

Topic 2:

**Legal Requirements for Patentability and
Typical Parts of a Patent Application**

Background

- Monopoly protection in exchange for teaching the public a new invention
- Effectively creating new laws
- Incremental developments and revolutionary technologies
- Commercial tool

Background

T. A. EDISON.
Electric-Lamp.

No. 223,898.

Patented Jan. 27, 1880.

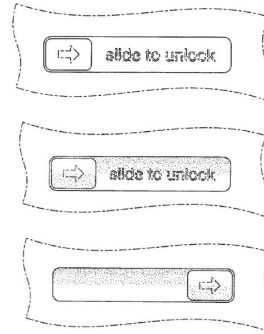
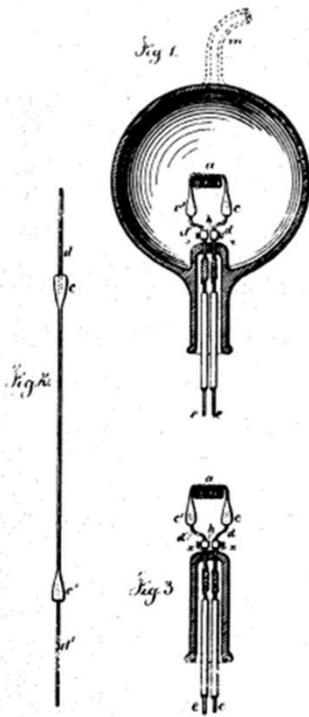


Fig. 1.

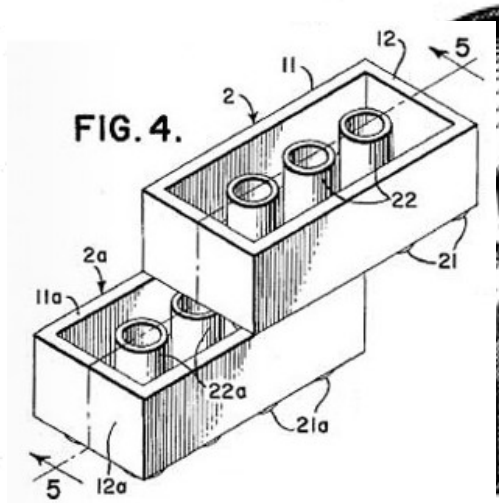


FIG. 4.

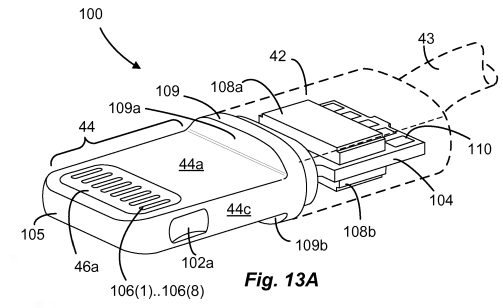


Fig. 13A

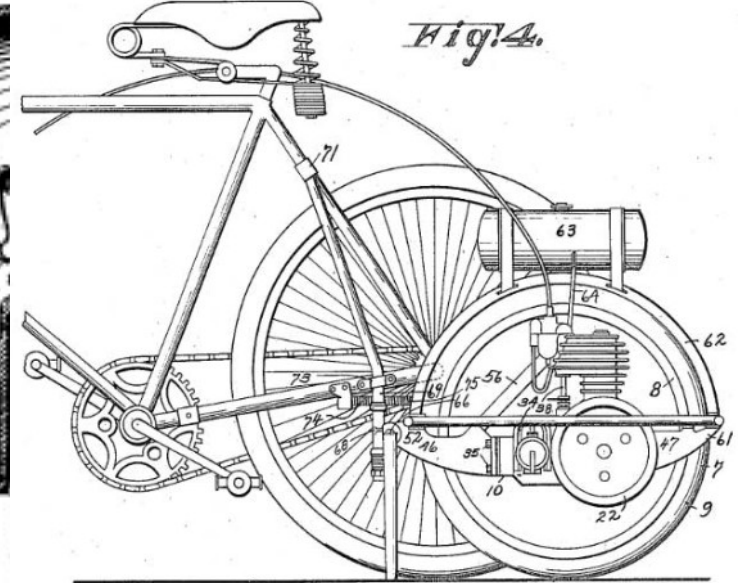
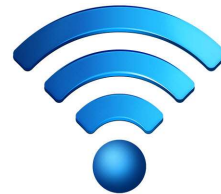


Fig. 4.

Witnesses
 Charles Smith
 Geo. H. Mackay

Inventor
 Thomas A. Edison
 by Lemuel W. Perrett



3G

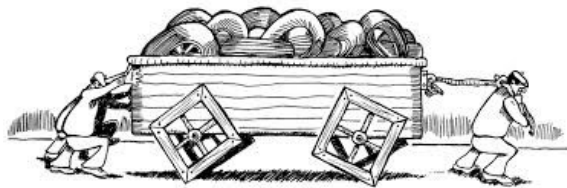
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Legal requirements

- Novelty
- Inventive step
- Industrial applicability
- Sufficiency/enablement

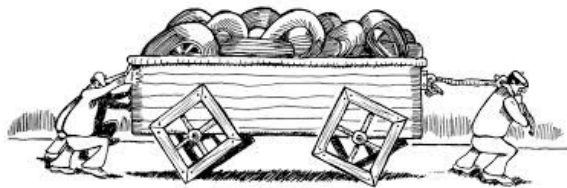
Legal requirements – Novelty

- Is it “new”?
- Public disclosure (“state of the art”)
- Oral, written, use, etc.
- Rationale?



Legal requirements – Inventive step

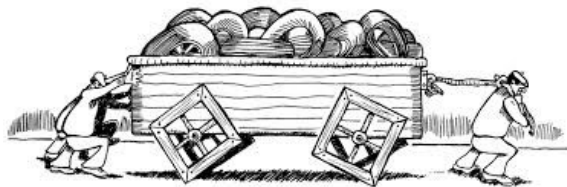
- Is it “inventive”?
- “Non-obvious” over the “state of the art”
- Advantages, solutions to problems, alternatives
- Rationale?



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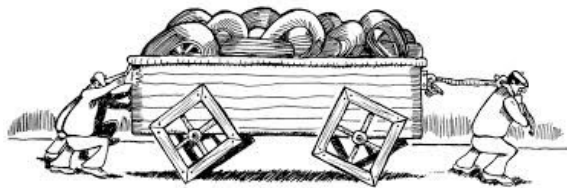
Legal requirements – Industrial applicability

- Is it useful: does it do something?
- “Industrial” is interpreted broadly
- Vehicle parts, manufacturing methods, agricultural products, medicines, computer programs, gene sequences, ...



Legal requirements – Sufficiency/enablement

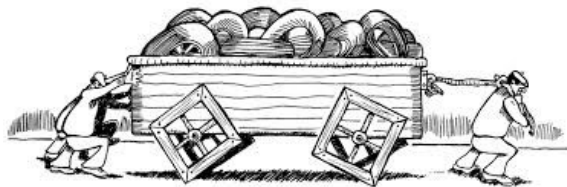
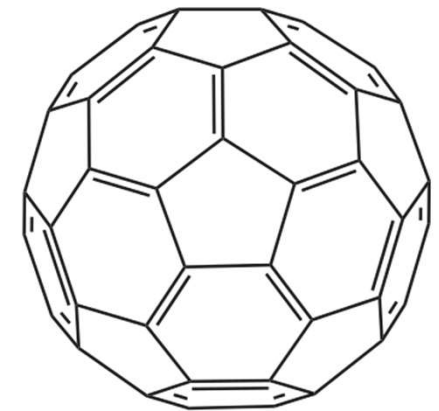
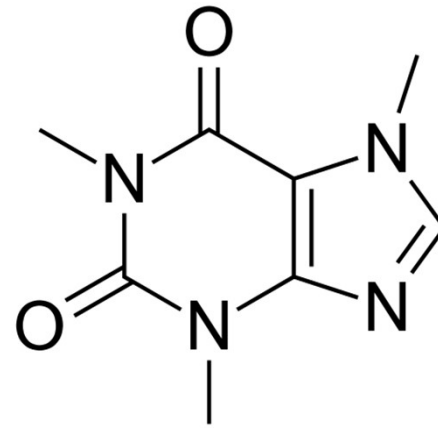
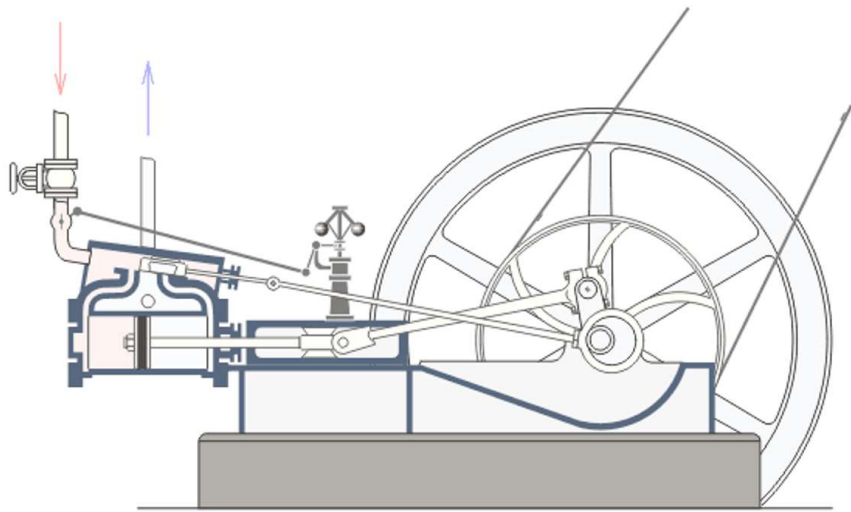
- Monopoly in exchange for teaching
- “Sufficient detail”
- Person skilled in the art
- Rationale?



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Legal requirements – Sufficiency/enablement

- Requirements vary
- Depends on the person skilled in the art



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Typical Parts of a Patent Application

■ Bibliographic data (front page)

■ Description

■ Figures

■ Claims

- Primarily for sufficiency/enablement

- Define something new, inventive and industrially applicable
- Defines the monopoly (scope of protection sought)



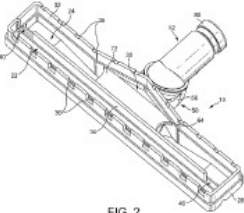
Typical Parts – Bibliographic data (front page)

■ Dates: filing, publication

■ People: applicant, proprietor, inventors, attorneys

■ Classification: scientific categorization system

■ Abstract, title, representative figure

 <p>Compétence Patent European Patent Office Office européen des brevets</p>	
(11) EP 3 108 786 A2	
(12) EUROPEAN PATENT APPLICATION	
(43) Date of publication: 28.12.2016 Bulletin 2016/52	(51) Int. Cl.: A47L 9/06 (2006.07)
(21) Application number: 16178869.0	
(22) Date of filing: 26.05.2010	
(64) Designated Contracting States: AL AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HR HU IE IS IT LI LT LU LV MC MK MT NL NO PL PT RO SE SI SK SM TR	<ul style="list-style-type: none"> • ILES, Jean-Paul Malmesbury, Wiltshire SN16 0RP (GB) • ASHBEE, Giles Malmesbury, Wiltshire SN16 0RP (GB) • FOLLOWS, Thomas Malmesbury, Wiltshire SN16 0RP (GB) • COURTNEY, Stephen Malmesbury, Wiltshire SN16 0RP (GB)
(30) Priority: 17.06.2009 GB 0910454 17.06.2009 GB 0910456	(74) Representative: Hobday, Duncan Stuart et al Dyson Technology Limited Intellectual Property Department Tetbury Hill Malmesbury, Wiltshire SN16 0RP (GB)
(62) Document number(s) of the earlier application(s) in accordance with Art. 76 EPC: 10722180.6 / 2 442 701	
(71) Applicant: Dyson Technology Limited Malmesbury, Wiltshire SN16 0RP (GB)	
(72) Inventors: • GELL, Ian Malmesbury, Wiltshire SN16 0RP (GB)	
Remarks: This application was filed on 11-07-2016 as a divisional application to the application mentioned under INID code 62.	
(54) A TOOL FOR A SURFACE TREATING APPLIANCE	
<p>(57) A tool (10) for a surface treating appliance comprises a main body (12) connected to a conduit (14). The main body (12) comprises a first suction channel (22) and a second suction channel (24) in fluid communication with the first suction channel (22) and located between the first suction channel (22) and an outlet from the main body (12). In use, a relatively low vacuum is generated in the first suction channel (22) which draws a first dirt-bearing fluid flow into the main body (12), and a relatively high vacuum is generated in the second suction channel (24), which draws a second dirt-bearing fluid flow into the main body (12) and receives the first dirt-bearing fluid flow from the first suction channel (22). To maintain the pressure differences between the suction channels (22, 24), the main body (12) comprises flexible surface engaging means (32, 34) located about the suction channels (22, 24), and between the first suction channel (22) and the second suction channel (24).</p>	 <p style="text-align: right;">FIG. 2</p>
EP 3 108 786 A2	
Printed by Jouve, 75001 PARIS (FR)	

Typical Parts – Description

- Title, field, introduction and background
- Summary of invention
- Detailed description
- Specific description of figures and examples

Typical Parts – Figures

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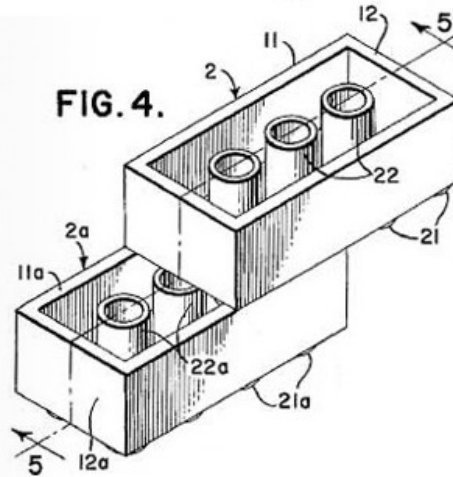
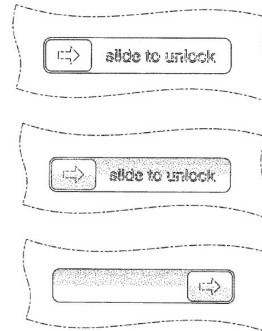
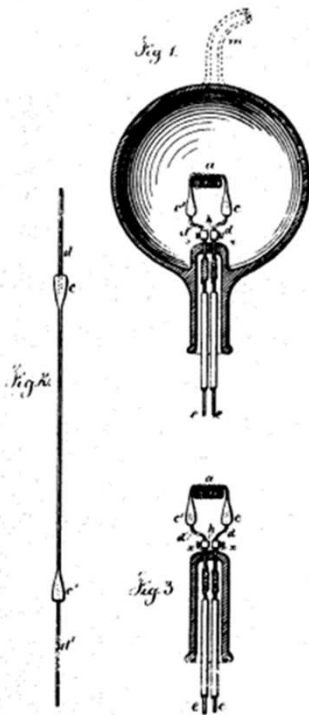


Fig. 1.

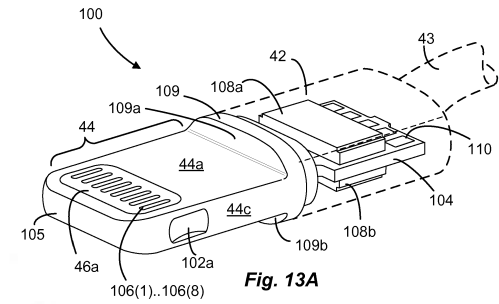


Fig. 13A

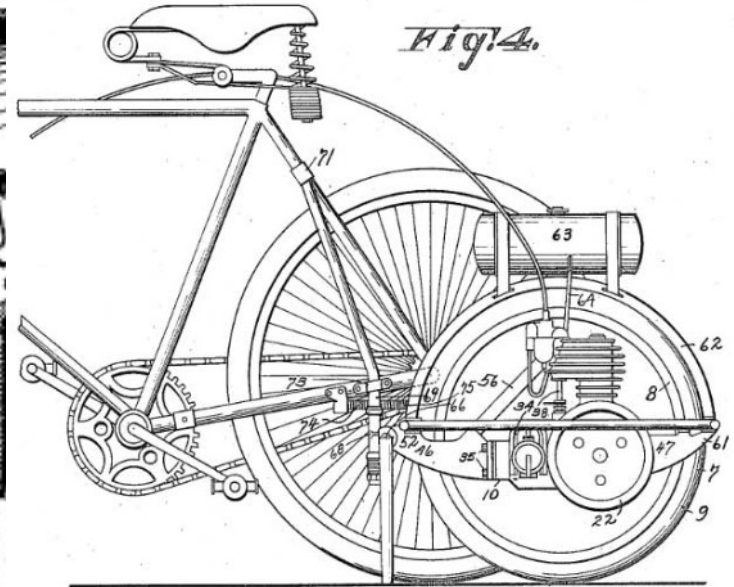


Fig. 4.

Witnesses
Charles Smith
H. S. Mackay

Thomas A. Edison
for Lemuel W. Perrell

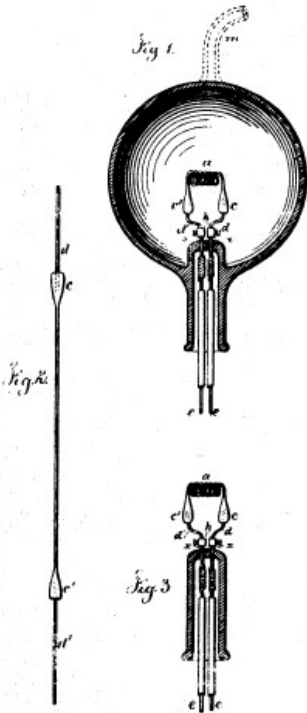
att'y.

Typical Parts – Claims

- Define the monopoly sought
- Must encapsulate legal requirements of:
novelty, inventive step and industrial applicability
- Single sentences:
clear, concise and self-contained
- May be a few words or many pages long

Typical Parts – Claims: Edison’s light bulb

T. A. EDISON.
Electric-Lamp.
No. 223,898. Patented Jan. 27, 1880.



Witnesses
Chas. S. Smith
Geo. S. Mackay

Inventor
Thomas A. Edison
per Lemuel W. Perrell

atty.

2 223,898

material be molded around it in the act of carbonization there is an intimate union by combination and by pressure between the carbon and platinum, and nearly perfect contact is ob-

vacuum has been reached, is hermetically sealed.

With substances which are not greatly distorted in carbonizing, they may be coated with

I claim as my invention—

1. An electric lamp for giving light by incandescence, consisting of a filament of carbon of high resistance, made as described, and secured to metallic wires, as set forth.

the principal radiating surface; hence I am able to raise the specific heat of the whole of the carbon, and thus prevent the rapid reception and disappearance of the light, which on a plain wire is prejudicial, as it shows the least unsteadiness of the current by the flickering of the light; but if the current is steady the defect does not show.

I have carbonized and used cotton and linen thread, wood splints, papers coiled in various ways, also lamp-black, plumbago, and carbon in various forms, mixed with tar and kneaded so that the same may be rolled out into wires of various lengths and diameters. Each wire, however, is to be uniform in size throughout.

If the carbon thread is liable to be distorted during carbonization it is to be coiled between a helix of copper wire. The ends of the carbon or filament are secured to the platinum leading-wires by plastic carbonizable material, and the whole placed in the carbonizing-chamber. The copper, which has served to prevent distortion of the carbon thread, is afterward eaten away by nitric acid, and the spiral soaked in water, and then dried and placed on the glass holder, and a glass bulb blown over the whole, with a leading-tube for exhaustion by a mercury-pump. This tube, when a high

being wound into a spiral.

Fig. 3 shows the spiral after carbonization, ready to have a bulb blown over it.

I claim as my invention—

1. An electric lamp for giving light by incandescence, consisting of a filament of carbon of high resistance, made as described, and secured to metallic wires, as set forth.

2. The combination of carbon filaments with a receiver made entirely of glass and conductors passing through the glass, and from which receiver the air is exhausted, for the purposes set forth.

3. A carbon filament or strip coiled and connected to electric conductors so that only a portion of the surface of such carbon conductors shall be exposed for radiating light, as set forth.

4. The method herein described of securing the platinum contact-wires to the carbon filament and carbonizing of the whole in a closed chamber, substantially as set forth.

Signed by me this 1st day of November, A. D. 1879.

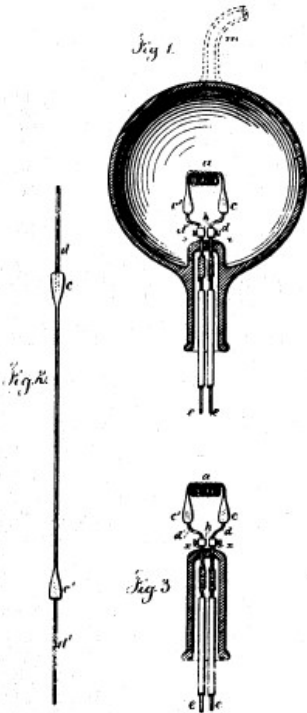
THOMAS A. EDISON.

Witnesses:

S. L. GRIFFIN,
JOHN F. RANDOLPH.

Typical Parts – Claims: Edison’s light bulb

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Electric-Lamp.
No. 223,898. Patented Jan. 27, 1880.



Witnesses
Chas. S. Smith
Geo. S. Mackay

Inventor
Thomas A. Edison
per Lemuel W. Ferrall

att.

223,898

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Signed by me this 1st day of November, A. D. 1879.

THOMAS A. EDISON.

Witnesses:

S. L. GRIFFIN,
JOHN F. RANDOLPH.

Legal requirements – recap

- Novelty (is it “**new**”)
- Inventive step (is it “**inventive**”?)
- Industrial applicability (does it **do something**?)
- Sufficiency/enablement (have you **described it sufficiently**?)

Typical Parts of an Application – recap

■ Bibliographic data (front page)

■ Description

■ Figures

■ Claims

• Primarily for sufficiency/enablement

• Define something new, inventive and industrially applicable

• Defines the monopoly (scope of protection sought)



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Q & A

Thank you

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(Chartered and European Patent Attorney)
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Patent and Trade Mark Attorneys

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