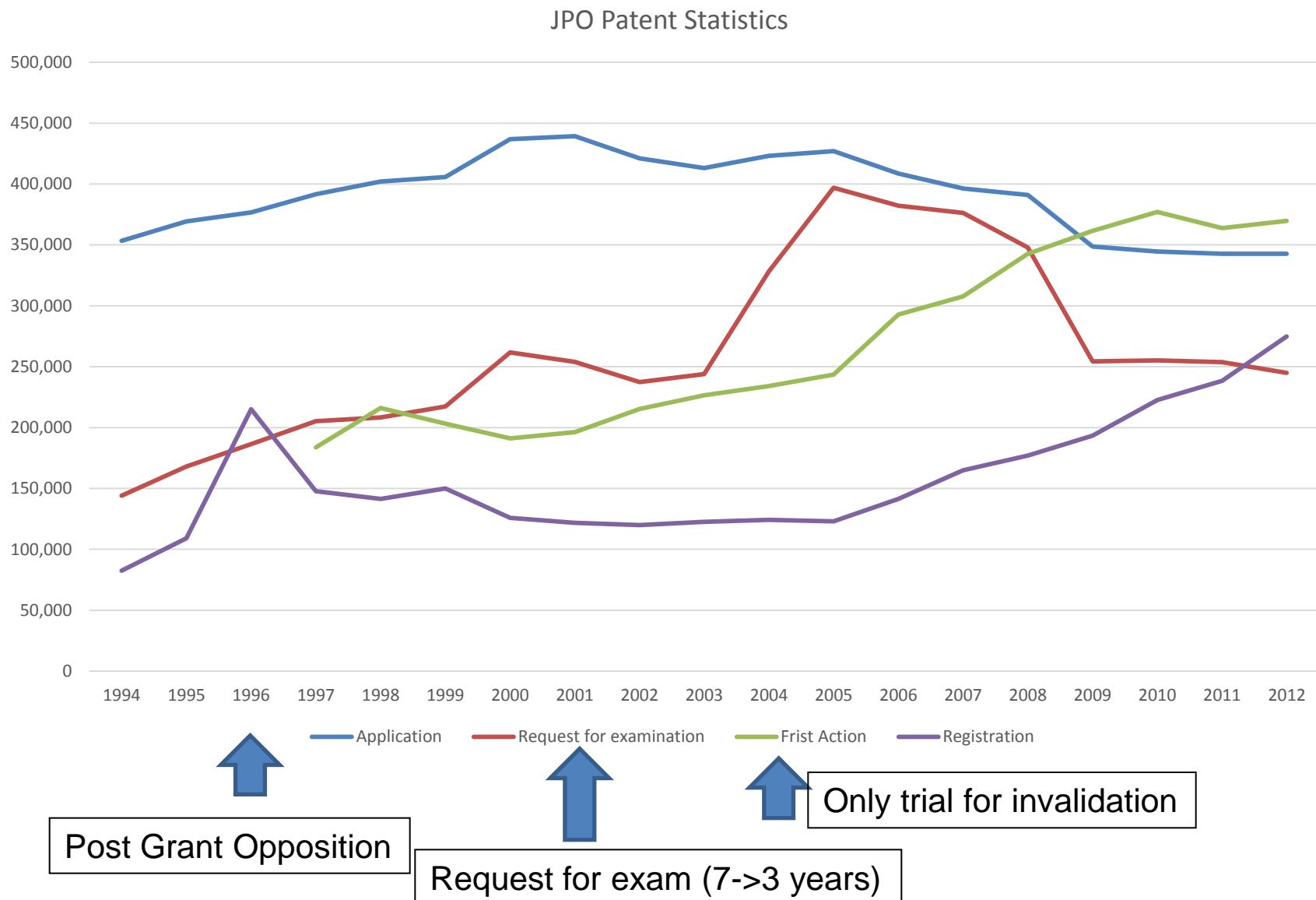


CANNON



(Ota and Motohashi, 2010)

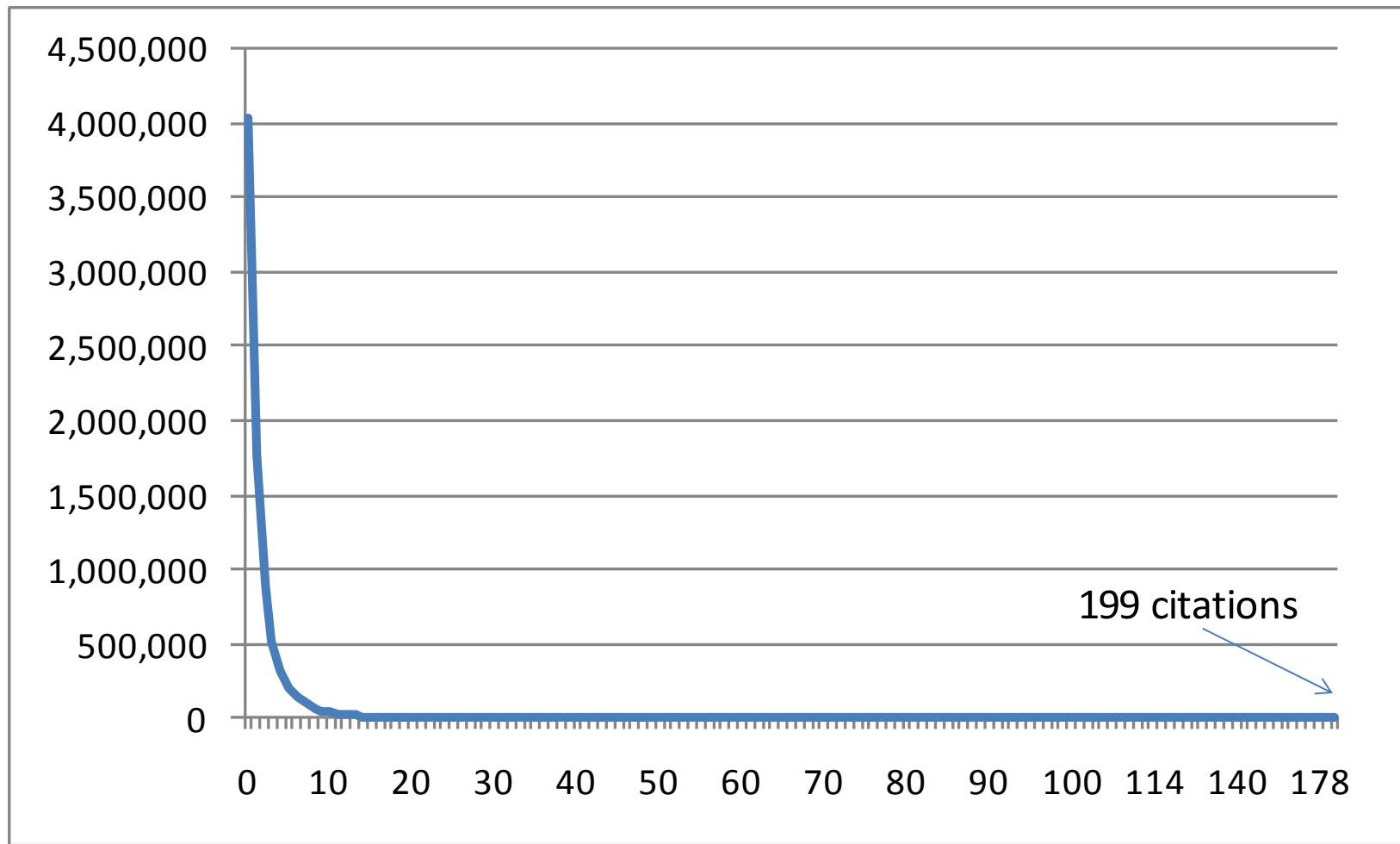
# Patent statistics and policy



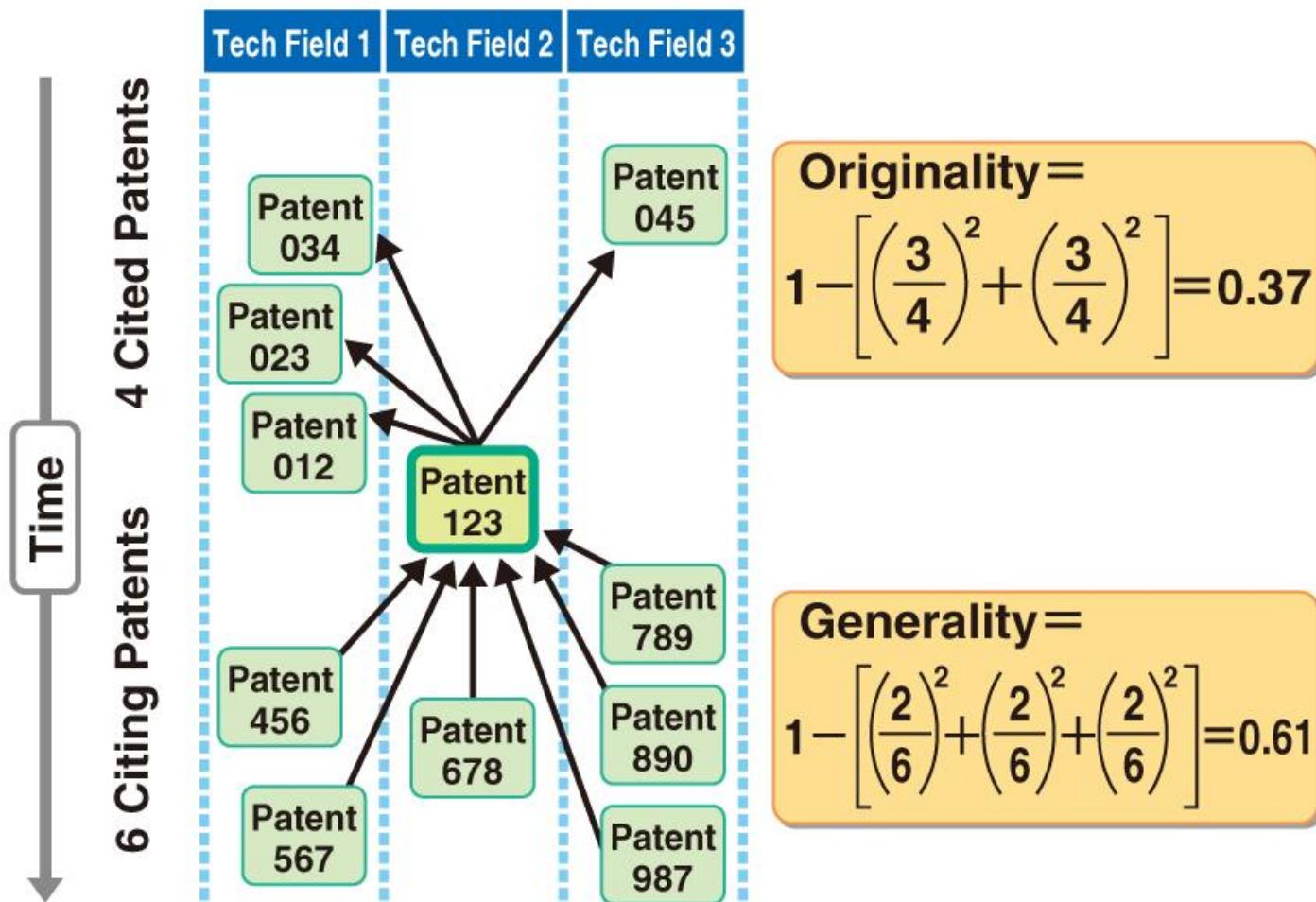
# Patent Quality Index

- Citation Indicator
  - # of forward citations
  - # of non-self citations...
- Patent family
  - International patenting
  - Continuation patenting
- Patent renewal data (for granted patent)
- Request for opposition (for granted patent)
- Patent litigation data (for granted patent)

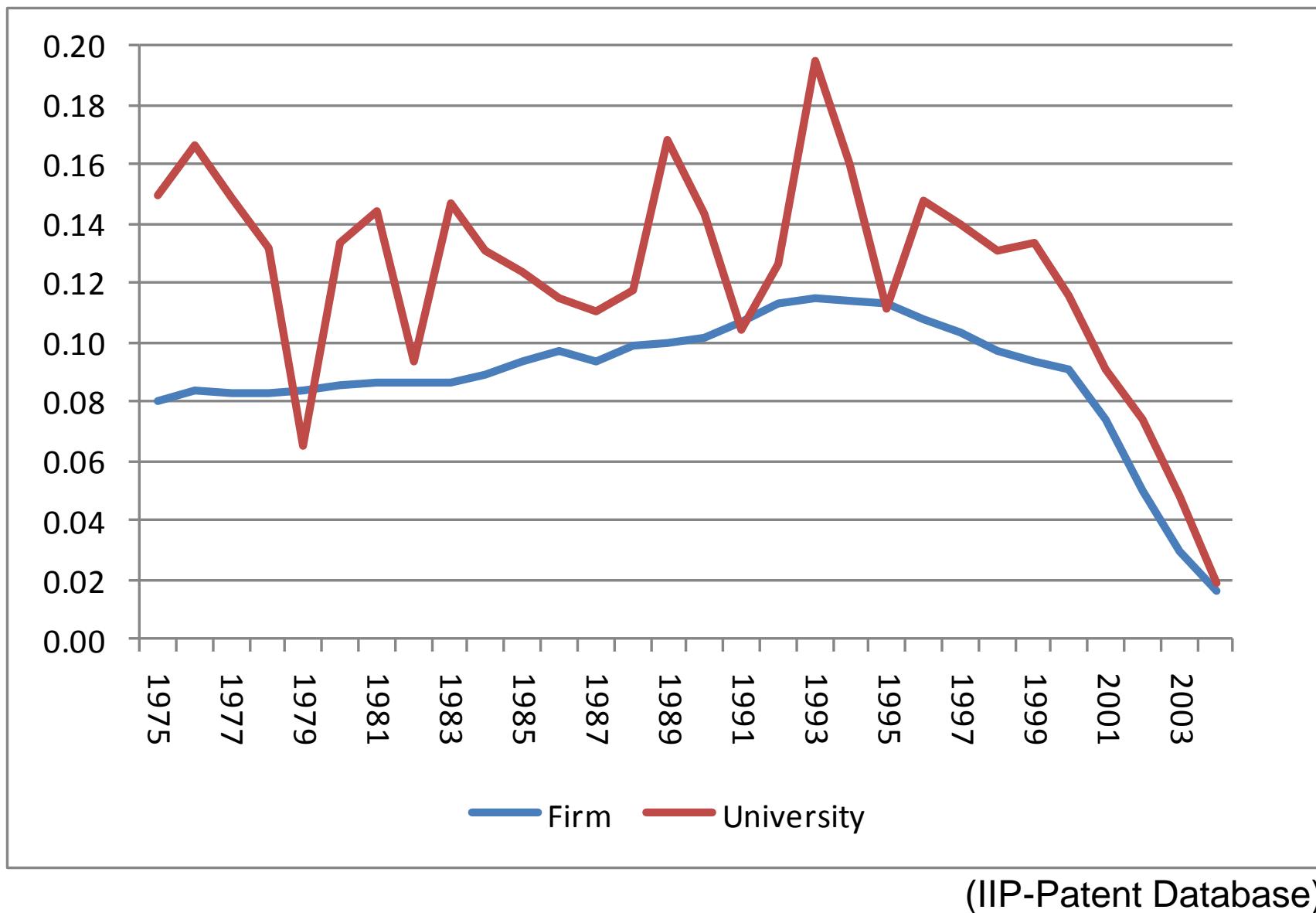
# # of forward citations



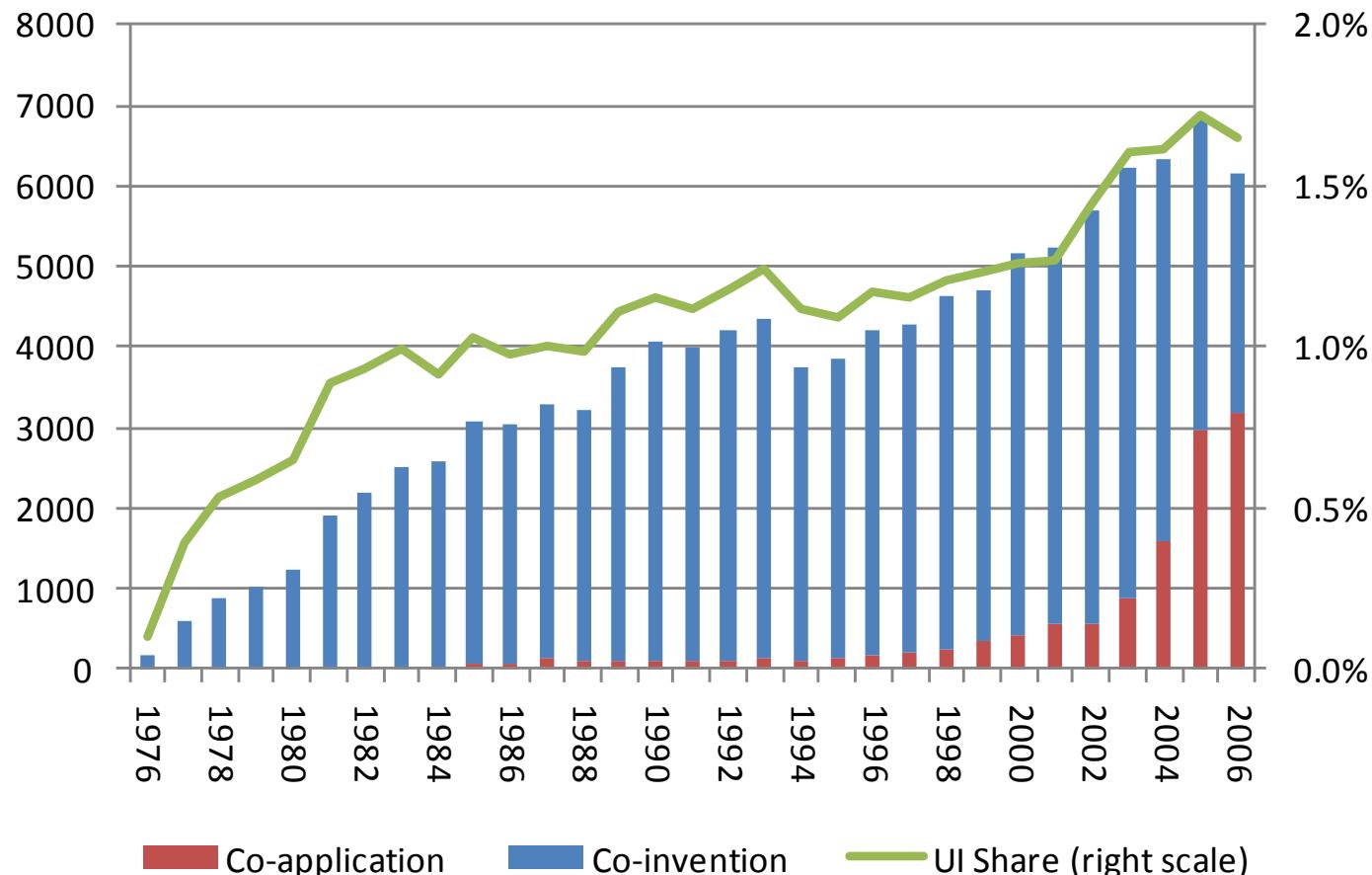
# Originality and Generality Index



# Comparing Generality Index



# Policy analysis of UI promotion -2004 incorporation of national university of Japan-



# Quality of UI patent: change by policy?

Variable	Mean	Wilcoxon
	difference UIC-matched	signed rank test
Overall sample		(obs=162303)
Numbers of claims	-0.321	+++
Number of inventors	1.553	+++
Number of forward citations	0.201	+++
Number of forward self-citations	-0.007	---
Number of forward nonself-citations	0.223	+++
Generality index	0.021	+++
application year ~1999		(obs=102489)
Numbers of claims	-0.030	+++
Number of inventors	1.622	+++
Number of forward citations	0.240	+++
Number of forward self-citations	-0.032	---
Number of forward nonself-citations	0.293	+++
Generality index	0.022	+++
applied after 2000		(obs=59814)
Numbers of claims	-0.825	
Number of inventors	1.446	+++
Number of forward citations	0.142	+++
Number of forward self-citations	0.037	++
Number of forward nonself-citations	0.103	+++
Generality index	0.009	

Note:

+: UIC>matched, +++: 1% level, ++: 5% level, +: 1% level statistically significant

-: UIC<matched, ---: 1% level, --: 5% level, -: 1% level statistically significant