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# WIPONATIONALSEMINA RONTHEPROTECTION OF TRADEMARKSANDGEOGR APHICALINDICATIONS

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INTERNATIONALFRAMEW ORKFORTHEPROTECTI ONOFTRADEMARKSAND GEOGRAPHICALINDICAT IONS

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### INTERNATIONALFRAMEWORKFORTHEPROTECTIONOF TRADEMARKSANDGEOGRAPHICALINDICATIONS

#### 1.General

- 1. Thereare,inprinciple,severalalternativewaysforobtainingprotectionoftrademarks and geographical indications abroad. Bearing in mindth at all intellectual property rights are strictly territorial rights, the most plausible way would be to apply trademarks in each and every relevant country (as far as geographical indications are concerned, the story is more complex). In any case, this would be avery demanding and cumbers ome procedure, a fact that was recognized and deal twith already more than a century ago.
- 2. Itisthennowonderthatoneofveryfirsttwoagreementsconcludedunderprovisionsof Article19ofthePa risConventionwastheagreementintroducingsignificantlyfacilitated procedureofinternationalregistrationoftrademarks,theMadridAgreementConcerningthe InternationalRegistrationofMarks("MadridAgreement")of1891.Morerecently,the Protocol RelatingtothatAgreement("MadridProtocol"),wasconcludedin1989.Madrid AgreementandMadridProtocolarenowadayspopularlyknownastheMadridsystem.

### 2.TheMadridSystem

#### Introduction

- 3. Letusrepeatthatthesystemofinternation alregistrationofmarksisgovernedbytwo treaties:theMadridAgreementConcerningtheInternationalRegistrationofMarks,which datesfrom1891,andtheProtocolRelatingtotheMadridAgreement,whichwasadoptedin 1989,enteredintoforceonDecemb er 1, 1995,andcameintooperationonApril 1, 1996. CommonRegulationsundertheAgreementandProtocolalsocameintoforceonthatdate. ThesystemisadministeredbytheInternationalBureauofWIPO,whichmaintainsthe InternationalRegisterandpu blishesthe WIPOGazetteofInternationalMarks .
- 4. AnyStatewhichisapartytotheParisConventionfortheProtectionofIndustrial PropertymaybecomeapartytotheAgreementortheProtocolorboth.Inaddition,an intergovernmentalor ganizationmaybecomeapartytotheProtocol(butnottheAgreement) wherethefollowingconditionsarefulfilled:atleastoneoftheMemberStatesofthe organizationisapartytotheParisConventionandtheorganizationmaintainsaregional officef orthepurposesofregisteringmarkswitheffectintheterritoryoftheorganization.
- 5. StatespartytotheAgreementand/ortheProtocolandorganizationspartytothe ProtocolarereferredtocollectivelyasContractingParties.Alistof theContractingPartiesis givenonaseparatesheet.Together,theyconstitutetheMadridUnion,whichisaSpecial UnionunderArticle 19oftheParisConvention.
- 6. EverymemberoftheMadridUnionisamemberofitsAssembly.Amongthe most importanttasksoftheAssemblyaretheadoptionoftheprogramandbudgetoftheUnionand theadoptionandmodificationoftheimplementingregulations,includingthefixingoffees connectedwiththeuseoftheMadridsystem.

### **Objectives**

7. Theobjectivesofthesystemaretwo -fold.Firstly,itfacilitatestheobtainingof protectionformarks(trademarksandservicemarks).Theregistrationofamarkinthe InternationalRegisterproduces,intheContractingPartiesdesignatedbythe applicant,the effectsdescribedbelow.FurtherContractingPartiesmaybedesignatedsubsequently. Secondly,sinceaninternationalregistrationisequivalenttoabundleofnationalregistrations, thesubsequentmanagementofthatprotectionismadem ucheasier.Thereisonlyone registrationtorenew,andchangessuchasachangeinownershiporinthenameoraddressof theholder,oralimitationofthelistofgoodsandservices,canberecordedintheInternational Registerthroughasinglesimpl eproceduralstep.Ontheotherhand,ifitisdesiredtotransfer theregistrationforonlysomeofthedesignatedContractingParties,orforonlysomeofthe goodsorservices,ortolimitthelistofgoodsandserviceswithrespecttoonlysomeofthe designatedContractingParties,thesystemisflexibleenoughtoaccommodatethis.

### WhoMayUsetheSystem?

- 8. Anapplicationforinternationalregistration(an"internationalapplication")maybefiled onlybyanaturalpersonoralegalent itywhichhasarealandeffectiveindustrialor commercialestablishmentin,orisdomiciledin,orisanationalof,acountrywhichispartyto theMadridAgreementortheMadridProtocol,orwhohassuchanestablishmentin,oris domiciledin,theter ritoryofanintergovernmentalorganizationwhichisapartytothe Protocol,orisanationalofaMemberStateofsuchanorganization.
- 9. The Madrid system of international registration cannot be used by a person or legal entity which does not have the necessary connection, through establishment, domicile or nationality, with a member of the Madrid Union. Nor can it be used to protect a mark outside the Madrid Union.

### **TheInternationalApplication**

- 10. Amarkmaybethesubjecto faninternationalapplicationonlyifithasalreadybeen registered(or,wheretheinternationalapplicationisgovernedexclusivelybytheProtocol,if registrationhasbeenappliedfor)intheTrademarkOfficeoftheContractingPartywithwhich theap plicanthasthenecessaryconnection,asdescribedinparagraph 6,above,tobeableto fileaninternationalapplication.ThisOfficeisreferredtoastheOfficeoforigin.
- 11. Aninternational application must be presented to the International Bureauthrough the Office of origin. An international application which is presented direct to the International Bureau by the applicant will not be considered assuchand will be returned to the sender.
- 12. Theinternational application must contain, *interalia*, are production of the mark (which must be identical with that in the basic registration or basic application) and alist of the goods and services for which protection is sought, classified in accordance with the International Classification of Goods and Services (Nice Classification).
- 13. Aninternational application may claim priority under Article 4 of the Paris Convention, whether from the application with the Office of origin or from a prior application filed with the Office of another country party to the Paris Convention or with the Office of a Member of the World Trade Organization.

- 14. Aninternational application must designate the Contracting Parties in which the mark is to be protected. Where the Contracting Party whose Office is the Office of originisa party to the Agreement but not the Protocol, only other States which are also party to the Agreement may be designated. Where the Contracting Party whose Office is the Office of originisa party to the Protocol but not the Agreement, only other Contracting Parties which are also party to the Protocol may be designated. Where the Contracting Party whose Office is the Office of originisa party to both the Agreement and the Protocol, any other Contracting Party may be designated.
- 15. The Contracting Partywhose Office is the Office of origin cannot be designated in an international application; nor can it be designated subsequently.
- 16. ThedesignationofagivenContractingPartyismadeunderthattreaty(Agreementor Protocol)whichiscommontothedesignatedContractingPartyandtheContractingParty whoseOfficeistheOfficeoforigin.WherebothContractingPartiesarepartytoboththe AgreementandtheProt ocol,itistheAgreementwhichgovernsthedesignation;thisfollows fromtheso -called"safeguard"clause,Article 9sexiesoftheProtocol.
- 17. Therearethereforethreekindsofinternational application:

aninternational application *governed exclusively by the Agreement*; this means that all the designations are made under the Agreement;

aninternational application *governed exclusively by the Protocol*; this means that all the designations are made under the Protocol;

 $an international\ application\ governed by both the Agreement and the Protocol\ ; this means that some of the designations are made under the Agreement and some under the Protocol.$ 

- 18. If the international application is governed exclusively by the Agreement, it French. If it is governed exclusively by the Protocol or by both the Agreement and the Protocol, it may be in either English or French; the Office of origin may however restrict the applicant's choice to one of the selanguages.
- 19. Theinternational application is subject to the payment of the following fees:

abasicfee:

a complementary fee in respect of each design at ed Contracting Party for which no individual fee is payable;

anindividualfeeinrespectofanyContractingPar tywhichisdesignatedundertheProtocol andhasdeclaredthatitwishestoreceivesuchafee;(theamountoftheindividualfeeis determinedbyeachContractingParty;itmaynotbehigherthantheamountthatwouldbe payablefortheregistrationof amarkintheOfficeofthatContractingParty;theamountsof therespectiveindividualfeesarepublishedintheGazette);

asupplementaryfeeinrespectofeachclassofgoodsandservicesbeyondthethird;no supplementaryfeeispayablehoweverw here *all*thedesignationsareonesinrespectofwhich anindividualfeehastobepaid.

- 20. ThesefeesmaybepaiddirecttotheInternationalBureauor,wheretheOfficeoforigin acceptstocollectandforwardsuchfees,throughthatOffice .
- 21. TheOfficeoforiginmustcertifythatthemarkisthesameasthatinthebasic registrationorbasicapplication,thatanyindicationssuchasadescriptionofthemarkora claimtocolorasadistinctivefeatureofthemarkarethes ameasthosecontainedinthebasic registrationorbasicapplication,andthatthegoodsandservicesindicatedintheinternational applicationarecoveredbythelistofgoodsandservicesinthebasicregistrationorbasic application.
- 22. TheOfficeoforiginmustalsocertifythedateonwhichitreceivedtherequestto presenttheinternationalapplication. Thisdateisimportant; provided the application is received by the International Bureau within two months of that date (and provi ded that certain crucial elements are not missing), it is that date that will be the date of the international registration.
- 23. TheInternationalBureauchecksthattheinternationalapplicationcomplies with the requirements of the Agreement or Protocoland the Common Regulations, including requirements relating to the indication of goods and services and their classification, and that the required fees have been paid. The Office of originand the applicant are informed of any irregularities; the semust be remedied within three months, otherwise the application will be considered abandoned.
- 24. Wheretheinternationalapplicationcomplies with the applicable requirements, the mark is recorded in the International Register and published in the Gazette. The International Bureauthennotifies each Contracting Party in which protection has been requested.

Examination by the Office of a Designated Contracting Party; Refusal of Protection

- 25. TheOfficeofadesignatedC ontractingPartyexaminestheinternationalregistrationin exactlythesamewayasanapplicationfileddirectly.Ifgroundsforobjectionarefound duringthe *exofficio* examination,orifanoppositionisfiled,theOfficehastherightto declarethat protectioncannotbegrantedtothemarkinthatContractingParty.
- 26. AnyprovisionalrefusalmustbenotifiedtotheInternationalBureaubytheOfficeofthe ContractingPartyconcernedwithinthetimelimitspecifiedintheAgreementor Protocol. Thistimelimitisgenerally12months.AContractingPartymayhoweverdeclarethat,when itisdesignatedundertheProtocol,thistimelimitshallbereplacedby18months.A ContractingPartythathasmadethisdeclarationmayfurtherdec larethataprovisionalrefusal basedonanoppositionmaybenotifiedevenaftertheexpiryofthis 18-monthperiod.
- 27. The provisional refusalist ecorded in the International Register and published in the Gazette and acopy is transmitted to the holder of the international registration.
- 28. Anyproceduresubsequenttotherefusal, suchas review, appealor response to an opposition, is carried out directly between the holder and the Office concerned, without any involvement on the part of the International Bureau. The Office must however send a statement to the International Bureau on ceall the procedures before that Office have been completed, indicating that the provisional refusal is confirmed or is totally or partially

with drawn. This statement is also recorded in the International Register and published in the Gazette.

29. WhereanOfficefindsnoreasonforrefusingprotection,itmay,beforetheexpiryofthe applicabletimelimitforprovisionalrefusal,issuea statementofgrantofprotection.Such statementisrecordedintheInternationalRegisterandpublishedintheGazetteandacopyis transmittedtotheholderoftheinternationalregistration.AnOfficeishowevernotobligedto issueapositivedecis iononregistrationandnolegalconsequencesresultifitdoesnotdoso.

### EffectsoftheInternationalRegistration

- 30. Fromthedateoftheinternationalregistration(or,inthecaseofaContractingParty designatedsubsequently,fromthe dateofthatdesignation),theprotectionofthemarkineach ofthedesignatedContractingPartiesisthesameasifthemarkhadbeenthesubjectofan applicationforregistrationfileddirectwiththeOfficeofthatContractingParty.Ifno provisionalrefusalisnotifiedtotheInternationalBureauwithintherelevanttimelimit,orif anysuchrefusalissubsequentlywithdrawn,theprotectionofthemarkineachdesignated ContractingPartyisthesameasifithadbeenregisteredbytheOfficeoft hatContracting Party.
- 31. Aninternationalregistrationisthereforeequivalenttoabundleofnationalregistrations. Althoughitisasingleregistration, protection may be refused by some of the designated Contracting Parties, or the protection may be limited or renounced with respect to only some of the designated Contracting Parties. Likewise an international registration may be transferred to an ewowner with respect to only some of the designated Contracting Parties. An international registration may also be invalidated (for example, for non use) with respect to one or more of the designated Contracting Parties. Moreover, any action for infringement of an international registration must be brought separately in each of the Contracting Parties concerned.
- 32. ThisisincontrasttoaunitaryregionalrightsuchastheCommunitytrademark,which cannotberefused,limitedortransferredwitheffectforonlyapartoftheterritorycoveredby theright,andwhichmaybeenfor cedbyasinglelegalactioncoveringinfringementoccurring anywhereinthatterritory.

### DependenceontheBasicMark

- 33. Foraperiodoffiveyearsfromthedateofitsregistration, an international registration remains dependent on themar kregistered or applied for in the Office of origin. If, and to the extent that, the basic registration ceases to have effect, whether through cancellation following a decision of the Office of originor acourt, through voluntary cancellation or through non-renewal, within this five -year period, the international registration will no longer be protected. Similarly, where the international registration was based on an application in the Office of origin, it will be canceled if, and to the extent that, that tapplication is refused or with drawn within the five year period, or if, and to the extent that, the registration resulting from that application ceases to have effect within that period.
- 34. TheOfficeoforiginisrequiredtonotifytheInt ernationalBureauoffactsanddecisions concerningsuchceasingofeffectorrefusaland,whereappropriate,torequestthe cancellation(totheextentapplicable)oftheinternationalregistration.Thecancellationis publishedintheGazetteandnotifi edtothedesignatedContractingParties.Such

cancellations are relatively infrequent; only about 190 were recorded during 2001, many of which related to only some of the goods and services covered by the international registration.

- 35. If,wi thinthreemonthsofsuchcancellation,theholderfilesanapplicationfor registrationwiththeOfficeofaContractingPartythathadbeendesignatedunderthe Protocol,thatapplicationwillbetreatedasifithadbeenfiledonthedateoftheinterna tional registrationor(asthecasemaybe)thesubsequentdesignationoftheContractingParty concerned.ThisoptionisnotavailableinthecaseofaContractingPartydesignatedunder theAgreement.
- 36. Aftertheexpiryoftheperiodoff iveyearsmentionedinparagraph31, the international registration becomes independent of the basic registration or basic application.

Replacement of a National or Regional Registration by an International Registration

37. Aninternationalregi strationisdeemedtoreplaceanationalorregionalregistrationfor thesamemarkandthesamegoodsandservicesrecordedinthenameofthesamepersonina designatedContractingParty. Theeffectofreplacementisthat, ifthenationalorregional registrationisnotrenewed, the holder of the international registration may continue to be nefit from the earlier rights acquired by reason of that national or regional registration. Although replacement takes place automatically, the holder of the international registration is recorded to take note in its register of the international registration.

### SubsequentDesignation

38. Theeffectsofaninter nationalregistrationcanbeextendedtoaContractingPartynot coveredbytheinternationalapplicationbyfilingasubsequentdesignation. Thustheholder ofaninternationalregistrationcanexpandthegeographicalscopeoftheprotectionofhis mark inlinewithhisbusinessneeds. Moreoverasubsequentdesignationmaybemadein ordertoextendtheprotectionofthemarktoaContractingPartywhichwasnotapartytothe AgreementorProtocolatthetimeoftheinternationalapplication. Theprinc iplesthat determinewhethersuchadesignationcanbemade, and whether it is governed by the Agreementorbythe Protocol, areas described in paragraphs 14 and 15, above.

ChangesintheInternationalRegister;Cancellation;Licenses

- 39. Achangeinthenameoraddressoftheholderorhisrepresentativemayberecordedin theInternationalRegisteronrequest.
- 40. Likewiseachangeintheownershipofaninternationalregistrationmayberecorded,in respectofallorsomeof thegoodsandservicesandallorsomeofthedesignatedContracting Parties. Apersonmaynothoweverberecordedastheholderofaninternationalregistration inrespectofagivenContractingPartyifhewouldnotbeentitled(inaccordancewiththe principlessetoutinparagraphs 14 and 15, above), to designate that Contracting Partyinan international application. Thus, for example, aperson who does not have a connection with a country which is a party to the Agreement may not be recorded as the holder of an international registration in respectofades ignated Contracting Party which is a party only to the Agreement.

- 41. ThefollowingmayalsoberecordedintheInternationalRegister:
- a *limitation* of the list of goods and service sin respect of all or some of the designated Contracting Parties;
- a *renunciation*inrespectofsomeofthedesignatedContractingPartiesforallthegoodsand services;
- a *cancellation* of the international registration in respect of all the designated C ontracting Parties for all or some of the goods and services;
- $a\ \emph{license} granted in respect of all or some of the design at ed Contracting Parties, and for all or some of the goods and services.$
- 42. Informationconcerningsuchchanges, cancellat ionandlicenses is published in the Gazette and notified to the designated Contracting Parties.
- 43. Nochangemaybemadeinthemarkthatisthesubjectofaninternationalregistration, eitheronrenewaloratanyothertime.Normaythel istofgoodsandservicesbechangedina waythatwouldextendthescopeofprotection.

### DurationofRegistration;Renewal

- 44. Aninternationalregistrationiseffective for 10 years. It may be renewed for further periods of 10 years on pay ment of the prescribed fees. The International Bureausends a reminder to the holder and to his representative (if any) six months before renewal is due.
- 45. Theinternationalregistrationmayberenewedinrespectofallthedesignated ContractingPartiesorinrespectofonlysomeofthem.Itmaynothoweverberenewedin respectofonlysomeofthegoodsandservicesrecordedintheInternationalRegister;if thereforetheholderwishes,atthetimeofrenewal,toremovesomeofthegoods andservices fromtheinternationalregistration,hemustseparatelyrequestcancellationinrespectofthose goodsandservices.

### AdvantagesoftheSystem

- 46. Internationalregistrationhasseveraladvantagesfortheownerofthemark. Afte registeringthemark, or filinganapplication for registration, with the Office of origin, he has only to file one application, in one language, and payone fee instead of filings eparately in the trademark Offices of the various Contracting Parties in different languages and paying a separate fee in each Office. Moreover, the holder does not have to wait for the Office of each Contracting Party in which protection is sought to take a positive decision to register the mark; if no refusal is notified by an Office within the applicable time limit, the mark is protected in the Contracting Party concerned. In some cases, the holder does not even have to wait the expiry of this time limit in order to know that the mark is protected in a Contracting Party, since he may, be for ethe expiry of the time limit, receive a statement of grant of protection from the Office of that Contracting Party.
- 47. Afurtherimportantadvantageisthatchangessubsequenttoregistration, suchasa changeinthename oraddressoftheholder, orachange (total orpartial) in ownershipora limitation of the list of goods and services may be recorded with effect for several designated

ContractingPartiesthroughasinglesimpleproceduralstepandthepaymentofasin glefee. Moreover, there is only one expiry date and only one registration to renew.

- International registration is also to the advantage of Trademark Offices. They do not in the contraction of the contraction oneedtoexamineforcompliancewithformalrequirements, or classi fythegoodsorservices. orpublishthemarks. Moreover, they are compensated for the work that they perform; the individual fees collected by the International Bureau are transferred to the Contracting Partiesinrespectof which they have been paid, w hilethecomplementaryandsupplementaryfeesare distributed annually among the Contracting Parties not receiving individual fees, in proportion ofthenumber of designations made of each of them. For the year 2000, the International Bureaudistributeda totalof24.9millionSwissfrancsresultingfromthecomplementaryand supplementaryfeesand33.8millionSwissfrancsresultingfromindividualfees.Inaddition, iftheInternationalRegistrationServiceclosesitsbiennialaccountswithaprofit,th eproceeds aredividedamongtheContractingParties.
- Attheendof2002,403,916international registrations were inforce. During that year, 49. 22,232newinternationalregistrationswereeffected,containing257,703designations,an average of 11.6 designations perregistration. In addition, 25,662 new designations were recordedinrespectofexistinginternational registrations. Inotherwords, the designations effectedin2002wereequivalenttomorethan344,000nationalapplications. 3.InternationalProtectionofGeographicalIndications
- This section describes various alternatives for international protection of geographical indications, because the situation in this respect is not so clear -cutasthisbeingthecasewi th trademarks. Therefore, reference is made to both bilateral and multilateral agreements. Under multilateralagreements, the TRIPS Agreement, though not administered by WIPO, is also discussed.

#### **Bilateral Agreements**

- Bilateralinternationala greementsaretypicallyconcludedbetweentwocountriesonthe basisofreciprocityinordertoincreaseprotectionofthecountries' respective geographical <sup>1</sup>They indications. They may be independent treaties or formpart of a wider trade agreement. may simplyprovideforaprohibition of use of the other party's geographical indications for goods(usuallylistedinanannextotheagreement)nothavingthatorigin.Ortheymaygo further and provide for the extra territorial application of the other country'snationallaw concerning the protection of geographical indications. This latter aspect may be important in caseswhereagivengeographicalindicationdoesnotonlyindicatethegeographicaloriginof certaingoods, but also certain qualities that a reductothatorigin, such as is the case with protectedappellationsoforigin.
- Bilateralinternationalagreementsmaycoverawiderangeofproductsorbelimitedto specificgoodsonly. They have been concluded most frequently between Eu ropeannations earlierinthe20 <sup>th</sup>century.However,theycontinuouslyappeartobeimportantwithregardto specificeconomicsectors and, in particular, the wine industry.

<sup>&</sup>lt;sup>1</sup>E.g.,the"CrayfishAgreement"betweenFranceandSouthAfricafromthe1930s(see Symposiumon theInternationalProtectionofGeographicalIndications ,SomersetWest,1999 ,page 31). <sup>2</sup>Forexample,EU -AustraliaWineAgreement of 1994.

### MultilateralAgreements

- 53. Analternative for obtaining international protection for geographical indications is through the conclusion of, and the adherence to, multilateral agreements. The following is a summary of the scope of protection that is provided for geographical indications under the following multilateral treat ies: the Paris Convention, the Madrid Agreement on Indications of Source, the Lisbon Agreement, and the Agreement on Trade Related Aspects of Intellectual Property Rights (TRIPS Agreement).
- (a) TheParisConvention
- 54. Article 1(2)oftheParis Conventionenumeratesamongtheobjectsoftheprotection of industrial property indications of source and appellations of origin. More specific provisions concerning indications of source are contained in Articles 10 and 10 ter.
- 55. Aprovisionprohibitingtheuseofafalseindicationofsourceappearedasearlyasinthe originaltextoftheParisConventionof1883.However,thatprotectionwasratherlimited, sincetheprohibitionwasonlyapplicablewherethefalseindicationofsourcewas usedin conjunctionwithafictitiousornon -existingtradename.
- 56. Article 10oftheParisConventioninitscurrentversionsetsforththat,incasesof "directorindirectuseofafalseindicationofthesourceofthegoodsortheidentity of the producer,manufacturerormerchant,"Article 9oftheParisConventionshouldbeapplicable. Article 9providesthatgoodsbearingafalseindicationofsourcearesubjecttoseizureupon importationintocountriespartytotheParisConvention,o rwithinthecountrywherethe unlawfulaffixationoftheindicationofsourceoccurredorwithinthecountryofimportation. Thisseizureshalltakeplaceattherequestofthepublicprosecutor,oranyothercompetent authority,oranyinterestedparty. However,Article 9(5)and(6)oftheParisConvention allowsthatcountriespartytotheParisConventionwhosenationallawsdonotpermitseizure onimportationorinsidethecountrytoreplacethoseremediesbyeitheraprohibitionof importationorb yanyothernationallyavailableremedy.
- 57. Furthermore, Article 10ter(2) contains a nundertaking of the Statesparty to the Paris Convention to allow federations and associations representing producers and tradecircles to bring actions in order to enforce the means of protection envisaged in Articles 9 and 10.
- $(b) \quad The Madrid Agreement for the Repression of False or Deceptive Indications of Source on Goods$
- 58. ThepositionsofthefoundingStatesoftheParisConventionatthe1883Pa ris DiplomaticConferenceregardingtheprotectionofindicationsofsourcedidnotallowmore thanaminimumcommonpositionwithregardtoprotectiononthemultilaterallevel.As alreadyindicated,theoriginaltextdidnotprovideforthepreventiono ftheuseoffalse indications *perse* ,butonlywheresuchuseoccurredinconnectionwiththeuseofafalse tradename.
- 59. Consequently, countries which had greater interestinanim proved international protection of indications of source stables had blished as pecial union under the Madrid Agreement

for the Repression of False or Deceptive Indications of Source on Goods (``Madrid Agreement on Indications of Source").

- 60. TheMadridAgreementonIndicationsofSourcebindstheStateswhichar epartytoitto preventnotonlytheuseof"false"indicationsofsource,butalsotheuseofindicationsof sourcewhichare "deceptive," i.e., literallytruebutneverthelessmisleading. This may be the case, for example, where a given geographical meexists intwo different countries, but was used as an indication of source only for products originating from that place in one country. Use of that indication of source by producers from the other country cannot be regarded as use of a "false" geograp hicalindication, although consumers may be deceived by such use.
- 61. Article 4oftheMadridAgreementonIndicationsofSourcecontainsaspecialprovision for "regionalappellationsconcerningthesourceofproductsofthevine" which constitues an exception to the rule that, in application of the Agreement, the courts are free to decide whether or not a given indication of source is a generic term. This article is not eworthy, since it constitutes a departure from the general rule that the conditions of protection of an indication of source and, in particular, whether a specific indication of source is considered generic, are to be determined by the country in which protection is sought.
- 62. However, the application of Article 4 oft he Madrid Agreement on Indications of Source is limited to the products of the vine, and the provision is subject to different interpretations. It was also repeatedly noted that the Madrid Agreement on Indications of Source does not significantly increase the level of protection for indications of source already provided under the Paris Convention. Considering also its limited membership, the Madrid Agreement on Indications of Source did not gain great practical significance.
- $(c) \quad The Lisbon Agreement for t \quad he Protection of Appellations of Origin and Their International Registration$
- 63. OneoftheresultsoftheLisbonDiplomaticConferenceof1958,whichhadattempted, *interalia*, toimprovetheinternationalprotectionforgeographicalindications withinthe frameworkoftheParisConventionandtheMadridAgreementonIndicationsofSource,was theadoptionoftheLisbonAgreementfortheProtectionofAppellationsofOriginandTheir InternationalRegistration. <sup>4</sup>
- 64. The Lisbon Agreement takes the protection of indications of source beyond the level of protection provided under the Paris Convention and the Madrid Agreement on Indications of Source. The main principle of the Lisbon Agreement is that the States which are party to it under take to protect appellations of origin that are protected "assuch" in the country of origin and registered in the international register administered by WIPO (Article 1(2)). That means in practice that it is only applicable to appellations of origin which are already protected on the national level in the country of origin. Once a given appellation of origin is protected in its country of origin, that appellation of origin can be registered in an international register administered by WIPO. After its registered in the appellation of origin is published and

<sup>&</sup>lt;sup>3</sup>OnFebruary4,2003,33StateswerepartytotheMadridAgreementonIndicationsofSource.

<sup>&</sup>lt;sup>4</sup>OnFebruary4,2003,20StateswerepartytotheLisbonAgreement(foracompletelist,see http://www.wipo.int/treaties/docs/english/j-lisbon.doc).AWorkingGroupontheModification oftheRegulationsundertheLisbonAgreementfortheProtectionofAppellationsofOriginand InternationalRegistrationmetfromJuly 10to 13,2000,inGeneva(LI/GT/1/1to3).

notifiedtoallotherStatespartytotheLisbonAgreement.Sinceitsentryintoforceon September 25,1966,835appellationsoforiginhavebeenregisteredundertheLisbon Agreement,ofwhich766ar estillinforce. <sup>5</sup>

- 65. Followingthereceiptofanotificationofregistration,aStatethatispartytotheLisbon Agreementmaydeclareduringaperiodofoneyearthatitcannotprotecttheappellationof originwhichwasthesubjectoftheno tification.Withrespecttothoseregistrationsmade undertheLisbonAgreement,62refusalsofprotection,concerning51international registrations,havebeenenteredintheinternationalregister.
- 66. According to Article 60fthe Lisbon Agr eement, an appellation which has been granted protection in one of the countries party to that Agreement pursuant to the procedure under Article 5 cannot, in that country, be deemed to have become generic, as long as it is protected as an appellation of or iginin the country of origin.
- 67. Thescopeofprotectionforinternationallyregisteredappellationsoforiginisbroader thantheprotectionforindicationsofsourceundertheParisConventionandtheMadrid AgreementonIndicationsofSource .Thus,underArticle 3oftheAgreement,notonly misleadinguseofaprotectedappellationoforiginisprohibited,but"anyusurpationor imitation[oftheprotectedappellationoforigin],evenifthetrueoriginoftheproductis indicatedorifthe appellationisusedintranslatedformoraccompaniedbytermssuchas "kind,""type,""make,""imitation"orthelike."
- (d) TheTRIPSAgreement
- 68. PartII,Section 3oftheTRIPSAgreementisdedicatedtogeographicalindications. The generalnormofprotectionis provided by Article 22.2, which reads as follows:
- "2. Inrespectofgeographicalindications, Membersshall provide the legal means for interested parties to prevent:
- (a) theuseofanymeansinthedesignationorpresentationofa goodthatindicatesor suggeststhatthegoodinquestionoriginatesinageographicalareaotherthanthetrueplaceof theorigininamannerwhichmisleadsthepublicastothegeographicaloriginofthegood;
- (b) anyusewhichconstitutesanactofu nfaircompetitionwithinthemeaning of Article 10bisoftheParisConvention(1967)."
- 69. Article 22.2issupplementedbyArticle 22.3and 22.4.Article 22.3dealsspecifically withtheregistrationoftrademarkscontainingorconsistingofag eographicalindication,for goodsnotoriginatingintheterritoryindicated,iftheuseofthosetrademarksforsuchgoods wouldbemisleadingastothetrueplaceoforiginofthegoods. Theremedythatmustbe availableinthatsituationisrefusalor invalidationofthetrademarkregistration,either *ex officio*,iftheapplicablelawsoallows,orattherequestofaninterestedparty.

<sup>&</sup>lt;sup>5</sup>Internationallyregist eredappellationsoforiginarepublishedintheWIPOperiodical *Les appellationsd'origine* .Internationallyregisteredappellationsoforiginarealsopublishedonthe CD-ROM *Romarin* 

<sup>&</sup>lt;sup>6</sup>LI/GT/1/2,paragraph7.

- 70. Article 22.4stipulatesthattheprotectionunderArticle 22.1to 22.3mustalsobemade availableinre spectoftheuseofdeceptivegeographicalindications,i.e.,geographical indicationsthatareliterallytrue,althoughtheyfalselyrepresenttothepublicthatthegoods onwhichtheyareusedoriginateinadifferentterritory.
- 71. Article 23.1providesforadditionalprotectionforgeographicalindicationsforwines and spirits. It reads as follows:
- "EachMembershallprovidethelegalmeansforinterestedpartiestopreventuseofa geographicalindicationidentifyingwinesforwinesnot originatingintheplaceindicatedby thegeographicalindicationinquestionoridentifyingspiritsforspiritsnotoriginatinginthe placeindicatedbythegeographicalindicationinquestion, evenwherethetrueoriginofthe goodsisindicatedorthe geographicalindicationisusedintranslationoraccompaniedby expressionssuchas "kind," "type," "style," "imitation" orthelike."

### Article 23.1hasafootnotewiththefollowingwording:

- "NotwithstandingthefirstsentenceofArticle 42,Membersma y,withrespecttothese obligations,insteadprovideforenforcementbyadministrativeaction."
- 72. Article 23.1 issupplemented by a paragraph dealing specifically with the registration of trademarks for wines containing or consisting of a geographical indication for wines, and the registration of a trademark for spirits containing of a geographical indication for spirits, where the wines and spirits in question do not have the indicated geographical origin. Registration of trademarks falling under that provision has to be refused or cancelled, either *exofficio* if the applicable laws o allows, or at the request of an interest edparty.
- 73. Article 24containsanumberofexceptionstotheobligationsunderArticles 22and23. Broadly speaking,therearethreecategoriesofexceptions,namelycontinuedandsimilaruse ofgeographicalindicationsforwinesandspirits,priorgoodfaithtrademarkrights,and genericdesignations.
- 74. Thefirstexception(Article 24.4)givesthe righttoaWTOMembertoallowcontinued and similar use of a particular geographical indication of another Memberi dentifying wines or spirits, inconnection with goods or services by any of its nationals or domiciliaries who have used that geographical indication in a continuous manner with regard to the same or related goods or services in the territory of that Membere ither for at least 10 years preceding April 15,1994, or in good faith preceding that date.
- 75. Thesecondexceptionrelatesto rightsintrademarks(Article 24.5). Itbasicallystates measurestoimplementthe Sectiononge ographical indications by a WTO Membershall not prejudice the registration of trademarks identical withors imilar toge ographical indications, the application for registration of such trademarks, or the right to use such trademarks, if the following conditions are met: An application for the registration of such a trademark has been filed, or the trademark has been registered, or, where the right to the trademark has been used, in good faith, in the WTO Member concerned, before the TRIPS Agreement became applicable in that Member, or before the geographical indication in question is protected in its country of origin.
- 76. Thethirdexception(Article 24.6)isrelatedtogeographicalindicationsofaWTO MemberwhichareconsideredbyanotherWTOMembertobeatermcustomaryincommon

language as the common name for goods or services or, where the geographical indiused for products of the wine, it is identical with the customary name of a grape variety existing in the territory of that Memberas of the date of entry into force of the TRIPS Agreement.

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77. Furthermore, Article 24.3 of the TRIPS Agr eement provides that in implementing the Section of the TRIPS Agreement on geographical indications, a WTO Membershall not diminish the protection of geographical indications that existed in that Member immediately prior to the date of entry into force of the WTO Agreement.

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