

## SECTION IV

### NOTICES AND INFORMATION OF A GENERAL CHARACTER

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#### FEES PAYABLE UNDER THE PCT

##### New Amounts of Fees

The **National Board of Patents and Registration of Finland** has notified new amounts of fees, as specified below. The new amounts are applicable as from January 1, 1984.

| Kind of Fee                                   | New Amount     |
|---|----------------|
|   | Finnish Markka |
| Transmittal Fee                               | 400            |
| Filing Fee                                    | 750            |
| Additional Fee for each claim in excess of 10 | 90             |

The **Swiss Federal Intellectual Property Office** has notified new amounts of fees, as specified below. The new amounts are applicable as from January 1, 1984.

| Kind of Fee     | New Amount   |
|-----------------|--------------|
|                 | Swiss francs |
| Transmittal Fee | 100          |
| Filing Fee      | 100          |

The **European Patent Office** has established new amounts in Pounds Sterling, Swedish Kronor and Swiss francs of fees fixed in the EPO's Schedule of Fees. The new amounts in those currencies which correspond to the fees published in the PCT Gazette No. 22/1983 of September 15, 1983, are shown below. They are applicable as from January 9, 1984.

| Kind of Fee                              | New Amount      |                |              |
|--|-----------------|----------------|--------------|
|  | Pounds Sterling | Swedish Kronor | Swiss francs |
| Transmittal Fee                          | 44              | 520            | 140          |
| Search Fee (for an international search) | 440*            | 5160**         | 1410***      |
| Preliminary Examination Fee              | 297             | 3490           | 950          |
| Additional Preliminary Examination Fee   | 297             | 3490           | 950          |
| National Fee                             | 135             | 1580           | 430          |

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\* This amount applies also to payments made to the Patent Office of the United Kingdom as receiving Office as from the same date.

\*\* This amount applies also to payments made to the Royal Patent and Registration Office of Sweden as receiving Office for an international search by the European Patent Office as from the same date.

\*\*\* This amount applies also to payments made to the Swiss Federal Intellectual Property Office as receiving Office as from the same date.

# SECTION IV

## NOTICES AND INFORMATION OF A GENERAL CHARACTER

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### FEES PAYABLE UNDER THE PCT

#### NEW AMOUNT OF THE SEARCH FEE IN US DOLLARS ESTABLISHED UNDER RULE 16.1(d)

Pursuant to Rule 16.1(d) of the Regulations under the PCT, a new amount in US dollars, as indicated below, has been established for the search fee for an international search by the European Patent Office. The new amount is applicable as from February 14, 1984.

| <b>Kind of Fee</b>  | <b>Amount<br/>US dollar</b> |
|---|-----------------------------|
| Search Fee<br>(international search by<br>the European Patent Office) | 620                         |

### RECEIVING OFFICES

**Advancement of Fees by the International Bureau: Office excluding Rule 16bis**

**Computation of Dates: Offices excluding Rule 80.6(a), second sentence**

The International Bureau was informed by the **Japanese Patent Office**, as receiving Office under the PCT, of the withdrawal of its notifications pursuant to PCT Rules 16bis.3 (excluding the application of PCT Rules 16bis.1 and 16bis.2) and 80.6(b) (excluding the application of PCT Rule 80.6(a), second sentence) with effect on February 1, 1984.

# SECTION IV

## NOTICES AND INFORMATION OF A GENERAL CHARACTER

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### NATIONAL AND REGIONAL OFFICES; THE INTERNATIONAL BUREAU

#### Names, Addresses

The **Norwegian Patent Office** has notified the International Bureau a new mailing address as from April 1, 1984:

Norwegian Patent Office  
Postboks 8160 Dep  
N-0033 Oslo 1  
Norway

### FEES PAYABLE UNDER THE PCT

#### New amounts of fees

The **Patent and Trademark Office of Denmark** has notified new amounts of fees as specified below. The new amounts are applicable as from March 1, 1984.

| Kind of fee                                   | New Amount<br>Danish Kroner |
|---|-----------------------------|
| Transmittal fee                               | 800                         |
| National fee                                  |                             |
| Filing fee                                    | 3000                        |
| Additional fee for each claim in excess of 10 | 500                         |

## STATISTICS RELATING TO RECORD COPIES RECEIVED BY THE INTERNATIONAL BUREAU

### GUIDANCE NOTE CONCERNING STATISTICS

Certain codes are used in the statistical tables to indicate the identity of receiving Offices and of designated States. These codes have been taken from the "Code for Identifying States and Organizations" contained in Annex B\* to the Administrative Instructions under the Patent Cooperation Treaty (PCT). The codes and the States to which they refer are set out at the foot of this note.

In the case of receiving Offices, the codes indicate the Contracting State of the Patent Cooperation Treaty (PCT) for which the receiving Office is the national industrial property office except in the case of the European Patent Office which acts (as well as the national industrial property office) as receiving Office for the Contracting States of the PCT which are also party to the European Patent Convention. In the statistical table relating to the designations of States, the figures shown relate to the indications as to designations contained in the record copies as received by the International Bureau of WIPO and notified by it to the designated Offices. Against the code of each designated State, the abbreviations "NAT" and/or "EPO" and/or "OAPI" are indicated. These abbreviations mean that, for the designated State, a national patent ("NAT") and/or a European patent ("EPO") and/or a patent granted by the African Intellectual Property Office ("OAPI") is sought.

|    |                                       |    |                          |
|----|---------------------------------------|----|--------------------------|
| AT | Austria                               | LK | Sri Lanka                |
| AU | Australia                             | LU | Luxembourg               |
| BE | Belgium                               | MC | Monaco                   |
| BR | Brazil                                | MG | Madagascar               |
| CF | Central African Republic              | MR | Mauritania               |
| CG | Congo                                 | MW | Malawi                   |
| CH | Switzerland                           | NL | Netherlands              |
| CM | Cameroon                              | NO | Norway                   |
| DE | Germany, Federal Republic of          | RO | Romania                  |
| DK | Denmark                               | SE | Sweden                   |
| FI | Finland                               | SN | Senegal                  |
| FR | France                                | SU | Soviet Union             |
| GA | Gabon                                 | TD | Chad                     |
| GB | United Kingdom                        | TG | Togo                     |
| HU | Hungary                               | US | United States of America |
| JP | Japan                                 | EP | European Patent Office   |
| KP | Democratic People's Republic of Korea |    |                          |

\* Published on pages 371 and 372 of PCT Gazette No. 05/1982.

DESIGNATIONS OF STATES BROKEN DOWN  
ACCORDING TO RECEIVING OFFICES

(From 1 January 1983 to 31 December 1983)

| Designated States            |      | Receiving Offices |      |     |     |      |      |      |     |      |      |     |      |     |     |     |      |     |       |      | Total of Designations |
|------------------------------|------|-------------------|------|-----|-----|------|------|------|-----|------|------|-----|------|-----|-----|-----|------|-----|-------|------|-----------------------|
|                              |      | AT                | AU   | BE  | BR  | CH   | DE   | DK   | FI  | FR   | GB   | HU  | JP   | NL  | NO  | RO  | SE   | SU  | US    | EP   |                       |
| AT                           | EPO  | 025               | 126  | 021 | 005 | 105  | 116  | 097  | 041 | 104  | 219  | 041 | 066  | 025 | 024 | 002 | 304  | -   | 924   | 175  | 2420                  |
|                              | NAT  | 003               | 003  | -   | 002 | 013  | 013  | 021  | 010 | 002  | 009  | 015 | 006  | 003 | 008 | -   | 033  | 013 | 150   | 017  | 0321                  |
| AU                           | NAT  | 012               | 162  | 004 | 001 | 052  | 054  | 065  | 026 | 085  | 189  | 019 | 093  | 018 | 021 | 002 | 183  | 019 | 953   | 113  | 2071                  |
| BE                           | EPO  | 025               | 128  | 018 | 005 | 096  | 128  | 106  | 038 | 128  | 223  | 029 | 074  | 027 | 028 | 001 | 308  | -   | 1151  | 170  | 2683                  |
| BR                           | NAT  | 010               | 060  | 004 | 001 | 044  | 040  | 033  | 011 | 078  | 106  | 012 | 029  | 013 | 010 | 001 | 127  | 016 | 605   | 094  | 1294                  |
| CF                           | OAPI | -                 | 008  | 005 | 001 | 004  | 008  | 005  | 001 | 044  | 014  | -   | 002  | 001 | 002 | -   | 007  | -   | 051   | 010  | 0163                  |
| CG                           | OAPI | -                 | 008  | 005 | 001 | 004  | 008  | 005  | 001 | 044  | 014  | -   | 002  | 001 | 002 | -   | 007  | -   | 051   | 010  | 0163                  |
| CH                           | EPO  | 029               | 137  | 020 | 003 | 088  | 116  | 102  | 037 | 122  | 229  | 039 | 098  | 028 | 026 | 001 | 312  | -   | 1127  | 179  | 2693                  |
|                              | NAT  | 002               | 004  | -   | -   | 018  | 015  | 027  | 012 | 008  | 014  | 009 | 018  | -   | 010 | -   | 039  | 015 | 188   | 016  | 0395                  |
| CM                           | OAPI | -                 | 008  | 005 | 001 | 004  | 008  | 005  | 001 | 044  | 014  | -   | 002  | 001 | 002 | -   | 007  | -   | 051   | 010  | 0147                  |
| DE                           | EPO  | 032               | 164  | 021 | 009 | 114  | 117  | 104  | 056 | 143  | 264  | 046 | 326  | 030 | 037 | 002 | 365  | -   | 1636  | 184  | 3650                  |
|                              | NAT  | 010               | 029  | -   | 003 | 029  | 006  | 056  | 039 | 024  | 026  | 015 | 079  | 003 | 025 | -   | 111  | 040 | 444   | 019  | 0958                  |
| DK                           | NAT  | 014               | 043  | 006 | 002 | 057  | 069  | 061  | 041 | 077  | 122  | 023 | 018  | 013 | 045 | 001 | 270  | 006 | 553   | 092  | 1513                  |
| FI                           | NAT  | 008               | 038  | 002 | 002 | 045  | 042  | 075  | 008 | 043  | 086  | 019 | 013  | 011 | 034 | -   | 285  | 019 | 379   | 069  | 1178                  |
| FR                           | EPO  | 031               | 168  | 021 | 010 | 118  | 139  | 112  | 057 | 075  | 273  | 049 | 353  | 030 | 042 | 002 | 379  | -   | 1717  | 200  | 3776                  |
| GA                           | OAPI | -                 | 008  | 005 | 001 | 004  | 008  | 005  | 001 | 044  | 014  | -   | 002  | 001 | 002 | -   | 007  | -   | 051   | 010  | 0163                  |
| GB                           | EPO  | 029               | 163  | 021 | 008 | 115  | 130  | 104  | 056 | 141  | 219  | 046 | 317  | 030 | 039 | 001 | 360  | -   | 1555  | 203  | 3537                  |
|                              | NAT  | 003               | 039  | -   | 002 | 022  | 019  | 039  | 027 | 020  | 063  | 013 | 061  | 002 | 028 | -   | 094  | 029 | 438   | 013  | 0912                  |
| HU                           | NAT  | 007               | 011  | 002 | 001 | 013  | 022  | 023  | 008 | 022  | 031  | -   | 005  | 003 | 001 | -   | 029  | 012 | 084   | 039  | 0313                  |
| JP                           | NAT  | 029               | 162  | 020 | 008 | 124  | 162  | 097  | 058 | 206  | 303  | 047 | 019  | 038 | 042 | 001 | 329  | 036 | 1921  | 260  | 3862                  |
| KP                           | NAT  | 002               | 019  | -   | 001 | 008  | 010  | 007  | -   | 016  | 025  | -   | -    | 004 | 005 | -   | 010  | -   | 120   | 022  | 0249                  |
| LK                           | NAT  | -                 | 006  | -   | 001 | 005  | 006  | 006  | -   | 009  | 010  | -   | 001  | 002 | -   | -   | 007  | -   | 027   | 010  | 0090                  |
| LU                           | EPO  | 021               | 107  | 020 | 002 | 086  | 099  | 093  | 021 | 094  | 209  | 017 | 049  | 024 | 018 | -   | 246  | -   | 905   | 150  | 2161                  |
|                              | NAT  | 001               | -    | -   | -   | 004  | 006  | 010  | 001 | 002  | 007  | 004 | 001  | -   | 003 | -   | 015  | -   | 086   | 043  | 0183                  |
| MC                           | NAT  | 002               | 004  | 001 | -   | 007  | 010  | 008  | -   | 021  | 013  | -   | 015  | 002 | 001 | -   | 008  | -   | 047   | 015  | 0154                  |
| MG                           | NAT  | -                 | 001  | -   | 001 | 004  | 008  | 007  | -   | 012  | 009  | -   | 002  | -   | 001 | -   | 003  | -   | 037   | 016  | 0101                  |
| MR                           | OAPI | -                 | 006  | 003 | 001 | 002  | 007  | 004  | -   | 032  | 006  | -   | 001  | 001 | 001 | -   | 005  | -   | 035   | 007  | 0111                  |
| MW                           | NAT  | -                 | 002  | -   | 001 | 004  | 008  | 005  | -   | 008  | 011  | -   | 002  | -   | 001 | -   | 003  | -   | 033   | 014  | 0092                  |
| NL                           | EPO  | 026               | 141  | 021 | 005 | 104  | 124  | 105  | 042 | 118  | 247  | 035 | 128  | 028 | 031 | 001 | 341  | -   | 1302  | 182  | 2981                  |
|                              | NAT  | 003               | 011  | -   | -   | 012  | 012  | 034  | 012 | 009  | 010  | 008 | 023  | -   | 015 | -   | 048  | 011 | 205   | 010  | 0423                  |
| NO                           | NAT  | 010               | 047  | 003 | 001 | 047  | 044  | 092  | 049 | 067  | 118  | 013 | 018  | 014 | 006 | -   | 276  | 007 | 538   | 070  | 1420                  |
| RO                           | NAT  | 003               | 007  | 001 | 001 | 009  | 015  | 014  | 001 | 016  | 020  | 005 | 004  | 004 | 002 | -   | 024  | -   | 098   | 022  | 0246                  |
| SE                           | EPO  | 026               | 145  | 021 | 004 | 104  | 122  | 106  | 055 | 114  | 240  | 038 | 093  | 029 | 032 | 001 | 248  | -   | 1233  | 170  | 2781                  |
|                              | NAT  | 001               | 011  | -   | -   | 012  | 012  | 037  | 034 | 006  | 015  | 008 | 009  | -   | 022 | -   | 022  | 021 | 250   | 011  | 0471                  |
| SN                           | OAPI | -                 | 008  | 005 | 001 | 004  | 008  | 005  | 001 | 044  | 014  | -   | 002  | 001 | 002 | -   | 007  | -   | 051   | 010  | 0163                  |
| SU                           | NAT  | 012               | 031  | 002 | 001 | 028  | 034  | 031  | 051 | 043  | 048  | 023 | 023  | 010 | 009 | 001 | 100  | -   | 277   | 048  | 0772                  |
| TD                           | OAPI | -                 | 008  | 005 | 001 | 004  | 008  | 005  | 001 | 044  | 014  | -   | 002  | 001 | 002 | -   | 007  | -   | 051   | 010  | 0163                  |
| TG                           | OAPI | -                 | 008  | 005 | 001 | 004  | 008  | 005  | 001 | 044  | 014  | -   | 002  | 001 | 002 | -   | 007  | -   | 051   | 010  | 0163                  |
| US                           | NAT  | 033               | 182  | 024 | 013 | 133  | 190  | 118  | 080 | 239  | 313  | 055 | 437  | 039 | 046 | 002 | 399  | 032 | 211   | 276  | 2822                  |
| <i>Sub-Total National</i>    |      | 165               | 872  | 069 | 042 | 690  | 797  | 866  | 468 | 1013 | 1548 | 288 | 876  | 179 | 335 | 008 | 2415 | 276 | 7644  | 1289 | 19840                 |
| <i>Sub-Total European</i>    |      | 244               | 1279 | 184 | 051 | 930  | 1091 | 929  | 403 | 1039 | 2123 | 340 | 1504 | 251 | 277 | 011 | 2863 | -   | 11550 | 1613 | 26682                 |
| <i>Sub-Total OAPI</i>        |      | -                 | 062  | 038 | 008 | 030  | 063  | 039  | 007 | 340  | 104  | -   | 015  | 008 | 015 | -   | 054  | -   | 392   | 077  | 1252                  |
| <b>Total of Designations</b> |      | 409               | 2213 | 291 | 101 | 1650 | 1951 | 1834 | 878 | 2392 | 3775 | 628 | 2395 | 438 | 627 | 019 | 5332 | 276 | 19586 | 2979 | 47774                 |

Note: During the period reported upon in this table, the International Bureau received no record copies from the Patent Offices of the Democratic People's Republic of Korea, Luxembourg, Monaco, Malawi and Sri Lanka acting as receiving Offices. Neither did the International Bureau receive any international application in its capacity of a receiving Office acting for Cameroon, the Central African Republic, Chad, Congo, Gabon, Mauritania, Senegal and Togo.

RECORD COPIES RECEIVED BROKEN DOWN  
ACCORDING TO RECEIVING OFFICES AND LANGUAGES OF FILING

(From 1 January 1983 to 31 December 1983)

| LANGUAGES   | RECEIVING OFFICES |     |     |     |     |     |     |     |     |     |     |     |     |     |     |     |     |      |     | Total Number<br>of Record<br>Copies<br>Received |
|---|-------------------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|------|-----|---|
|   | AT                | AU  | BE  | BR  | CH  | DE  | DK  | FI  | FR  | GB  | HU  | JP  | NL  | NO  | RO  | SE  | SU  | US   | EP  |   |
| Danish  | -                 | -   | -   | -   | -   | -   | 060 | -   | -   | -   | -   | -   | -   | 001 | -   | -   | -   | -    | -   | 0061  |
| Dutch   | -                 | -   | -   | -   | -   | -   | -   | -   | -   | -   | -   | -   | 014 | -   | -   | -   | -   | -    | -   | 0014  |
| English   | -                 | 192 | -   | 014 | -   | -   | 069 | 052 | -   | 356 | 036 | -   | 037 | 027 | 001 | 207 | -   | 2054 | 053 | 3098  |
| Finnish   | -                 | -   | -   | -   | -   | -   | -   | 035 | -   | -   | -   | -   | -   | -   | -   | -   | -   | -    | -   | 0035  |
| French  | -                 | -   | 023 | -   | 034 | -   | -   | -   | 262 | -   | -   | -   | -   | -   | 001 | -   | -   | -    | -   | 0323  |
| German  | 038               | -   | 001 | -   | 118 | 203 | -   | -   | -   | -   | 029 | -   | -   | -   | -   | -   | -   | -    | -   | 0672  |
| Japanese  | -                 | -   | -   | -   | -   | -   | -   | -   | -   | -   | -   | 462 | -   | -   | -   | -   | -   | -    | -   | 0462  |
| Norwegian   | -                 | -   | -   | -   | -   | -   | -   | -   | -   | -   | -   | -   | -   | 030 | -   | -   | -   | -    | -   | 0030  |
| Russian   | -                 | -   | -   | -   | -   | -   | -   | -   | -   | -   | -   | -   | -   | -   | -   | -   | 042 | -    | -   | 0042  |
| Swedish   | -                 | -   | -   | -   | -   | -   | -   | 002 | -   | -   | -   | -   | -   | -   | -   | 232 | -   | -    | -   | 0234  |
| <b>Total Number<br/>of Record<br/>Copies<br/>Received</b> | 038               | 192 | 024 | 014 | 152 | 203 | 129 | 089 | 262 | 356 | 065 | 462 | 051 | 058 | 002 | 439 | 042 | 2054 | 339 | 4971  |

**Note:** During the period reported upon in this table, the International Bureau received no record copies from the Patent Offices of the Democratic People's Republic of Korea, Luxembourg, Monaco, Malawi and Sri Lanka acting as receiving Offices. Neither did the International Bureau receive any international application in its capacity of a receiving Office acting for Cameroon, the Central African Republic, Chad, Congo, Gabon, Mauritania, Senegal and Togo.

# SECTION IV

## NOTICES AND INFORMATION OF A GENERAL CHARACTER

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### CONTRACTING STATES

#### States Party to the Patent Cooperation Treaty (PCT)

On January 16, 1984, the **Sudan** deposited its instrument of accession to the Patent Cooperation Treaty (PCT). Thus the Sudan will become the 34th Contracting State of the PCT on April 16, 1984.

Consequently, as from April 16, 1984, nationals and residents of the Sudan will become entitled to file international applications under the PCT, and, from the same date, it will be possible to file international applications designating the Sudan.

### INTERNATIONAL SEARCHING AUTHORITIES

#### AGREEMENTS BETWEEN THE INTERNATIONAL SEARCHING AUTHORITIES AND THE INTERNATIONAL BUREAU OF WIPO

##### AMENDMENTS

At its eleventh (7th extraordinary) session, the Assembly of the International Patent Cooperation Union (PCT Union) approved, on February 3, 1984, amendments to the Article, dealing with refunds of the search fee, of the Agreements between the Australian Patent Office, the Federal Ministry for Trade, Commerce and Industry of Austria, the Japanese Patent Office, the Royal Patent and Registration Office of Sweden, the USSR State Committee for Inventions and Discoveries and the European Patent Organisation, on the one hand, and the International Bureau of WIPO, on the other.\*

In addition, the Australian Patent Office, the Federal Ministry for Trade, Commerce and Industry of Austria, the Japanese Patent Office and the European Patent Organisation have each agreed with the International Bureau of WIPO to amend that part of the relevant Annex to the Agreement concerned which relates to the extent and conditions of refunds of the search fee.

The amendments to the Agreements themselves as well as the amendments to the Annexes become effective on the date of publication of this Gazette, i.e., on March 1, 1984. The texts of the amended provisions are reproduced below.

#### **Agreement between the Australian Patent Office and the International Bureau of WIPO\*\***

##### **“Article 7**

##### **Fees and Charges**

(1) [No change]

(2) The Authority shall, to the extent and under the conditions set out in Annex C of this Agreement, refund the whole or part of the search fee paid where a search report can be wholly or partially based on the results of an earlier search made by the Authority (Rules 16.3 and 41.1).”

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\* A note on the other results of the eleventh session of the Assembly of the PCT Union will be published in a forthcoming issue of the PCT Gazette.

\*\* Published in PCT Gazette No. 09/1980, pages 599 to 605.

## "ANNEX C

SCHEDULE OF FEES AND CHARGES OF THE AUTHORITY AND EXTENT  
AND CONDITIONS OF REFUNDS OF THE SEARCH FEE  
FOR THE PURPOSES OF ARTICLE 7 OF THE AGREEMENT

## (a) Schedule of fees and charges\*

| Fee  | Amount<br>in Australian<br>Dollars |
|--|------------------------------------|
| Search fee (Rule 16.1(a)) . . . . .                          | 350.-                              |
| Additional fee (Rule 40.2(a)) . . . . .                      | 300.-                              |
| Preparing copies of cited documents (Rule 44.3(b)) . . . . . | 5.-                                |
|  | (per document)                     |
| Preliminary examination fee (Rule 58.1(b)) . . . . .         | 150.-                              |
| Additional fee (Rule 68.3(a)) . . . . .                      | 150.-                              |
| Preparing copies of cited documents (Rule 71.2(b)) . . . . . | 5.-                                |
|  | (per document)                     |

## (b) Conditions and extent of refunds of the search fee where the search report can be wholly or partially based on the results of an earlier search (Rules 16.3 and 41.1)

The conditions and extent of refunds of the search fee in cases where the search report can be wholly or partially based on the results of an earlier search are the following:

The amount of any refund of the search fee shall be 25 per cent, 50 per cent, 75 per cent or 90 per cent depending upon the extent to which the Authority benefits from the prior search."

**Agreement between the Federal Ministry for Trade, Commerce and Industry  
of Austria and the International Bureau of WIPO\*\***

## "Article 7

## Fees and Charges

(1) [No change]

(2) The Authority shall, to the extent and under the conditions set out in Annex C of this Agreement, refund the whole or part of the search fee paid where a search report can be wholly or partially based on the results of an earlier search made by the Authority (Rules 16.3 and 41.1)."

## "ANNEX C

SCHEDULE OF FEES AND CHARGES OF THE AUTHORITY AND EXTENT  
AND CONDITIONS OF REFUNDS OF THE SEARCH FEE  
FOR THE PURPOSES OF ARTICLE 7 OF THE AGREEMENT

(a) [No change]

## (b) Conditions and extent of refunds of the search fee where the search report can be wholly or partially based on the results of an earlier search (Rules 16.3 and 41.1)

The conditions and the extent of refunds of the search fee in cases where the search report can be wholly or partially based on the results of an earlier search are the following:

The amount of any refund of the search fee shall be 75 per cent if the Authority benefits from the results of the prior search to the full extent or a substantially prevailing portion."

\* This schedule is reproduced here because it had not yet been published with the amounts currently in force (those amounts, however, were published in PCT Gazette No. 24/1982, pages 2603, 2608 and 2612).

\*\* Published in PCT Gazette No. 06/1979, pages 217 to 223, and No. 09/1983, page 997.



**Agreement between the Japanese Patent Office  
and the International Bureau of WIPO\***

**“Article 8**

**Fees and Charges**

(1) [No change]

(2) The Authority shall, to the extent and under the conditions set out in Annex D of this Agreement, refund the whole or part of the search fee paid where a search report can be wholly or partially based on the results of an earlier search made by the Authority (Rules 16.3 and 41.1).”

**“ANNEX D**

**SCHEDULE OF FEES AND CHARGES OF THE AUTHORITY AND EXTENT  
AND CONDITIONS OF REFUNDS OF THE SEARCH FEE  
FOR THE PURPOSES OF ARTICLE 8 OF THE AGREEMENT**

(a) [No change]

(b) **Conditions and extent of refunds of the search fee where the search report can be wholly or partially based on the results of an earlier search (Rules 16.3 and 41.1)**

The conditions and extent of refunds of the search fee in cases where the search report can be wholly or partially based on the results of an earlier search are the following:

The Authority shall refund 12,000 Japanese Yen, on the request of the applicant, where the Authority has been able to make use of a considerable part of the results of the earlier search.”

**Agreement between the Royal Patent and  
Registration Office of Sweden and the International  
Bureau of WIPO\*\***

**“Article 7**

**Fees and Charges**

(1) [No change]

(2) The Authority shall, to the extent and under the conditions set out in Annex C of this Agreement, refund the whole or part of the search fee paid where a search report can be wholly or partially based on the results of an earlier search made by the Authority (Rules 16.3 and 41.1).”

**Agreement between the USSR State Committee for  
Inventions and Discoveries and the  
International Bureau of WIPO\*\*\***

**“Article 7**

**Fees and Charges**

(1) [No change]

(2) The Authority shall, to the extent and under the conditions set out in Annex C of this Agreement, refund the whole or part of the search fee paid where a search report can be wholly or partially based on the results of an earlier search made by the Authority (Rules 16.3 and 41.1).”

\* Published in PCT Gazette No. 04/1978, pages 213 to 221.

\*\* Published in PCT Gazette No. 02/1978, pages 116 to 124, No. 09/1980, page 606, and No. 13/1982, pages 1331 and 1332.

\*\*\* Published in PCT Gazette No. 02/1978, pages 130 to 138, No. 07/1978, page 349, and No. 15/1983, pages 1653 and 1654.

**Agreement between the International Bureau of WIPO  
and the European Patent Organisation\***

**“Article 8**

**Fees and Other Charges**

(1) [No change]

(2) The Authority shall, to the extent and under the conditions set out in Annex B of this Agreement, refund the whole or part of the international search fee paid where an international search report can be wholly or partially based on the results of an earlier search made by the Authority.”

**“ANNEX B**

**I. Schedule of fees and charges referred to in Article 8(1) of the Agreement**

[No change]

**II. Refund of the fees for the international search report pursuant to Article 8(2) of the Agreement**

1. The search fee shall be refunded fully or in part if the international search report is based on an earlier search report already prepared by the Authority on an application whose priority is claimed for the international application.

2. The amount of any refund allowed under paragraph 1 shall be 25, 50, 75 or 100 per cent of the search fee depending upon the extent to which the Authority benefits from the earlier search report.”

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\* Published in PCT Gazette No. 02/1978, pages 107 to 115, No. 09/1980, page 606, No. 23/1981, page 2223, and No. 28/1981, page 2698.

## SECTION IV

### NOTICES AND INFORMATION OF A GENERAL CHARACTER

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#### CONTRACTING STATES

##### **Bulgaria**

On February 21, 1984, **Bulgaria** deposited its instrument of accession to the Patent Cooperation Treaty (PCT), with the declaration provided for in Article 64(5). Thus, **Bulgaria** will become the 35th Contracting State of the PCT on May 21, 1984.

Consequently, as from May 21, 1984, nationals and residents of **Bulgaria** will become entitled to file international applications under the PCT, and, from the same date, it will be possible to file international applications designating **Bulgaria**.

#### RECEIVING OFFICES

##### **Computation of Dates: Offices excluding Rule 80.6(a), second sentence**

The International Bureau was informed that the **Royal Patent and Registration Office of Sweden**, as receiving Office under the PCT, has withdrawn its notification pursuant to PCT Rule 80.6(b) (excluding the application of PCT Rule 80.6(a), second sentence) with effect on January 26, 1984.

### CORRIGENDUM

#### DESIGNATED (OR ELECTED) OFFICES

##### **Provisions of National Laws of Contracting States Concerning International-type Search Referred to in Article 15(5)**

##### **Netherlands**

The amount of the fee referred to in Section 17(5) of the Patents Rules of the Netherlands, reproduced on page 371 of the PCT Gazette No. 04/1984, should read Fls. 1,200.-- instead of Fls. 1,100.--.

# SECTION IV

## NOTICES AND INFORMATION OF A GENERAL CHARACTER

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### MEETINGS OF THE INTERNATIONAL PATENT COOPERATION UNION (PCT UNION)

#### ASSEMBLY

Eleventh Session  
(7th Extraordinary)

(Geneva, January 30 to February 3, 1984)

#### Note\*

##### Introduction

The Assembly of the International Patent Cooperation Union (PCT Union) (hereinafter referred to as "the Assembly" held its eleventh session (7th extraordinary) in Geneva from January 30 to February 3, 1984.

The following 19 Contracting States were represented at the session: Australia, Austria, Belgium, Brazil, Denmark, Finland, France, Germany (Federal Republic of), Hungary, Japan, Luxembourg, Netherlands, Norway, Romania, Soviet Union, Sweden, Switzerland, United Kingdom and United States of America.

The Republic of Korea and Spain participated in the session as observers.

The European Patent Organisation (EPO), having the status of special observer, was represented. The following nine international non-governmental organizations were represented by observers: Asian Patent Attorneys Association (APAA), Committee of National Institutes of Patent Agents (CNIPA), European Federation of Agents of Industry in Industrial Property (FEMIP), Institute of Professional Representatives Before the European Patent Office (EPI), International Association for the Protection of Industrial Property (AIPPI), International Federation of Industrial Property Attorneys (FICPI), International Federation of Inventors' Associations (IFIA), Union of European Practitioners in Industrial Property (UEPIP) and Union of Industries of the European Community (UNICE).

The list of participants follows this Note.

##### Amendment of certain time limits in the PCT and of the Regulations under the PCT

The Assembly, in its seventh session, held in Geneva from June 29 to July 3, 1981, asked the International Bureau to carry out a study of possible improvements to the PCT, particularly the PCT Regulations (see PCT Gazette No. 19/1981, pages 1779 to 1783). That study was undertaken and resulted in a number of first proposals for amendments to the PCT and the Regulations, which were discussed with representatives of non-governmental organizations in a meeting held in Geneva on April 1 and 2, 1982, at which representatives from national Offices also participated.

Thereafter, the International Bureau revised the said first proposals and added further proposals. This, the second set of proposals, was submitted to the PCT Committee for Administrative and Legal Matters (hereinafter referred to as "the Committee". The Committee considered those proposals and advised the International Bureau on them at its first session held in Geneva from September 6 to 10, 1982 (see PCT Gazette No. 26/1982, pages 2976 to 2978).

Taking that advice into account, the International Bureau prepared a revised set of proposals. This, the third set of proposals, was considered by the Committee in its second session, held in Geneva from April 25 to 29, 1983 (see PCT Gazette No 16/1983, pages 1767 and 1768).

On the basis of the advice received by it during the second session of the Committee, the International Bureau prepared a fourth, the final set of proposals, which was submitted to the eleventh session of the Assembly for consideration and decision.

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\* This Note was prepared by the International Bureau.

During its eleventh session, the Assembly unanimously adopted amendments to the PCT and to the Regulations under the PCT (including, where applicable, the deletion of some Rules or the inclusion of new Rules). Those amendments concern Articles 22(2) and 39(1)(a) of the Treaty and the following Rules of the Regulations: 4.10, 4.17, 6.4, 8.2, 11.15, 12.1, 12.2, 13*bis*.7, 15.4, 16*bis*.1, 16*bis*.2, 16*bis*.3, 17.1, 20.5, 22.1, 22.2, 22.3, 22.4, 22.5, 23.1, 24.1, 26.2, 26.3, 26.3*bis*, 26.4, 26.5, 28.1, 29.2, 32.1, 32*bis*.1, 34.1, 42.1, 46.1, 46.2, 46.3, 46.4, 46.5, 47.1, 48.2, 48.3, 48.6, 49.1, 49.3, 49.4, 49.5, 51.4, 51*bis*.1, 51*bis*.2, 53.1, 54.3, 54.4, 55.1, 55.2, 58.3, 60.3, 61.1, 62.1, 66.2, 66.3, 66.4, 66.5, 66.7, 66.8, 66.9, 69.1, 70.2, 70.11, 70.16, 70.17, 74.1, 74*bis*.1, 75.1, 75.2, 75.3, 76.1, 76.2, 76.3, 76.5, 80.6, 82.1, 82*bis*.1, 82*bis*.2, 82*ter*.1, 88.2, 88.4, 90.3, 91.1, 91.2, 92.2, 92*bis*.1 and 92*bis*.2.

The Assembly decided that all amendments would enter into force on January 1, 1985, subject to the following:

(i) the amendments to Rules 12.1(c) and (d), 34.1 and 48.3(a) and (b) will become applicable at the same time that the PCT will enter into force in respect of the country which, among Spanish-speaking countries, is the first to ratify or accede to the PCT;

(ii) the deletion of Rule 80.6(b) will enter into force on January 1, 1986;

(iii) some countries may, under certain circumstances, delay, for a transitory period, the application, by them, of some of the amendments. If any use is made of this possibility, it will be the subject of a separate announcement towards the end of 1984.

The texts of the decisions of the Assembly concerning Articles 22(2) and 39(1)(a) and of the amendments to the Regulations as adopted by the Assembly are reproduced after this Note.

The purpose and a brief description of the most important amendments are indicated below.

**Making the procedure during the international phase under Chapter I of the PCT safer and simpler for the applicant.** The Assembly adopted very important amendments giving the applicant the means to ensure that he will not lose his international application because the record copy has not reached the International Bureau in due time (see Rules 20.5 and 22.1). It is to be noted, in particular, that the time limit at the expiration of which the international application would be considered withdrawn under Article 12(3) if the record copy had not reached the International Bureau will start running only when a notification is sent by the International Bureau to the applicant (see Rule 22.3), and that the new system as resulting from those various amendments will completely replace the "alternative" procedure presently provided by Rule 22.2.

Other amendments will have the effect that the one-month grace period for the payment of the international fee and the search fee, which presently can, but does not have to, be granted by the receiving Offices, will, subject to some transitional provisions, become applicable in all receiving Offices (see Rule 15.4).

Amendments were adopted which will expressly allow the extension of time limits fixed by the receiving Office for the correction of defects and will make sure that no international application will be considered withdrawn by the receiving Office for lack of compliance with physical requirements if it complies with those requirements to the extent necessary for the purpose of reasonably uniform international publication (see Rule 26).

The time limit within which the applicant may amend the claims during the international phase will be longer in certain cases. Furthermore, the applicant will have the possibility to state more in his explanations of amendments and will be permitted to submit the amendments in the language of publication only. (See Rule 46.)

In connection with the amendments to Rules 32 and 32*bis*, which will make it possible to withdraw the international application, designations or the priority claim through a notice filed with the receiving Office even after the latter has sent the record copy to the International Bureau, the Assembly noted that the international application would not be published if the withdrawal reached the International Bureau before the completion of the technical preparations for international publication, which is not earlier than 15 days before the expiration of 18 months from the priority date. Furthermore, the Assembly agreed that it was possible for an applicant to make a conditional withdrawal of his international application in the sense that the withdrawal will be effective if it is made early enough for the international publication of the application not to take place and that the withdrawal will not be effective if it is made too late to stop the international publication of the application.

The rules concerning the rectification, during the international phase, of obvious errors in documents were made more liberal by allowing also the rectification of obvious errors which are not errors of transcription (see Rule 91). Furthermore, it will be possible for the applicant, if the rectification has been refused during the international phase, to obtain the publication of his request for rectification in the pamphlet publishing the international application. Such publication, however, will not relieve the applicant from the need to request, during the national phase, the designated Offices to authorize the rectification.

New rules were adopted which deal with the excusing by the designated or elected State of delays in meeting certain time limits, in particular, time limits pertaining to the international phase and the time limit for entering the national phase (see Rule 82*bis*). In that connection, it was understood by the Assembly that the excusing of the delay may take place only during the national phase and under the conditions set forth by the national law.

**Making the procedure under Chapter II of the PCT more attractive for the applicant.** A very important amendment adopted by the Assembly consisted of modifying the time limit under Article 39(1)(a) for entering the national phase before elected Offices. Subject to some transitory provisions, that time limit, which is presently 25 months from the priority date, has been extended to 30 months from the priority date. The Assembly also decided to extend the time limit for the establishment of the international preliminary examination report: where preliminary examination has been demanded before the expiration of the 19th month from the priority date, that time limit is 28 months from the priority date (instead of 6 months after the start of international preliminary examination). The said extensions will give applicants more opportunities to present arguments to the International Preliminary Examining Authority and more time for thorough international preliminary examination. (See Article 39 and Rules 66.4 and 69.)

**Making it safer and simpler for the applicant to enter the national phase.** The Assembly modified the time limit under Article 22(2) in order to harmonize it with the time limit under Article 22(1). This amendment means that, subject to some transitory provisions, the time limit for entering the national phase before the designated Offices will be 20 months from the priority date in *all* cases, even where the International Searching Authority declares that no international search report will be established. In the latter case, under the present text, the time limit was shorter.

The Assembly adopted new Rules specifying the requirements for entering the national phase, in particular, the contents of the translation of the international application to be furnished when entering the national phase, and making it clear that certain requirements to be complied with during the national phase must be complied with by the applicant, subject to some transitory provisions, only *after* entering the national phase (see Rules 49.3 to 49.5, 51*bis* and 74). Among the latter, it is now made clear that the applicant must be given an opportunity, *after* entering the national phase before the designated Office, for furnishing evidence--where evidence is required under the national law--as to the identity of the inventor, the applicant being the inventor, the applicant's right to file when he is not the inventor or circumstances making an earlier disclosure non-prejudicial. Also, if the applicant did not appoint a local agent when the national phase is entered, that he must be given an opportunity, *after* entering the national phase, to appoint a local agent where he is, under the national law, obliged to be represented by such an agent.

**Including certain patent documents published in the Spanish language into the PCT minimum documentation and including the Spanish language among the languages of publication of international applications.** It is expected that the very important amendments adopted by the Assembly in that connection will make it easier for Spain and for Spanish-speaking countries of Latin America to join the PCT Union (see Rules 12.1(c) and (d), 34.1 and 48.3(a) and (b)).

**Simplifying the tasks of the international authorities involved in the PCT procedure and simplifying the PCT Regulations in general.** The Assembly adopted a number of amendments in that connection, in particular, through the transfer of Rules or parts of Rules which are of no direct interest to applicants to the Administrative Instructions under the PCT and the deletion of some obsolete Rules.

It is to be expected that, after this very comprehensive revision of the Regulations, there will be a longer period during which no, or only very few, changes will be necessary in the PCT system.

#### **Questions of special interest to developing countries**

The Assembly adopted a Resolution, the text of which appears below, recommending to all States members of the PCT Union to seek ways and means for financing at least part of the fees payable by applicants from developing countries for international search and international preliminary examination, recommending to all International Searching and Preliminary Examining Authorities to study the possibility of reducing such fees, and recommending to all States members of the PCT Union to study whether national or regional funds could be used to assist applicants from developing countries in paying the said fees.

#### **Amendment of the Agreements concluded between certain International Searching Authorities and the International Bureau**

The Assembly approved amendments to the Agreements concluded between certain International Searching Authorities and the International Bureau (see PCT Gazette No. 06/1984, pages 661 to 664).

#### **Amendment of the Rules of Procedure of the Assembly**

The Assembly adopted amendments to the Rules of Procedure of the Assembly, of the PCT Committee for Technical Cooperation, of the PCT Committee for Administrative and Legal Matters and of the PCT Committee for Technical Assistance.

## LIST OF PARTICIPANTS

## I. MEMBER STATES

**Australia:** P.M. Thomas. **Austria:** N. Marterer. **Belgium:** P. Ceuninck. **Brazil:** E. Cordeiro. **Denmark:** J. Dam. **Finland:** S.L. Lahtinen, E. Häkli. **France:** P. Guérin, G. Rajot. **Germany (Federal Republic of):** F. Goebel, J. Schade. **Hungary:** I. Ivanyi, E. Parragh. **Japan:** Y. Hashimoto, E. Sirakasi, S. Ono. **Luxembourg:** F. Schlessler. **Netherlands:** J.J. Bos, S. de Vries. **Norway:** P.T. Lossius, I. Lillevik, K.H. Reinskou. **Romania:** I. Marinescu, P. Gavrilescu. **Soviet Union:** L. Komarov, V. Troussov. **Sweden:** G. Borggård, B. Sandberg, E. Tersmeden. **Switzerland:** M. Leuthold, K. Grünig, J.-M. Souche. **United Kingdom:** A. Sugden, J. Sharrock. **United States of America:** H.D. Hoinkes, L.O. Maassel.

## II. OBSERVER STATES

**Republic of Korea:** J.U. Chae. **Spain:** J. Delicado Montero-Rios, S. Jessel, A. Casado Cervino.

## III. INTERGOVERNMENTAL ORGANIZATION

**European Patent Organisation (EPO):** U. Schatz, L. Gruszow, M.S. Pårup.

## IV. INTERNATIONAL NON-GOVERNMENTAL ORGANIZATIONS

**Asian Patent Attorneys Association (APAA):** T. Yamaguchi. **Committee of National Institutes of Patent Agents (CNIPA):** C.J.W. Everitt. **European Federation of Agents of Industry in Industrial Property (FEMIP):** F.A. Jenny. **Institute of Professional Representatives Before the European Patent Office (EPI):** F.A. Jenny. **International Association for the Protection of Industrial Property (AIPPI):** G.R. Clark. **International Federation of Industrial Property Attorneys (FICPI):** H. Bardehle, K. Raffinsoe. **International Federation of Inventors' Associations (IFIA):** C.P. Feldmann. **Union of European Practitioners in Industrial Property (UEPIP):** G.E. Kirker. **Union of Industries of the European Community (UNICE):** R. Kockläuner.

## V. OFFICERS

*Chairman:* I. Marinescu (Romania); *Vice-Chairmen:* G. Borggård (Sweden), .... (Togo); *Secretary:* F. Curchod (WIPO).

## VI. INTERNATIONAL BUREAU OF WIPO

A. Bogsch (*Director General*); K. Pfanner (*Deputy Director General*); F. Curchod (*Director, PCT Division*); J. Franklin (*Deputy Head, PCT Division*); B. Bartels (*Head, PCT Legal Section*); N. Scherrer (*Head, PCT Publications, Fees and Statistics Section*); Y. Plotnikov (*Senior Counsellor, PCT Legal Section*); T. Hirai (*Examination Procedures Officer, PCT Examination Section*).

DECISIONS OF THE ASSEMBLY CONCERNING  
ARTICLES 22(2) AND 39(1)(a) OF THE PATENT COOPERATION TREATY (PCT)  
AND AMENDMENTS TO THE REGULATIONS UNDER THE PCT  
AS ADOPTED BY THE ASSEMBLY

DECISIONS CONCERNING ARTICLES 22(2) AND 39(1)(a)

Decision Concerning Article 22(2)

The Assembly, in order to make the same time limit applicable under paragraphs (1) and (2) of Article 22, decides as follows:

(1) Article 22(2) is modified as follows:

"\*Where the International Searching Authority makes a declaration, under Article 17(2)(a), that no international search report will be established, the time limit for performing the acts referred to in paragraph (1) of this Article shall be the same as that provided for in paragraph (1)."

(2) The modification enters into force on January 1, 1985. However, as long as that time limit is incompatible with the national law applied by the designated Office, a time limit of two months from the date of the notification sent to the applicant of the said declaration shall, during that transitory period, apply with respect to that designated Office, provided that such Office has made a notification to that effect to the International Bureau.

(3) The notification referred to in paragraph (2) shall be addressed to the International Bureau before October 1, 1984. It shall be promptly published by the International Bureau in the Gazette, and it shall become effective on January 1, 1985.

(4) Any notification effected under paragraph (3) may be withdrawn at any time. Such withdrawal shall be promptly published by the International Bureau in the Gazette, and it shall be effective 2 months after its publication in the Gazette or at any later date as indicated in the notice of withdrawal.

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\* The modification consists of replacing the words "two months from the date of the notification sent to the applicant of the said declaration" by the words underlined; it also consists of deleting, before the word "Where," the words "Notwithstanding the provisions of paragraph (1),"



Decision Concerning Article 39(1) (a)

The Assembly, in order to extend the time limit under Article 39(1) (a) from 25 to 30 months from the priority date, decides as follows:

(1) Article 39(1) (a) is modified as follows:

"If the election of any Contracting State has been effected prior to the expiration of the 19th month from the priority date, the provisions of Article 22 shall not apply to such State and the applicant shall furnish a copy of the international application (unless the communication under Article 20 has already taken place) and a translation thereof (as prescribed), and pay the national fee (if any), to each elected Office not later than at the expiration of 30\* months from the priority date."

(2) The modification enters into force on January 1, 1985. However, as long as the said time limit of 30 months is incompatible in all cases with the national law applied by the elected Office, a time limit of 25 months from the priority date shall, during that transitory period, apply with respect to that elected Office, provided that such Office has made a notification to that effect to the International Bureau.

(3) The notification referred to in paragraph (2) shall be addressed to the International Bureau before October 1, 1984. It shall be promptly published by the International Bureau in the Gazette, and it shall become effective on January 1, 1985.

(4) Any notification effected under paragraph (3) may be withdrawn at any time. Such withdrawal shall be promptly published by the International Bureau in the Gazette, and it shall be effective 2 months after its publication in the Gazette or at any later date as indicated in the notice of withdrawal.

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\* The modification consists of changing "25" to "30."

## AMENDMENTS TO THE REGULATIONS\*

## Rule 4

## The Request (Contents)

4.1 to 4.9 [No change]

4.10 Priority Claim

(a) [No change]

(b) If the request does not indicate both

(i) when the earlier application is not a regional or an international application, the country in which it was filed; when the earlier application is a regional or an international application, at least one country for which it was filed, and

(ii) the date on which it was filed,

the priority claim shall, for the purposes of the procedure under the Treaty, be considered not to have been made except where, resulting from an obvious error\*\*, the indication of the said country or the said date is missing or is erroneous; whenever the identity or correct identity of the said country, or the said date or the correct date, may be established on the basis of the copy of the earlier application which reaches the receiving Office before it transmits the record copy to the International Bureau, the error shall be considered as an obvious error.

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\* Where the amendment consists of one or more new words, it or they are underlined (the word or words which is or are replaced are not indicated). Where the amendment consists of deleting one or more words, without replacing it or them, this fact is indicated in footnotes.

\*\* The amendment consists of deleting, after the word "error," the words "of transcription."

[Rule 4.10, continued]

(c) If the application number of the earlier application is not indicated in the request but is furnished by the applicant to the International Bureau or to the receiving Office prior to the expiration of the 16th month from the priority date, it shall be considered by all designated States to have been furnished in time.\*

(d) If the filing date of the earlier application as indicated in the request does not fall within the period of one year preceding the international filing date, the receiving Office, or, if the receiving Office has failed to do so, the International Bureau, shall invite the applicant to ask either for the cancellation of the declaration made under Article 8(1) or, if the date of the earlier application was indicated erroneously, for the correction of the date so indicated. If the applicant fails to act accordingly within 1 month from the date of the invitation, the declaration made under Article 8(1) shall be cancelled ex officio.\*\*

(e) [No change]

4.11 to 4.16 [No change]

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\* The amendment also consists of deleting the last two sentences of this paragraph.

\*\* The amendment consists of deleting the last two sentences of the present text of this paragraph.

## 4.17 Additional\* Matter

(a) The request shall contain no matter other than that specified in Rules 4.1 to 4.16, provided that the Administrative Instructions may permit, but cannot make mandatory, the inclusion in the request of any additional matter specified in the Administrative Instructions.

(b) If the request contains matter other than that specified in Rules 4.1 to 4.16 or permitted under paragraph (a) by the Administrative Instructions, the receiving Office shall ex officio delete the additional matter.

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\* The amendment consists of deleting the word "No" before the word "Additional."

## Rule 6

## The Claims

6.1 to 6.3 [No change]

6.4 Dependent Claims

(a) Any claim which includes all the features of one or more other claims (claim in dependent form, hereinafter referred to as "dependent claim") shall do so by a reference, if possible at the beginning, to the other claim or claims and shall then state the additional features claimed. Any dependent claim which refers to more than one other claim ("multiple dependent claim") shall refer to such claims in the alternative only. Multiple dependent claims shall not serve as a basis for any other multiple dependent claim. Where the national law of the national Office acting as International Searching Authority does not allow multiple dependent claims to be drafted in a manner different from that provided for in the preceding two sentences, failure to use that manner of claiming may result in an indication under Article 17(2)(b) in the international search report. Failure to use the said manner of claiming shall have no effect in a designated State if the manner of claiming actually used satisfies the national law of that State.

(b) and (c) [No change]

6.5 [No change]

## Rule 8

## The Abstract

8.1 [No change]

8.2 Figure

(a) If the applicant fails to make the indication referred to in Rule 3.3(a)(iii), or if the International Searching Authority finds that a figure or figures other than that figure or those figures suggested by the applicant would, among all the figures of all the drawings, better characterize the invention, it shall, subject to paragraph (b), indicate the figure or figures which should accompany the abstract when the latter is published by the International Bureau. In such case, the abstract shall be accompanied by the figure or figures so indicated by the International Searching Authority. Otherwise, the abstract shall, subject to paragraph (b), be accompanied by the figure or figures suggested by the applicant.

(b) If the International Searching Authority finds that none of the figures of the drawings is useful for the understanding of the abstract, it shall notify the International Bureau accordingly. In such case, the abstract, when published by the International Bureau, shall not be accompanied by any figure of the drawings even where the applicant has made a suggestion under Rule 3.3(a)(iii).

8.3 [No change]

## Rule 11

## Physical Requirements of the International Application

11.1 to 11.14 [No change]

11.15 Translations

[Deleted]

## Rule 12

## Language of the International Application

12.1 Admitted Languages

(a) Any international application shall be filed in the language, or one of the languages, specified in the agreement concluded between the International Bureau and the International Searching Authority competent for the international searching of that application, provided that, if the agreement specifies several languages, the receiving Office may prescribe among the specified languages that language in which or those languages in one of which the international application must be filed.

(b) If the international application is filed in a language other than the language in which it is to be published, the request may, notwithstanding paragraph (a), be filed in the language of publication.

(c) Subject to paragraph (d), where the official language of the receiving Office is one of the languages referred to in Rule 48.3(a) but is a language not specified in the agreement referred to in paragraph (a), the international application may be filed in the said official language. If the international application is filed in the said official language, the search copy transmitted to the International Searching Authority under Rule 23.1 shall be accompanied by a translation into the language, or one of the languages, specified in the agreement referred to in paragraph (a); such translation shall be prepared under the responsibility of the receiving Office.

(d) Paragraph (c) shall apply only where the International Searching Authority has declared, in a notification addressed to the International Bureau, that it accepts to search international applications on the basis of the translation referred to in paragraph (c).



12.2 Language of Changes in the International Application

Any changes in the international application, such as amendments and corrections, shall, subject to Rules 46.3 and 66.9, be in the same language as the said application.\*

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\* The amendment also consists of deleting, after the word "application," the expression "(cf. Rule 66.5)."

Rule 13bis

## Microbiological Inventions

13bis.1 to 13bis.6 [No change]

13bis.7 National Requirements: Notification and Publication

(a) [No change]

(b) Each national Office shall notify the International Bureau\* of the depositary institutions with which the national law permits deposits of microorganisms to be made for the purposes of patent procedure before that Office or, if the national law does not provide for or permit such deposits, of that fact.

(c) [No change]

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\* The amendment consists of deleting, after the word "Bureau," the following words: "a first time before entry into force of this Rule and then each time a change occurs."

## Rule 15

## The International Fee

15.1 to 15.3 [No change]

## 15.4 Time of Payment

(a) The basic fee shall be paid within one month from the date of receipt of the international application.

(b) The designation fee shall be paid:

(i) where the international application does not contain a priority claim under Article 8, within one year from the date of receipt of the international application,

(ii) where the international application contains a priority claim under Article 8, within one year from the priority date or within one month from the date of receipt of the international application if that month expires after the expiration of one year from the priority date.

(c) Where the basic fee or the designation fee is paid later than the date on which the international application was received and where the amount of that fee is, in the currency in which it is payable, higher on the date of payment ("the higher amount") than it was on the date on which the international application was received ("the lower amount"),

(i) the lower amount shall be due if the fee is paid within one month from the date of receipt of the international application,

(ii) the higher amount shall be due if the fee is paid later than one month from the date of receipt of the international application.

[Rule 15.4, continued]

(d) If, on February 3, 1984, paragraphs (a) and (b) are not compatible with the national law applied by the receiving Office and as long as they continue to be not compatible with that law, the basic fee shall be paid on the date of receipt of the international application and the designation fee shall be paid within one year from the priority date.

15.5 [No change: remains deleted]

15.6 [No change]

Rule 16bis

## Advancing Fees by the International Bureau

16bis.1 Guarantee by the International Bureau

(a) Where, by the time they are due under Rule 14.1(b), Rule 15.4(a)\* and Rule 16.1(f), the receiving Office finds that in respect of an international application no fees were paid to it by the applicant, or that the amount paid to it by the applicant is less than what is necessary to cover the transmittal fee, the basic fee and the search fee, the receiving Office shall charge the amount required to cover those fees, or the missing part thereof, to the International Bureau and shall consider the said amount as if it had been paid by the applicant at the due time.

(b) Where, by the time\*\* they are due under Rule 15.4(b)\*, the receiving Office finds that in respect of an international application the payment made by the applicant is insufficient to cover the designation fees necessary to cover all the designations, the receiving Office shall charge the amount required to cover those fees to the International Bureau and shall consider that amount as if it had been paid by the applicant at the due time.

(c) [No change]

(d) [Deleted]

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\* The amendment consists of deleting the reference to paragraph (c).

\*\* The amendment consists of deleting, after the word "time," the words "it or."

16bis.2 Obligations of the Applicant, Etc.

(a) to (c) [No change]

(d) to (g) [Deleted]

16bis.3 Notifications

[Deleted]

## Rule 17

## The Priority Document

## 17.1 Obligation to Submit Copy of Earlier National Application

(a) Where the priority of an earlier national application is claimed under Article 8 in the international application, a copy of the said national application, certified by the authority with which it was filed ("the priority document"), shall, unless already filed with the receiving Office together with the international application, be submitted by the applicant to the International Bureau or to the receiving Office not later than 16 months after the priority date or, in the case referred to in Article 23(2), not later than at the time the processing or examination is requested.\*

(b) Where the priority document is issued by the receiving Office, the applicant may, instead of submitting the priority document, request the receiving Office to transmit the priority document to the International Bureau. Such request shall be made not later than the expiration of the applicable time limit referred to under paragraph (a) and may be subjected by the receiving Office to the payment of a fee.\*\*

(c) [No change]

(d) [Deleted]

## 17.2 [No change]

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\* The amendment consists of deleting the last two sentences of this paragraph.

\*\* The amendment consists of deleting the last sentence of this paragraph.

## Rule 20

## Receipt of the International Application

20.1 to 20.4 [No change]

20.5 Positive Determination

(a) [No change]

(b) [No change]

(c) The receiving Office shall promptly notify the applicant of the international application number and the international filing date. At the same time, it shall send to the International Bureau a copy of the notification sent to the applicant, except where it has already sent, or is sending at the same time, the record copy to the International Bureau under Rule 22.1(a).

20.6 to 20.9 [No change]



## Rule 22

## Transmittal of the Record Copy

## 22.1 Procedure

(a) [No change]

(b) If the International Bureau has received a copy of the notification under Rule 20.5(c) but is not, by the expiration of 13 months from the priority date, in possession of the record copy, it shall remind the receiving Office that it should transmit the record copy to the International Bureau promptly.

(c) If the International Bureau has received a copy of the notification under Rule 20.5(c) but is not, by the expiration of 14 months from the priority date, in possession of the record copy, it shall notify the applicant and the receiving Office accordingly.

(d) After the expiration of 14 months from the priority date, the applicant may request the receiving Office to certify a copy of his international application as being identical with the international application as filed and may transmit such certified copy to the International Bureau.

[Rule 22.1, continued]

(e) Any certification under paragraph (d) shall be free of charge and may be refused only on any of the following grounds:

(i) the copy which the receiving Office has been requested to certify is not identical with the international application as filed;

(ii) prescriptions concerning national security prevent the international application from being treated as such;

(iii) the receiving Office has already transmitted the record copy to the International Bureau and that Bureau has informed the receiving Office that it has received the record copy.

(f) Unless the International Bureau has received the record copy, or until it receives the record copy, the copy certified under paragraph (e) and received by the International Bureau shall be considered to be the record copy.

(g) If, by the expiration of the time limit applicable under Article 22, the applicant has performed the acts referred to in that Article but the designated Office has not been informed by the International Bureau of the receipt of the record copy, the designated Office shall inform the International Bureau. If the International Bureau is not in possession of the record copy, it shall promptly notify the applicant and the receiving Office unless it has already notified them under paragraph (c).

## 22.2 Alternative Procedure

[Deleted]

## 22.3 Time Limit under Article 12(3)

The time limit referred to in Article 12(3) shall be 3 months from the date of the notification sent by the International Bureau to the applicant under Rule 22.1(c) or (g).

22.4 Statistics Concerning Non-Compliance with Rules 22.1 and 22.2

[Deleted]

22.5 Documents Filed with the International Application

[Deleted]

## Rule 23

## Transmittal of the Search Copy

## 23.1 Procedure

(a) The search copy shall be transmitted by the receiving Office to the International Searching Authority at the latest on the same day as the record copy is transmitted to the International Bureau.\*

(b) If the International Bureau has not received, within 10 days from the receipt of the record copy, information from the International Searching Authority that that Authority is in possession of the search copy, the International Bureau shall promptly transmit a copy of the international application to the International Searching Authority.\*\*

(c) [Deleted]

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\* The amendment consists of deleting, at the end of the present text of this paragraph, the words "or, under Rule 22.2(d), to the applicant."

\*\* The amendment consists of deleting the second sentence of this paragraph.

## Rule 24

## Receipt of the Record Copy by the International Bureau

## 24.1 Recording of Date of Receipt of the Record Copy

[Deleted]

## 24.2 [No change]

## Rule 26

Checking By, and Correcting Before, the Receiving Office of Certain  
Elements of the International Application

26.1 [No change]

26.2 Time Limit for Correction

The time limit referred to in Article 14(1)(b) shall be reasonable under the circumstances\* and shall be fixed in each case by the receiving Office. It shall not be less than 1 month\*\* from the date of the invitation to correct. It may be extended by the receiving Office at any time before a decision is taken.

26.3 Checking of Physical Requirements under Article 14(1)(a)(v)

The physical requirements referred to in Rule 11 shall be checked only to the extent that compliance therewith is necessary for the purpose of reasonably uniform international publication.

26.3bis Invitation to Correct Defects under Article 14(1)(b)

The receiving Office shall not be required to issue the invitation to correct a defect under Article 14(1)(a)(v) where the physical requirements referred to in Rule 11 are complied with to the extent necessary for the purpose of reasonably uniform international publication.

26.4 Procedure

(a) [No change]

(b) to (d) [Deleted]

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\* The amendment consists of deleting, after the word "circumstances," the words "of the particular case."

\*\* The amendment consists of deleting, after the word "month," the words "and normally not more than 2 months."

#### 26.5 Decision of the Receiving Office

(a) The receiving Office shall decide whether the applicant has submitted the correction within the time limit under Rule 26.2 and, if the correction has been submitted within that time limit, whether the international application so corrected is or is not to be considered withdrawn, provided that no international application shall be considered withdrawn for lack of compliance with the physical requirements referred to in Rule 11 if it complies with those requirements to the extent necessary for the purpose of reasonably uniform international publication.

(b) [Deleted]

26.6 [No change]

## Rule 28

## Defects Noted by the International Bureau\*

## 28.1 Note on Certain Defects

(a) If, in the opinion of the International Bureau\*\*, the international application contains any of the defects referred to in Article 14(1) (a) (i), (ii) or (v), the International Bureau\*\*\* shall bring such defects to the attention of the receiving Office.

(b) [No change]

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\* The amendment consists of deleting, after the word "Bureau," the words "or the International Searching Authority."

\*\* The amendment consists of deleting, after the word "Bureau," the words "or of the International Searching Authority."

\*\*\* The amendment consists of deleting, after the word "Bureau," the words "or the International Searching Authority, respectively."



## Rule 29

International Applications or Designations Considered  
Withdrawn under Article 14(1), (3) or (4)

29.1 [No change]

29.2 Finding by Designated Office

[Deleted]

29.3 and 29.4 [No change]

## Rule 32

Withdrawal of the International Application  
or of Designations

## 32.1 Withdrawals

(a) and (b) [No change]

(c) Withdrawal shall be effected by a signed notice from the applicant to the International Bureau or\* to the receiving Office. In the case of Rule 4.8(b), the notice shall require the signature of all the applicants.

(d) [Deleted]

(e) There shall be no international publication of the international application or of the designation, as the case may be, if the notice effecting withdrawal reaches the International Bureau before the technical preparations for publication have been completed.

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\* The amendment consists of deleting, after the word "or," the following words: ", if the record copy has not yet been sent to the International Bureau."

Rule 32bis

## Withdrawal of the Priority Claim

32bis.1 Withdrawals

(a) and (b) [No change]

(c) Where the withdrawal of the priority claim, or, in the case of more than one such claim, the withdrawal of any of them, causes a change in the priority date of the international application, any time limit which is computed from the original priority date and which has not already expired shall be computed from the priority date resulting from that change. In the case of the time limit of 18 months referred to in Article 21(2)(a), the International Bureau may nevertheless proceed with the international publication on the basis of the said time limit as computed from the original priority date if the notice effecting withdrawal reaches the International Bureau during the period of 15 days preceding the expiration of that time limit.

(d) For any withdrawal under paragraph (a), the provisions of Rule 32.1(c)\* shall apply *mutatis mutandis*.

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\* The amendment also consists of deleting, after the words "Rule 32.1(c)," the words "and (d) and Rule 74bis.1."

## Rule 34

## Minimum Documentation

## 34.1 Definition

(a) and (b) [No change]

(c) Subject to paragraphs (d) and (e), the "national patent documents" shall be the following:

(i) to (v) [No change]

(vi) such patents issued by, and such patent applications published in, any other country after 1920 as are in the English, French, German or Spanish language and in which no priority is claimed, provided that the national Office of the interested country sorts out these documents and places them at the disposal of each International Searching Authority.

(d) [No change]

(e) Any International Searching Authority whose official language, or one of whose official languages is not Japanese, Russian or Spanish is entitled not to include in its documentation those patent documents of Japan and the Soviet Union as well as those patent documents in the Spanish language, respectively, for which no abstracts in the English language are generally available. English abstracts becoming generally available after the date of entry into force of these Regulations shall require the inclusion of the patent documents to which the abstracts refer no later than 6 months after such abstracts become generally available. In case of the interruption of abstracting services in English in technical fields in which English abstracts were formerly generally available, the Assembly shall take appropriate measures to provide for the prompt restoration of such services in the said fields.

(f) [No change]

## Rule 42

## Time Limit for International Search

## 42.1 Time Limit for International Search

The time limit for establishing the international search report or the declaration referred to in Article 17(2) (a) shall be 3 months from the receipt of the search copy by the International Searching Authority, or 9 months from the priority date, whichever time limit expires later.\*

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\* The amendment also consists of deleting the last sentence of Rule 42.1.

## Rule 46

## Amendment of Claims Before the International Bureau

## 46.1 Time Limit

The time limit referred to in Article 19 shall be 2 months from the date of transmittal of the international search report to the International Bureau and to the applicant by the International Searching Authority or 16 months from the priority date, whichever time limit expires later, provided that any amendment made under Article 19 which is received by the International Bureau after the expiration of the applicable time limit shall be considered to have been received by that Bureau on the last day of that time limit if it reaches it before the technical preparations for international publication have been completed.

## 46.2 Dating of Amendments

[Deleted]

## 46.3 Language of Amendments

If the international application has been filed in a language other than the language in which it is published\*, any amendment made under Article 19 shall be\*\* in the language of publication.

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\* The amendment also consists of deleting, after the word "published," the words "by the International Bureau."

\*\* The amendment also consists of deleting, after the word "be," the word "both."

#### 46.4 Statement

(a) The statement referred to in Article 19(1) shall be in the language in which the international application is published and shall not exceed 500 words if in the English language or if translated into that language. The statement shall be identified as such by a heading, preferably by using the words "Statement under Article 19(1)" or their equivalent in the language of the statement.

(b) The statement shall contain no disparaging comments on the international search report or the relevance of\* citations contained in that report. Reference to citations, relevant to a given claim, contained in the international search report may be made only in connection with an amendment of that claim.

#### 46.5 Form of Amendments

(a) [No change]

(b) and (c) [Deleted]

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\* The amendment also consists of deleting, after the word "or," the word "the."

## Rule 47

## Communication to Designated Offices

## 47.1 Procedure

(a) [No change]

(b) Such communication shall be effected promptly after the international publication of the international application and, in any event, by the end of the 19th month after the priority date. Any amendment received by the International Bureau within the time limit under Rule 46.1 which was not included in the communication shall be communicated promptly to the designated Offices by the International Bureau, and the latter shall notify the applicant accordingly.\*

(c) to (e) [No change]

47.2 and 47.3 [No change]

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\* The amendment also consists of deleting the last sentence of Rule 47.1(b).



## Rule 48

## International Publication

48.1 [No change]

48.2 Contents

(a) The pamphlet shall contain:

(i) to (v) [No change]

(vi) any statement filed under Article 19(1), unless the International Bureau finds that the statement does not comply with the provisions of Rule 46.4,

(vii) any request for rectification referred to in the third sentence of Rule 91.1(f).

(b) Subject to paragraph (c), the front page shall include:

(i) [No change]

(ii) a figure or figures where the international application contains drawings, unless Rule 8.2(b) applies,

(iii) [No change]

(c) to (f) [No change]

[Rule 48.2, continued]

(g) If, at the time of the completion of the technical preparations for international publication, the international search report is not yet available (for example, because of publication on the request of the applicant as provided in Articles 21(2) (b) and 64(3) (c) (i)), the pamphlet shall contain, in place of the international search report, an indication to the effect that that report was not available and that either the pamphlet (then also including the international search report) will be republished or the international search report (when it becomes available) will be separately published.

(h) If, at the time of the completion of the technical preparations for international publication, the time limit for amending the claims under Article 19 has not expired, the pamphlet shall refer to that fact and indicate that, should the claims be amended under Article 19, then, promptly after such amendments, either the pamphlet (containing the claims as amended) will be republished or a statement reflecting all the amendments will be published. In the latter case, at least the front page and the claims shall be republished and, if a statement under Article 19(1) has been filed, that statement shall be published as well, unless the International Bureau finds that the statement does not comply with the provisions of Rule 46.4.

(i) [No change]

#### 48.3 Languages

(a) If the international application is filed in English, French, German, Japanese, Russian or Spanish, that application shall be published in the language in which it was filed.

[Rule 48.3, continued]

(b) If the international application is filed in a language other than English, French, German, Japanese, Russian or Spanish, that application shall be published in English translation. The translation shall be prepared under the responsibility of the International Searching Authority, which shall be obliged to have it ready in time to permit international publication by the prescribed date, or, where Article 64(3)(b) applies, to permit the communication under Article 20 by the end of the 19th month after the priority date. Notwithstanding Rule 16.1(a), the International Searching Authority may charge a fee for the translation to the applicant. The International Searching Authority shall give the applicant an opportunity to comment on the draft translation. The International Searching Authority shall fix a time limit reasonable under the circumstances of the case for such comments. If there is no time to take the comments of the applicant into account before the translation is communicated or if there is a difference of opinion between the applicant and the said Authority as to the correct translation, the applicant may send a copy of his comments, or what remains of them, to the International Bureau and each designated Office to which the translation was communicated. The International Bureau shall publish the essence of the comments together with the translation of the International Searching Authority or subsequently to the publication of such translation.

(c) If the international application is published in a language other than English, the international search report to the extent that it is published under Rule 48.2(a)(v), or the declaration referred to in Article 17(2)(a), the title of the invention, the abstract and any text matter pertaining to the figure or figures accompanying the abstract shall be published both in that language and in English. The translations shall be prepared under the responsibility of the International Bureau.

48.4 and 48.5 [No change]

## 48.6 Announcing of Certain Facts

(a) [No change]

(b) [Deleted]

(c) If the international application or the designation of any designated State is withdrawn under Rule 32.1, or if the priority claim is withdrawn under Rule 32bis.1, after the technical preparations for international publication have been completed, this fact shall be published in the Gazette.

## Rule 49

Copy, Translation and Fee under Article 22

## 49.1 Notification

(a) [No change]

(a-bis) Any Contracting State not requiring the furnishing, under Article 22, by the applicant of a copy of the international application (even though the communication of the copy of the international application by the International Bureau under Rule 47 has not taken place by the expiration of the time limit applicable under Article 22) shall notify the International Bureau accordingly.

(a-ter) Any Contracting State which, pursuant to Article 24(2), maintains, if it is a designated State, the effect provided for in Article 11(3) even though a copy of the international application is not furnished by the applicant by the expiration of the time limit applicable under Article 22 shall notify the International Bureau accordingly.

(b) Any notification received by the International Bureau under paragraphs (a), (a-bis) or (a-ter) shall be promptly published by the International Bureau in the Gazette.

(c) [No change]

## 49.2 [No change]

49.3 Statements under Article 19; Indications under Rule 13bis.4

For the purposes of Article 22 and the present Rule, any statement made under Article 19(1) and any indication furnished under Rule 13bis.4 shall, subject to Rule 49.5(c) and (h), be considered part of the international application.

#### 49.4 Use of National Form

No applicant shall be required to use a national form when performing the acts referred to in Article 22.

#### 49.5 Contents of and Physical Requirements for the Translation

(a) For the purposes of Article 22, the translation of the international application shall contain the description, the claims, any text matter of the drawings and the abstract. It required by the designated Office, the translation shall also, subject to paragraphs (b) and (e),

(i) contain the request,

(ii) if the claims have been amended under Article 19, contain both the claims as filed and the claims as amended, and

(iii) be accompanied by a copy of the drawings.

(b) Any designated Office requiring the furnishing of a translation of the request shall furnish copies of the request form in the language of the translation free of charge to the applicants. The form and contents of the request form in the language of the translation shall not be different from those of the request under Rules 3 and 4; in particular, the request form in the language of the translation shall not ask for any information that is not in the request as filed. The use of the request form in the language of the translation shall be optional.

(c) Where the applicant did not furnish a translation of any statement made under Article 19(1), the designated Office may disregard such statement.

(d) If any drawing contains text matter, the translation of that text matter shall be furnished either in the form of a copy of the original drawing with the translation pasted on the original text matter or in the form of a drawing executed anew.

[Rule 49.5, continued]

(e) Any designated Office requiring under paragraph (a) the furnishing of a copy of the drawings shall, where the applicant failed to furnish such copy within the time limit applicable under Article 22,

(i) invite the applicant to furnish such copy within a time limit which shall be reasonable under the circumstances and shall be fixed in the invitation, or

(ii) disregard the said drawing if such invitation, on February 3, 1984, is not compatible with the national law applied by that Office and as long as it continues to be not compatible with that law.

(f) The expression "Fig." does not require translation into any language.

(g) Where any copy of the drawings or any drawing executed anew which has been furnished under paragraph (d) or (e) does not comply with the physical requirements referred to in Rule 11, the designated Office may invite the applicant to correct the defect within a time limit which shall be reasonable under the circumstances and shall be fixed in the invitation.

(h) Where the applicant did not furnish a translation of any indication furnished under Rule 13bis.4, the designated Office shall invite the applicant to furnish such translation, if it deems it to be necessary, within a time limit which shall be reasonable under the circumstances and shall be fixed in the invitation.

(i) Information on any requirement and practice of designated Offices under the second sentence of paragraph (a) shall be published by the International Bureau in the Gazette.

(j) No designated Office shall require that the translation of the international application comply with physical requirements other than those prescribed for the international application as filed.

Rule 51

Review by Designated Offices

51.1 to 51.3 [No change]

51.4 Notification to the International Bureau

[Deleted]



Rule 51bisCertain National Requirements Allowed  
under Article 27(1), (2), (6) and (7)51bis.1 Certain National Requirements Allowed

(a) The documents referred to in Article 27(2)(ii), or the evidence referred to in Article 27(6), which the applicant may be required to furnish under the national law applicable by the designated Office include, in particular:

(i) any document relating to the identity of the inventor,

(ii) any document relating to any transfer or assignment of the right to the application,

(iii) any document containing an oath or declaration by the inventor alleging his inventorship,

(iv) any document containing a declaration by the applicant designating the inventor or alleging the right to the application,

(v) any document containing any proof of the right of the applicant to claim priority where he is different from the applicant having filed the earlier application the priority of which is claimed,

(vi) any evidence concerning non-prejudicial disclosures or exceptions to lack of novelty, such as disclosures resulting from abuse, disclosures at certain exhibitions and disclosures by the applicant during a certain period of time.

[Rule 51bis.1, continued]

(b) The national law applicable by the designated Office may, in accordance with Article 27(7), require that

(i) the applicant be represented by an agent having the right to represent applicants before that Office and/or have an address in the designated State for the purpose of receiving notifications,

(ii) the agent, if any, representing the applicant be duly appointed by the applicant.

(c) The national law applicable by the designated Office may, in accordance with Article 27(1), require that the international application, the translation thereof or any document relating thereto be furnished in more than one copy.

(d) The national law applicable by the designated Office may, in accordance with Article 27(2)(ii), require that the translation of the international application furnished by the applicant under Article 22 be verified by the applicant or the person having translated the international application in a statement to the effect that, to the best of his knowledge, the translation is complete and faithful.

51bis.2 Opportunity to Comply with National Requirements

(a) Where any of the requirements referred to in Rule 51bis.1, or any other requirement of the national law applicable by the designated Office which that Office may apply under Article 27(1), (2), (6) or (7), is not already fulfilled during the same period within which the requirements under Article 22 must be complied with, the applicant shall have an opportunity to comply with the requirement after the expiration of that period.

[Rule 51bis.2, continued]

(b) The national law applicable by the designated Office may, in accordance with Article 27(2)(ii), require that the applicant, upon invitation by the designated Office, furnish a certification of the translation of the international application by a public authority or a sworn translator, if the designated Office deems such certification to be necessary under the circumstances, within a time limit which shall be reasonable under the circumstances and shall be fixed in the invitation.

(c) If, on February 3, 1984, paragraph (a) is, with respect to the requirements referred to in Rule 51bis.1 (a)(iii) and (vi), (b)(i) and (d), not compatible with the national law applied by the designated Office and as long as it continues to be not compatible with that law, the applicant shall have no opportunity to comply with any of the requirements after the expiration of the time limit applicable under Article 22. Information on such national laws shall be published by the International Bureau in the Gazette.

Rule 53

The Demand

53.1 Form

(a) to (c) [No change]

(d) [Deleted]

53.2 to 53.8 [No change]

## Rule 54

## The Applicant Entitled to Make a Demand

54.1 and 54.2 [No change]

54.3 Several Applicants: Different for Different Elected States

(a) [No change]

(b) [~~Deleted~~]

54.4 Applicant Not Entitled to Make a Demand or an Election

(a) If the applicant does not have the right or, in the case of several applicants, if none of them has the right to make a demand under Article 31(2), the demand shall be considered not to have been submitted.

(b) If the requirement under Rule 54.3(a) is not fulfilled in respect of any elected State, the election of that State shall be considered not to have been made.

## Rule 55

## Languages (International Preliminary Examination)

## 55.1 The Demand

The demand shall be in the language of the international application or, if the international application has been filed in a language other than the language in which it is published, in the language of publication.

## 55.2 The International Application

[Deleted]

## Rule 58

## The Preliminary Examination Fee

58.1 and 58.2 [No change]

58.3 Refund

The International Preliminary Examining Authorities shall inform the International Bureau of the extent, if any, to which, and the conditions, if any, under which, they will refund any amount paid as a preliminary examination fee where the demand is considered as if it had not been submitted\*, and the International Bureau shall promptly publish such information.

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\* The amendment consists of deleting, after the word "submitted," the words "under Rule 57.4(c), Rule 58.2(c) or Rule 60.1(c)."

Rule 60

Certain Defects in the Demand or Elections

60.1 and 60.2 [No change]

60.3 Attempted Elections

[Deleted]



## Rule 61

## Notification of the Demand and Elections

## 61.1 Notifications to the International Bureau, the Applicant, and the International Preliminary Examining Authority

(a) The International Preliminary Examining Authority shall indicate on\* the demand the date of receipt or, where applicable, the date referred to in Rule 60.1(b). The International Preliminary Examining Authority shall promptly send the demand to the International Bureau, and shall prepare and keep a copy in its files.

(b) The International Preliminary Examining Authority shall promptly inform the applicant in writing of the date of receipt of the demand. Where the demand has been considered under Rules 54.4(a), 57.4(c), 58.2(c) or 60.1(c) as if it had not been submitted or where an election has been considered under Rule 54.4(b) as if it had not been made, the International Preliminary Examining Authority shall notify the applicant and the International Bureau accordingly.

(c) [No change]

61.2 and 61.3 [No change]

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\* The amendment consists of deleting, after the word "on," the words "both copies of."

Rule 62

Copy for the International Preliminary Examining Authority

62.1 The International Application

[Deleted]

62.2 [No change]

## Rule 66

Procedure Before the International Preliminary  
Examining Authority

66.1 [No change]

66.2 First Written Opinion of the International Preliminary Examining  
Authority

(a) If the International Preliminary Examining Authority

- (i) considers that the international application has any of the defects described in Article 34(4),
- (ii) considers that the international preliminary examination report should be negative in respect of any of the claims because the invention claimed therein does not appear to be novel, does not appear to involve an inventive step (does not appear to be non-obvious), or does not appear to be industrially applicable,
- (iii) notices that there is some defect in the form or contents of the international application under the Treaty or these Regulations,
- (iv) considers that any amendment goes beyond the disclosure in the international application as filed, or
- (v) wishes to accompany the international preliminary examination report by observations on the clarity of the claims, the description, and the drawings, or the question whether the claims are fully supported by the description,

[Rule 66.2(a), continued]

the said Authority shall notify the applicant accordingly in writing. Where the national law of the national Office acting as International Preliminary Examining Authority does not allow multiple dependent claims to be drafted in a manner different from that provided for in the second and third sentences of Rule 6.4(a), the International Preliminary Examining Authority may, in case of failure to use that manner of claiming, apply Article 34(4)(b). In such case, it shall notify the applicant accordingly in writing.

(b) [No change]

(c) The notification shall invite the applicant to submit a written reply together, where appropriate, with amendments\*.

(d) [No change]

#### 66.3 Formal Response to the International Preliminary Examining Authority

(a) The applicant may respond to the invitation referred to in Rule 66.2(c) of the International Preliminary Examining Authority by making amendments\* or--if he disagrees with the opinion of that Authority--by submitting arguments, as the case may be, or do both.

(b) [No change]

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\* The amendment consists of deleting, after the word "amendments," the words "or corrections."

#### 66.4 Additional Opportunity for Submitting Amendments or Arguments

(a) [No change]

(b) On the request of the applicant, the International Preliminary Examining Authority may give him one or more additional opportunities to submit amendments or arguments.

#### 66.5 Amendment

Any change, other than the rectification of obvious errors\*, in the claims, the description, or the drawings, including cancellation of claims, omission of passages in the description, or omission of certain drawings, shall be considered an amendment.

66.6 [No change]

#### 66.7 Priority Document

(a) If the International Preliminary Examining Authority needs a copy of the application whose priority is claimed in the international application, the International Bureau shall, on request, promptly furnish such copy. If that copy is not furnished to the International Preliminary Examining Authority because the applicant failed to comply with the requirements of Rule 17.1, the international preliminary examination report may be established as if the priority had not been claimed.

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\* The amendment consists of deleting, after the word "errors," the words "of transcription."

[Rule 66.7, continued]

(b) If the application whose priority is claimed in the international application is in a language other than the language or one of the languages of the International Preliminary Examining Authority, that Authority may invite the applicant to furnish a translation in the said language or one of the said languages within 2 months from the date of the invitation. If the translation is not furnished within that time limit, the international preliminary examination report may be established as if the priority had not been claimed.

(c) [Deleted]

#### 66.8 Form of\* Amendments

(a) The applicant shall be required to submit a replacement sheet for every sheet of the international application which, on account of\*\* an amendment, differs from the sheet originally filed. The letter accompanying the replacement sheets shall draw attention to the differences between the replaced sheets and the replacement sheets. To the extent that any amendment results in the cancellation of an entire sheet, that amendment shall be communicated in a letter.

(b) [Deleted]

#### 66.9 Language of Amendments

If the international application has been filed in a language other than the language in which it is published, any amendment, as well as any letter referred to in Rule 66.8(a), shall be submitted in the language of publication.

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\* The amendment consists of deleting, after the word "of," the words "Corrections and."

\*\* The amendment consists of replacing, after the word "of," the words "a correction or" by the word "an."

## Rule 69

## Time Limit for International Preliminary Examination

## 69.1 Time Limit for International Preliminary Examination

(a) The time limit for establishing the international preliminary examination report shall be:

(i) 28 months from the priority date if the demand was filed prior to the expiration of 19 months from the priority date;

(ii) 9 months from the start of the international preliminary examination if the demand was filed after the expiration of 19 months from the priority date.

(b) and (c) [No change]

## Rule 70

## The International Preliminary Examination Report

70.1 [No change]

70.2 Basis of the Report

(a) [No change]

(b) If, pursuant to Rule 66.7(a) or (b), the report is established as if the priority had not been claimed, the report shall so indicate.

(c) [No change]

70.3 to 70.10 [No change]

70.11 Mention of Amendments\*

If, before the International Preliminary Examining Authority, amendments\* have been made, this fact shall be indicated in the report. Where any amendment has resulted in the cancellation of an entire sheet, this fact shall also be specified in the report.

70.12 to 70.15 [No change]

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\* The amendment consists of deleting, in the title, after the word "Amendments," the words "or Correction of Certain Defects" and, in the Rule itself, after the word "amendments," the words "or corrections."



70.16 Annexes of the Report

If the claims, the description, or the drawings, were amended\* before the International Preliminary Examining Authority, each replacement sheet under Rule 66.8(a) shall be annexed to the report.\*\* Replacement sheets superseded by later replacement sheets and letters under Rule 66.8(a) shall not be annexed.\*\*\*

70.17 Languages of the Report and the Annexes

(a) The report and any annex shall be in the language in which the international application to which they relate is published.

(b) [Deleted]

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\* The amendment also consists of deleting, after the word "amended", the words "or any part of the international application was corrected."

\*\* The amendment also consists of deleting, after the word "report," the words "as an annex thereto."

\*\*\* The amendment also consists of deleting the last sentence of Rule 70.16.

## Rule 74

Translations of Annexes of  
the International Preliminary Examination Report  
and Transmittal Thereof

74.1 Contents of Translation and Time Limit for Transmittal Thereof

Where the furnishing of a translation of the international application is required by the elected Office under Article 39(1), the applicant shall, within the time limit applicable under Article 39(1), transmit a translation of any replacement sheet referred to in Rule 70.16 which is annexed to the international preliminary examination report. The same time limit shall apply where the furnishing of a translation of the international application to the elected Office must, because of a declaration made under Article 64(2)(a)(i), be effected within the time limit applicable under Article 22.

Rule 74bis

## Notification of Withdrawal under Rule 32

74bis.1 Notification of the International Preliminary Examining Authority

[Deleted]

## Rule 75

## Withdrawal of the Demand, or of Elections

## 75.1 Withdrawals

(a) Withdrawal of the demand or all the elections may be effected prior to the expiration of 30 months from the priority date except as to any elected State in which national processing or examination has already started. Withdrawal of the election of any elected State may be effected prior to the date on which examination and processing may start in that State.

(b) [No change]

## 75.2 Notification of Elected Offices

[Deleted]

## 75.3 Notification of the International Preliminary Examining Authority

[Deleted]

## 75.4 [No change]

## Rule 76

Copy, Translation and Fee under Article 39(1);  
Translation of Priority Document

## 76.1 Notification

[Deleted]

## 76.2 Languages

[Deleted]

76.3 Statements under Article 19; Indications under Rule 13bis.4

[Deleted]

## 76.4 [No change]

76.5 Application of Rules 22.1(g), 49 and 51bis.

Rules 22.1(g), 49 and 51bis shall apply, provided that:

(i) any reference in the said Rules to the designated Office or to the designated State shall be construed as a reference to the elected Office or to the elected State, respectively;

(ii) any reference in the said Rules to Article 22 shall be construed as a reference to Article 39(1);

(iii) the words "international applications filed" in Rule 49.1(c) shall be replaced by the words "a demand submitted."

Rule 80

Computation of Time Limits

80.1 to 80.5 [No change]

80.6 Date of Documents

(a) [No change]

(b) [Deleted]

80.7 [No change]

## Rule 82

## Irregularities in the Mail Service

## 82.1 Delay or Loss in Mail

(a) and (b) [No change]

(c) In the cases provided for in paragraph (b), evidence of mailing within the prescribed time limit, and, where the document or letter was lost, the substitute document or letter as well as the evidence concerning its identity with the document or letter lost shall be submitted within 1 month after the date on which the interested party noticed--or with due diligence should have noticed--the delay or the loss, and in no case later than 6 months after the expiration of the time limit applicable in the given case.

## 82.2 [No change]

Rule 82bisExcuse by the Designated or Elected State of Delays  
in Meeting Certain Time Limits82bis.1 Meaning of "Time Limit" in Article 48(2)

The reference to "any time limit" in Article 48(2) shall be construed as comprising a reference:

(i) to any time limit fixed in the Treaty or these Regulations;

(ii) to any time limit fixed by the receiving Office, the International Searching Authority, the International Preliminary Examining Authority or the International Bureau or applicable by the receiving Office under its national law;

(iii) to any time limit fixed by, or in the national law applicable by, the designated or elected Office, for the performance of any act by the applicant before that Office.

82bis.2 Reinstatement of Rights and Other Provisions to which Article 48(2)  
Applies

The provisions of the national law which is referred to in Article 48(2) concerning the excusing, by the designated or elected State, of any delay in meeting any time limit are those provisions which provide for reinstatement of rights, restoration, *restitutio in integrum* or further processing in spite of non-compliance with a time limit, and any other provision providing for the extension of time limits or for excusing delays in meeting time limits.



Rule 82terRectification of Errors Made by the Receiving Office  
or by the International Bureau82ter.1 Errors Concerning the International Filing Date and the Priority  
Claim

If the applicant proves to the satisfaction of any designated or elected Office that the international filing date is incorrect due to an error made by the receiving Office or that the declaration made under Article 8(1) has been erroneously cancelled or corrected by the receiving Office or the International Bureau, and if the error is an error such that, had it been made by the designated or elected Office itself, that Office would rectify it under the national law or national practice, the said Office shall rectify the error and shall treat the international application as if it had been accorded the rectified international filing date or as if the declaration under Article 8(1) had not been cancelled or corrected, as the case may be.

## Rule 88

## Amendment of the Regulations

88.1 [No change]

88.2 Requirement of Unanimity During a Transitional Period

[Deleted]

88.3 [No change]

88.4 Procedure

Any proposal for amending a provision referred to in Rules 88.1\* or 88.3 shall, if the proposal is to be decided upon in the Assembly, be communicated to all Contracting States at least 2 months prior to the opening of that session of the Assembly which is called upon to make a decision on the proposal.

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\* The amendment consists of deleting, after the expression "Rule 88.1," the expression "88.2."

## Rule 90

## Representation

90.1 and 90.2 [No change]

90.3 Appointment

(a) and (b) [No change]

(c) If the separate power of attorney is not signed\*, or if the required separate power of attorney is missing, or if the indication of the name or address of the appointed person does not comply with Rule 4.4, the power of attorney shall be considered non-existent unless the defect is corrected.

(d) [No change]

90.4 [No change]

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\* The amendment consists of deleting, after the word "signed," the words "as provided in paragraph (a)."

## Rule 91

Obvious Errors in Documents

## 91.1 Rectification

(a) Subject to paragraphs (b) to (g-quater), obvious errors\* in the international application or other papers submitted by the applicant may be rectified.

(b) Errors which are due to the fact that something other than what was obviously intended was written in the international application or other paper shall be regarded as obvious errors\*. The rectification itself shall be obvious in the sense that anyone would immediately realize that nothing else could have been intended than what is offered as rectification.

(c) [No change]

(d) Rectification may be made on the request of the applicant. The authority having discovered what appears to be an obvious error\*\* may invite the applicant to present a request for rectification as provided in paragraphs (e) to (g-quater). Rule 26.4(a) shall apply mutatis mutandis to the manner in which rectifications shall be requested.

(e) [No change]

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\* The amendment consists of deleting, after the word "errors," the words "of transcription."

\*\* The amendment consists of deleting, after the word "error," the words "of transcription."

[Rule 91.1, continued]

(f) Any authority which authorizes or refuses any rectification shall promptly notify the applicant of the authorization or refusal and, in the case of refusal, of the reasons therefor. The authority which authorizes a rectification shall promptly notify the International Bureau accordingly. Where the authorization of the rectification was refused, the International Bureau shall, upon request made by the applicant prior to the time relevant under paragraph (q-bis), (q-ter) or (q-quater) and subject to the payment of a special fee whose amount shall be fixed in the Administrative Instructions, publish the request for rectification together with the international application. A copy of the request for rectification shall be included in the communication under Article 20 where a copy of the pamphlet is not used for that communication or where the international application is not published by virtue of Article 64(3).

(g) The authorization for rectification referred to in paragraph (e) shall, subject to paragraphs (q-bis), (q-ter) and (q-quater), be effective:

(i) where it is given by the receiving Office or by the International Searching Authority, if its notification to the International Bureau reaches that Bureau before the expiration of 17 months from the priority date;

(ii) where it is given by the International Preliminary Examining Authority, if it is given before the establishment of the international preliminary examination report;

(iii) where it is given by the International Bureau, if it is given before the expiration of 17 months from the priority date.

(q-bis) If the notification made under paragraph (g)(i) reaches the International Bureau, or if the rectification made under paragraph (g)(iii) is authorized by the International Bureau, after the expiration of 17 months from the priority date but before the technical preparations for international publication have been completed, the authorization shall be effective and the rectification shall be incorporated in the said publication.

[Rule 91.1, continued]

(g-ter) Where the applicant has asked the International Bureau to publish his international application before the expiration of 18 months from the priority date, any notification made under paragraph (g)(i) must reach, and any rectification made under paragraph (g)(iii) must be authorized by, the International Bureau, in order for the authorization to be effective, not later than at the time of the completion of the technical preparations for international publication.

(g-quater) Where the international application is not published by virtue of Article 64(3), any notification made under paragraph (g)(i) must reach, and any rectification made under paragraph (g)(iii) must be authorized by, the International Bureau, in order for the authorization to be effective, not later than at the time of the communication of the international application under Article 20.

(h) [Deleted]

#### 91.2 Manner of Carrying Out Rectifications

[Deleted]

## Rule 92

## Correspondence

92.1 [No change]

92.2 Languages

(a) Subject to Rules 55.1 and 66.9 and to paragraph (b) of this Rule, any letter or document submitted by the applicant to the International Searching Authority or the International Preliminary Examining Authority shall be in the same language as the international application to which it relates.

(b) [No change]

(c) [Deleted]

(d) and (e) [No change]

92.3 and 92.4 [No change]

## Rule 92bis

Recording of Changes in Certain Indications in the Request  
or the Demand

## 92bis.1 Recording of Changes by the International Bureau

(a) The International Bureau shall, on the request of the applicant or the receiving Office, record changes in the following indications appearing in the request or demand:

(i) person, name, residence, nationality or address of the applicant,

(ii) person, name or address of the agent, the common representative or the inventor.

(b) The International Bureau shall not record the requested change if the request for recording is received by it after the expiration:

(i) of the time limit referred to in Article 22(1), where Article 39(1) is not applicable with respect to any Contracting State;

(ii) of the time limit referred to in Article 39(1)(a), where Article 39(1) is applicable with respect to at least one Contracting State.

## 92bis.2 Notifications

[Deleted]



**RESOLUTION****The Assembly of the International Patent Cooperation Union  
(PCT Union)**

**Noting** that the number of developing countries which are members of the PCT Union is relatively small,

**Noting further** that the number of international applications emanating from those developing countries which are members of the PCT Union is extremely small,

**Assuming** that one of the reasons for such unsatisfactory situation may be the high cost of international search and international preliminary examination for applicants from developing countries,

**Resolves to:**

(1) Recommend to all States members of the PCT Union to seek ways and means for financing at least part of the fees payable by applicants from developing countries for international search and international preliminary examination;

(2) Recommend to all International Searching and Preliminary Examining Authorities to study the possibility of reducing the amount of the fees payable by applicants from developing countries for international search and international preliminary examination;

(3) Recommend to all States members of the PCT Union to study whether national or regional funds could be put at the disposal of the International Bureau or of the International Searching and Preliminary Examining Authorities, in order to be used to assist such applicants from developing countries in paying such fees.

[Adopted on February 3, 1984]

# SECTION IV

## NOTICES AND INFORMATION OF A GENERAL CHARACTER

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### INTERNATIONAL PRELIMINARY EXAMINING AUTHORITIES

#### AGREEMENT BETWEEN THE INTERNATIONAL BUREAU OF WIPO AND THE PATENT OFFICE OF THE UNITED KINGDOM AMENDMENT TO THE ANNEX

#### Fees payable to the International Preliminary Examining Authority

The Patent Office of the United Kingdom has notified the International Bureau of WIPO, pursuant to Article 15(3) of the above-mentioned Agreement\*, of the increase of the amounts of fees and charges set out in items 1 and 2 of the Annex of the Agreement; the new (increased) amounts are as follows:

| Fee   | Amount  |
|---|---|
| -----   |   |
|   | Pounds Sterling   |
| 1. Preliminary Examination Fee (Rule 58.1)                                      |   |
| (a) where an international search report has been established for the invention | 40.00   |
| (b) where no international search report has been established for the invention | 40.00 plus the Sterling equivalent of the current EPO search fee        |
| 2. Additional fee referred to in Rule 68.3                                      | For each invention this will not exceed the relevant fee stated under 1 |

The increased amounts are applicable as from June 6, 1984.

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\* Published in PCT Gazette No. 02/1978, pages 125 to 129.

# SECTION IV

## NOTICES AND INFORMATION OF A GENERAL CHARACTER

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### AMENDMENTS TO THE TREATY

#### ENTRY INTO FORCE OF AMENDMENTS TO ARTICLES 53(11) AND 54(6)(a)

The following amendments to Articles 53(11) and 54(6)(a) of the Patent Cooperation Treaty (PCT), adopted by the Assembly of the PCT Union on October 2, 1979, entered into force on May 3, 1984:

- in Article 53(11), subparagraphs (a) and (b) are replaced by the following text: "(a) The Assembly shall meet in every second calendar year in ordinary session upon convocation by the Director General and, in the absence of exceptional circumstances, during the same period and at the same place as the General Assembly of the Organization." and, at the beginning of the last subparagraph, the letter "(b)" is substituted for the letter "(c)";
- in Article 54(6)(a)(ii), "triennial" is replaced by "biennial";
- in Article 54(6)(a), item (iii) is deleted.

### INTERNATIONAL SEARCHING AUTHORITIES

### INTERNATIONAL PRELIMINARY EXAMINING AUTHORITIES

#### AGREEMENT BETWEEN THE FEDERAL MINISTER FOR TRADE, COMMERCE AND INDUSTRY OF AUSTRIA AND THE INTERNATIONAL BUREAU OF WIPO

#### AMENDMENTS TO ANNEX C

The Federal Minister for Trade, Commerce and Industry of Austria has notified the International Bureau of WIPO, pursuant to Article 16(3) of the Agreement\*, of amendments in Annex C of this Agreement. These amendments will become effective one month after the publication of the present issue of the PCT Gazette, i.e., on June 10, 1984.

#### "ANNEX C

#### SCHEDULE OF FEES AND CHARGES OF THE AUTHORITY AND EXTENT AND CONDITIONS OF REFUNDS OF THE SEARCH FEE FOR THE PURPOSES OF ARTICLE 7 OF THE AGREEMENT

##### (a) Schedule of fees and charges

| Fee  | Amount<br>in<br>Austrian Schillings |
|--|-------------------------------------|
| Search fee (Rule 16.1(a)).....                           | 2,000.-                             |
| Additional fee (Rule 40.2(a)).....                       | 2,000.-                             |
| Preparing copies of cited documents (Rule 44.3(b)) ..... | 6.- per page                        |
| Preliminary examination fee (Rule 58.1(b)) .....         | 2,000.-                             |
| Additional fee (Rule 68.3(a)).....                       | 2,000.-                             |
| Preparing copies of cited documents (Rule 71.2(b)) ..... | 6.- per page                        |

##### (b) [No change]"

\* Published in PCT Gazette No. 06/1979, pages 217 to 223, No. 09/1983, page 997, and No. 06/1984, page 662.

## SECTION IV

### NOTICES AND INFORMATION OF A GENERAL CHARACTER

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#### CONTRACTING STATES

##### States Party to the Patent Cooperation Treaty (PCT)

On May 10, 1984, the **Republic of Korea** deposited its instrument of accession to the PCT. Thus, the Republic of Korea will become a Contracting State of the PCT on August 10, 1984.

The instrument of accession contains a declaration under Article 64(1)(a) of the PCT to the effect that the country shall not be bound by Chapter II of the PCT.

Consequently, as from August 10, 1984, nationals and residents of the Republic of Korea will become entitled to file international applications under the PCT (but they will not be entitled to make demands for international preliminary examination). As from the same date, it will be possible to file international applications designating the Republic of Korea (but it will not be possible to elect that country as a Contracting State in which the applicant intends to use the results of international preliminary examination).

The Republic of Korea is the 36th Contracting State.

#### FEES PAYABLE UNDER THE PCT

##### New amounts of fees

The **Austrian Patent Office** has notified new amounts of fees, as specified below. The new amounts are applicable as from April 1, 1984.

| Kind of fee     | New Amount<br>Austrian Schillings |
|-----------------|-----------------------------------|
| Transmittal Fee | 600                               |
| Filing Fee      | 600                               |

The **National Institute of Industrial Property of France** has notified a new amount of the transmittal fee, as specified below. The new amount is applicable as from April 1, 1984.

| Kind of Fee     | New Amount<br>French francs |
|-----------------|-----------------------------|
| Transmittal Fee | 275                         |

## SECTION IV

### NOTICES AND INFORMATION OF A GENERAL CHARACTER

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#### INTERNATIONAL SEARCHING AUTHORITIES

#### INTERNATIONAL PRELIMINARY EXAMINING AUTHORITIES

#### AGREEMENT BETWEEN THE JAPANESE PATENT OFFICE AND THE INTERNATIONAL BUREAU OF WIPO

#### AMENDMENTS TO ANNEX D

The **Japanese Patent Office** has notified the International Bureau of WIPO, pursuant to Article 16(3)(iv) of the Agreement\*, of amendments in Annex D(a) of the Agreement. In addition, the Japanese Patent Office and the International Bureau have agreed, pursuant to Article 16(2) of the Agreement, to amend Annex D(b) of the Agreement. All these amendments will become effective on August 1, 1984.

#### “ANNEX D

#### SCHEDULE OF FEES AND CHARGES OF THE AUTHORITY AND EXTENT AND CONDITIONS OF REFUNDS OF THE SEARCH FEE FOR THE PURPOSES OF ARTICLE 8 OF THE AGREEMENT

#### (a) Schedule of fees and charges

| Fee  | Amount<br>Japanese Yen |
|--|------------------------|
| Search fee (Rule 16.1(a)).....                           | 47,000                 |
| Additional fee (Rule 40.2(a)).....                       | 39,000                 |
| Preparing copies of cited documents (Rule 44.3(b)) ..... | 1,200 per document     |
| Preliminary examination fee (Rule 58.1(b)) .....         | 17,000                 |
| Additional fee (Rule 68.3(a)).....                       | 11,000                 |
| Preparing copies of cited documents (Rule 71.2(b)) ..... | 1,200 per document     |
| Preparing copies of requested documents (Rule 94.1)..... | 1,200 per document     |

#### (b) Conditions and extent of refunds of the search fee where the search report can be wholly or partially based on the results of an earlier search (Rules 16.3 and 41.1)

The conditions and extent of refunds of the search fee in cases where the search reports can be wholly or partially based on the results of an earlier search are the following:

The Authority shall refund 19,000 Japanese Yen, on the request of the applicant, where the Authority has been able to make use of a considerable part of the results of the earlier search.”

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\* Published in PCT Gazette No. 04/1978, pages 213 to 221, and No. 06/1984, page 663.

REVISED LIST OF PERIODICALS ESTABLISHED  
UNDER PCT RULE 34.1(b) (iii)

prepared by the International Bureau  
following the decision of the PCT/CTC at its sixth session

"MINIMUM DOCUMENTATION" UNDER RULE 34.1(b) (iii) OF THE  
REGULATIONS UNDER THE PATENT COOPERATION TREATY (PCT)

The International Searching Authorities have agreed that for the purposes of PCT Rule 34.1(b) (iii), the published items of non-patent literature to be included in the PCT "minimum documentation" should be the items published in the periodicals listed below during the five-year period preceding the time at which an international search report is established, it being understood that an International Searching Authority would not be precluded from consulting issues of the said periodicals published prior to the beginning of the said five-year period. The list is preceded by explanatory notes facilitating an understanding of the indications contained in the list.

The list was originally agreed upon at a meeting held in Geneva on April 12, 1978. The present list, which takes into account changes to the titles of periodicals and also changes to publisher's names and addresses, was agreed upon by the International Searching Authorities at a meeting held in Geneva on December 2, 1983, and will come into force on January 1, 1985. On that date, it will replace the list published in PCT Gazette No. 08/1982.

## EXPLANATORY NOTES

1. The structure of the list is as follows:
  - (i) entries in the list are numbered consecutively by an identification number (but see note (2) (v) below);
  - (ii) each entry is concerned with one periodical only and gives for each periodical
    - the original title of the periodical
    - the publisher
    - the address of the publisher;
  - (iii) the language of the periodical is indicated opposite the title: D stands for Dutch, E for English, F for French, G for German, I for Italian, J for Japanese, Pt for Portuguese, R for Russian, Sp for Spanish, Sw for Swedish;
  - (iv) where the periodical is published in two or more parts, or sections, these are indicated under the title (with a short indication of the fields covered by each one, if not obvious from their subtitle);
  - (v) the International Standard Serial Number (ISSN) is given for each periodical or for each section where the periodical is published in two or more parts, so as to assist identification of each periodical or section;
  - (vi) where the publication of a periodical has been discontinued, the title of the periodical is shown inside square brackets and the date of discontinuation indicated;
  - (vii) where a periodical has been deleted from the list, the title of the periodical and its identification number are enclosed in square brackets together with an indication of the date of deletion.
2. The titles are arranged alphabetically, subject to the following:
  - (i) a periodical added to the list after the list was originally established appears at the end of the list together with an indication (in square brackets) of the date when the periodical was so added. However, a cross reference, in alphabetical order, is inserted (in parentheses) in the body of the list itself;
  - (ii) where the title of the periodical contains the name of a learned society, association or organization, etc., the title appears in the listing under the name of the learned society, association, or organization, etc., e.g. "Journal of the American Ceramic Society" appears under "American Ceramic Society, Journal";
  - (iii) the only secondary publication included as an exception in this list is indicated under identification number 169;
  - (iv) when several editions of a periodical exist in different languages (e.g., by cover-to-cover translation), the periodical is listed according to the title of the English edition, if any, together with the original title in parentheses. If no English edition exists, the original title is used for the purposes of the alphabetical list;

(v) where the title of a periodical has changed, both the former title (in parentheses) and the new title are given in the alphabetical listing, the PCT Identification Number allotted to the periodical remaining unchanged.

3. The names of Japanese publishers are indicated in English, followed by transliteration of the Japanese name into Latin characters in parentheses.

4. The names and addresses of the publishers of the original editions of Russian periodicals are indicated below the names and addresses of the publishers of cover-to-cover translations into English. The language of each edition of the periodical is indicated, in this case, according to the respective publishers.

5. Whenever the periodical contains, in addition to full-text articles in the language, or languages, in which it is published, translations of abstracts, or of abstracts and part of the text, into other languages, this fact is indicated by an asterisk next to the indication of the languages.



| Identification<br>Number/Numéro<br>d'identi-<br>fication | Title of the periodical/Titre du périodique<br>Publisher/Editeur<br>Address of the Publisher/Adresse de l'éditeur   | ISSN<br>Number/<br>Numéro<br>ISSN | Language/<br>Langue |
|--|---|-----------------------------------|---------------------|
| 1  | Acoustical Society of America, Journal<br>American Institute of Physics,<br>335 East 45th Street, New York, NY 10017, USA.  | 0001-4966                         | E                   |
| 2  | Acoustical Society of Japan, Journal<br>(Nippon Onkyo Gakkaishi)<br>The Acoustical Society of Japan,<br>(Nippon Onkyo Gakkai)<br>Ikeda Building, 7-7, Yoyogi 2-chome,<br>Shibuya-ku, Tokyo 151,<br>Japan. | 0369-4232                         | E* J                |
| 3  | Acta Chemica Scandinavica (A & B)<br>A - Physical and Inorganic Chemistry<br>B - Organic Chemistry and Biochemistry<br>Munksgaard, Noerre Soegade 35,<br>DK-1370 Copenhagen K,<br>Denmark.                | 0302-4377<br>0302-4369            | E F G<br>E F G      |
| [4]  | [Aerosol Age]<br>[Deleted from list from January 1, 1985]   | [0001-9291]                       |                     |
| 5  | Agricultural and Biological Chemistry<br>The Agricultural Chemical Society of Japan,<br>(Nippon Nôgeikagaku Kai)<br>Japan Academic Societies Center, 4-16 Yayoi<br>2-chome, Bunkyo-ku, Tokyo 113, Japan.  | 0002-1369                         | E                   |
| [6]  | [Agricultural Machinery Journal]<br>[Deleted from list from January 1, 1985]  | [0002-1539]                       |                     |
| 7  | American Ceramic Society, Journal<br>American Ceramic Society,<br>65, Ceramic Drive, Columbus, Ohio 43214,<br>USA.  | 0002-7820                         | E                   |
| 8  | American Chemical Society, Journal<br>American Chemical Society,<br>1155 16th Street, NW, Washington DC 20036,<br>USA.  | 0002-7863                         | E                   |
| 9  | American Dyestuff Reporter<br>S.A.F. International Inc.,<br>630 Third Ave., New York, NY 10010, USA.  | 0002-8266                         | E                   |
| 10   | Analytical Chemistry<br>American Chemical Society,<br>1155 16th Street, NW, Washington DC 20036,<br>USA.  | 0003-2700                         | E                   |
| 11   | Angewandte Chemie (International Edition)<br>Verlag Chemie International Inc.,<br>Pappelallee 3, P.O. Box 1260,<br>D-6940 Weinheim 1,<br>Federal Republic of Germany.                                     | 0044-8249                         | E G                 |
| [12]   | [Annals of Nuclear Energy]<br>[Deleted from list from January 1, 1985]  | [0306-4549]                       |                     |
| 13   | Applied Optics<br>American Institute of Physics,<br>335 East 45th Street, New York, NY 10017,<br>USA.   | 0003-6935                         | E F G<br>R          |
| 14   | Applied Physics Letters<br>American Institute of Physics,<br>335 East 45th Street, New York, NY 10017,<br>USA.  | 0003-6951                         | E                   |

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|--|---|-----------------------------------|---------------------|
| 15   | ASEA Journal (ASEA Zeitschrift)<br>(ASEA's Tidning)<br>Allmaenna Svenska Elektriska Aktiebolaget,<br>S-721 83 Vasteras, Sweden.   | 0001-2459                         | E F G<br>I Sp<br>SW |
| [16]   | (ATM und Messtechnische Praxis -<br>see Technisches Messen TM from Vol. 46,1,<br>January, 1979)   | (0340-4021)                       | (G)                 |
| 17   | ATZ (Automobiltechnische Zeitschrift)<br>Franckh'sche Verlagshandlung W. Keller und Co.,<br>Pfizerstr.5, P.O. Box 640, D-7000 Stuttgart 1,<br>Federal Republic of Germany.              | 0001-2785                         | G                   |
| -  | (Automatic Control and Computer Science -<br>see periodical no. 172)  |                                   |                     |
| [18]   | [Automatic Welding<br>(Avtomaticeskaya Svarka)]<br>[Deleted from list from January 1, 1985]   | [0005-108X]<br>[0005-111X]        |                     |
| [19]   | [Automation and Remote Control<br>(Atvomatika i Telemekhanika)]<br>[Deleted from list from January 1, 1985]   | [0005-1179]<br>[0005-2310]        |                     |
| -  | (Automobile Engineer-see Engineering Materials<br>and Design, periodical no. 54)  |                                   |                     |
| -  | (Automotive Engineer - see periodical no. 144)  |                                   |                     |
| 20   | Aviation Week and Space Technology<br>McGraw-Hill Inc.,<br>McGraw-Hill Building,<br>1221 Avenue of the Americas, New York, NY 10020,<br>USA.  | 0005-2175                         | E                   |
| 21   | Bell Laboratories Record<br>Bell Telephone Laboratories,<br>600 Mountain Avenue, Murray Hill, NJ 07974, USA.  | 0005-8564                         | E                   |
| 22   | Bell System Technical Journal<br>American Telephone and Telegraph Co.,<br>Bell Laboratories,<br>Mountain Avenue, Murray Hill, NJ 07974, USA.  | 0005-8580                         | E                   |
| 23   | Brown-Boveri Review<br>Brown Boveri and Co. Ltd.,<br>CH-5401 Baden, Switzerland.  | 0007-2486                         | E F G               |
| -  | (Bulletin de la Société chimique de France -<br>see Periodical No. 170)   |                                   |                     |
| [24]   | [Bulletin of the Academy of Sciences of the USSR:<br>Physical Series<br>(Izvestiya Akademii Nauk SSSR :Seriya Fizicheskaya)]<br>[Deleted from list from January 1, 1985]                | [0001-432X]<br>[0367-6755]        |                     |
| [25]   | [Bulletin of the Academy of Sciences of the USSR:<br>Division of Chemical Sciences (Izvestiya Akademii<br>Nauk SSSR: Seriya Khimicheskaya)]<br>[Deleted from list from January 1, 1985] | [0568-5230]<br>[0002-3353]        |                     |
| 26   | Bulletin SEV/VSE<br>Schweizerischer Elektrotechnischer Verein,<br>Seefeldstrasse 301, Postfach 8034, Zürich,<br>Switzerland.  | 0036-1321                         | F G                 |
| -  | (Chemical Abstracts - see periodical no. 169)   |                                   |                     |

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|--|---|--|---------------------|
| 27   | Chemical and Engineering News<br>American Chemical Society,<br>1155 16th Street, NW, Washington DC 20036,<br>USA.   | 0009-2347  | E                   |
| 28   | Chemical and Pharmaceutical Bulletin<br>(ex-Pharmaceutical Bulletin)<br>Pharmaceutical Society of Japan,<br>12-15-501 Shibuya 2-chome, Shibuya-ku,<br>Tokyo 150, Japan.   | 0009-2363  | E                   |
| 29   | Chemical Engineering<br>McGraw-Hill Inc.,<br>McGraw-Hill Building,<br>1221 Avenue of the Americas, New York,<br>NY 10020, USA.  | 0009-2460  | E                   |
| 30   | Chemical Engineering of Japan, Journal of<br>The Society of Chemical Engineers, Japan<br>(Kagaku Kagaku Kyokai)<br>Kyoritsu Building 6-19, Kohinata 4-chome,<br>Bunkyo-ku, Tokyo 112, Japan.  | 0021-9592  | E                   |
| 31   | Chemical Reviews<br>American Chemical Society,<br>1155 16th Street, NW, Washington DC 20036, USA.   | 0009-2665  | E                   |
| 32   | Chemical Society, Journal - six sections:<br>-Chemical Communications (new results, all branches)<br>-Dalton Transactions (inorganic chemistry)<br>-Faraday Transactions I (physical chemistry)<br>-Faraday Transactions II (chemical physics)<br>-Perkin Transactions I (organic and bio-organic<br>chemistry)<br>-Perkin Transactions II (physical organic<br>chemistry)<br><br>The Royal Society of Chemistry, Distribution<br>Centre,<br>Blackhorse Road, Letchworth, Herts., SG6 1HN,<br>United Kingdom. | 0022-4936<br>0300-9246<br>0300-9599<br>0300-9238<br>0300-922X<br><br>0300-9580 | E                   |
| 33   | Chemical Society of Japan, Bulletin<br>Chemical Society of Japan,<br>(Nippon Kagakukai)<br>1-5, Kanda-Surugadai, Chiyoda-ku, Tokyo 101,<br>Japan.   | 0009-2673  | E                   |
| 34   | Chemical Society of Japan, Journal<br>(Nippon Kagaku Kaishi)<br>Chemical Society of Japan<br>(Nippon Kagakukai)<br>1-5, Kanda-Surugadai, Chiyoda-ku, Tokyo 101,<br>Japan.   | 0369-4577  | E* J                |
| 35   | Chemie-Ingenieur-Technik<br>Verlag Chemie International Inc.,<br>Pappelallee 3,<br>P.O. Box 1260, D-6940 Weinheim 1,<br>Federal Republic of Germany.  | 0009-286X  | E G                 |
| 36   | Chemiker Zeitung<br>Dr. Alfred Hüthig-Verlag GmbH,<br>P.O. Box 10 28 69, Im Weiher 10, D-6900<br>Heidelberg 1, Federal Republic of Germany.   | 0009-2894  | G                   |
| 37   | Chemische Berichte<br>Verlag Chemie International Inc.,<br>Pappelallee 3,<br>P.O. Box 1260, D-6940 Weinheim 1,<br>Federal Republic of Germany.  | 0009-2940  | E* G                |

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|---|--|-------------------------------------|-----------------|
| 38  | Chemistry and Industry<br>The Society of Chemical Industry,<br>14-15, Belgrave Sq.,<br>London SW1X 8PS, United Kingdom.  | 0009-3068                           | E               |
| [39]  | [CIBA-Geigy Review (CIBA-Geigy Rundschau)]<br>[Discontinued as of January 1975]  | [0366-5984]                         |                 |
| 40  | Civil Engineering<br>American Society of Civil Engineers,<br>345 East 47th Street,<br>New York, NY 10017, USA.   | 0360-0556                           | E               |
| -   | (Coke and Chemistry - see periodical no. 173)  |                                     |                 |
| 41  | Collection of Czechoslovak Chemical Communications/<br>Collection des Travaux chimiques de Tchécoslovaquie<br>Institute of Organic Chemistry and Biochemistry,<br>Czechoslovak Academy of Sciences,<br>Flemingovo nám. 2,<br>166 10 Prague 6, Czechoslovakia.  | 0010-0765                           | E F<br>G R      |
| [42]  | [Compressed Air]<br>[Deleted from list from January 1, 1985]   | [0010-4426]                         |                 |
| 43  | Comptes-rendus des Séances de l'Académie<br>des Sciences, Série I-II-III:<br>I - Mathématique<br>II - Mécanique, Physique, Chimie, Sciences de la<br>Terre, Sciences de l'Univers<br>III - Sciences de la vie<br>Gauthiers-Villars, Centrale des Revues,<br>B.P. No. 119, 93104 Montreuil Cedex, France. | 0151-0509<br>0567-6541<br>0567-655X | F               |
| 44  | Control and Instrumentation<br>Morgan-Grampian (Publishers) Ltd.,<br>30, Calderwood Street, London SE18 6QH,<br>United Kingdom.  | 0010-8022                           | E               |
| 45  | Control Engineering<br>Technical Publishing,<br>Dun-Donnelley Publishing Corp.,<br>666 Fifth Avenue, New York, NY 10103 USA.   | 0010-8049                           | E               |
| [46]  | [Doklady-Chemistry<br>(Doklady Akademii Nauk SSSR:Seriya Khimia)]<br>[Deleted from list from January 1, 1985]  | [0012-5008]                         |                 |
| 47  | Electrical Communication<br>Electrical Communication,<br>International Telephone and Telegraph<br>Corporation,<br>190 Strand, London, WC2R 1DU, United Kingdom.  | 0013-4252                           | E F<br>G Sp     |
| 48  | Electrochemical Society, Journal<br>Electrochemical Society, Inc.,<br>Box 2071, Princeton, NJ 08540, USA.  | 0013-4651                           | E               |
| 49  | Electronic Design<br>Hayden Publishing Co., Inc.,<br>50 Essex Street, Rochelle Park, NJ 07662, USA.  | 0013-4872                           | E               |
| 50  | Electronic Engineering<br>Morgan-Grampian (Publishers) Ltd.,<br>30 Calderwood Street, London SE18 6QH,<br>United Kingdom.  | 0013-4902                           | E               |

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|--|---|-----------------------------------|---------------------|
| 51   | Electronics<br>McGraw-Hill Inc.,<br>McGraw-Hill Building,<br>1221 Avenue of the Americas, New York,<br>NY 10020, USA.   | 0013-5070                         | E                   |
| 52   | Elektrotechnik und Maschinenbau<br>Springer-Verlag,<br>Moelkerbastei 5, A-1010 Vienna, Austria.   | 0012-8058                         | G                   |
| 53   | Engineering<br>The Design Council,<br>28 Haymarket, London SW1Y 4SU,<br>United Kingdom.   | 0040-1056                         | E                   |
| 54   | Engineering Materials and Design<br>(incorporates ex-Automobile Engineer)<br>IPC Industrial Press Ltd.,<br>Quadrant House, The Quadrant, Sutton,<br>Surrey SM2 5AS, United Kingdom.         | 0308-6917                         | E                   |
| 55   | ETZ (Elektronische Zeitschrift)<br>VDE-Verlag,<br>Bismarckstrasse 33, D-1000 Berlin (West) 12.  | 0170-1711                         | G                   |
| -  | (Farbe und Lack - see periodical no. 174)   |                                   |                     |
| 56   | Fördern und Heben<br>Krausskopf-Verlag GmbH,<br>P.O. Box 2760,<br>Lessingstr. 12, D-6500 Mainz,<br>Federal Republic of Germany.   | 0373-6482                         | G                   |
| -  | (Fibre Chemistry - see periodical no. 175)  |                                   |                     |
| 57   | Funkschau<br>Franzis Verlag GmbH,<br>P.O. Box 370120, D-8000 Munich 37,<br>Federal Republic of Germany.   | 0016-2841                         | G                   |
| 58   | Giesserei<br>Giesserei Verlag GmbH,<br>Breite Str. 27, Post Box 3503,<br>D-4000 Düsseldorf 1,<br>Federal Republic of Germany  | 0016-9765                         | G                   |
| 59   | Glass and Ceramics<br>Consultants Bureau,<br>227 West 17th Street, New York, NY 10011,<br>USA.<br>or<br>Steklo i Keramika<br>Stroiizdat,<br>Prospekt Vladimirova 4, 103012 Moscow,<br>USSR. | 0361-7610                         | E                   |
| 60   | Glastechnische Berichte<br>Deutsche Glastechnische Gesellschaft,<br>Mendelssohnstr. 75-77, 6000 Frankfurt,<br>Federal Republic of Germany.  | 0017-1085                         | G                   |
| 61   | Heating, Piping & Air Conditioning<br>Penton IPC,<br>Box 95759, Cleveland, OH 44101, USA.   | 0017-940X                         | E                   |
| 62   | IBM Journal of Research and Development<br>International Business Machines Corporation,<br>Armonk, New York, NY 10504, USA.   | 0018-8646                         | E                   |

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|--|--|-----------------------------------|---------------------|
| 63   | IBM Technical Disclosure Bulletin<br>International Business Machines Corporation,<br>Armonk, New York, NY 10504, USA.  | 0018-8689                         | E                   |
| 64   | IEEE Journal of Quantum Electronics<br>Institute of Electrical and Electronics<br>Engineers Inc.,<br>445 Hoes Lane, Piscataway, NJ 08854, USA.   | 0018-9197                         | E                   |
| 65   | IEEE Journal of Solid State Circuits<br>Institute of Electrical and Electronics<br>Engineers Inc.,<br>445 Hoes Lane, Piscataway, NJ 08854, USA.  | 0018-9200                         | E                   |
| 66   | IEEE Proceedings<br>Institute of Electrical and Electronics<br>Engineers Inc.,<br>445 Hoes Lane, Piscataway, NJ 08854, USA.  | 0018-9219                         | E                   |
| 67   | IEEE Spectrum<br>Institute of Electrical and Electronics<br>Engineers Inc.,<br>445 Hoes Lane, Piscataway, NJ 08854, USA.   | 0018-9235                         | E                   |
| <u>IEEE-Transactions on:</u>                             |  |                                   |                     |
| 68   | -Aerospace and Electronic Systems<br>Institute of Electrical and Electronics<br>Engineers Inc.,<br>445 Hoes Lane, Piscataway, NJ 08854, USA.   | 0018-9521                         | E                   |
| 69   | -Acoustics, Speech and Signal Processing<br>(ex-Audio and Electroacoustics)<br>Institute of Electrical and Electronics<br>Engineers Inc.,<br>445 Hoes Lane, Piscataway, NJ 08854, USA. | 0096-3518                         | E                   |
| 70   | -Automatic Control<br>Institute of Electrical and Electronics<br>Engineers Inc.,<br>345 East 47th Street, New York, NY 10017, USA.   | 0018-9286                         | E                   |
| 71   | -Biomedical Engineering<br>Institute of Electrical and Electronics<br>Engineers Inc.,<br>445 Hoes Lane, Piscataway, NJ 08854, USA.   | 0018-9294                         | E                   |
| 72   | -Consumer Electronics<br>(ex-Broadcast and Televisions Receivers)<br>Institute of Electrical and Electronics<br>Engineers Inc.,<br>445 Hoes Lane, Piscataway, NJ 08854, USA.           | 0098-3063                         | E                   |
| 73   | -Communications<br>(ex-Communication Technology)<br>Institute of Electrical and Electronics<br>Engineers Inc.,<br>445 Hoes Lane, Piscataway, NJ 08854, USA.                            | 0090-6778                         | E                   |
| 74   | -Computers<br>(ex-Electronic Computers)<br>Institute of Electrical and Electronics<br>Engineers Inc.,<br>445 Hoes Lane, Piscataway, NJ 08854, USA.                                     | 0018-9340                         | E                   |
| 75   | -Electron Devices<br>Institute of Electrical and Electronics<br>Engineers Inc.,<br>445 Hoes Lane, Piscataway, NJ 08854, USA.   | 0018-9383                         | E                   |

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| 76  | -Geoscience and Remote Sensing<br>(ex-Geoscience Electronics)<br>Institute of Electrical and Electronics Engineers Inc.,<br>445 Hoes Lane, Piscataway, NJ 08854, USA.   | 0018-9413   | E                     |
| 77  | -Instrumentation and Measurement<br>Institute of Electrical and Electronics Engineers Inc.,<br>345 East 47th Street, New York, NY 10017, USA.   | 0018-9456   | E                     |
| 78  | -Microwave Theory and Techniques<br>Institute of Electrical and Electronics Engineers Inc.,<br>445 Hoes Lane, Piscataway, NJ 08854, USA.  | 0018-9480   | E                     |
| 79  | -Components, Hybrids and Manufacturing Technology<br>(Supersedes, as from March 1978, Parts, Hybrids and Packaging)<br>Institute of Electrical and Electronics Engineers Inc.,<br>445 Hoes Lane, Piscataway, NJ 08854, USA.   | 0099-4634   | E                     |
| 80  | -Power Apparatus and Systems<br>Institute of Electrical and Electronics Engineers Inc.,<br>445 Hoes Lane, Piscataway, NJ 08854, USA.  | 0018-9510   | E                     |
| 81  | -Sonics and Ultrasonics<br>Institute of Electrical and Electronics Engineers<br>445 Hoes Lane, Piscataway, NJ 08854, USA.   | 0018-9537   | E                     |
| -   | (Inco Nickel-see Nickel Topics, periodical no. 123)   |   |                       |
|   | <u>Industrial and Engineering Chemistry:</u>  |   |                       |
| [82]  | [-Fundamentals]<br>[Deleted from list from January 1, 1985]   | [0196-4313]   |                       |
| 83  | -Process Design and Development<br>American Chemical Society,<br>1155 16th Street, NW, Washington DC 20036,<br>USA.   | 0196-4305   | E                     |
| 84  | -Product Research and Development<br>American Chemical Society,<br>1155 16th Street, NW, Washington DC 20036,<br>USA.   | 0196-4321   | E                     |
| 85  | Institute of Electronics and Communication Engineers of Japan, Transactions<br>(Denshi Tsushin Gakkai Ronbunshu)<br>- Section J:<br>- Part A - Basis<br>- Part B - Communication<br>- Part C - Electronics<br>- Part D - Information Processing<br>- Section E:<br>All areas: Original contributions in English, and English abstracts of papers and letters published in Section J, Parts A-B-C-D above<br><br>The Institute of Electronics and Communication Engineers of Japan, (Denshi Tsushin Gakkai) Kikai-Shinko-Kaikan 5-8, Shibakoen 3-chome, Minato-ku, Tokyo 105, Japan. | 0373-6091<br>0373-6105<br>0373-6113<br>0374-468X<br>0387-236X | J<br>J<br>J<br>J<br>E |
| -   | (Instruments and Experimental Techniques - see periodical no. 176)  |   |                       |

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|---|--|--|------------------------------------|
| 86  | International Polymer Science and Technology<br>(ex-Soviet Rubber Technology)<br>Rubber and Plastics Research Association of<br>Great Britain,<br>Shawbury,<br>Shrewsbury, Shropshire SY4 4NR, United Kingdom.<br>or<br>Kauchuk i Rezina<br>Khimia,<br>8-ya Ulitsa Sokolinoi Gory, 12, 105118 Moscow,<br>USSR. | 0307-174X<br><br><br><br><br><br><br><br>0022-9466 | E<br><br><br><br><br><br><br><br>R |
| 87  | Nachrichten Elektronik<br>(ex-Internationale Elektronische Rundschau)<br>Dr. Alfred Hüthig-Verlag GmbH,<br>P.O. Box 10 28 69,<br>Im Weiher 10, D-6900 Heidelberg 1,<br>Federal Republic of Germany.  | 0341-4035  | G                                  |
| 88  | Iron and Steel International<br>IPC Science and Technology Press Ltd.,<br>P.O. Box 63, Westbury House,<br>Bury Street, Guildford,<br>Surrey GU2 5BH, United Kingdom.   | 0308-9142  | E                                  |
| 89  | Iron and Steel Institute of Japan, Journal<br>(Tetsu-To-Hagané)<br>The Iron and Steel Institute of Japan,<br>(Nippon Tekko Kyokai)<br>Keidanren Kaikan, 9-4, Otemachi 1-chome,<br>Chiyodaku, Tokyo, Japan.   | 0021-1575  | E* J                               |
| 90  | Japanese Journal of Applied Physics<br>Publication Office,<br>Japanese Journal of Applied Physics,<br>Daini Toyokaiji Building, 24-8,<br>Shinbashi 4-chome, Minato-ku,<br>Tokyo 105, Japan.  | 0021-4922  | E                                  |
| (91)  | (Japanese Polymer Science and Technology<br>see Kobunshi Ronbunshu)  |  |                                    |
| 92  | Journal of Agricultural and Food Chemistry<br>American Chemical Society, 1155 16th Street,<br>NW, Washington DC 20036, USA.  | 0021-8561  | E                                  |
| 93  | Journal of Analytical Chemistry of the USSR<br>Consultants Bureau, 227 West 17th Street,<br>New York, NY 10011, USA.<br>or<br>(Zhurnal Analiticheskoi Khimii)<br>Nauka,<br>Vorobievskoe Shosse, 47a, 117334, Moscow,<br>USSR.  | 0021-8766<br><br><br><br><br><br><br><br>0044-4502 | E<br><br><br><br><br><br><br><br>R |
| (94)  | (Journal of Applied Chemistry and Biotechnology -<br>see Journal of Chemical Technology and<br>Biotechnology from Volume 29, 1, January 1979)  | [0375-9210]  | (E)                                |
| 95  | Journal of Applied Chemistry of the USSR<br>Consultants Bureau,<br>227 West 17th Street, New York, NY 10011, USA.<br>or<br>(Zhurnal Prikladnoi Khimii)<br>Nauka,<br>Mendeleevskaya Linia 1, Leningrad 199164, USSR.  | 0021-888X<br><br><br><br><br><br><br><br>0044-4618 | E<br><br><br><br><br><br><br><br>R |



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|--|---|-----------------------------------|---------------------|
| 96   | Journal of Applied Physics<br>American Institute of Physics<br>335 East 45th Street, New York, NY 10017, USA.   | 0021-8979                         | E                   |
| 97   | Journal of Applied Polymer Science<br>John Wiley and Sons, Inc.,<br>605 Third Avenue, New York, NY 10016, USA.  | 0021-8995                         | E                   |
| 94   | Journal of Chemical Technology and Biotechnology<br>(ex-Journal of Applied Chemistry and Biotechnology)<br>The Society of Chemical Industry,<br>14-15, Belgrave Square, London SW1X 8PS,<br>United Kingdom.                       | 0142-0356                         | E                   |
| 98   | Journal of Chromatography<br>Elsevier Scientific Publishing Co.,<br>Box 211, 1000 AE Amsterdam, Netherlands.  | 0021-9673                         | E F G               |
| -  | (Journal of Crystal Growth -<br>see periodical no. 177)   |                                   |                     |
| 99   | Journal of Electron Microscopy<br>Japanese Society of Electron Microscopy,<br>(Nippon Denshi Kenbikyo Gakkai)<br>Editorial Office, Center for Academic<br>Publications Japan, 4-16 Yayoi 2-chome,<br>Bunkyo-ku, Tokyo 113, Japan. | 0022-0744                         | E                   |
| 100  | Journal of General Chemistry of the USSR<br>Consultants Bureau,<br>227 West 17th Street, New York, NY 10011, USA.   | 0022-1279                         | E                   |
|  | or<br>Zhurnal Obschei Khimii<br>Nauka, Mendeleevskaya Linia 1,<br>Leningrad 199164, USSR.   | 0044-460X                         | R                   |
| [101]  | [Journal of Inorganic and Nuclear Chemistry -<br>see Polyhedron]  |                                   |                     |
| 102  | Journal of Metals<br>Metallurgical Society of AIME,<br>P.O. Box 430, 420 Commonwealth Drive,<br>Warrendale, Pa 15086, USA.  | 0148-6608                         | E                   |
| -  | (Journal of Nuclear Energy - see Annals of<br>Nuclear Energy, periodical no. 12)  |                                   |                     |
| 103  | Journal of Organic Chemistry<br>American Chemical Society,<br>1155 16th Street, NW, Washington DC 20036,<br>USA.  | 0022-3263                         | E                   |
| -  | (Journal of Organic Chemistry of the USSR -<br>see periodical no. 178)  |                                   |                     |
| 104  | Journal of Organometallic Chemistry<br>Elsevier Sequoia SA,<br>Box 851, 1001 Lausanne 1, Switzerland.   | 0022-328X                         | E F G               |
| [105]  | Journal of Physics:<br>-[Part B - Atomic and Molecular Physics]<br>[Deleted from list from January 1, 1985]   | [0022-3700]                       |                     |
| 106  | -Part E - Scientific Instruments<br>Institute of Physics,<br>Techno House, Redcliffe Way,<br>Bristol B51 6NX, United Kingdom.   | 0022-3735                         | E                   |

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|--|--|--|--------------------|
| 107  | Journal of Polymer Science<br>-(-General papers - Discontinued as of<br>December, 1965)<br>-Polymer chemistry<br>-Polymer physics<br>-Polymer letters<br>-Polymer symposia<br>-Macromolecular reviews<br>John Wiley & Sons Inc., Periodicals Department,<br>605 Third Avenue, New York, NY 10158, USA. | (0449-2951)<br>0360-6376<br>0098-1273<br>0360-6384<br>0360-8905<br>0076-2083 | E                  |
| 108  | Journal of the Royal Netherlands Chemical Society/<br>Recueil des Travaux Chimiques des Pays-Bas<br>Koninklijke Nederlandse Chemische Vereniging,<br>Burnierstraat 1, The Hague, Netherlands.  | 0034-186X  | E F G              |
| 109  | Kautschuk & Gummi Kunststoffe<br>Verlag für Radio-Foto-Kinotechnik GmbH,<br>Eichborndamm 141-167, D-1000 Berlin (West) 52.   | 0022-9520  | G                  |
| 91   | Kobunshi Ronbunshu<br>[English version "Japanese Polymer Science<br>and Technology" discontinued as from March 1977]<br>The Society of Polymer Science, Japan,<br>(Kobunshi Gakkai)<br>Hon Building, 12-8, Ginza 5-chome,<br>Chu-ku Tokyo 104, Japan   | 0386-2186<br>[0149-9025]   | E* J               |
| 110  | Kunststoffe<br>Carl Hanser Verlag<br>Kolbergerstr. 22, P.O. Box 860420,<br>D-8000 Munich 80, Federal Republic of Germany.  | 0023-5563  | G                  |
| [111]  | Linde Reports of Science & Technology<br>[Deleted from list from January 1, 1985]  | [0024-3728]  |                    |
| 112  | Machine Design<br>Penton IPC,<br>Penton Plaza, 1111 Chester Avenue, Cleveland,<br>Ohio 44114,<br>USA.  | 0024-9114  | E                  |
| 113  | Machinery and Production Engineering<br>Machpress Ltd.,<br>1 Copers Cope Road,<br>Beckenham,<br>Kent BR3 1NB, United Kingdom.  | 0024-919X  | E                  |
| (114)  | (Machines and Tooling - See Soviet Engineering<br>Research)  |  |                    |
| 115  | Measurement Techniques<br>Plenum Publishing Corporation,<br>227 West 17th Street, New York,<br>NY 10011, USA.<br>or<br>Izmeritelnaya Tekhnika<br>Izdatelstvo Standartov,<br>Ezdakov Pereulok, 1, 117334, Moscow, USSR.   | 0543-1972<br>0368-1025   | E<br>R             |
| 116  | Melliand Textilberichte, Journal<br>Melliand Textilberichte KG,<br>Rohrbacherstr. 76, D-6900 Heidelberg,<br>Federal Republic of Germany.   | 0341-0781  | G                  |
| 117  | Metal Finishing<br>Metals and Plastics Publications, Inc.,<br>1 University Plaza, Hackensack, NJ 07601,<br>USA.  | 0026-0576  | E                  |

| Identification<br>Number/Numéro<br>d'identi-<br>fication | Title of the periodical/Titre du périodique<br>Publisher/Editeur<br>Address of the Publisher/Adresse de l'éditeur  | ISSN<br>Number/<br>Numéro<br>ISSN | Language/<br>Langue |
|--|--|-----------------------------------|---------------------|
| 118  | Metal Science and Heat Treatment<br>Consultants Bureau,<br>227 West 17th Street, New York, NY 10011,<br>USA.   | 0026-0673                         | E                   |
|  | or<br>Metallovedenie i Termicheskaya Obrabotka Metallov<br>Mashinostroenie, Prospekt Mira, 106,<br>129836 Moscow, USSR.  | 0026-0819                         | R                   |
| [119]  | [Metallurgist<br>(Metallurg)]<br>[Deleted from list from January 1, 1985]  | [0026-0894]<br>[0026-0827]        |                     |
| 120  | Metalworking Production<br>Morgan-Grampian (Publishers) Ltd.,<br>Calderwood Street, SE18 6QH London,<br>United Kingdom.  | 0026-1033                         | E                   |
| (121)  | (Modern Packaging - see Package Engineering<br>from Vol. 25/(1980), 1)   |                                   |                     |
| 122  | Modern Plastics International<br>McGraw Hill Inc.,<br>50, Avenue de la Gare, CH-1003 Lausanne,<br>Switzerland.   | 0026-8283                         | E                   |
| -  | (Nachrichten Elektronik - see Periodical No. 87)   |                                   |                     |
| [123]  | [Nickel Topics (ex-Inco Nickel)]<br>[Discontinued from Vol. 35 (1982) 1]   | [0028-9736]                       |                     |
| 124  | NTZ (Nachrichtentechnische Zeitschrift)<br>VDE-Verlag GmbH,<br>Bismarckstrasse 33, D-1000 Berlin (West) 12.  | 0027-707X                         | G                   |
| 125  | Nuclear Engineering International<br>IPC Business Press ( S & D) Ltd.,<br>Subscription Department,<br>35 Perrymount Road, Haywards Heath,<br>Sussex RH16 3BR, United Kingdom.  | 0029-5507                         | E                   |
| -  | (Oil and Gas Journal - see periodical no. 179)   |                                   |                     |
| -  | (L'Onde électrique - see periodical no. 171)   |                                   |                     |
| 126  | Optical Society of America, Journal<br>American Institute of Physics,<br>335 East 45th Street, New York, NY 10017, USA.  | 0030-3941                         | E                   |
| 127  | Optics and Spectroscopy<br>American Institute of Physics,<br>335 East 45th Street, New York, NY 10017, USA.  | 0030-400X                         | E                   |
|  | or<br>Optika i Spektroskopija<br>Nauka, Mendeleevskaya Linia, 1,<br>Leningrad 199164, USSR.  | 0030-4034                         | R                   |
| -  | (Optics Communications - see periodical no. 180)   |                                   |                     |
| 128  | Oyo Butsuri<br>Japan Society of Applied Physics,<br>(Oyo Butsuri Gakkai)<br>Room 209-2, Kikai Shinko Building,<br>5-8, Shiba-Koen 3-chome, 105 Minato-ku,<br>Tokyo 105, Japan. | 0369-8009                         | E* J                |
| 121  | Package Engineering (ex-Modern Packaging)<br>Cahners Publishing Company,<br>Division of Reed Holdings, Inc.,<br>270 St. Paul Street, Denver, CO 80206, USA.                    | 0030-9044                         | E                   |

| Identification<br>Number/Numéro<br>d'identi-<br>fication | Title of the periodical/Titre du périodique<br>Publisher/Editeur<br>Address of the Publisher/Adresse de l'éditeur   | ISSN<br>Number/<br>Numéro<br>ISSN                | Language/<br>Langue |
|--|---|--|---------------------|
| 129  | Philips Journal of Research<br>(supersedes, as from Vol. 33, Nos 1-2, 1978,<br>Philips Research Reports, and Supplements)<br>[Supplements - Discontinued as from 1976]<br>Philips Research Laboratories,<br>Building WBP, Room No. 42,<br>Eindhoven,<br>Netherlands.                      | 0554-0615  | E                   |
| 130  | Philips Technical Review<br>N.V. Philips' Gloeilampenfabrieken,<br>Research Laboratories,<br>Eindhoven, Netherlands.  | 0031-7926  | D E G               |
| 131  | Physical Review (A-B-C-D)<br>-Part A, General Physics<br>-Part B, Condensed Matter (supersedes, as from<br>Vol. 18, July, 1979, Solid State)<br>-Part C, Nuclear Physics<br>-Part D, Particles and Fields<br>American Physical Society, 335 East 45th Street,<br>New York, NY 10017, USA. | 0556-2791<br>0163-1829<br>0556-2813<br>0556-2821 | E                   |
| 132  | Plastverarbeiter<br>Zechner und Huethig Verlag GmbH,<br>Daimlerstrasse 9, P.O. Box 2080, D-6720<br>Speyer/Phein,<br>Federal Republic of Germany.  | 0032-1338  | G                   |
| 133  | Playthings<br>Geyer-McAllister Publications, Inc.,<br>51 Madison Avenue, New York, NY 10010, USA.   | 0032-1567  | E                   |
| [101]  | [Polyhedron (ex: Journal of Inorganic and<br>Nuclear Chemistry)]<br>[Deleted from list from January 1, 1985]  | [0022-1902]                                      |                     |
| 134  | Polymer Science of the USSR<br>Pergamon Press, Headington Hill Hall,<br>Oxford, OX3 OBW, United Kingdom.<br>or<br>Vysokomolekulyarnye Soedinenia<br>Nauka, Kuznetsky Most, 9/10,<br>103031 Moscow, USSR.  | 0032-3950<br>0507-5475                           | E<br>R              |
| 135  | Power<br>McGraw-Hill, Inc., McGraw-Hill Building<br>1221 Avenue of the Americas, New York,<br>NY 10020, USA.  | 0032-5929  | E                   |
| 136  | Power Farming<br>Agricultural Press Ltd.,<br>Surrey House, 1, Throwley Way,<br>Sutton, Surrey, SM1 4QQ, United Kingdom.   | 0032-5988  | E                   |
| [137]  | [Radio Engineering and Electronic Physics<br>(Radiotekhnika i Elektronika)]<br>[Deleted from list from January 1, 1985]   | [0033-7889]<br>[0033-8494]                       |                     |
| -  | (Radio Fernsehen Elektronik - see periodical no. 181)   |  |                     |
| 138  | RCA Review<br>RCA Research and Engineering,<br>RCA Corporation, Princeton, NJ 08540, USA.   | 0033-6831  | E                   |
| 139  | Review of Scientific Instruments<br>American Institute of Physics,<br>335 East 45th Street, New York, NY 10017, USA.  | 0034-6748  | E                   |

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|--|--|-------------------------------------|---------------------|
| 140  | Regelungstechnik<br>R. Oldenbourg Verlag GmbH,<br>Rosenheimer Strasse 145, 8000 Munich 80,<br>Federal Republic of Germany.   | 0340-434X                           | G                   |
| 141  | Rubber Chemistry and Technology<br>American Chemical Society, Rubber Division,<br>University of Akron, Akron, OH 44325, USA.   | 0035-9475                           | E                   |
| [142]  | [Russian Chemical Reviews<br>(Uspekhi Khimii)]<br>[Deleted from list from January 1, 1985]   | [0036-021X]<br>[0042-1308]          |                     |
| [143]  | (Russian Engineering Journal - See Soviet<br>Engineering Research)   |                                     |                     |
| 144  | Automotive Engineering<br>Society of Automotive Engineers, Inc.,<br>SAE Headquarters, 400 Commonwealth Drive,<br>Warrendale, Pa 15096, USA.  | 0098-2571                           | E                   |
| 145  | Scientific American<br>Scientific American Inc.,<br>415 Madison Avenue, New York, NY 10017, USA.   | 0036-8733                           | E                   |
| [146]  | [Siemens Review (Siemens Zeitschrift)]<br>[Deleted from list from January 1, 1985]   | [0037-4709]                         |                     |
| 147  | SMPTE Journal<br>Society of Motion Picture and Television<br>Engineers, Inc.,<br>862 Scarsdale Avenue, Scarsdale, NY 10583, USA.   | 0036-1682                           | E                   |
| 148  | Society of Dyers and Colourists, Journal<br>The Society of Dyers and Colourists,<br>P.O. Box 244, Perkin House, 82 Grattan Road,<br>Bradford, Yorkshire, BD1 2JB, United Kingdom.  | 0037-9859                           | E                   |
| -  | (Solar Energy - see periodical no. 182)  |                                     |                     |
| 149  | Solid State Electronics<br>Pergamon Press, Headington Hill Hall,<br>Oxford OX3 OBW, United Kingdom.<br>and<br>Maxwell House, Fairview Park,<br>Elmsford, NY 10523, USA.  | 0038-1101                           | E                   |
| 150  | Solid State Technology<br>Cowan Publishing Corporation,<br>14 Vanderventer Avenue, Port Washington,<br>Long Island, NY 11050, USA.   | 0038-111X                           | E                   |
| 114 and<br>143   | Soviet Engineering Research (formerly Machines<br>and Tooling, and Russian Engineering Journal)<br>Production Engineering Research Association<br>Melton Mowbray, Leicestershire, United Kingdom<br>or<br>Stanki i Instrumenty and Vestnik Mashinostroenia<br>Mashinostroenie<br>Prospekt Mira, 106, 129836 Moscow, USSR | 0144-6622<br>0042-4633<br>0038-9811 |                     |
| 151  | Soviet Journal of Atomic Energy<br>Consultants Bureau, 227 West 17th Street,<br>New York, NY 10011, USA.<br>or<br>Atomnaya Energia<br>Atomizdat,<br>Ulitsa Kirova, 18, 101876 Moscow, USSR.  | 0038-531X<br>0004-7163              | E<br>R              |

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|---|--|----------------------------|-----------------|
| [152]   | [Soviet Physics - Acoustics<br>(Akusticheskii Zhurnal)]<br>[Deleted from list from January 1, 1985]  | [0038-562X]<br>[0320-7919] |                 |
| [153]   | [Soviet Physics - Doklady<br>(Doklady Akademii Nauk SSSR)]<br>[Deleted from list from January 1, 1985]   | [0038-5689]                |                 |
| -   | (Soviet Physics - Semiconductors -<br>see periodical no. 183)  |                            |                 |
| 154   | Soviet Physics - Solid State<br>American Institute of Physics,<br>335 East 45th Street, New York, NY 10017, USA.<br>or<br>Fizika Tverdogo Tela<br>Nauka, Mendeleevskaya Linia, 1,<br>Leningrad 199164, USSR. | 0038-5654                  | E               |
|   |  | 0367-3294                  | R               |
| [155]   | [Soviet Physics - Technical Physics<br>(Zhurnal Tekhnicheskoi Fiziki)]<br>[Deleted from list from January 1, 1985]   | [0038-5662]<br>[0367-3294] |                 |
| -   | (Soviet Physics - Uspekhi - see periodical no. 184)  |                            |                 |
| -   | (Soviet Rubber Technology (Kauchuk i Rezina) - see<br>International Polymer Science and Technology,<br>periodical no. 86)  |                            |                 |
| -   | (Soviet Technical Physics Letters -<br>see periodical no. 185)   |                            |                 |
| 156   | Stahl und Eisen<br>Verlag Stahleisen GmbH,<br>P.O. Box 8229, Breite Strasse 27,<br>D-4000 Düsseldorf 1, Federal Republic of Germany.   | 0038-9137                  | E* G            |
| -   | (Steel in the USSR - see periodical no. 186)   |                            |                 |
| 157   | Steroids<br>Holden-Day, Inc.,<br>500 Sansome Street, San Francisco,<br>CA 94111, USA.  | 0039-128X                  | E               |
| 158   | TAPPI Journal (ex-TAPPI)<br>Technical Association of the Pulp and<br>Paper Industry, Inc.,<br>1 Dunwoody Park, Atlanta, GA 30338, USA.   | 0039-8241                  | E               |
| 16  | Technisches Messen TM (ex-ATM und Messtechnische<br>Praxis)<br>R. Oldenburg Verlag GmbH,<br>Rosenheimerstrasse 145,<br>D-8000 Munich 80,<br>Federal Republic of Germany.                                     | 0171-8096                  | G               |
| -   | (Telecommunication and Radio Engineering -<br>see periodical no. 187)  |                            |                 |
| 159   | Tetrahedron<br>Pergamon Press, Headington Hill Hall,<br>Oxford, OX3 0BW, United Kingdom.<br>and<br>Maxwell House,<br>Fairview Park, Elmsford, NY 10523, USA.   | 0040-4020                  | E F G           |

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|--|---|-----------------------------------|-----------------------|
| 160  | Tetrahedron Letters<br>Pergamon Press, Headington Hill Hall,<br>Oxford, OX3 0BW, United Kingdom.<br>and<br>Maxwell House,<br>Fairview Park, Elmsford, NY 10523, USA.  | 0040-4039                         | E F G                 |
| 161  | Textil Praxis International<br>Konradin-Verlag, P.O. Box 10 02 52,<br>D-7022 Leinfelden-Echterdingen,<br>Federal Republic of Germany.   | 0340-5028                         | E G                   |
| [162]  | [Textile Manufacturer and Knitting World<br>(ex-Textile Manufacturer)]<br>[Deleted from list from January 1, 1985]  | [0040-5108]                       |                       |
| 163  | Textile Research Journal<br>Textile Research Institute,<br>Box 625, Princeton, NJ 08540, USA.   | 0040-5175                         | E                     |
| 164  | VDI-Z (formerly VDI)<br>(Verein Deutscher Ingenieure)<br>VDI-Verlag GmbH,<br>Graf-Recke-Strasse 84, P.O. Box 1139,<br>D-4000 Düsseldorf 1,<br>Federal Republic of Germany.  | 0042-1766                         | E* G                  |
| 165  | Water Pollution Control Federation, Journal<br>Water Pollution Control Federation,<br>2626 Pennsylvania Ave, NW, Washington, DC 20037,<br>USA.  | 0043-1303                         | E F*<br>G* Pt*<br>Sp* |
| -  | (Welding Production - see periodical no. 188)   |                                   |                       |
| [166]  | [Westinghouse Engineer]<br>[Discontinued as of January 1975]  | [0043-4361]                       |                       |
| [167]  | [Wiggin Nickel Alloys]<br>[Discontinued as of 1978]   | [0143-2737]                       |                       |
| 168  | Wireless World<br>IPC Business Press Ltd.,<br>Oakfield House, Perrymount Road, Haywards Heath,<br>Sussex RH16 3DH, United Kingdom.  | 0043-6062                         | E                     |
| -  | (Xerox Disclosure Journal - see periodical no. 189)   |                                   |                       |
| 169  | Chemical Abstracts<br>Chemical Abstracts Service,<br>Marketing Department,<br>The Ohio State University, Columbus,<br>Ohio 43210, USA.  | 0009-2258                         | E                     |
| 170  | Bulletin de la Société chimique de France<br>-Première Partie - Chimie analytique, minérale,<br>physique<br>-Deuxième Partie - Chimie organique, Biochimie<br>Masson et Cie<br>120, Boulevard Saint-Germain,<br>75006 Paris Cedex 06, France. | 0037-8968                         | F                     |
| 171  | L'Onde électrique<br>Masson et Cie,<br>120, Boulevard Saint-Germain,<br>75006 Paris Cedex 06, France.   | 0030-2430                         | E* F                  |

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|--|---|-----------------------------------|---------------------|
| 172  | Automatic Control and Computer Science<br>[from January 1, 1985]<br>Allerton Press, Inc.<br>150 Fifth Avenue, New York<br>N.Y. 10011<br>USA           | 0146-4116                         | E                   |
|  | or<br>Avtomatika i Vychislitel'naya Tekhnika<br>Zinatne<br>Ulitsa Turgeneva 19, 226530<br>Riga, USSR  | 0132-4160                         | R                   |
| 173  | Coke and Chemistry - USSR [from January 1, 1985]<br>Allerton Press, Inc.<br>150 Fifth Avenue, New York<br>N.Y. 10017, USA                             | 0010-0501                         | E                   |
|  | or<br>Koks i Khimia<br>Metallurgia<br>2 Obydensky pereulok 14<br>119857, Moscow, USSR   | 0023-2815                         | R                   |
| 174  | Farbe und Lack [from January 1, 1985]<br>Curt. R. Vincentz Verlag<br>Postfach 6247<br>3000 Hannover 1<br>Federal Republic of Germany                  | 0014-7699                         | G                   |
| 175  | Fibre Chemistry [from January 1, 1985]<br>Plenum Publishing Corporation<br>233 Spring Street, New York<br>N.Y. 10013, USA                             | 0015-0541                         | E                   |
|  | or<br>Khimicheskie Volokna<br>Khimia<br>Ulitsa Stromynka 13<br>107076, Moscow, USSR   | 0023-1118                         | R                   |
| 176  | Instruments and Experimental Techniques<br>[from January 1, 1985]<br>Plenum Publishing Corporation<br>233 Spring Street, New York<br>N.Y. 10013, USA  | 0020-4412                         | E                   |
|  | or<br>Pribory i Tekhnika Eksperimenta<br>Nauka<br>Podsosensky Pereulok 21<br>103717, Moscow, USSR   | 0032-8162                         | R                   |
| 177  | Journal of Crystal Growth [from January 1, 1985]<br>North-Holland Publishing Co.<br>P.O. Box 211<br>1000 AE Amsterdam<br>Netherlands                  | 0022-0248                         | E                   |
| 178  | Journal of Organic Chemistry of the USSR<br>[from January 1, 1985]<br>Plenum Publishing Corporation<br>233 Spring Street, New York<br>N.Y. 10013, USA | 0022-3271                         | E                   |
|  | or<br>Zhurnal Organicheskoi Khimii<br>Nauka<br>Mendeleevskaya Linia 1<br>Leningrad, 199164, USSR  | 0514-7492                         | R                   |



| Identification<br>Number/Numéro<br>d'identi-<br>fication | Title of the periodical/Titre du périodique<br>Publisher/Editeur<br>Address of the Publisher/Adresse de l'éditeur  | ISSN<br>Number/<br>Numéro<br>ISSN | Language/<br>Langue |
|--|--|-----------------------------------|---------------------|
| 179  | Oil and Gas Journal [from January 1, 1985]<br>Pennwell Publishing Co.<br>14215 Sheridan Road, Tulsa<br>Okla, Box 1260<br>United States of America  | 0030-1388                         | E                   |
| 180  | Optics Communications [from January 1, 1985]<br>North-Holland Publishing Co.<br>P.O. Box 211<br>1000 AE Amsterdam<br>Netherlands   | 0030-4018                         | E                   |
| 181  | Radio Fernsehen Elektronik [from January 1, 1985]<br>Veb. Verlag Technik<br>Oranienburger Str. 13/14<br>102 Berlin Postfach 293<br>German Democratic Republic  | 0033-7900                         | G                   |
| 182  | Solar Energy [from January 1, 1985]<br>Pergamon Press Limited<br>Oxford OX3 0BW<br>United Kingdom  | 0038-092X                         | E                   |
| 183  | Soviet Physics-Semiconductors [from January 1, 1985]<br>American Institute of Physics<br>335 East 45th Street, New York<br>N.Y. 10017, USA<br>or<br>Fizika i Tekhnika Poluprovodnikov<br>Nauka<br>Leninsky Prospekt 15<br>117071, Moscow, USSR                 | 0038-5700<br><br>0042-1294        | E<br><br>R          |
| 184  | Soviet Physics - Uspekhi [from January 1, 1985]<br>American Institute of Physics<br>335 East 45th Street, New York<br>N.Y. 10017, USA<br>or<br>Uspekhi Fizicheskikh Nauk<br>Nauka<br>Leninsky Prospekt 15<br>117071, Moscow, USSR                              | 0038-5670<br><br>0042-1294        | E<br><br>R          |
| 185  | Soviet Technical Physics Letters<br>[from January 1, 1985]<br>American Institute of Physics<br>335 East 45th Street, New York<br>N.Y. 10017, USA<br>or<br>Pisma v Zhurnal Tekhnicheskoi Fiziki<br>Nauka<br>Mendeleevskaya Linia 1<br>Leningrad, 199164<br>USSR | 0360-120X<br><br>0330-0116        | E<br><br>R          |
| 186  | Steel in the USSR [from January 1, 1985]<br>British Library Lending Division<br>Boston Spa, Wetherby<br>West Yorks LS23 7BQ, England<br>or<br>Stal<br>Metallurgia<br>2 Obydensky Pereulok 14<br>119857, Moscow, USSR   | 0038-9218<br><br>0038-920X        | E<br><br>R          |
| 187  | Telecommunications and Radio Engineering<br>[from January 1, 1985]<br>Scripta Publishing Co.<br>7961 Eastern Avenue<br>Lilver Spring, M.D. 20910, USA<br>or  | 0040-2508                         | E                   |

| Identification<br>Number/Numéro<br>d'identi-<br>fication | Title of the periodical/Titre du périodique<br>Publisher/Editeur<br>Address of the Publisher/Adresse de l'éditeur               | ISSN<br>Number/<br>Numéro<br>ISSN | Language/<br>Langue |
|--|---|-----------------------------------|---------------------|
| 187<br>(continued)                                       | Radiotekhnika<br>Radio i Svyaz<br>4 Chistoprudny Bulvar<br>101000, Moscow, USSR<br>and  | 0033-8486                         | R                   |
|  | Elektrosvyaz<br>Radio i Svyaz<br>4 Chistoprudny Bulvar<br>101000, Moscow, USSR  | 0013-5771                         | R                   |
| 188  | Welding Production [from January 1, 1985]<br>Welding Institute<br>Abington Hall, Abington<br>Cambridge CB1 6AL, England<br>or   | 0043-230X                         | E                   |
|  | Svarochnoe Proizvodstvo<br>Mashinostroenie<br>Stromynsky Pereulok 4<br>107076, Moscow, USSR                                     | 0491-6441                         | R                   |
| 189  | Xerox Disclosure Journal [from January 1, 1985]<br>Xerox Corporation, Stamford<br>Connecticut 06904<br>United States of America | 0361-4190                         | E                   |

PCT MINIMUM DOCUMENTATION:  
INVENTORY OF PATENT DOCUMENTS 1920 TO 1982

Explanatory Notes

1. On the following pages is given the inventory of patent documents, covering the period January 1, 1920 to December 31, 1982, forming the PCT Minimum Documentation according to PCT Rule 34.1.

2. The different kinds of patent documents issued by each Office falling within the PCT Minimum Documentation according to PCT Rule 34.1 are arranged in the second column in the order in which patent documents were issued by that Office at various procedural steps.

3. The third column gives the range of publication numbers of each kind of patent document issued by each Office. The fourth column gives the publication years of those documents. The fifth column of the inventory gives an indication of the total number of each kind of patent document issued. In some cases, the number of patent documents issued can only be estimated.

4. In the last column is given an indication of the nature of each series of patent documents issued; the indications have the following significance:

- "a" indicates a series consisting of documents sequentially numbered as issued;
- "b" indicates a series consisting of documents numbered at an earlier procedural step before issuance and therefore not sequentially numbered as issued, the series normally having many unused numbers;
- "c" indicates that a list of unused numbers, or of the issued documents in the series, has been reported to the International Bureau.

5. The patent documents issued by Australia, Austria and Canada fall within the PCT Minimum Documentation by virtue of PCT Rule 34.1(c)(vi). Lists of those documents have been sent to each PCT International Searching Authority and are available on COM microfiche from the International Bureau.

| Issuing Office                             | Kind of Patent Document   | Number Range                               | Publication Year (s)   | Number of documents | Type of Series |
|--|---|--|------------------------|---------------------|----------------|
| AFRICAN INTELLECTUAL PROPERTY ORGANIZATION | Patents of Invention  | 3,960-4,986                                | 1979                   | 332                 | a              |
| AUSTRALIA                                  | Complete Specifications   | 405,922-526,509                            | 1970-1982              |                     | b,c            |
|  | Accepted Complete Specifications Lapsed                                 | 56,216/58-78,454/74                        | 1970-1982              |                     | b,c            |
| AUSTRIA                                    | Patents   | 291,501-369,449                            | 1970-1982              | 18,862              | a,c            |
| CANADA                                     | Patents   | 849,235-1,138,553                          | 1970-1982              | 7,300               | b,c            |
| EUROPEAN PATENT OFFICE                     | Published Patent Applications   | 0,000,001-0,067,986                        | 1978-1982              | 61,861              | a,c            |
|  | Granted Patents   |  | 1980-1982              | 9,260               | b,c            |
| FORMER REICHSPATENTAMT OF GERMANY          | Patents   | 280,717-317,999<br>318,000-768,161         | 1920-1945              | 2,455<br>450,162    | b,c<br>a,c     |
| FRANCE                                     | Applications for all Types of Patents                                   | 2,000,001-2,062,862<br>2,062,863-2,508,757 | 1969-1982              | 62,862<br>445,895   | a<br>a         |
|  | Patents of Invention:<br>1. Old law<br>2. New law (including additions) | 498,201-1,605,572<br>from 2,000,001        | 1920-1982<br>1969-1982 | 1,107,372           | a<br>b         |
|  | Certificates of Addition to Patents                                     | 20,951-96,689                              | 1920-1982              | 75,739              | a              |
|  | Special Medicament Patents  | 1-8,505                                    | 1961-1982              | 8,505               | a              |
|  | Certificates of Addition to Special Medicament Patents                  | 1-358                                      | 1961-1982              | 358                 | a              |

| Issuing Office                   | Kind of Patent Document   | Number Range        | Publication Year(s) | Number of documents | Type of Series |  |
|----------------------------------|---|---------------------|---------------------|---------------------|----------------|--|
| GERMANY<br>(FEDERAL REPUBLIC OF) | Offenlegungsschriften<br>(non-examined patent applications)           | 1,400,001-1,799,035 |                     | 399,035             | a              |  |
|                                  |   | 1,800,001-1,818,031 | 1968-1982           | 852,141             | a              |  |
|                                  |   | 1,900,001-1,971,696 |                     |                     |                |  |
|                                  |   | 2,000,001-2,068,374 |                     |                     |                |  |
|                                  |   | 2,100,001-2,180,117 |                     |                     |                |  |
|                                  |   | 2,200,001-2,265,372 |                     |                     |                |  |
|                                  |   | 2,300,001-2,366,094 |                     |                     |                |  |
|                                  |   | 2,400,001-2,463,067 |                     |                     |                |  |
|                                  |   | 2,500,001-2,559,893 |                     |                     |                |  |
|                                  |   | 2,600,001-2,660,544 |                     |                     |                |  |
| Published Examined Applications  | First number:<br>B 22,624 VI b/Ic<br>Last number:<br>M 29,450 III/89b | 1955-1957           | 34,803              |                     |                |  |
|                                  | Auslegeschriften<br>(examined patent applications)                    | 1,000,001-1,303,280 | 1957-1968           | 222,000             | a,c            |  |
|                                  |   | 1,400,001-1,799,038 | 1968-1982           | 280,000             | b,c            |  |
| 1,800,001-3,038,914              |   | 1968-1982           | 282,000             | b,c                 |                |  |
| Patents and Patents of Addition  | 800,001-980,132   | 1948-1957           | 177,910             | a,c                 |                |  |
|                                  | 1,000,001-1,303,280   | 1957-1968           | 50,000              | b                   |                |  |
|                                  | 1,400,001-1,798,402   | 1968-1979           | 140,000             | b                   |                |  |
|                                  | 1,800,001-2,924,719   | 1968-1979           | 150,000             | b                   |                |  |
| INTERNATIONAL BUREAU OF WIPO     | International Publications under PCT Art. 21(i)                       | 78/00001-78/00019   | 1978                | 19                  | a,c            |  |
|                                  |   | 79/00001-79/01170   | 1979                | 1,168               | a,c            |  |
|                                  |   | 80/00001-80/02902   | 1980                | 2,897               | a,c            |  |
|                                  |   | 81/00001-81/03734   | 1981                | 3,726               | a,c            |  |
|                                  |   | 82/00001-82/04519   | 1982                | 4,500               | a,c            |  |
| JAPAN                            | Tokkyo hatsumeimei<br>meisaisho<br>(Patent Specification)             | 35,166-45,728       | 1920-1923           | 10,563              | a              |  |
|                                  |   | 60,001-181,600      | 1924-1950           | 121,600             | a              |  |
|                                  |   | 181,601-216,017     | 1956                | 34,417              | a              |  |
|                                  | Tokkyo kôhô<br>(Published examined patent application)                | 11/1-11/840         | 1922                | 1,105,285           | a              |  |
|                                  |   | 12/50,000-12/52,180 | 1923                |                     |                |  |
|                                  |   | 13/1-13/2,840       | 1924                |                     |                |  |
|                                  |   | 14/2,841-14/7,3901  | 1925                |                     |                |  |
|                                  |   | 15/7,391-15/11,310  | 1926                |                     |                |  |
|                                  |   | 2/1-2/5,215         | 1927                |                     |                |  |
|                                  |   | 3/1-3/5,145         | 1928                |                     |                |  |
|                                  |   | 4/1-4/5,320         | 1929                |                     |                |  |
|                                  |   | 5/1-5/5,180         | 1930                |                     |                |  |
|                                  |   | 6/1-6/4,900         | 1931                |                     |                |  |
|                                  |   | 7/1-7/5,705         | 1932                |                     |                |  |
|                                  |   | 8/1-8/5,460         | 1933                |                     |                |  |
|                                  |   | 9/1-9/4,970         | 1934                |                     |                |  |
|                                  |   | 10/1-10/5,460       | 1935                |                     |                |  |
| 11/1-11/4,970                    | 1936  |                     |                     |                     |                |  |
| 12/1-12/5,005                    | 1937  |                     |                     |                     |                |  |
| 13/1-13/5,495                    | 1938  |                     |                     |                     |                |  |
| 14/1-14/6,580                    | 1939  |                     |                     |                     |                |  |

| Issuing Office       | Kind of Patent Document   | Number Range   | Publication Year (s)   | Number of documents | Type of Series |           |   |
|----------------------|---|----------------|--|---------------------|----------------|-----------|---|
| JAPAN<br>(continued) | Tokkyo kôhô<br>(Published examined patent application)<br>(continued) | 15/1-15/7,540  | 1940   |                     |                |           |   |
|                      |   | 16/1-16/7,500  | 1941   |                     |                |           |   |
|                      |   | 17/1-17/6,300  | 1942   |                     |                |           |   |
|                      |   | 18/1-18/5,900  | 1943   |                     |                |           |   |
|                      |   | No publication | 1944   |                     |                |           |   |
|                      |   | No publication | 1945   |                     |                |           |   |
|                      |   | No publication | 1946   |                     |                |           |   |
|                      |   | 22/1-22/2,100  | 1947   |                     |                |           |   |
|                      |   | 23/1-23/3,500  | 1948   |                     |                |           |   |
|                      |   | 24/1-24/4,950  | 1949   |                     |                |           |   |
|                      |   | 25/1-25/4,600  | 1950   |                     |                |           |   |
|                      |   | 26/1-26/7,850  | 1951   |                     |                |           |   |
|                      |   | 27/1-27/5,450  | 1952   |                     |                |           |   |
|                      |   | 28/1-28/6,700  | 1953   |                     |                |           |   |
|                      |   | 29/1-29/8,700  | 1954   |                     |                |           |   |
|                      |   | 30/1-30/9,550  | 1955   |                     |                |           |   |
|                      |   | 31/1-31/10,950 | 1956   |                     |                |           |   |
|                      |   | 32/1-32/10,900 | 1957   |                     |                |           |   |
|                      |   | 33/1-33/10,800 | 1958   |                     |                |           |   |
|                      |   | 34/1-34/11,050 | 1959   |                     |                |           |   |
|                      |   | 35/1-35/18,750 | 1960   |                     |                |           |   |
|                      |   | 36/1-36/24,250 | 1961   |                     |                |           |   |
|                      |   | 37/1-37/19,000 | 1962   |                     |                |           |   |
|                      |   | 38/1-38/26,950 | 1963   |                     |                |           |   |
|                      |   | 39/1-39/30,380 | 1964   |                     |                |           |   |
|                      |   | 40/1-40/29,240 | 1965   |                     |                |           |   |
|                      |   | 41/1-41/22,400 | 1966   |                     |                |           |   |
|                      |   | 42/1-42/27,680 | 1967   |                     |                |           |   |
|                      |   | 43/1-43/30,480 | 1968   |                     |                |           |   |
|                      |   | 44/1-44/32,800 | 1969   |                     |                |           |   |
|                      |   | 45/1-45/41,640 | 1970   |                     |                |           |   |
|                      |   | 46/1-46/44,000 | 1971   |                     |                |           |   |
|                      |   | 47/1-47/52,000 | 1972   |                     |                |           |   |
|                      |   | 48/1-48/45,000 | 1973   |                     |                |           |   |
|                      |   | 49/1-49/49,600 | 1974   |                     |                |           |   |
|                      |   | 50/1-50/41,000 | 1975   |                     |                |           |   |
|                      |   | 51/1-51/49,600 | 1976   |                     |                |           |   |
|                      |   | 52/1-52/50,800 | 1977   |                     |                |           |   |
|                      |   | 53/1-53/48,240 | 1978   |                     |                |           |   |
|                      |   | 54/1-54/44,800 | 1979   |                     |                |           |   |
|                      |   | 55/1-55/51,880 | 1980   |                     |                |           |   |
|                      |   | 56/1-56/54,680 | 1981   |                     |                |           |   |
|                      |   | 57/1-57/62,040 | 1982   |                     |                |           |   |
|                      |   |                | Kôkai Tokkyo kôhô<br>(Published unexamined patent application) | 46/1-46/7,950       | 1971           | 1,630,150 | a |
|                      |   |                |  | 47/1-47/46,300      | 1972           |           |   |
|                      |   |                |  | 48/1-48/104,600     | 1973           |           |   |
|                      |   |                |  | 49/1-49/136,000     | 1974           |           |   |
|                      |   |                |  | 50/1-50/161,700     | 1975           |           |   |
|                      |   |                |  | 51/1-51/152,000     | 1976           |           |   |
|                      |   |                |  | 52/1-52/157,000     | 1977           |           |   |
|                      |   |                |  | 53/1-53/150,000     | 1978           |           |   |
|                      |   |                |  | 54/1-54/164,400     | 1979           |           |   |
|                      |   |                |  | 55/1-55/167,300     | 1980           |           |   |
|                      |   |                |  | 56/1-56/170,000     | 1981           |           |   |
|                      |   |                |  | 57/1-57/212,900     | 1982           |           |   |
|                      |   | SOVIET UNION   | Inventor's Certificates and Patents                            | 1-986,305           | 1924-1982      |           |   |

| Issuing Office           | Kind of Patent Document                                   | Number Range                    | Publication Year (s) | Number of documents | Type of Series |
|--------------------------|---|---------------------------------|----------------------|---------------------|----------------|
| SWITZERLAND              | Published Examined Applications for Textiles and Horology |                                 | 1962-1982            | 8153                | b              |
|                          | Patents, including Patents of Addition                    | 71,331-83,751<br>83,752-633,930 | 1920-1921            | 499<br>549,195      | b,c<br>a       |
| UNITED KINGDOM           | Complete Specifications                                   | 105,769-135,820                 |                      | 87                  | b              |
|                          |   | 135,874-1,237,900               | 1920-1971            | 1,101,867           | a              |
|                          |   | 1,237,901-1,460,300             | 1971-1976            | 122,381             | a              |
|                          |   | 1,460,301-1,605,179             | 1976-1982            | 114,851             | a              |
|                          | Patent Applications                                       | 2,000,001-2,100,560             | 1979-1982            | 100,446             | a              |
| UNITED STATES OF AMERICA | Patents   | 1,326,899-3,590,387             | 1920-1971            | 2,262,126           | a              |
|                          |   | 3,590,388-4,366,578             | 1971-1982            | 764,285             | a              |
|                          | Reissued patents  | 14,785-27,151                   | 1920-1971            | 12,335              | a              |
|                          |   | 27,152-31,114                   | 1971-1982            | 3,938               | a              |

## SECTION IV

### NOTICES AND INFORMATION OF A GENERAL CHARACTER

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#### RECEIVING OFFICES

##### Competent Receiving Offices

Sri Lanka has, pursuant to Rule 19.1(b), agreed with the International Bureau of the World Intellectual Property Organization that the International Bureau shall act instead of its national Office (Registry of Patents and Trademarks of Sri Lanka) as receiving Office for applicants who are residents or nationals of Sri Lanka.

##### Competent International Searching Authorities and International Preliminary Examining Authorities

The competent International Searching Authorities for international applications filed by applicants who are residents or nationals of Sri Lanka with the International Bureau are: Australian Patent Office or Royal Patent and Registration Office (Sweden) or European Patent Office.

The competent International Preliminary Examining Authorities for international applications filed by applicants who are residents or nationals of Sri Lanka with the International Bureau are: Australian Patent Office or Royal Patent and Registration Office (Sweden) or United Kingdom Patent Office or European Patent Office.

#### Fees Payable to the Receiving Office

##### World Intellectual Property Organization

International Bureau of WIPO  
Currency: *Swiss franc*

Search fee: 705 for international search by the Australian Patent Office

##### Republic of Korea

Office of Patents Administration  
Currency: *Won (KRW)*

Basic fee: 227,000  
Supplement per sheet over 30: 4,700  
Designation fee: 55,000  
Search fee: 167,000 for international search by the Japanese Patent Office  
86,500 for international search by the Austrian Patent Office  
260,000 for international search by the Australian Patent Office

#### CORRIGENDUM

Relating to Section IV of the PCT Gazette No. 19/1984,  
page 2237, published on August 2, 1984.

The footnote relating to the European Patent Office as the competent International Searching and Preliminary Examining Authority for international applications filed with the Royal Patent and Registration Office of Sweden as receiving Office, should be replaced by the following text: "Competent only for international applications filed in English".



**ADMINISTRATIVE INSTRUCTIONS UNDER THE PCT****Modification**

## ANNEX F – FORMS

## FORM PCT/RO/101 (Request)

The “second sheet” of the Request Form has been modified. The modification consists of the inclusion, in Box V of the Request Form, of the Contracting States which have acceded to the PCT since the last issue of that Form. The modification took effect on August 10, 1984\*.

The modified “second sheet” appears on the next page.

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\* Notwithstanding the entry into force of the modified “second sheet”, the previous version may be used until the stock is depleted.

**Box No. IV AGENT (IF ANY) OR COMMON REPRESENTATIVE (IF ANY); ADDRESS FOR NOTIFICATIONS (IN CERTAIN CASES)** A common representative may be appointed only if there are several applicants and if no agent is or has been appointed; the common representative must be one of the applicants.

The following person (includes, where applicable, a legal entity) is hereby/has been appointed as agent or common representative to act on behalf of the applicant(s) before the competent International Authorities:

Name and address, including postal code and country:

If the space below is used instead for an address for notifications\*, check here

Telephone number:  
(including area code)

Telegraphic address:

Teleprinter address:

**Box No. V DESIGNATION OF STATES; POSSIBLE CHOICE OF EUROPEAN PATENT; POSSIBLE CHOICES OF CERTAIN KINDS OF PROTECTION OR TREATMENT.** Where the name of a State is followed by two check boxes, either or both of the boxes may be checked. The checking of both boxes results in both a European and a national patent being requested for the same State. Designation of Switzerland includes designation of Liechtenstein (and vice-versa).

The following States are hereby designated: \*\*\* **European Patent** **National Patent** (if other national title or treatment desired, specify)\*\*

|           |                                       |                          |                               |          |
|-----------|---------------------------------------|--------------------------|-------------------------------|----------|
| AT        | Austria                               | <input type="checkbox"/> | <input type="checkbox"/>      | ** ..... |
| AU        | Australia                             | <input type="checkbox"/> | <input type="checkbox"/>      | ** ..... |
| BE        | Belgium                               | <input type="checkbox"/> | [no national title available] |          |
| BG        | Bulgaria                              | <input type="checkbox"/> | <input type="checkbox"/>      | ** ..... |
| BR        | Brazil                                | <input type="checkbox"/> | <input type="checkbox"/>      | ** ..... |
| CH and LI | Switzerland and Liechtenstein         | <input type="checkbox"/> | <input type="checkbox"/>      |          |
| DE        | Federal Republic of Germany           | <input type="checkbox"/> | <input type="checkbox"/>      | ** ..... |
| DK        | Denmark                               | <input type="checkbox"/> | <input type="checkbox"/>      |          |
| FI        | Finland                               | <input type="checkbox"/> | <input type="checkbox"/>      |          |
| FR        | France                                | <input type="checkbox"/> | [no national title available] |          |
| GB        | United Kingdom                        | <input type="checkbox"/> | <input type="checkbox"/>      |          |
| HU        | Hungary                               | <input type="checkbox"/> | <input type="checkbox"/>      |          |
| JP        | Japan                                 | <input type="checkbox"/> | <input type="checkbox"/>      | ** ..... |
| KP        | Democratic People's Republic of Korea | <input type="checkbox"/> | <input type="checkbox"/>      |          |
| KR        | Republic of Korea                     | <input type="checkbox"/> | <input type="checkbox"/>      | ** ..... |
| LK        | Sri Lanka                             | <input type="checkbox"/> | <input type="checkbox"/>      |          |
| LU        | Luxembourg                            | <input type="checkbox"/> | <input type="checkbox"/>      | ** ..... |
| MC        | Monaco                                | <input type="checkbox"/> | <input type="checkbox"/>      | ** ..... |
| MG        | Madagascar                            | <input type="checkbox"/> | <input type="checkbox"/>      |          |
| MW        | Malawi                                | <input type="checkbox"/> | <input type="checkbox"/>      | ** ..... |
| NL        | Netherlands                           | <input type="checkbox"/> | <input type="checkbox"/>      |          |
| NO        | Norway                                | <input type="checkbox"/> | <input type="checkbox"/>      |          |
| RO        | Romania                               | <input type="checkbox"/> | <input type="checkbox"/>      |          |
| SD        | Sudan                                 | <input type="checkbox"/> | <input type="checkbox"/>      |          |
| SE        | Sweden                                | <input type="checkbox"/> | <input type="checkbox"/>      |          |
| SU        | Soviet Union                          | <input type="checkbox"/> | <input type="checkbox"/>      | ** ..... |
| US        | United States of America              | <input type="checkbox"/> | <input type="checkbox"/>      | ** ..... |

EP all PCT Contracting States for which a European patent may be requested  \*\*\*\* these States are those listed above whose names are preceded by the codes AT, BE, CH and LI, DE, FR, GB, LU, NL and SE and (specify names of any others)

OA OAPI (Cameroon, Central African Republic, Chad, Congo, Gabon, Mauritania, Senegal, Togo)  **OAPI Patent** (if other OAPI title desired, specify)\*\*

Space reserved for designating countries which become party to the PCT after the issuance of the present form (August 10, 1984):

\* An address for the sending of notifications for a sole applicant or for a common representative may be indicated if no agent has been appointed to represent the applicant or, if there are several applicants, all of them.

\*\* If another kind of protection or a title of addition is desired or if, in the United States of America, treatment as a continuation or a continuation in part is desired, indicate according to the instructions given in the Notes to Box No. V.

\*\*\* The applicant's choice of the order of the designations may be indicated by checking the boxes of the designated States with sequential arabic numerals (see also the Notes to Box No. V).

\*\*\*\* When this box is checked, none of the other boxes in the column "European patent" should be checked.

## SECTION IV

### NOTICES AND INFORMATION OF A GENERAL CHARACTER

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#### CONTRACTING STATES

##### States Party to the Patent Cooperation Treaty (PCT)

On July 19, 1984, **Mali** deposited its instrument of accession to the Patent Cooperation Treaty (PCT). Thus, Mali will become the 37th Contracting State of the PCT on October 19, 1984.

Consequently, as from October 19, 1984, nationals and residents of Mali will become entitled to file international applications under the PCT, and, from the same date, it will be possible to file international applications designating Mali. Since Mali does not have a national patent system but will become a member State of the African Intellectual Property Organization (OAPI) with effect from September 30, 1984, such designation has the effect of a designation of that country for the purposes of a regional patent issued by OAPI in Yaoundé, Cameroon.

#### FEES PAYABLE UNDER THE PCT

##### New Amounts of Fees

The **European Patent Office** has established new amounts in Pounds Sterling of fees fixed in the EPO's Schedule of Fees. The new amounts in this currency which correspond to the fees published in the PCT Gazette No. 19/1984 of August 2, 1984, are shown below. They are applicable as from September 3, 1984.

| Kind of fee                              | New Amount<br>Pounds Sterling |
|--|-------------------------------|
| Transmittal Fee                          | 46                            |
| Search Fee (for an international search) | 462 *                         |
| Preliminary Examination Fee              | 312                           |
| Additional Preliminary Examination Fee   | 312                           |
| National Fee                             | 141                           |

#### DESIGNATED (OR ELECTED) OFFICES

##### Fees Payable to the Designated Office; Time Limits

The **Danish Patent and Trademark Office** has notified the following changes:

| National fee   | Currency/Amount     |
|--|---------------------|
| Additional fee for later furnishing of the translation | Danish Kroner 1,000 |

##### Exceptions to the time limits specified in Article 22(1) and (2)

**Article 22(1):** Where the national filing fee has been paid within 20 months from the priority date, the translation (into Danish) of the international application may be filed within 22 months from the priority date, provided the additional fee for later furnishing of the translation has been paid within the same 22-month time limit.

**Article 22(2):** The time limit under Article 22(2) is excluded, i.e., the time limit under Article 22(1) applies in all cases.

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\* This amount applies also to payments made to the Patent Office of the United Kingdom as receiving Office as from the same date.

**INTERNATIONAL PRELIMINARY EXAMINING AUTHORITIES**

AGREEMENT BETWEEN THE FEDERAL MINISTER FOR TRADE,  
COMMERCE AND INDUSTRY OF  
AUSTRIA AND THE INTERNATIONAL BUREAU OF WIPO\*

**AMENDMENTS TO ANNEX A**

The Federal Minister of Trade, Commerce and Industry of **Austria** has notified the International Bureau of WIPO, pursuant to Article 16(3) of the Agreement\*, of an amendment in Annex A of this Agreement. This amendment becomes effective on the date of publication of the present issue of the PCT Gazette, i.e., on August 30, 1984.

**"ANNEX A****STATES AND LANGUAGES SPECIFIED FOR THE  
PURPOSES OF ARTICLE 3 OF THE AGREEMENT**

1. and 2. [No change]

3. The States specified for the purposes of Article 3(2) of the Agreement are the Hungarian People's Republic and the States regarded as a developing country in conformity with the established practice of the General Assembly of the United Nations, provided Austria, in accordance with its obligations undertaken within the framework of the European Patent Organisation, has concluded, with those States, an Agreement for that purpose.

4. [No change]"

**MICROBIOLOGICAL INVENTIONS****References to Deposits of Microorganisms; Competent Depository Institutions****Republic of Korea**

The Office of Patents Administration has notified that:

- (i) the indications referred to in Rule 13*bis*.3(a)(i) to (iii) must be furnished at the time of filing; a receipt attesting the deposit and its acceptance issued by the depository institution with which the microorganism was deposited shall be submitted to the Office within 20 months from the priority date or, where an earlier start of the national procedure is requested (under Article 23(2)), not later than such request;
- (ii) the indication of matter in addition to that set out in Rule 13*bis*.3(a)(i) to (iii) is not required;
- (iii) deposits may be made with "any scientifically recognized institution at home and abroad."

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\* Published in PCT Gazette No. 06/1979, pages 217 to 223, No. 09/1983, page 997, No. 06/1984, page 662, and No. 12/1984, page 1421.

## SECTION IV

### NOTICES AND INFORMATION OF A GENERAL CHARACTER

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#### INTERNATIONAL SEARCHING AUTHORITIES

#### AGREEMENT BETWEEN THE JAPANESE PATENT OFFICE AND THE INTERNATIONAL BUREAU OF WIPO

##### AMENDMENT TO ANNEX A

The **Japanese Patent Office** has notified the International Bureau of WIPO, pursuant to Article 16(3)(i) of the Agreement\*, of an amendment in Annex A(a) of the Agreement. The amendment became effective on September 8, 1984.

##### "ANNEX A

##### CONTRACTING STATES AND LANGUAGES SPECIFIED FOR THE PURPOSES OF ARTICLE 3 OF THE AGREEMENT

(a) **Contracting States**

Japan  
Republic of Korea

(b) **Languages**

Japanese"

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\* Published in PCT Gazette No. 04/1978, pages 213 to 221, No. 06/1978, page 663, and No. 15/1984, page 1805.

## SECTION IV

### NOTICES AND INFORMATION OF A GENERAL CHARACTER

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#### INTERNATIONAL SEARCHING AUTHORITIES

#### INTERNATIONAL PRELIMINARY EXAMINING AUTHORITIES

### AGREEMENT BETWEEN THE AUSTRALIAN PATENT OFFICE AND THE INTERNATIONAL BUREAU OF WIPO \*

#### AMENDMENT TO ANNEX C

The **Australian Patent Office** has notified the International Bureau of WIPO, pursuant to Article 16(3) of the Agreement, of amendments to Annex C of this Agreement. These amendments will, pursuant to Article 16(4) of the Agreement, take effect one month after the date of their publication in the present issue of the PCT Gazette, i.e., on November 11, 1984.

#### "ANNEX C

#### SCHEDULE OF FEES AND CHARGES OF THE AUTHORITY AND EXTENT AND CONDITIONS OF REFUNDS OF THE SEARCH FEE FOR THE PURPOSES OF ARTICLE 7 OF THE AGREEMENT

(a) **Schedule of fees and charges**

| Fee  | Amount<br>in Australian<br>Dollars |
|--|------------------------------------|
| Search fee (Rule 16.1(a)).....                           | 400.-                              |
| Additional fee (Rule 40.2(a)).....                       | 350.-                              |
| Preparing copies of cited documents (Rule 44.3(b)) ..... | 5.-                                |
|  | (per document)                     |
| Preliminary examination fee (Rule 58.1(b)) .....         | 200.-                              |
| Additional fee (Rule 68.3(a)).....                       | 200.-                              |
| Preparing copies of cited documents (Rule 71.2(b)) ..... | 5.-                                |
|  | (per document)                     |

(b) [No change]"

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\* Published in PCT Gazette No. 09/1980, pages 599 to 605, and No. 06/1984, pages 661/662.

## SECTION IV

### NOTICES AND INFORMATION OF A GENERAL CHARACTER

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#### MEETINGS OF THE INTERNATIONAL PATENT COOPERATION UNION (PCT UNION)

##### ASSEMBLY

Twelfth Session  
(8th Extraordinary)  
(Geneva, September 24 to 28, 1984)

##### Note\*

The Assembly of the International Patent Cooperation Union (PCT Union) held its twelfth session (8th extraordinary) in Geneva from September 24 to 28, 1984, within the framework of the fifteenth series of meetings of the Governing Bodies of the World Intellectual Property Organization (WIPO) and the Unions administered by WIPO.

Thirty PCT Contracting States were represented at the session: Australia, Austria, Belgium, Brazil, Bulgaria, Cameroon, Congo, Denmark, Finland, France, Gabon, Germany (Federal Republic of), Hungary, Japan, Liechtenstein, Luxembourg, Madagascar, Monaco, Netherlands, Norway, the Republic of Korea, Romania, Senegal, Soviet Union, Sri Lanka, Sudan, Sweden, Switzerland, United Kingdom and United States of America.

A number of other States, of intergovernmental organizations and of international non-governmental organizations participated in the session as observers.

New amounts of fees set out in the Schedule of Fees annexed to the PCT Regulations were fixed by the Assembly as from January 1, 1985. They are, in Swiss francs, approximately 5% higher than the fees applicable in 1984. The amended Schedule of Fees appears on page 3094. The equivalent amounts in other currencies, established on the basis of the exchange rates applicable in Switzerland on September 24, 1984, are published on page 3095. It is to be noted that the amended Schedule of Fees provides for a maximum amount of the designation fee corresponding to the amount due for 10 designations for which the fee is due.

Moreover, the Assembly of the PCT Union approved an amendment to Article 3 of the Agreement between the International Bureau of WIPO and the European Patent Organisation (see page 3096).

Finally, the Assembly agreed that an international application which is received by telecopier by the receiving Office is to be accorded an international filing date if all the requirements listed in items (i) to (iii) of Article 11(1) are complied with and that any formal defect, such as the lack of signature or of fitness for reproduction, may be corrected upon an invitation issued by the receiving Office under Article 14(1). It was understood, however, that no receiving Office would be obliged to make telecopier facilities available to applicants.

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\* This Note was prepared by the International Bureau.

**FEES PAYABLE UNDER THE PATENT COOPERATION TREATY (PCT)**

**SCHEDULE OF FEES**

| <b>Fees</b>  | <b>Amounts</b>  |
|--|---|
| 1. Basic Fee:<br>(Rule 15.2(a))                                      |   |
| if the international application contains<br>not more than 30 sheets | 654 Swiss francs  |
| if the international application contains<br>more than 30 sheets     | 654 Swiss francs<br>plus 13 Swiss francs<br>for each sheet<br>in excess of 30 sheets  |
| 2. Designation Fee:<br>(Rule 15.2(a))                                | 158 Swiss francs per designation<br>for which the fee is due, with<br>a maximum of 1,580 Swiss<br>francs, any such designation<br>in excess of 10 being free of<br>charge |
| 3. Handling Fee:<br>(Rule 57.2(a))                                   | 200 Swiss francs  |
| 4. Supplement to the Handling Fee:<br>(Rule 57.2(b))                 | 200 Swiss francs  |
| <b>Surcharges</b>  |   |
| 5. Surcharge for late payment:<br>(Rule 16bis.2(a))                  | Minimum : 248 Swiss francs<br>Maximum: 624 Swiss francs   |



## EQUIVALENT AMOUNTS

New amounts as indicated below have been established for the fees specified, pursuant to Rules 15.2(b) and (c) and 57.2(c) and (d) of the Regulations under the PCT. The new amounts are applicable as of January 1, 1985.

| Country<br>Currency   | Basic Fee where no<br>Additional Amount<br>Payable for<br>Sheets in Excess<br>of 30 Sheets<br>Rule 15.2(a) | Amount Added to<br>Basic Fee for<br>Each Sheet in<br>Excess of 30<br>Sheets<br>Rule 15.2(a) | Designation<br>Fee<br>Rule 15.2(a) | Handling Fee<br>Rule 57.2(a) |
|---|--|---|------------------------------------|------------------------------|
| <b>Australia</b><br><i>Australian Dollar</i>                      | 314  | 6   | 76                                 | 96                           |
| <b>Austria</b><br><i>Schilling</i>                                | 5,580  | 110   | 1,350                              | 1,710                        |
| <b>Belgium</b><br><i>Belgian Franc</i>                            | 16,150   | 320   | 3,900                              | 4,940                        |
| <b>Denmark</b><br><i>Danish Krone</i>                             | 2,920  | 60  | 705                                | —                            |
| <b>Finland</b><br><i>Markka</i>                                   | 1,670  | 35  | 400                                | —                            |
| <b>France</b><br><i>French Franc</i>                              | 2,470  | 50  | 595                                | 755                          |
| <b>Germany (Federal<br/>Republic of)</b><br><i>Deutsche Mark</i>  | 795  | 16  | 190                                | 245                          |
| <b>Japan</b><br><i>Yen</i>  | 64,500   | 1,280   | 15,600                             | 19,700                       |
| <b>Luxembourg</b><br><i>Luxembourg Franc<br/>or Belgian Franc</i> | 16,150   | 320   | 3,900                              | 4,940                        |
| <b>Malawi</b><br><i>Kwacha</i>                                    | 387  | 8   | 93                                 | —                            |
| <b>Monaco</b><br><i>French Franc</i>                              | 2,470  | 50  | 595                                | 755                          |
| <b>Netherlands</b><br><i>Netherlands Guilder</i>                  | 900  | 18  | 217                                | 275                          |
| <b>Norway</b><br><i>Norwegian Krone</i>                           | 2,350  | 45  | 560                                | —                            |
| <b>Republic of Korea</b><br><i>Won</i>                            | 227,000  | 4,700   | 55,000                             | —                            |
| <b>Soviet Union</b><br><i>Rouble</i>                              | 221  | 5   | 53                                 | 67                           |
| <b>Sweden</b><br><i>Swedish Krona</i>                             | 2,270  | 45  | 550                                | 695                          |
| <b>United Kingdom</b><br><i>Pound Sterling</i>                    | 211  | 4   | 51                                 | 65                           |
| <b>United States<br/>of America</b><br><i>US Dollar</i>           | 265  | 5   | 64                                 | —                            |

Notes: For payments to the European Patent Office, the amounts, if not in Swiss Francs, are those appearing in the table above in the following currencies: Belgian Franc, Deutsche Mark, French Franc, Luxembourg Franc, Netherlands Guilder, Pound Sterling, Schilling, Swedish Krona.

**INTERNATIONAL SEARCHING AUTHORITIES  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITIES**

**I**

**AGREEMENT BETWEEN THE INTERNATIONAL BUREAU OF WIPO  
AND THE EUROPEAN PATENT ORGANISATION**

**AMENDMENTS**

A. At its twelfth (8th extraordinary) session, the Assembly of the International Patent Cooperation Union (PCT Union) approved, on September 28, 1984, an amendment to Article 3(2) of the Agreement\* between the International Bureau of WIPO and the European Patent Organisation\*\*. Pursuant to Article 18(1) of the Agreement, this amendment takes effect on October 25, 1984. The text of Article 3 as amended is reproduced below.

**“Article 3**

**Competence of Authority**

(1) [No change]

(2) Subject to paragraph (3), the Authority undertakes to act as an International Preliminary Examining Authority, provided that the receiving Office specifies the Authority for that purpose, for all international applications which are filed with the receiving Offices of, or acting for, all Contracting States and with respect to which the Authority, the Royal Patent and Registration Office of Sweden or the Austrian Patent Office acts or has acted as an International Searching Authority.

(3) [No change]”

B. The International Bureau and the European Patent Office have agreed, pursuant to Article 18(2) of the Agreement, to amend Annex A of the Agreement with effect on October 25, 1984. Annex A as amended reads as follows:

**“ANNEX A**

**LANGUAGES SPECIFIED FOR THE PURPOSES OF ARTICLE 3(1)  
OF THE AGREEMENT**

For the purposes of Article 3(1) of the Agreement, applications shall be filed in one of the following languages:

Dutch, where the international application is filed with the central industrial property Office of the Netherlands, or with the central industrial property Office of Belgium,

English,

French,

German.”

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\* Published in PCT Gazette No. 02/1978, pages 107 to 115, No. 09/1980, page 606, No. 23/1981, page 2223, No. 28/1981, page 2698, and No. 06/1984, page 664.

\*\* See page 3093, above.

C. The European Patent Office has notified the International Bureau, pursuant to Article 18(3)(b) of the Agreement, of amendments in Annex B of this Agreement. These amendments will take effect on January 3, 1985. Annex B as amended reads as follows:

"ANNEX B

I. Schedule of fees and other charges referred to in Article 8(1) of the Agreement

|   | PCT      |      | EPC              | Amount<br>DM     |
|---|----------|------|------------------|------------------|
|   | Article  | Rule |                  |                  |
| 1. Fees and other charges levied by the EPO as an international Searching Authority under the PCT   |          |      |                  |                  |
| 1.1 Search fee*   |          | 16   |                  | 2,095**          |
| 1.2 Additional fee*   | 17(3)(a) | 40   | 154(3)<br>R.104a | 2,095**          |
| 2. Fees and other charges levied by the EPO as an International Preliminary Examining Authority   |          |      |                  |                  |
| 2.1 Preliminary examination fee   |          | 58   |                  | 2,120**          |
| 2.2 Additional fee  | 34(3)(a) | 68.3 | 155(3)<br>R.104a | 2,120**          |
| 2.3 Cost of copies of documents in the file of the international application  |          | 94   |                  | 1.30<br>per page |
| * This fee will apply for international-type searches pursuant to Article 14(1)(a) of the Agreement unless otherwise agreed between the Organisation and the State in question.   |          |      |                  |                  |
| ** For nationals of developing countries, this fee can be reduced by three quarters, in accordance with the Decision of the Administrative Council of December 9, 1983 as amended on June 8, 1984 (see Official Journal of the EPO 1984, pages 3 and 297, and PCT Gazette, No. 25/1984, page 3097). |          |      |                  |                  |

II. [No change]"

II

The European Patent Office has notified the International Bureau of a decision, taken on December 9, 1983 (see Official Journal of the EPO 1984, page 3), and amended on June 8, 1984 (see Official Journal of the EPO 1984, page 297), of the Administrative Council of the European Patent Organisation on the **reduction of fees for the international search and preliminary examination of an international application in favor of nationals of developing countries** (hereinafter referred to as "the Decision"). The essential elements of the Decision are the following:

The search fee for an international application and the fee for the preliminary examination of an international application shall be reduced by three quarters if the international application is filed by a national of a developing country with the receiving Office of a developing country or with the receiving Office acting on behalf of that country (Article 1).

For the purpose of the Decision a "national of a developing country" shall mean any natural person who according to the national law is considered a national of that country, and any legal entity constituted according to the national law of that country and having its principal place of business in a developing country, in which natural persons or legal entities who/which are nationals of a State or have their residence or principal place of business in a State which is not a developing country, have neither a direct nor an indirect interest (Article 2).

The fee reduction in accordance with Article 1 shall be granted at the applicant's request. In the case of more than one applicant the fee reduction shall be granted only where all applicants are nationals of a developing country. In the request the applicant must declare that he satisfies the requirements specified in Article 2. The authority to which the fee concerned is payable shall decide on the request (Article 3).

The Decision shall be applied where the central industrial property office or other competent authority of the developing country concerned files a request, addressed to the President of the European Patent Office, for the Decision to be applied (Article 4).

More detailed information as to the receiving Offices and States to which and the date from which the Decision is applicable will be published later in the PCT Gazette and in the Official Journal of the European Patent Office.

## DESIGNATED (OR ELECTED) OFFICES

### Time Limit under Article 22(2)

On February 3, 1984, the Assembly of the PCT Union decided to modify, with effect on January 1, 1985, Article 22(2) so that the time limit under Article 22(2) for performing the acts referred to in Article 22(1) is 20 months from the priority date even where the International Searching Authority makes a declaration, under Article 17(2)(a), that no international search report will be established\*. The same decision, however, provides that, as long as that time limit of 20 months from the priority date is incompatible with the national law applied by the designated Office, a time limit of two months from the date of the notification sent to the applicant of the said declaration will, during that transitory period, apply with respect to that designated Office, provided that such Office has made, before October 1, 1984, a notification to that effect to the International Bureau.

By October 1, 1984, the International Bureau has received such notifications from the national Offices of **Finland, Japan, Norway** and the **United Kingdom**. Consequently, the two-month time limit will continue to apply after January 1, 1985, under Article 22(2), with respect to those Offices.

### Time Limit under Article 39(1)(a)

On February 3, 1984, the Assembly of the PCT Union decided to modify, with effect on January 1, 1985, Article 39(1)(a) by extending the time limit for performing the acts referred to in that Article to 30 months from the priority date\*\*. The same decision, however, provides that, as long as that time limit of 30 months from the priority date is incompatible in all cases with the national law applied by the elected Office, a time limit of 25 months from the priority date will, during that transitory period, apply with respect to that elected Office, provided that such Office has made, before October 1, 1984, a notification to that effect to the International Bureau.

By October 1, 1984, the International Bureau has received such notifications from the national Offices of **Finland, Japan, Sweden** and the **United Kingdom**. Consequently, the 25-month time limit will continue to apply after January 1, 1985, under Article 39(1)(a), with respect to those Offices\*\*\*.

## FEES PAYABLE UNDER THE PCT

### NEW AMOUNT OF THE SEARCH FEE IN WON ESTABLISHED UNDER RULE 16.1(c)

Pursuant to Rule 16.1(c) of the Regulations under the PCT, a new amount in won, as indicated below, has been established for the search fee for an international search by the Australian Patent Office. The new amount is applicable as from November 11, 1984.

| Kind of Fee   | Amount<br>won |
|---|---------------|
| Search Fee<br>(international search by<br>the Australian Patent Office) | 284,000       |

\* See PCT Gazette No. 08/1984, page 817.

\*\* See PCT Gazette No. 08/1984, page 818.

\*\*\* With respect to the national Office of **Japan**, the 25-month time limit will continue to apply only to the payment of the national fee; for the other acts referred to in Article 39(1)(a), the time limit applicable under Article 22 will continue to apply.

## SECTION IV

### NOTICES AND INFORMATION OF A GENERAL CHARACTER

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#### FEES PAYABLE UNDER THE PCT

##### NEW AMOUNT OF THE SEARCH FEE IN US DOLLAR ESTABLISHED UNDER RULE 16.1(c)

Pursuant to Rule 16.1(c) of the Regulations under the PCT, a new amount in US Dollar, as indicated below, has been established for the search fee for an international search by the European Patent Office. The new amount is applicable as from January 3, 1985.

| Kind of Fee   | Amount<br>US Dollar |
|---|---------------------|
| Search Fee<br>(international search by<br>the European Patent Office) | 690                 |

## SECTION IV

### NOTICES AND INFORMATION OF A GENERAL CHARACTER

#### FEES PAYABLE UNDER THE PCT

##### New Amounts of Fees

The **Australian Patent Office** has notified new amounts of fees, as specified below. The new amounts became applicable on October 1, 1984.

| Kind of Fees  | Australian Dollar |
|---|-------------------|
| Filing fee for patent:  | 120               |
| - additional fee for each sheet, including drawings, in excess of 10: | 5                 |
| - additional fee for each claim in excess of 10:                      | 5                 |

Various other fees payable to the Australian Patent Office have also been increased. The new amounts will be published in the PCT Applicant's Guide, Volume II, early in 1985.

The **European Patent Office** has notified new amounts of fees payable to it, as specified below. The new amounts are applicable as from January 3, 1985.

| Kind of Fee                              | New Amount and Currency |                   |                 |                |                        |                  |                                   |                       |
|--|-------------------------|-------------------|-----------------|----------------|------------------------|------------------|-----------------------------------|-----------------------|
|  | Deutsche<br>Mark        | Pound<br>Sterling | French<br>Franc | Swiss<br>Franc | Netherlands<br>Guilder | Swedish<br>Krona | Belgian or<br>Luxembourg<br>Franc | Austrian<br>Schilling |
| Transmittal Fee                          | 185                     | 51                | 580             | 160            | 210                    | 550              | 3,800                             | 1,340                 |
| Search Fee (for an international search) | 2,095                   | 580               | 6,570           | 1,770          | 2,410                  | 6,220            | 42,900                            | 15,140                |
| Additional Search Fee                    | 2,095                   | 580               | 6,570           | 1,770          | 2,410                  | 6,220            | 42,900                            | 15,140                |
| Preliminary Examination Fee              | 2,120                   | 587               | 6,650           | 1,790          | 2,440                  | 6,290            | 43,400                            | 15,320                |
| Additional Preliminary Examination Fee   | 2,120                   | 587               | 6,650           | 1,790          | 2,440                  | 6,290            | 43,400                            | 15,320                |
| National Fee                             | 560                     | 155               | 1,760           | 470            | 650                    | 1,660            | 11,500                            | 4,050                 |

##### Fees payable to the Receiving Office

##### Search Fee

New amounts of the **search fee** for an international search carried out by the European Patent Office will apply as from January 3, 1985. The receiving Offices concerned and the new amounts payable to them are specified below.

| Receiving Office (and Currency)                              | Amount                 |
|--|------------------------|
| Austrian Patent Office (Schilling)                           | 15,140                 |
| Belgium Patent Office (Belgian franc)                        | 42,900                 |
| Brazil, National Institute of Industrial Property (Cruzeiro) | Equivalent of DM 2,095 |

## Fees payable to the Receiving Office (Continued)

## Search Fee

| Receiving Office (and Currency)  | Amount                 |
|--|------------------------|
| Bulgaria, Institute of Inventions and Rationalizations (Lev)                     | Equivalent of DM 2,095 |
| Denmark, Patent and Trademark Office (Danish Krone)                              | 7,700                  |
| Finland, National Board of Patents and Registration (Markka)                     | 4,500                  |
| France, National Institute of Industrial Property (French Franc)                 | 6,570                  |
| German Patent Office (Deutsche Mark)   | 2,095                  |
| Luxembourg, Intellectual Property Office (Luxembourg Franc)                      | 42,900                 |
| Malawi, Ministry of Justice, Department of the Registrar General (Kwacha)        | 1,020                  |
| Monaco, Directorate of Commerce, Industry and Industrial Property (French Franc) | 6,570                  |
| Netherlands Patent Office (Netherlands Guilder)                                  | 2,410                  |
| Norwegian Patent Office (Norwegian Krone)  | 6,300                  |
| Romania, State Office for Inventions and Trademarks (Lei)                        | Equivalent of DM 2,095 |
| Sudan, Commercial Registrar General's Office (Sudanese Pounds)                   | Equivalent of DM 2,095 |
| Sweden, Royal Patent and Registration Office (Swedish Krona)                     | 6,220                  |
| Swiss Federal Intellectual Property Office (Swiss Franc)                         | 1,770                  |
| United Kingdom Patent Office (Pound Sterling)                                    | 580                    |
| United States Patent and Trademark Office (US Dollar)                            | 690*                   |
| European Patent Office:  | see above              |
| International Bureau of WIPO (Swiss Franc)                                       | 1,770                  |

\* This new amount was already published in PCT Gazette No. 26/1984, page 3241.

## SECTION IV

### NOTICES AND INFORMATION OF A GENERAL CHARACTER

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#### ADMINISTRATIVE INSTRUCTIONS UNDER THE PCT

(as in force on January 1, 1985)

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Annex A: Names of States

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Annex F: Forms

## PART I

## INSTRUCTIONS RELATING TO GENERAL MATTERS

## Section 101

## Abbreviated Expressions

In these Administrative Instructions:

- (i) "Treaty" means the Patent Cooperation Treaty;
- (ii) "Regulations" means the Regulations under the Treaty;
- (iii) "Article" means an Article of the Treaty;
- (iv) "Rule" means a Rule of the Regulations;
- (v) "International Bureau" means the International Bureau as defined in Article 2(xix) of the Treaty;
- (vi) "International Authorities" means the receiving Offices, the International Searching Authorities, the International Preliminary Examining Authorities, and the International Bureau.

## Section 102

## Use of the Forms

(a) The forms annexed to these Administrative Instructions as Annex F\* (hereinafter referred to as "the Forms") are part of these Administrative Instructions.

(b) Subject to paragraph (c), the International Authorities shall use, or require the use of, the mandatory forms specified below:

Forms Required To Be Printed under, or Otherwise Provided for, in the Regulations

- PCT/RO/101 - Request (including the fee calculation sheet annexed thereto)
- PCT/ISA/201 - International Search Report
- PCT/IPEA/401 - Demand
- PCT/IPEA/409 - International Preliminary Examination Report

Forms for Use by the International Bureau

Forms PCT/IB/301 to 350, with the exception of Form PCT/IB/328

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\* This Annex is published separately.

## Other Forms

|              |               |                |
|--------------|---------------|----------------|
| PCT/RO/103** | PCT/ISA/201** | PCT/IPEA/405** |
| PCT/RO/104*  | PCT/ISA/202*  | PCT/IPEA/407*  |
| PCT/RO/106*  | PCT/ISA/203*  | PCT/IPEA/408** |
| PCT/RO/109*  | PCT/ISA/205*  | PCT/IPEA/410*  |
| PCT/RO/111*  | PCT/ISA/206** | PCT/IPEA/412*  |
| PCT/RO/112*  | PCT/ISA/209*  | PCT/IPEA/414*  |
| PCT/RO/113*  | PCT/ISA/212** | PCT/IPEA/415*  |
| PCT/RO/115** | PCT/ISA/214*  | PCT/IPEA/419*  |
| PCT/RO/116*  | PCT/ISA/217*  | PCT/IPEA/420** |
| PCT/RO/117*  | PCT/ISA/218*  |                |
| PCT/RO/118*  | PCT/ISA/219*  |                |
| PCT/RO/121*  |               |                |
| PCT/RO/123*  |               |                |
| PCT/RO/133*  |               |                |
| PCT/RO/136*  |               |                |

(c) The obligation of the International Authorities to use, or require the use of, the mandatory Forms is subject to the following provisos:

(i) slight variations in layout necessary in view of the printing of such Forms in various languages are permitted;

(ii) in all Forms, other than those for the request, the international search report, the demand and the international preliminary examination report, slight variations in layout, to the extent necessary to meet the particular office requirements of the International Authorities, in particular in view of the production of the Forms with the help of a computer or of the use of window envelopes, are permitted as well;

(iii) where the receiving Office, the International Searching Authority and/or the International Preliminary Examining Authority are each part of the same Office, the obligation to use the mandatory Forms does not extend to communications within that same Office;

(iv) the annexes to Forms PCT/RO/106 and PCT/IB/313 may be omitted in cases where they are not used;

(v) the mandatory character of the Forms referred to in paragraph (b) does not extend to the Notes attached to them.

(d) The use of Forms annexed to these Administrative Instructions other than those referred to in paragraph (b) is optional.

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\* Forms for use by receiving Offices, International Searching Authorities and International Preliminary Examining Authorities.

\*\* Forms sent to applicants, on matters subject to review or further processing by International Authorities.

## Section 103

## Languages of the Forms

(a) The language of the Forms used by any receiving Office shall be the same as the language in which the international application is filed, provided that the receiving Office may, in its communications to the applicant, use the Forms in any other language being one of its official languages.

(b) Subject to Section 104(b), the language or languages of the Forms to be used by any International Searching Authority shall be specified in the applicable agreement referred to in Article 16(3) (b).

(c) Subject to Section 104(b), the language or languages of the Forms to be used by any International Preliminary Examining Authority shall be specified in the applicable agreement referred to in Article 32(3).

(d) The language of any Form used by the International Bureau shall be English where the language of the international application is English, and it shall be French where the language of the international application is French. Where the language of the international application is neither English nor French, the language of any Form used by the International Bureau in its communications to any other International Authority shall be English or French according to the wishes of such Authority, and in its communications to the applicant it shall be English or French according to the wishes of the applicant.

## Section 104

## Language of Correspondence

(a) The language of any letter from the applicant to the receiving Office shall be the same as the language of the international application to which such letter relates. However, the receiving Office may expressly authorize the use of any other language.

(b) The language of any letter to the International Bureau shall be English where the language of the international application is English, and it shall be French where the language of the international application is French. Where the language of the international application is neither English nor French, the language of any letter to the International Bureau shall be English or French, provided that any copy, sent to the International Bureau as a notification addressed to it, of a Form sent to the applicant by the receiving Office, the International Searching Authority or the International Preliminary Examining Authority does not require translation into English or French.

## Section 105

## Several Applicants

Where any international application indicates as applicants several persons, it shall be sufficient, for the purpose of identifying that application, to indicate, in any Form or correspondence relating to such application, the name of the applicant first named in the request.

## Section 106

## Representation

(a) In the case of several applicants, any agent appointed in accordance with Rule 90.3 as an agent representing all the applicants shall be considered a common agent.

(b) Where the international application is filed with reference to a general power of attorney not signed by all the applicants, it shall be sufficient for the purpose of appointment of a common agent under Rule 90.3, if the request or a separate power of attorney is signed by the applicant, who did not sign the general power of attorney.

(c) The appointment of an agent, or of a common representative within the meaning of Rule 4.8(a), shall, unless otherwise indicated by the persons who make the appointment, be regarded as the revocation of any earlier appointment of any other agent, or of any other common representative, and shall be considered as a request for recording a change in the person of the agent or common representative under Rule 92bis.1(a)(ii).

(d) Any document entailing the revocation of an appointment of an agent, or of a common representative within the meaning of Rule 4.8(a), may be submitted to the receiving Office or the International Bureau.

(e) Any agent, or any common representative within the meaning of Rule 4.8(a), may renounce his appointment through a notification signed by him and addressed to the receiving Office or the International Bureau.

## Section 107

Identification of International Authorities  
and of Designated and Elected Offices

(a) Whenever the nature of any communication from or to the applicant, from or to any International Authority or, before national processing or examination has started, from or to any designated or elected Office so permits, any International Authority or any designated or elected Office may be indicated in the communication by the two-letter code as appearing in Annexes A and B.

(b) The indication of a receiving Office, an International Searching Authority, an International Preliminary Examining Authority or a designated or elected Office shall be preceded by the letters "RO," "ISA," "IPEA," "DO," or "EO," respectively, followed by a slant (e.g., "RO/JP," "ISA/US," "IPEA/SU," "DO/EP," "EO/AU").

## Section 108

## Correspondence Intended for the Applicant

(a) Any correspondence from an International Authority intended for the applicant, or, in the case of several applicants, the applicants, shall be addressed as follows:

(i) Where the applicant has designated or appointed one agent, correspondence shall be addressed to that agent. Where, in the case of several applicants, the applicants are represented by a common representative or a common agent, correspondence shall be addressed to that representative or that agent.

(ii) Where the applicant has designated several agents in the request, correspondence shall be addressed to the agent first mentioned therein. Where, in the case of several applicants, the applicants have designated several common agents in the request, correspondence shall be addressed to the common agent first mentioned therein.

(iii) Where the applicant has appointed several agents in one or more separate powers of attorney, correspondence shall be addressed to the agent first mentioned in the earliest filed and still valid separate power of attorney. Where, in the case of several applicants, the applicants have appointed several common agents in one or more separate powers of attorney, correspondence shall be addressed to the common agent first mentioned in the earliest filed and still valid separate power of attorney.

(b) Any correspondence from an International Authority to the applicant or his agent shall be marked with the file reference, composed either of letters or numbers, or both, of the applicant or the agent, if so indicated on the request Form, provided this reference does not exceed ten characters.

## Section 109

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## Section 110

Dates\*

Any date in the international application, or used in any correspondence emanating from International Authorities relating to the international application, shall be indicated by the Arabic number of the day, by the name of the month, and by the Arabic number of the year. The receiving Office, where the applicant has not done so, or the International Bureau, where the applicant has not done so and the receiving Office fails to do so, shall, after or below any date indicated by the applicant in the request, repeat the date, in parenthesis, by indicating it by two-digit Arabic numerals each for the number of the day, for the number of the month and for the last two numbers of the year, in that order and with a period after the digit pairs of the day and of the month (for example, "30 March 1978 (30.03.78)").

## Section 111

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\* This system of indication of dates is based on the WIPO standards whereas the ISO standards provide for the reverse sequence of data. If the use of the ISO standards should become more common practice in the industrial property field, this Section will be reviewed.

## Section 112

Ceasing of Effect under Articles 24(1)(iii) and 39(2),  
Review under Article 25(2) and Maintaining of Effect  
under Articles 24(2) and 39(3)

(a) Each national Office shall, once a year, notify the International Bureau of the number of international applications designating or electing it, in respect of which, during the preceding calendar year,

(i) the requirements provided for in Article 22 or in Article 39(1) have been complied with within the applicable time limit;

(ii) the requirements provided for in Article 22 or in Article 39(1) have not been complied with within the applicable time limit.

(b) Where, under Article 25(2), the designated Office decides that the refusal, declaration or finding referred to in Article 25(1) was not justified, it shall promptly notify the International Bureau that it will treat the international application as if the error or omission referred to in Article 25(2) had not occurred. The notification shall preferably contain the reasons for the decision of the designated Office.

(c) Where, under Article 24(2) or under Article 39(3), the designated or elected Office maintains the effect provided for in Article 11(3), it shall promptly notify the International Bureau accordingly. The notification shall preferably contain the reasons for the decision of the designated or elected Office.

## Section 113

## Special Fees

(a) The special publication fee provided for in Rule 48.4 shall be 200 Swiss francs.

(b) The special fee provided for in Rule 91.1(f) shall be payable to the International Bureau and shall be 50 Swiss francs plus 12 Swiss francs for each sheet in excess of one. Where that fee has not been paid until the time of the completion of the technical preparations for international publication, the request for rectification shall not be published. Where the last sentence of Rule 91.1(f) applies and the said fee has not been paid until the time of the communication of the international application under Article 20, a copy of the request for rectification shall not be included in that communication.



## PART 2

INSTRUCTIONS RELATING TO THE  
INTERNATIONAL APPLICATION

## Section 201

## Names of States

(a) The name of any State referred to in the request shall be indicated either by the full name of the State, by a generally accepted short title which, if the indications are in English or French, shall be as appears in Annex A, or by the two-letter country code identifying that State as appears in Annexes A and B.

(b) [Deleted]

## Section 202

## Kind of Protection

(a) Where the applicant wishes his application to be treated in any designated State as an application not for a patent but for the grant of another kind of protection referred to in Article 43, he shall make the indication in the request referred to in Rule 4.12(a) by inserting the words "inventor's certificate," "utility certificate," "utility model" (or "petty patent" for Australia), "patent of addition," "certificate of addition," "inventor's certificate of addition" or "utility certificate of addition," or their equivalent in the language of the international application, immediately after the indication of the said State.

(b) Where, in respect of the designation of the Federal Republic of Germany, the applicant is seeking two kinds of protection under Article 44, he shall make the indication referred to in Rule 4.12(b) by inserting, immediately after the indication of the Federal Republic of Germany and in the language of the international application, one of the two following indications:

(i) "and utility model";

(ii) "and auxiliary utility model."

## Section 203

## Regional Patents

(a) If the applicant wishes to obtain a regional patent in respect of any designated State and the request form does not contain preprinted indications permitting the applicant to make the indication in the request referred to in Rule 4.1(b)(iv), the applicant shall make the said indication by inserting the words "regional patent," or their equivalent in the language of the international application, immediately after the indication of the said State or, where an indication has been made under Section 202, after that indication, provided that:

(i) where Article 4(1)(ii), third clause, applies, and not all the States party to the regional treaty have been designated, the international application shall be treated as if all those States had been designated and as if the designations of all such States contained the said words, whether the said designations contained an indication of the wish to obtain a regional patent or, according to Article 4(1)(ii), fourth clause, are to be treated as containing such indication;

(ii) where the national law of any designated State contains a provision as referred to in Article 45(2), the International Bureau shall, according to Article 4(1)(ii), fourth clause, treat the designation as if it contained the said words even where the applicant failed to indicate them.

(b) The applicant may, instead of the words "regional patent" referred to in paragraph (1), use other words to the same effect; such words may include a reference to a patent to be granted by the European Patent Office under the Convention on the Grant of European Patents done at Munich on October 5, 1973 ("European patent"), where the regional patent which the applicant wishes to obtain is a European patent.

(c) An indication, in respect of the designation of Liechtenstein or Switzerland, or both, of the wish to obtain a regional patent shall be taken as indicating a wish to obtain a European patent in respect of those States, whereas the absence of any indication of the wish to obtain a regional patent in respect of such a designation shall be taken as indicating a wish to obtain a patent granted by the Swiss Federal Intellectual Property Office in respect of those States.

#### Section 204

##### Headings of the Parts of the Description

The headings referred to in Rule 5.1(c) should be as follows:

- (i) for matter referred to in Rule 5.1(a)(i), "Technical Field";
- (ii) for matter referred to in Rule 5.1(a)(ii), "Background Art";
- (iii) for matter referred to in Rule 5.1(a)(iii), "Disclosure of Invention";
- (iv) for matter referred to in Rule 5.1(a)(iv), "Brief Description of Drawings";
- (v) for matter referred to in Rule 5.1(a)(v), "Best Mode for Carrying Out the Invention," or, where appropriate, "Mode(s) for Carrying Out the Invention";
- (vi) for matter referred to in Rule 5.1(a)(vi), "Industrial Applicability."

#### Section 205

##### Numbering and Identification of Claims Upon Amendment

(a) Amendments to the claims under Article 19 or Article 34(2)(b) may be made either by cancelling one or more entire claims, by adding one or more new claims or by amending the text of one or more of the claims as filed. All the claims appearing on a replacement sheet shall be numbered in arabic numerals. Where a claim is cancelled, no renumbering of the other claims shall be required. In all cases where claims are renumbered, they shall be renumbered consecutively.

(b) The applicant shall, in the letter referred to in the second and third sentences of Rule 46.5(a) or of Rule 66.8(a), indicate the differences between the claims as filed and the claims as amended. He shall, in particular, indicate in the said letter, in connection with each claim appearing in the international application (it being understood that identical indications concerning several claims may be grouped), whether:

- (i) the claim is unchanged;
- (ii) the claim is cancelled;
- (iii) the claim is new;
- (iv) the claim replaces one or more claims as filed;
- (v) the claim is the result of the division of a claim as filed.

Section 206

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Section 207

Arrangement of Elements and Numbering of  
Sheets of the International Application

(a) In effecting the sequential numbering of the sheets of the international application in accordance with Rule 11.7, the elements of the international application shall be placed in the following order: the request, the description, the claims, the abstract, the drawings.

(b) The sequential numbering of the sheets shall be effected by using three separate series of numbering, the first series applying to the request only and commencing with the first sheet of the request, the second series commencing with the first sheet of the description and continuing through the claims until the last sheet of the abstract, and the third series being applicable to the sheets of the drawings only and commencing with the first sheet of the drawings. The number of each sheet of the drawings shall consist of two arabic numerals separated by a slant, the first being the sheet number and the second being the total number of sheets of drawings.

Section 208

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## Section 209

## Indications as to Deposited Microorganisms on a Separate Sheet

(a) To the extent that any indication with respect to a deposited microorganism is not contained in the description, it may be given on a separate sheet. Where any such indication is so given, it shall preferably be on the form provided in Annex F as form PCT/RO/134 and, if furnished at the time of filing, the said form shall, subject to paragraph (b), preferably be attached to the request and referred to in the Check List referred to in Rule 3.3(a)(ii).

(b) For the purposes of the Japanese Patent Office when Japan is designated, paragraph (a) applies only to the extent that the said form or sheet is included as one of the sheets of the description of the international application at the time of filing.

## Section 210

Calculation of Designation Fee  
for the Purposes of  
National and Regional Patents

Where the request of the international application contains a designation of a Contracting State without an indication of the wish to obtain a regional patent and also a designation of the same Contracting State with an indication of the wish to obtain a regional patent and the national law of the Contracting State does not contain a provision referred to in Article 45(2), the designation fees shall be calculated on the basis that a separate fee is payable in respect of the designation of the Contracting State in addition to the designation fee payable in respect of that Contracting State as a Contracting State or as one of a group of Contracting States for which a regional patent is sought.

## PART 3

## INSTRUCTIONS RELATING TO THE RECEIVING OFFICE

## Section 301

## Notification of Receipt of Purported International Application

Before the determination under Article 11(1), the receiving Office may notify the applicant of the receipt of the purported international application. The notification should indicate the date of actual receipt and the international application number of the purported international application referred to in Section 307 as well as, where useful for purposes of identification, the title of the invention.

## Section 302

## Priority Claim Considered Not To Have Been Made

Where, owing to failure to meet the requirements of Rule 4.10(b), the priority claim is, for the purposes of procedure under the Treaty, considered not to have been made, the receiving Office shall indicate that fact in the international application by enclosing the box in the request Form which provides for the information concerning the priority claim (or, where the priorities of several earlier applications are claimed and not all those priority claims are considered not to have been made, the relevant part of the said box) within square brackets and entering, in the margin, the words "NOT TO BE CONSIDERED FOR PCT PROCEDURE" or their equivalent in the language of publication of the international application, and shall notify the applicant accordingly. If copies of the international application have already been sent to the International Bureau and the International Searching Authority, the receiving Office shall also notify that Bureau and that Authority.

## Section 303

## Deletion of Additional Matter in the Request

Where, under Rule 4.17(b), the receiving Office deletes ex officio any matter contained in the request, it shall do so by enclosing such matter within square brackets and entering, in the margin, the words "DELETED by RO" or their equivalent in the language of publication of the international application, and shall notify the applicant accordingly. If copies of the international application have already been sent to the International Bureau and the International Searching Authority, the receiving Office shall also notify that Bureau and that Authority.

## Section 304

## Corrections Submitted to the Receiving Office Concerning Expressions, etc., Not To Be Used in the International Application

Where the applicant submits corrections to the receiving Office aimed at complying with the prescriptions of Rule 9.1, that Office shall, if copies of the international application have not yet been transmitted to the International Bureau and the International Searching Authority, attach copies of such corrections to the international application. If copies of the international application have already been transmitted, the receiving Office shall transmit copies of such corrections to the said Bureau and the said Authority.

## Section 305

## Identifying the Copies of the International Application

(a) Where, under Rule 11.1(a), the international application has been filed in one copy, the receiving Office shall, after preparing under Rule 21.1(a) the additional copies required under Article 12(1), mark,

- (i) the words "RECORD COPY" in the upper left-hand corner of the first page of the original copy,
- (ii) in the same space on one additional copy, the words "SEARCH COPY," and
- (iii) in the same space on the other such copy, the words "HOME COPY,"

or their equivalent in the language of publication of the international application.

(b) Where, under Rule 11.1(b), the international application has been filed in more than one copy, the receiving Office shall choose the copy most suitable for reproduction purposes, and mark the words "RECORD COPY," or their equivalent in the language of publication of the international application, in the upper left-hand corner of its first page. After verifying the identity of any additional copies and, if applicable, preparing under Rule 21.1(b) the home copy, it shall mark, in the upper left-hand corner of the first page of one such copy, the words "SEARCH COPY," and, in the same space on the other such copy, the words "HOME COPY," or their equivalent in the language of publication of the international application.

## Section 306

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## Section 307

## System of Numbering International Applications

Papers purporting to be an international application under Rule 20.1 shall be marked with the international application number, consisting of the letters "PCT," a slant, the two-letter code, as in Annex B, indicating the receiving Office, a two-digit indication of the last two numbers of the year in which such papers were first received, a slant and a five-digit number, allotted in sequential order corresponding to the order in which the international applications are received (e.g., "PCT/SU78/00001"). Where the International Bureau acts, pursuant to Rule 19.1(b), as receiving Office for a national Office, the two-letter code indicating the national Office for which the International Bureau acts as receiving Office shall be used. However, if a negative determination is made under Rule 20.7 or a declaration is made under Article 14(4), the letters "PCT" shall be deleted by the receiving Office from the indication of the international application number on any papers marked previously with that number, and the said number shall be used without such letters in any future correspondence relating to the purported international application.

## Section 308

## Marking of the Sheets of the International Application

(a) The receiving Office shall indelibly mark the international application number referred to in Section 307 in the upper right-hand corner of each sheet of each copy of the purported international application.

(b) [Deleted]

## Section 309

## Procedure in the Case of Later Submitted Sheets

(a) The receiving Office shall indelibly mark any sheet received on a date later than the date on which sheets were first received with the date on which it received that sheet, inserted immediately below the international application number referred to in Section 307.

(b) The receiving Office shall, in the case of later submitted sheets received within the time limits referred to in Rule 20.2(a) (i) and (ii):

(i) effect the required correction of the international filing date, or, where no international filing date has yet been accorded, of the date of receipt of the purported international application;

(ii) notify the applicant of the correction effected under item (i), above;

(iii) where transmittals under Article 12(1) have already been made, notify the International Bureau and the International Searching Authority of any correction effected under item (i), above, by transmitting a copy of the corrected first page of the request, and forward copies of the later submitted sheets to the said Bureau and the said Authority;

(iv) where transmittals under Article 12(1) have not yet been made, attach a copy of the later submitted sheets to the record copy and the search copy.

(c) The receiving Office shall, in the case of later submitted sheets received after the expiration of the time limit referred to in Rule 20.2(a) (i):

(i) notify the applicant of that fact and of the date of receipt of the later submitted sheets;

(ii) where transmittals under Article 12(1) have already been made, forward a copy of the later submitted sheets to the International Bureau with the indication that such sheets are not to be taken into consideration for the purposes of international processing;

(iii) where transmittals under Article 12(1) have not yet been made, attach a copy of the later submitted sheets to the record copy with the indication that such sheets are not to be taken into consideration for the purposes of international processing.

(d) The receiving Office shall, in the case of later submitted sheets received after the expiration of the time limit referred to in Rule 20.2(a)(ii), proceed as provided in Rule 20.7, unless the applicant has, within the said time limit, complied with the invitation under Article 11(2) (a) so that an international filing date can be accorded; in the latter case, the receiving Office shall proceed as provided in paragraph(c) (i) to (iii).

## Section 310

## Procedure in the Case of Missing Drawings

(a) Where the international application refers to drawings which in fact are not included in that application, the receiving Office shall make the indication referred to in Rule 26.6(a) by an appropriate marking of the request Form.

(b) Section 309(a) shall apply also in the case of drawings received by the receiving Office on a date later than the date on which sheets were first received by that Office.

(c) The receiving Office shall, in the case of missing drawings received within the time limit referred to in Rule 20.2(a)(iii):

(i) effect the required correction of the international filing date, or, where no international filing date has yet been accorded, of the date of receipt of the purported international application, and delete the indication made under paragraph (a), above;

(ii) notify the applicant of the correction effected under item (i), above;

(iii) where transmittals under Article 12(1) have already been made, notify the International Bureau and the International Searching Authority of any correction effected under item (i), above, by transmitting a copy of the corrected first page of the request, and forward copies of the later submitted drawings to the said Bureau and the said Authority;

(iv) where transmittals under Article 12(1) have not yet been made, attach a copy of the later submitted drawings to the record copy and the search copy.

(d) The receiving Office shall, in the case of missing drawings received after the expiration of the time limit referred to in Rule 20.2(a)(iii):

(i) notify the applicant of the fact and of the date of receipt of the later submitted drawings;

(ii) where transmittals under Article 12(1) have already been made, forward a copy of the later submitted drawings to the International Bureau with the indication that such drawings and any reference to such drawings are not to be taken into consideration for the purposes of international processing;

(iii) where transmittals under Article 12(1) have not yet been made, attach a copy of the later submitted drawings to the record copy with the indication that such drawings and any reference to such drawings are not to be taken into consideration for the purposes of international processing.

## Section 311

## Deletion, Substitution or Addition of Sheets of the International Application; Renumbering, etc.

(a) The receiving Office shall, subject to Section 207, sequentially renumber the sheets of the international application when necessitated by the addition of any new sheet, the deletion of entire sheets, a change in the order of the sheets or any other reason.



(b) The sheets of the international application shall be provisionally renumbered in the following manner:

(i) when a sheet is deleted, the receiving Office shall either include a blank sheet with the same number and with the word "DELETED," or its equivalent in the language of publication of the international application, below the number, or insert, in brackets, below the number of the following sheet, the number of the deleted sheet with the word "DELETED" or its equivalent in the language of publication of the international application;

(ii) when a sheet is substituted, the receiving Office shall mark in the middle of the bottom margin the words "SUBSTITUTE SHEET" or their equivalent in the language of publication of the international application;

(iii) when one or more sheets are added, each sheet shall be identified by the number of the preceding sheet followed by a slant and then by a natural number series, starting always with number one for the first sheet added after an unchanged sheet (e.g., 10/1, 15/1, 15/2, 15/3, etc.); when later additions of sheets to an existing series of added sheets are necessary, an extra digit shall be used for identifying the further additions (e.g., 15/1, 15/1/1, 15/1/2, 15/2, etc.).

(c) In the cases mentioned in paragraph (b)(i) and (iii), it is recommended that the receiving Office should write, below the number of the last sheet, the total number of the sheets of the international application followed by the words "TOTAL OF SHEETS" or their equivalent in the language of publication of the international application. It is further recommended that, at the bottom of any last sheet added, the words "LAST ADDED SHEET" or their equivalent in the language of publication of the international application should be inserted.

#### Section 312

##### Notification of Decision Not To Issue Declaration that the International Application Is Considered Withdrawn

Where the receiving Office, after having notified the applicant under Rule 29.4 of its intent to issue a declaration under Article 14(4), decides not to issue such a declaration, it shall notify the applicant accordingly.

#### Section 313

##### Documents Filed with the International Application; Manner of Marking the Necessary Annotations in the Check List

(a) Any power of attorney and any priority document filed with the international application referred to in Rule 3.3(a)(ii) shall accompany the record copy; any other document referred to in that Rule shall be sent only at the specific request of the International Bureau. If any document referred to in Rule 3.3(a)(ii) which is indicated in the check list as accompanying the international application is not, in fact, filed at the latest by the time the record copy leaves the receiving Office, that Office shall so note on the check list and the said indication shall be considered as if it had not been made.

(b) Where, under Rule 3.3(b), the receiving Office itself fills in the check list, that Office shall enter, in the margin, the words "FILLED IN BY RO" or their equivalent in the language of publication of the international application. Where only some of the indications are filled in by the receiving Office, the said words and each indication filled in by that Office shall be identified by an asterisk.

## Section 314

Manner of Indicating and Notification of Correction of the  
Priority Date or Cancellation of the Priority Claim

(a) Where, in reply to an invitation issued by the receiving Office under Rule 4.10(d), the applicant corrects the erroneously indicated filing date of any earlier application, the receiving Office shall enter the corrected date in the request, draw a line through the previously entered date while still leaving it legible and enter, in the margin, the letters "RO."

(b) Where, under Rule 4.10(d), the receiving Office cancels the declaration made under Article 8(1), that Office shall enclose the box in the request Form which provides for the information concerning the priority claim (or, where the priorities of several earlier applications are claimed and not all those priority claims are cancelled, the relevant part of the said box) within square brackets and enter, in the margin, the words "CANCELLED ON REQUEST OF APPLICANT" or "CANCELLED EX OFFICIO BY RO," as the case may be, or their equivalent in the language of publication of the international application.

(c) The applicant and, if copies of the international application have already been sent to the International Bureau and the International Searching Authority, that Bureau and that Authority shall be notified by the receiving Office of any correction or cancellation effected under Rule 4.10(d) by the receiving Office.

## Section 315

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## Section 316

Procedure in the Case Where the International  
Application Lacks the Prescribed Signature

Where, under Article 14(1)(a)(i), the receiving Office finds that any international application is defective in that it lacks the prescribed signature, that Office shall send to the applicant, together with the invitation to correct under Article 14(1)(b), a copy of the request part of the international application. The applicant shall, within the prescribed time limit, return said copy after affixing thereto the prescribed signature.

## Section 317

Procedure in the Case of the Designation of a State  
Being Considered Not To Have Been Made

Where the receiving Office finds that, under Rule 18.4(b), the designation of a State is to be considered as not having been made, it shall indicate that fact in the international application by enclosing the designation of that State within square brackets and entering, in the margin, the words "CONSIDERED NOT TO HAVE BEEN MADE" or their equivalent in the language of publication of the international application, and shall promptly notify the applicant accordingly. If the record copy has already been sent to the International Bureau, the receiving Office shall also notify that Bureau.

## Section 318

## Cancellation of Designations

The receiving Office shall cancel ex officio the designation of any State which is not a Contracting State, shall enclose that designation within square brackets, shall enter, in the margin, the words "CANCELLED EX OFFICIO BY RO" or their equivalent in the language of publication of the international application, and shall promptly notify the applicant accordingly. If the record copy has already been sent to the International Bureau, the receiving Office shall also notify that Bureau.

## Section 319

## Later Indication of Priority Application Number

Where the application number of the earlier application referred to in Rule 4.10(c) (priority application number) is furnished to the receiving Office, that Office shall enter the said number in the space provided therefor in the request Form or, where the record copy has already been sent to the International Bureau, promptly notify that Bureau of the said number, and shall notify the International Bureau of the date on which it received the said number.

## Section 320

## Information of Any Charge Made under Rule 16bis.1

(a) The receiving Office shall immediately inform the International Bureau of any charge made under Rule 16bis.1(a) or (b).

(b) Where the charge made under Rule 16bis.1(a) concerns the search fee or part of it, the receiving Office shall also immediately inform the International Searching Authority.

## Section 321

Application of Moneys Received by the Receiving Office  
in Certain Cases

(a) The receiving Office shall, to the extent that it has received instructions from the applicant as to the fees to which it shall apply moneys received by it from the applicant, apply those moneys accordingly.

(b) Where the receiving Office receives moneys from the applicant which, together with any other moneys so received, are not sufficient to cover in full the transmittal fee (if any), the international fee and the search fee (if any), the receiving Office shall, to the extent that it has not received instructions from the applicant as to the fees to which it shall apply the moneys which are available for the purpose, apply those moneys in payment, successively, of the fees set out below to the extent that they are due and unpaid and in the order in which they appear below:

- (i) the transmittal fee;
- (ii) the basic fee part of the international fee;
- (iii) the search fee;
- (iv) the designation fee part of the international fee.

(c) Where, pursuant to paragraph (b), the receiving Office applies moneys in payment of the designation fees, it shall apply them to those fees successively in the order in which the designations appear in the international application up to and including the last designation, the fee for which is fully covered by the moneys.

(d) When notifying the International Bureau pursuant to Section 320(a) as to amounts charged to that Bureau pursuant to Rule 16bis.1(a) and/or Rule 16bis.1(b), the receiving Office shall, if it has received moneys from the applicant, inform that Bureau of the fees to which those moneys have been applied and the fees which it has charged to the International Bureau. The receiving Office shall, where applicable, indicate the designations (if any) for which the fees were paid by moneys (if any) so received and the designations for which the fees were charged to the International Bureau.

(e) Where moneys have been applied by the receiving Office in accordance with an instruction received from the applicant as mentioned in paragraph (a), the receiving Office shall inform the International Bureau as to the effect of the said instruction, preferably by sending the International Bureau a copy of a written communication received from the applicant.

#### Section 322

##### No Return of Amounts Charged under Rule 16bis.1 for Covering Transmittal Fee

The receiving Office shall not return to the International Bureau any amount that it has charged under Rule 16bis.1(a) to that Bureau for covering the transmittal fee.

#### Section 323

##### Transmittal of Priority Documents

(a) Any priority document which is submitted to the receiving Office shall be transmitted by that Office to the International Bureau together with the record copy or, if received after the record copy has been sent to the International Bureau, promptly after having been received by that Office.

(b) Where Rule 17.1(b) applies, the receiving Office shall, promptly after receipt of a request for transmittal of the priority document and, where applicable, the payment of the fee referred to in that Rule, transmit the priority document to the International Bureau. Where such request for transmittal has been made on the request Form but is considered by the receiving Office not to have been made because the required fee has not been paid, that Office shall notify the applicant and the International Bureau accordingly.

(c) The receiving Office shall notify the International Bureau of the date on which it received the priority document or the request under Rule 17.1(b).

#### Section 324

##### Copy of Notification under Rule 20.5(c)

The copy, sent to the International Bureau, of the notification under Rule 20.5(c) shall also include, if the priority of an earlier application is claimed in the international application, the date of filing--as indicated in the international application--of that earlier application. If the priority of several earlier applications is claimed, the earliest filing date shall be indicated.

## Section 325

Corrections under Rule 26.4(a)  
and Rectifications under Rule 91.1

(a) The receiving Office shall indelibly mark, in the upper right-hand corner of each replacement sheet submitted under Rule 26.4(a), the international application number and the date on which it was received. It shall mark on the letter containing the correction, or accompanying any replacement sheet, the date on which that letter was received. It shall keep in its files a copy of the letter containing the correction or, when the correction is contained in a replacement sheet, the replaced sheet, a copy of the letter accompanying the replacement sheet and a copy of the replacement sheet.

(b) Subject to paragraph (c), the receiving Office shall promptly transmit any letter and any replacement sheet to the International Bureau, and a copy thereof to the International Searching Authority.

(c) If copies of the international application have not yet been sent to the International Bureau and the International Searching Authority, the receiving Office shall transmit any letter and any replacement sheet to that Bureau and to that Authority together with the record and search copies, which shall contain any replaced sheet.

(d) Paragraphs (a) to (c) shall apply *mutatis mutandis* to rectifications authorized by the receiving Office under Rule 91.1.

## Section 326

## Withdrawal under Rule 32.1 or Rule 32bis.1

(a) The receiving Office shall promptly transmit any notice effecting withdrawal under Rule 32.1 or Rule 32bis.1 which has been filed with it to the International Bureau. If the record copy has not yet been sent to the International Bureau, the receiving Office shall transmit the said notice to that Bureau together with the record copy.

(b) If the search copy has already been sent to the International Searching Authority and the withdrawal concerns the international application or the priority claim, the receiving Office shall promptly transmit a copy of the notice effecting withdrawal to the International Searching Authority.

(c) If the search copy has not yet been sent to the International Searching Authority and the withdrawal concerns the international application, the receiving Office shall not send the search copy to the International Searching Authority and shall, subject to paragraph (e), refund the search fee to the applicant unless it has already been transferred to the International Searching Authority. If the search fee has already been transferred to the International Searching Authority, the receiving Office shall send a copy of the request and of the notice effecting withdrawal to that Authority.

(d) If the search copy has not yet been sent to the International Searching Authority and the withdrawal concerns the priority claim, the receiving Office shall transmit a copy of the notice effecting withdrawal to the International Searching Authority together with the search copy.

(e) If the refund referred to in paragraph (c) is not compatible with the national law applied by the receiving Office and as long as it continues to be not compatible with that law, the receiving Office may, in the circumstances referred to in paragraph (c), transfer the search fee to the International Searching Authority instead of refunding it to the applicant.

## Section 327

## Ex Officio Correction of Request by the Receiving Office

(a) Where the record copy has not yet been sent to the International Bureau and the request requires correction because it contains an inconsistency or a minor defect such as non-compliance with Section 201, the receiving Office may correct the request ex officio. If the receiving Office so does, it shall notify the applicant accordingly.

(b) When making a correction under paragraph (a), the receiving Office shall enter, in the margin, the letters "RO." Where the correction involves the deletion or replacement of some matter, the receiving Office shall enclose such matter within square brackets.

## Section 328

## Notifications Concerning Representation

Where a power of attorney, a document containing the revocation of an appointment, or a notification of renunciation of an appointment, is submitted to the receiving Office under Rule 90.3(b), Section 106(d) or Section 106(e), the receiving Office shall immediately notify the International Bureau, the International Searching Authority and the International Preliminary Examining Authority by sending them a copy of the power of attorney, document or notification, and request the International Bureau to record a change in the person of the agent or common representative under Rule 92bis.1(a)(ii).

## PART 4

## INSTRUCTIONS RELATING TO THE INTERNATIONAL BUREAU

## Section 401

## Marking of the Sheets of the Record Copy

(a) The International Bureau shall, upon receipt of the record copy, mark the date of receipt of the record copy in the appropriate space on the request Form.

(b) If the receiving Office has failed to mark any sheet as provided in Section 311, the marking which has not been made may be inserted by the International Bureau.

## Section 402

Manner of Indicating and  
Notification of Correction of the Priority Date or  
Cancellation of the Priority Claim

(a) Where, in reply to an invitation issued by the International Bureau under Rule 4.10(d), the applicant corrects the erroneously indicated filing date of any earlier application, the International Bureau shall enter the corrected date in the request, draw a line through the previously entered date while still leaving it legible and enter, in the margin, the words "INTERNATIONAL BUREAU."

(b) Where, under Rule 4.10(d), the International Bureau cancels the declaration made under Article 8(1), that Bureau shall enclose the box in the request Form which provides for the information concerning the priority claim (or, where the priorities of several earlier applications are claimed and not all those priority claims are cancelled, the relevant part of the said box) within square brackets and enter, in the margin, the words "CANCELLED ON REQUEST OF APPLICANT" or "CANCELLED EX OFFICIO BY THE INTERNATIONAL BUREAU," as the case may be, or their equivalent in the language of publication of the international application.

(c) The applicant, the receiving Office and the International Searching Authority shall be notified by the International Bureau of any correction or cancellation effected under Rule 4.10(d) by the International Bureau.

(d) Any designated Office which has been notified under Rule 24.2(a) of the receipt of the record copy shall be notified by the International Bureau of any correction or cancellation effected under Rule 4.10(d) by the receiving Office or the International Bureau.

## Section 403

Transmittal of Protest Against Payment of Additional Fee  
and Decision Thereon

Where, under Rules 40.2(c) or 68.3(c), the International Bureau receives a request from the applicant to forward to any designated or elected Office the texts of both the protest against payment of an additional fee and the decision thereon by the International Searching Authority or the International Preliminary Examining Authority, as the case may be, it shall proceed according to such request.

## Section 404

## International Publication Number

The International Bureau shall assign to each published international application an international publication number which shall be different from the international application number. The international publication number shall be used on the pamphlet and in the Gazette entry. It shall consist of the two-letter code "WO" followed by a two-digit designation of the last two numbers of the year of publication, a slant, and a serial number consisting of five digits (e.g., "WO78/12345").

## Section 405

[Deleted]

## Section 406

## Pamphlets

(a) Pamphlets referred to in Rule 48.1 shall be published on a given day of each week.

(b) All pamphlets shall be of A4 size and shall be printed by offset, recto-verso.

(c) The form and particulars of the front page of each pamphlet shall be decided by the Director General.

## Section 407

## The Gazette

(a) The Gazette referred to in Rule 86 shall be of A4 size and shall be printed by offset, recto-verso.

(b) In addition to the contents specified in Rule 86, the Gazette shall contain, in respect of each published international application, the data indicated in Annex D.

(c) The information referred to in Rule 86.1(v) shall be that which is indicated in Annex E.

(d) The subscription price of the Gazette shall be as fixed by the Director General.\* The price of any single issue of the Gazette shall be as fixed by the Director General.\*

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\* This provision is applicable during the transitional period referred to in Rule 86.4(b).



## Section 408

## Priority Application Number

(a) If the application number of the earlier application referred to in Rule 4.10(c) (priority application number) is furnished within the prescribed time limit, the International Bureau shall enter the said number in the space provided therefor in the request Form, unless already done by the receiving Office under Section 319.

(b) If the priority application number is furnished after the expiration of the prescribed time limit, the International Bureau shall inform the applicant and the designated Offices of the date on which the said number was furnished. It shall indicate the said date in the international publication by including on the front page of the pamphlet next to the priority application number the words "FURNISHED LATE ON ... (date)," and the equivalent of such words in the language in which the international application is published if that language is other than English.

(c) If the priority application number has not been furnished at the time of the completion of the technical preparations for international publication, the International Bureau shall indicate that fact by including on the front page of the pamphlet in the space provided for the priority application number the words "NOT FURNISHED" and the equivalent of such words in the language in which the international application is published if that language is other than English.

## Section 409

## Notification of Priority Claim Considered Not To Have Been Made

Where the International Bureau notes that the receiving Office has failed to notify the applicant as provided in Section 302, it shall send a notification to the same effect to the applicant, the receiving Office and the International Searching Authority.

## Section 410

Numbering of Sheets for the Purposes of International Publication;  
Procedure in Case of Missing Sheets or Drawings

(a) In the course of preparing the international application for international publication, the International Bureau shall sequentially renumber the sheets of the international application only when necessitated by the addition of any new sheet, the deletion of entire sheets or a change in the order of the sheets. Otherwise, the numbering provided under Section 207 shall be maintained.

(b) Where a sheet or a drawing has not been filed or is not to be taken into consideration for the purposes of international processing under Section 309(c) or Section 310(d), the International Bureau shall include an indication to that effect in the pamphlet.

## Section 411

## Receipt of Priority Document

(a) Subject to paragraph (b), the International Bureau shall record the date on which the priority document has been received by it and shall notify the applicant and the designated Offices accordingly.

(b) Where the priority document has been received by the International Bureau from the receiving Office after the expiration of 16 months from the priority date, the date of receipt by the receiving Office of the priority document or of a request under Rule 17.1(b), as the case may be, shall be recorded as the date of receipt of the priority document.

(c) Where the date of receipt of the priority document is later than the date of expiration of the time limit referred to in Rule 17.1(a), the International Bureau shall notify the applicant and the designated Offices accordingly.

(d) Where, within the time limit referred to in Rule 17.1(a), the International Bureau has not received the priority document and the receiving Office has received neither the priority document nor a request (together with any required fee) to transmit the priority document, the International Bureau shall notify the applicant and the designated Offices accordingly.

## Section 412

## Fee for Copies of Certain Documents

(a) The International Bureau shall make a charge of 6 Swiss francs to designated and elected Offices for a copy of any document cited in the international search report requested under Rule 44.3(c) or any document cited in the international preliminary examination report requested under Rule 71.2(c).

(b) When mailing by air is requested, the actual cost of such mailing shall be additionally charged.

## Section 413

Corrections under Rule 26.4(a)  
and Rectifications under Rule 91.1

(a) Where the International Bureau receives from the receiving Office a letter containing a correction under Rule 26.4(a), or a replacement sheet and the letter accompanying it, it shall transfer the correction to the record copy, together with the indication of the date on which the receiving Office received the letter, or shall insert the replacement sheet in the record copy. Any letter and any replaced sheet shall be kept in the files of the International Bureau.

(b) Paragraph (a) shall apply *mutatis mutandis* to rectifications authorized by the receiving Office or by the International Searching Authority under Rule 91.1.

## Section 414

Notification to the International Preliminary Examining Authority  
Where the International Application or the Designation of an  
Elected State Is Considered Withdrawn

If a demand has been submitted and the international application or the designation of a designated State which has been elected is considered withdrawn under Article 14(1), (3) or (4), the International Bureau shall promptly notify the International Preliminary Examining Authority, unless the international preliminary examination report has already issued.

## Section 415

## Notification of Withdrawal under Rule 32.1 or Rule 32bis.1

(a) The fact of withdrawal of the international application, of designations or of the priority claim, together with the date on which the notice effecting withdrawal has reached the International Bureau or the receiving Office, shall be recorded by the International Bureau and promptly notified by it to the receiving Office, the applicant, the designated Offices affected by the withdrawal and, where the withdrawal concerns the international application or the priority claim and where the international search report or the declaration referred to in Article 17(2)(a) has not yet issued, the International Searching Authority. However, where the withdrawal concerns the international application and where the notice effecting withdrawal was filed with the receiving Office before the sending of the record copy to the International Bureau, that Bureau shall send the notifications referred to in the preceding sentence and in Rule 24.2(a) to the receiving Office and the applicant only.

(b) If, at the time of the withdrawal of the international application, of the designation of any designated State which had been elected or of the priority claim, a demand has already been submitted and the international preliminary examination report has not yet issued, the International Bureau shall promptly notify the fact of withdrawal to the International Preliminary Examining Authority, together with the date on which the notice effecting withdrawal has reached the International Bureau or the receiving Office.

## Section 416

## Correction of Request in Record Copy

(a) Where the request requires correction as a consequence of the withdrawal of a designation or of a change made under Rule 92bis, the International Bureau shall make the necessary correction in the record copy and shall notify the applicant and the receiving Office accordingly.

(b) When making a correction under paragraph (a), the International Bureau shall enter, in the margin, the words "INTERNATIONAL BUREAU." Where the correction involves the deletion or replacement of some matter, the International Bureau shall enclose such matter within square brackets.

## Section 417

## Processing of Amendments under Article 19

(a) The International Bureau shall record the date on which, under Rule 46.1, any amendment made under Article 19 was received, shall notify the applicant of that date and indicate it in any publication or copy issued by it.

(b) The International Bureau shall mark, in the upper right-hand corner of each replacement sheet submitted under Rule 46.5(a), the international application number and the date on which it was received under Rule 46.1. It shall keep in its files any replaced sheet, the letter accompanying the replacement sheet or sheets, and any letter referred to in the last sentence of Rule 46.5(a).

(c) The International Bureau shall insert any replacement sheet in the record copy and, in the case referred to in the last sentence of Rule 46.5(a), shall indicate the cancellations in the record copy.

## Section 418

Notifications to Elected Offices Where the Demand or an Election  
Is Considered Not To Have Been Submitted or Made

Where, after any elected Office has been notified of its election under Article 31(7), the demand or the election is considered not to have been submitted or made, the International Bureau shall notify the said Office accordingly.

## Section 419

## Notification of Withdrawal under Rule 75.1

The fact of withdrawal of the demand or of any election, together with the date on which the notice effecting withdrawal has reached the International Bureau, shall be promptly notified by that Bureau to the applicant, to each elected Office affected by the withdrawal, except where it has not yet been informed that it had been elected, and to the International Preliminary Examining Authority.

## Section 420

## Copy for the International Preliminary Examining Authority

Where the International Preliminary Examining Authority is not part of the same national Office or intergovernmental organization as the International Searching Authority, the International Bureau shall, promptly upon receipt of the international search report or, if the demand was received after the international search report, promptly upon receipt of the demand, send a copy of the international application and the international search report to the International Preliminary Examining Authority. In cases where, instead of the international search report, a declaration under Article 17(2)(a) has issued, references in the preceding sentence to the international search report shall be considered references to the said declaration.

## Section 421

## Invitation To Furnish a Copy of the Priority Document

Where a request for a copy of the application whose priority is claimed in the international application is made under Rule 66.7(a) by the International Preliminary Examining Authority before the International Bureau has received the priority document under Rule 17.1, the International Bureau shall, unless the applicable time limit referred to in Rule 17.1(a) has already expired, inform the applicant of such request and remind him of the requirements of Rule 17.1.

## Section 422

## Notifications under Rule 92bis.1

(a) The International Bureau shall give notifications concerning changes recorded by it under Rule 92bis.1(a):

(i) to the receiving Office, unless the change has been recorded on the request of that Office;

(ii) to the International Searching Authority, unless Section 328 or Section 425 applies;

(iii) to the the designated Offices, unless the change has been recorded after the expiration of the time limit referred to in Article 22(1);

(iv) to the International Preliminary Examining Authority, unless Section 328 or Section 425 applies;

(v) to the elected Offices;

(vi) to the applicant; where the change consists of a change in the person of the applicant, the notification shall be sent to the earlier applicant and the new applicant, provided that, where the earlier applicant and the new applicant are represented by the same agent, one notification only shall be sent to the said agent.

(b) Where Rule 92bis.1(b) applies, the International Bureau shall notify the applicant accordingly and, if the change was requested by the receiving Office, that Office.

## Section 423

## Cancellation of Designations and Elections

(a) The International Bureau shall, if the receiving Office has failed to do so, cancel ex officio the designation of any State which is not a Contracting State, shall enclose that designation within square brackets, shall enter, in the margin, the words "CANCELLED EX OFFICIO BY THE INTERNATIONAL BUREAU" or their equivalent in the language of publication of the international application, and shall notify the applicant and the receiving Office accordingly.

(b) The International Bureau shall, if the election is in the demand and the International Preliminary Examining Authority has failed to do so, or if the election is in a later election, cancel ex officio the election of any State which is not a designated State or which is not bound by Chapter II of the Treaty, shall enclose that election within square brackets, shall enter, in the margin, the words "CANCELLED EX OFFICIO BY THE INTERNATIONAL BUREAU" or their equivalent in the language of publication of the international application, and shall notify the applicant and, if the election is in the demand, the International Preliminary Examining Authority accordingly.

## Section 424

Statistics Concerning Non-Compliance with  
Rules 22.1(a) and 23.1(a)

(a) The number of instances in which, according to the knowledge of the International Bureau, any receiving Office has not complied with the requirements of Rule 22.1(a) shall be indicated, once a year, in the Gazette.

(b) The number of instances in which, according to the knowledge of the International Bureau, any receiving Office has not complied with the requirement of Rule 23.1(a) shall be indicated, once a year, in the Gazette.

## Section 425

## Notifications Concerning Representation

Where a power of attorney, a document containing the revocation of an appointment, or a notification of renunciation of an appointment, is submitted to the International Bureau under Rule 90.3(b), Section 106(d) or Section 106(e), the International Bureau shall immediately notify the receiving Office, the International Searching Authority and the International Preliminary Examining Authority by sending them a copy of the power of attorney, document or notification, and shall record a change in the person of the agent or common representative under Rule 92bis.1(a)(ii).

## Section 425bis

## Transitory Provisions Concerning Rule 47.1(b)

Notwithstanding Rule 47.1(b), where any designated Office has made a notification that the time limit under Article 22(2) as modified with effect from January 1, 1985, is incompatible with the national law applied by the designated Office and where the International Searching Authority makes a declaration, under Article 17(2)(a), that no international search report will be established, the communication provided for in Article 20 to such designated Office shall be effected, unless the international application is withdrawn, within one month from the date on which the International Bureau has been notified of the said declaration by the International Searching Authority; such communication shall be accompanied by an indication of the date of the notification sent to the applicant under Article 17(2)(a).

## PART 5

INSTRUCTIONS RELATING TO THE  
INTERNATIONAL SEARCHING AUTHORITY

## Section 501

Corrections Submitted to the International Searching Authority Concerning  
Expressions, etc., Not To Be Used in the International Application

Where the applicant submits corrections to the International Searching Authority aimed at complying with the prescription of Rule 9.1, that Authority shall transmit copies of such corrections to the receiving Office and the International Bureau.

## Section 502

## Protest Against Payment of Additional Fee and Decision Thereon

The International Searching Authority shall transmit to the applicant, at the latest together with the international search report, any decision which it has taken under Rule 40.2(c) on the protest of the applicant against the payment of the additional fee. At the same time, it shall transmit to the International Bureau a copy of both the protest and the decision thereon, as well as any request by the applicant to forward the texts of both the protest and the decision thereon to any of the designated Offices.

## Section 503

## Method of Identifying Documents Cited in the International Search Report

Identification of any document cited in the international search report referred to in Rule 43.5(b) shall be made by indicating the following elements in the order in which they are listed:

(a) In the case of any patent document (patent documents being patents within the meaning of Article 2(ii) as well as published applications relating thereto):

- (i) the Office that issued the document, by the two-letter code as in Annex B;
- (ii) the kind of document, by the appropriate symbols as in the Standard Code for Identification of Different Kinds of Patent Documents\*;
- (iii) the number of the document as given to it by the Office that issued it (for Japanese patent documents the indication of the year of the reign of the Emperor must precede the serial number of the patent document);
- (iv) the name of the patentee or applicant (in capital letters, where appropriate abbreviated);

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\* Published in WIPO Handbook on Patent Information and Documentation, Volume 1, Part 3, Standards--ST.16.

- (v) the date of publication of the cited patent document as indicated thereon; and
- (vi) where applicable, the pages, columns or lines where the relevant passages appear, or the relevant figures of the drawings.

(The following example illustrates the citation of a patent document according to paragraph (a), above:

JP, B, 50-14535 (NCR CORPORATION) 28 May 1975 (28.05.75), see column 4, lines 3 to 27.)

(b) In the case of any book or other separately issued publication:

- (i) the name of the author;
- (ii) the title (including, where applicable, the number of the edition and/or volume);
- (iii) the year of publication (when this coincides with the year of the international application or of the priority claim, the International Searching Authority shall endeavor to determine the month and, if necessary, the day of publication and to indicate these data in the international search report);
- (iv) the name of the publisher;
- (v) as far as available, the place of publication (where only the location of the publisher appears on the book or other separately issued publication, then that location shall be indicated as the place of publication); and
- (vi) where applicable, the pages, columns or lines where the relevant passages appear, or the relevant figures of the drawings.

(The following example illustrates the citation of a book or other separately issued publication according to paragraph (b), above:

H. WALTON, "Microwave Quantum Theory," Volume 2, published 1973, by Sweet and Maxwell (London), see pages 138 to 192, especially pages 146 to 148.)

(c) In the case of any article published in a periodical or other serial publication:

- (i) the title of the periodical or other serial publication;
- (ii) the number of the volume and the date of the issue in which the article appears;
- (iii) as far as available, the place of publication (where only the location of the publisher appears in the periodical or other serial publication, then that location shall be indicated as the place of publication);
- (iv) the author and the title of the article and the number of the page both on which the article starts and ends; and
- (v) where applicable, the pages, columns or lines where the relevant passages appear, or the relevant figures of the drawings.

(The following example illustrates the citation of an article published in a periodical or other serial publication according to paragraph (c), above:

IBM Technical Disclosure Bulletin, Volume 17, No. 5, issued October 1974 (Armonk, New York), J.G. Drop, "Integrated Circuit Personalization at the Module Level," see pages 1344 and 1345.)



(d) In the case of abstracts:

- (i) the identification of the document containing the abstract in the manner set forth in paragraphs (a), (b) and (c), respectively, depending upon whether the abstract is contained in a patent document, in a book or other separately issued publication, or in an article published in a periodical or other serial publication;
- (ii) in the case where the abstract is not published together with the full text document which served as its basis, the identification of both abstract and full text document on the basis of whatever bibliographic data may be available in respect thereto.

(The following example illustrates the citation of an abstract according to paragraph (d) (ii), above:

Chemical Abstracts, Volume 75, No. 20, issued 15 November 1971 (15.11.71) (Columbus, Ohio, USA, D.I. Shetulov, "Surface Effects During Metal Fatigue," see page 163, column 1, the abstract No. 120718k, Fiz.-Khim. Mekh. Mater. 1971, 7(2), 7-11 (Russ).)

Section 504

Classification of the Subject Matter of the International Application

(a) Where the subject matter of the international application is such that classification thereof requires more than one classification symbol according to the principles to be followed in the application of the International Patent Classification to any given patent document, the international search report shall indicate all such symbols.

(b) Where any national classification system is used, the international search report may indicate all the applicable classification symbols also according to that system.

(c) Where the subject matter of the international application is classified both according to the International Patent Classification and to any national classification system, the international search report shall, wherever possible, indicate the corresponding symbols of both classifications opposite each other.

Section 505

Indication of Citations of Particular Relevance in the International Search Report

(a) Where any document cited in the international search report is of particular relevance, the special indication required by Rule 43.5(c) shall consist of the letter(s) "X" and/or "Y" placed next to the citation of the said document.

(b) Category "X" is applicable where a document is such that when taken alone, a claimed invention cannot be considered novel or cannot be considered to involve an inventive step.

(c) Category "Y" is applicable where a document is such that a claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

## Section 506

## Comments on Draft Translation of the International Application

(a) Where the applicant has made comments, within the time limits fixed by the International Searching Authority, on the draft translation referred to in Rule 48.3(b), that Authority shall notify the applicant whether it has changed the draft translation and, if so, of the changes it has made therein.

(b) Where the applicant submits comments on the draft translation after the expiration of the time limits fixed by the International Searching Authority, and that Authority changes the draft translation, it shall notify the applicant accordingly.

## Section 507

## Manner of Indicating Certain Special Categories of Documents Cited in the International Search Report

(a) Where any document cited in the international search report refers to an oral disclosure, use, exhibition, or other means referred to in Rule 33.1(b), the separate indication required by that Rule shall consist of the letter "O" placed next to the citation of the said document.

(b) Where any document cited in the international search report is a published application or patent as defined in Rule 33.1(c), the special mention required by that Rule shall consist of the letter "E" placed next to the citation of the said document.

(c) Where any document cited in the international search report is not considered to be of particular relevance requiring the use of categories "X" and/or "Y" but defines the general state of the art, it shall be indicated by the letter "A" placed next to the citation of the said document.\*

(d) Where any document cited in the international search report is a document whose publication date occurred earlier than the international filing date of the international application, but later than the priority date claimed in that application, it shall be indicated by the letter "P" next to the citation of the said document.

(e) Where any document cited in the international search report is a document whose publication date occurred after the filing date or the priority date of the international application and is not in conflict with the said application, but is cited for the principle or theory underlying the invention, which may be useful for a better understanding of the invention, or is cited to show that the reasoning or the facts underlying the invention are incorrect, it shall be indicated by the letter "T" next to the citation of the document.

(f) Where in the international search report any document is cited for reasons other than those referred to in paragraphs (a) to (e), for example:

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\* (see III, 3.14 of the Guidelines for International Search to be Carried Out under the PCT).

- a document which may throw doubt on a priority claim,\*\*
- a document cited to establish the publication date of another citation,\*\*\*

such document shall be indicated by the letter "L" next to the citation of the document and the reason for citing the document shall be given.

(g) Where a document is a member of a patent family\*\*\*\*, it shall, whenever feasible, be mentioned in the international search report in addition to the one cited belonging as well to this family and should be preceded by the sign ampersand (&). Members of a patent family may also be mentioned on a separate sheet, provided that the family to which they belong shall be clearly identified and that any text matter on that sheet, if not in the English language, shall also be furnished to the International Bureau in English translation.

(h) A document whose contents have not been verified by the search examiner but are believed to be substantially identical with those of another document which the search examiner has inspected, may be cited in the international search report in the manner indicated for patent family members in the first sentence of paragraph (g)\*\*\*\*\*.

#### Section 508

##### Manner of Indicating the Claims to Which the Documents Cited in the International Search Report Are Relevant

The claims to which cited documents are relevant shall be indicated by placing in the appropriate column of the international search report:

(i) where the cited document is relevant to one claim, the number of that claim; for example, (2) or (17);

(ii) where the cited document is relevant to two or more claims numbered in consecutive order, the number of the first and last claims of the series connected by a hyphen; for example, (1-15) or (2-3);

(iii) where the cited document is relevant to two or more claims that are not numbered in consecutive order, the number of each claim placed in ascending order and separated by a comma or commas; for example, (1,6) or (1,7,10);

(iv) where the cited document is relevant to more than one series of claims under (ii), above, or to claims of both categories (ii) and (iii), above, the series or individual claim numbers and series placed in ascending order using commas to separate the several series, or to separate the numbers of individual claims and each series of claims; for example, (1-6, 9-10, 12-15) or (1, 3-4, 9-11).

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\*\* (see VI, 4.3 of the Guidelines for International Search to be Carried Out under the PCT).

\*\*\* (see VI, 6.2 of the Guidelines for International Search to be Carried Out under the PCT).

\*\*\*\* (see IV, 3.2 of the Guidelines for International Search to be Carried Out under the PCT).

\*\*\*\*\* (see VI, 5.2 of the Guidelines for International Search to be Carried Out under the PCT).

## Section 509

Procedure Where Information Is Received  
under Section 320(b)

Where the International Searching Authority has received information under Section 320(b), it shall not proceed with the establishment and the transmittal of the international search report until it receives information from the International Bureau that the amounts due to cover the search fee and the surcharge have been paid by the applicant.

## Section 510

## Refund of Search Fee in Case of Withdrawal

(a) Where the international application is withdrawn or is considered withdrawn, under Article 14(1), (3) or (4), before the International Searching Authority has started the international search, that Authority shall, subject to paragraph (b), refund the search fee to the applicant or, where the search fee was charged under Rule 16bis.1(a), to the International Bureau.

(b) If the refund referred to in paragraph (a) is not compatible with the national law of the national Office acting as International Searching Authority and as long as it continues to be not compatible with that law, the International Searching Authority may abstain from refunding the search fee.

## Section 511

## Rectifications under Rule 91.1

Where the International Searching Authority authorizes a rectification under Rule 91.1, it shall:

(i) mark, in the upper right-hand corner of each replacement sheet, the international application number and the date on which it was received;

(ii) mark, in the middle of the bottom margin of each replacement sheet, the words "SUBSTITUTE SHEET" or their equivalent in the language of publication of the international application as well as an indication of the International Searching Authority as provided for in Section 107(b);

(iii) mark on the letter containing the rectification or accompanying any replacement sheet the date on which that letter was received;

(iv) keep in its files a copy of the letter containing the rectification or, when the rectification is contained in a replacement sheet, the replaced sheet, a copy of the letter accompanying the replacement sheet, and a copy of the replacement sheet;

(v) promptly transmit any letter and any replacement sheet to the International Bureau.

## PART 6

INSTRUCTIONS RELATING TO THE  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

## Section 601

[Deleted]

## Section 602

## Amendments under Rule 66.8(a)

(a) The International Preliminary Examining Authority shall indelibly mark, so as to admit of direct reproduction in any of the manners set forth in Rule 11.2(a), in the upper right-hand corner of each replacement sheet submitted under Rule 66.8(a), the international application number and the date on which it was received and, in the middle of the bottom margin, the words "SUBSTITUTE SHEET" or their equivalent in the language of publication of the international application. It shall keep in its files any replaced sheet, the letter accompanying the replacement sheet or sheets, and any letter referred to in the last sentence of Rule 66.8(a).

(b) Section 311(b)(iii) shall apply when one or more sheets are added under Rule 66.8(a).

## Section 603

## Transmittal of Protest Against Payment of Additional Fee and Decision Thereon

The International Preliminary Examining Authority shall transmit to the applicant, at the latest together with the international preliminary examination report, any decision which it has taken under Rule 68.3(c) on the protest of the applicant against payment of the additional fee. At the same time, it shall transmit to the International Bureau a copy of both the protest and the decision thereon, as well as any request by the applicant to forward the texts of both the protest and the decision thereon to any of the elected Offices.

## Section 604

Guidelines for Explanations Contained in the International Preliminary  
Examination Report

Explanations under Rule 70.8 shall clearly point out to which of the three criteria referred to in Article 35(2), taken separately, any cited document is applicable and shall clearly describe, with reference to the cited documents, the reasons supporting the conclusion that any of the said criteria is or is not satisfied.

## Section 605

## File To Be Used for International Preliminary Examination

Where the International Preliminary Examining Authority is part of the same national Office or intergovernmental organization as the International Searching Authority, the same file shall serve the purposes of international search and international preliminary examination.

## Section 606

## Cancellation of Elections

The International Preliminary Examining Authority shall, if the election is in the demand, cancel ex officio the election of any State which is not a designated State or which is not bound by Chapter II of the Treaty, shall enclose that election within square brackets, shall enter, in the margin, the words "CANCELLED EX OFFICIO BY IPEA" or their equivalent in the language of publication of the international application, and shall notify the applicant accordingly.

## Section 607

## Rectifications under Rule 91.1

Where the International Preliminary Examining Authority authorizes a rectification under Rule 91.1, Rule 70.16 and Section 602 shall apply *mutatis mutandis*.

[Annexes follow]

## ANNEX A

Names of States

| <u>Short Title</u>                             | <u>Code</u> | <u>Short Title</u>                    | <u>Code</u> |
|--|-------------|---------------------------------------|-------------|
| Afghanistan .....                              | AF          | Fiji .....                            | FJ          |
| Albania .....                                  | AL          | Finland .....                         | FI          |
| Algeria .....                                  | DZ          | France .....                          | FR          |
| Angola .....                                   | AO          |                                       |             |
| Antigua and Barbuda .....                      | AG          | Gabon .....                           | GA          |
| Argentina .....                                | AR          | Gambia .....                          | GM          |
| Australia .....                                | AU          | German Democratic<br>Republic .....   | DD          |
| Austria .....                                  | AT          | Germany, Federal<br>Republic of ..... | DE          |
|  |             | Ghana .....                           | GH          |
| Bahamas .....                                  | BS          | Greece .....                          | GR          |
| Bahrain .....                                  | BH          | Grenada .....                         | GD          |
| Bangladesh .....                               | BD          | Guatemala .....                       | GT          |
| Barbados .....                                 | BB          | Guinea .....                          | GN          |
| Belgium .....                                  | BE          | Guinea-Bissau .....                   | GW          |
| Belize .....                                   | BZ          | Guyana .....                          | GY          |
| Benin .....                                    | BJ          |                                       |             |
| Bhutan .....                                   | BT          | Haiti .....                           | HT          |
| Bolivia .....                                  | BO          | Holy See .....                        | VA          |
| Botswana .....                                 | BW          | Honduras .....                        | HN          |
| Brazil .....                                   | BR          | Hungary .....                         | HU          |
| Brunei .....                                   | BN          |                                       |             |
| Bulgaria .....                                 | BG          | Iceland .....                         | IS          |
| Burkina Faso .....                             | HV          | India .....                           | IN          |
| Burma .....                                    | BU          | Indonesia .....                       | ID          |
| Burundi .....                                  | BI          | Iran (Islamic<br>Republic of) .....   | IR          |
| Byelorussian SSR .....                         | BY          | Iraq .....                            | IQ          |
|  |             | Ireland .....                         | IE          |
| Cameroon .....                                 | CM          | Israel .....                          | IL          |
| Canada .....                                   | CA          | Italy .....                           | IT          |
| Cape Verde .....                               | CV          | Ivory Coast .....                     | CI          |
| Central African<br>Republic .....              | CF          |                                       |             |
| Chad .....                                     | TD          | Jamaica .....                         | JM          |
| Chile .....                                    | CL          | Japan .....                           | JP          |
| China .....                                    | CN          | Jordan .....                          | JO          |
| Colombia .....                                 | CO          |                                       |             |
| Comoros .....                                  | KM          | Kenya .....                           | KE          |
| Congo .....                                    | CG          | Korea, Republic of .....              | KR          |
| Costa Rica .....                               | CR          | Kuwait .....                          | KW          |
| Cuba .....                                     | CU          |                                       |             |
| Cyprus .....                                   | CY          | Laos .....                            | LA          |
| Czechoslovakia .....                           | CS          | Lebanon .....                         | LB          |
|  |             | Lesotho .....                         | LS          |
| Democratic Kamupuchea .....                    | KH          | Liberia .....                         | LR          |
| Democratic People's<br>Republic of Korea ..... | KP          | Libya .....                           | LI          |
| Democratic Yemen .....                         | YD          | Liechtenstein .....                   | LI          |
| Denmark .....                                  | DK          | Luxembourg .....                      | LU          |
| Djibouti .....                                 | DJ          |                                       |             |
| Dominica .....                                 | DM          | Madagascar .....                      | MG          |
| Dominican Republic .....                       | DO          | Malawi .....                          | MW          |
|  |             | Malaysia .....                        | MY          |
| Ecuador .....                                  | EC          | Maldives .....                        | MV          |
| Egypt .....                                    | EG          | Mali .....                            | ML          |
| El Salvador .....                              | SV          | Malta .....                           | MT          |
| Equatorial Guinea .....                        | GQ          | Mauritania .....                      | MR          |
| Ethiopa .....                                  | ET          | Mauritius .....                       | MU          |

## Annex A, page 2

| <u>Short Title</u>                        | <u>Code</u> | <u>Short Title</u>           | <u>Code</u> |
|---|-------------|------------------------------|-------------|
| Mexico .....                              | MX          | Tanzania .....               | TZ          |
| Monaco .....                              | MC          | Thailand .....               | TH          |
| Mongolia .....                            | MN          | Togo .....                   | TG          |
| Morocco .....                             | MA          | Tonga .....                  | TO          |
| Mozambique .....                          | MZ          | Trinidad and Tobago .....    | TT          |
|   |             | Tunisia .....                | TN          |
| Nauru .....                               | NR          | Turkey .....                 | TR          |
| Nepal .....                               | NP          |                              |             |
| Netherlands .....                         | NL          | Uganda .....                 | UG          |
| New Zealand .....                         | NZ          | Ukrainian SSR .....          | UA          |
| Nicaragua .....                           | NI          | United Arab Emirates .....   | AE          |
| Niger .....                               | NE          | United Kingdom .....         | GB          |
| Nigeria .....                             | NG          | United States of America ... | US          |
| Norway .....                              | NO          | Uruguay .....                | UY          |
|   |             |                              |             |
| Oman .....                                | OM          | Vanuatu .....                | VU          |
|   |             | Venezuela .....              | VE          |
| Pakistan .....                            | PK          | Viet Nam .....               | VN          |
| Panama .....                              | PA          |                              |             |
| Papua New Guinea .....                    | PG          | Yemen .....                  | YE          |
| Paraguay .....                            | PY          | Yugoslavia .....             | YU          |
| Peru .....                                | PE          |                              |             |
| Philippines .....                         | PH          | Zaire .....                  | ZR          |
| Poland .....                              | PO          | Zambia .....                 | ZM          |
| Portugal .....                            | PT          | Zimbabwe .....               | ZW          |
|   |             |                              |             |
| Qatar .....                               | QA          |                              |             |
|   |             |                              |             |
| Romania .....                             | RO          |                              |             |
| Rwanda .....                              | RW          |                              |             |
|   |             |                              |             |
| Saint Christopher<br>and Nevis .....      | KN          |                              |             |
| Saint Lucia .....                         | LC          |                              |             |
| Saint Vincent and<br>the Grenadines ..... | VC          |                              |             |
| Samoa .....                               | WS          |                              |             |
| San Marino .....                          | SM          |                              |             |
| Sao Tome and Principe .....               | ST          |                              |             |
| Saudi Arabia .....                        | SA          |                              |             |
| Senegal .....                             | SN          |                              |             |
| Seychelles .....                          | SC          |                              |             |
| Sierra Leone .....                        | SL          |                              |             |
| Singapore .....                           | SG          |                              |             |
| Solomon Islands .....                     | SB          |                              |             |
| Somalia .....                             | SO          |                              |             |
| South Africa .....                        | ZA          |                              |             |
| Soviet Union .....                        | SU          |                              |             |
| Spain .....                               | ES          |                              |             |
| Sri Lanka .....                           | LK          |                              |             |
| Sudan .....                               | SD          |                              |             |
| Suriname .....                            | SR          |                              |             |
| Swaziland .....                           | SZ          |                              |             |
| Sweden .....                              | SE          |                              |             |
| Switzerland .....                         | CH          |                              |             |
| Syria .....                               | SY          |                              |             |

[Annex B follows]



## ANNEX B

Code for Identifying States and Organizations

|    |                                 |    |  |
|----|---------------------------------|----|--|
| AE | United Arab Emirates            | EC | Ecuador                                  |
| AF | Afghanistan                     | EG | Egypt                                    |
| AG | Antigua and Barbuda             | ES | Spain                                    |
| AL | Albania                         | ET | Ethiopia                                 |
| AO | Angola                          |    |  |
| AR | Argentina                       |    |  |
| AT | Austria                         | FI | Finland                                  |
| AU | Australia                       | FJ | Fiji                                     |
|    |                                 | FR | France                                   |
| BB | Barbados                        |    |  |
| BD | Bangladesh                      |    |  |
| BE | Belgium                         | GA | Gabon                                    |
| BG | Bulgaria                        | GB | United Kingdom                           |
| BH | Bahrain                         | GD | Grenada                                  |
| BI | Burundi                         | GH | Ghana                                    |
| BJ | Benin                           | GM | Gambia                                   |
| BN | Brunei                          | GN | Guinea                                   |
| BO | Bolivia                         | GQ | Equatorial Guinea                        |
| BR | Brazil                          | GR | Greece                                   |
| BS | Bahamas                         | GT | Guatemala                                |
| BT | Bhutan                          | GW | Guinea-Bissau                            |
| BU | Burma                           | GY | Guyana                                   |
| BW | Botswana                        |    |  |
| BY | Byelorussian SSR                | HN | Honduras                                 |
| BZ | Belize                          | HT | Haiti                                    |
|    |                                 | HU | Hungary                                  |
| CA | Canada                          | HV | Burkina Faso                             |
| CF | Central African Republic        |    |  |
| CG | Congo                           | ID | Indonesia                                |
| CH | Switzerland                     | IE | Ireland                                  |
| CI | Ivory Coast                     | IL | Israel                                   |
| CL | Chile                           | IN | India                                    |
| CM | Cameroon                        | IQ | Iraq                                     |
| CN | China                           | IR | Iran (Islamic<br>Republic of)            |
| CO | Colombia                        | IS | Iceland                                  |
| CR | Costa Rica                      | IT | Italy                                    |
| CS | Czechoslovakia                  |    |  |
| CU | Cuba                            | JM | Jamaica                                  |
| CV | Cape Verde                      | JO | Jordan                                   |
| CY | Cyprus                          | JP | Japan                                    |
|    |                                 |    |  |
| DD | German Democratic<br>Republic   | KE | Kenya                                    |
| DE | Germany, Federal<br>Republic of | KH | Democratic Kampuchea                     |
| DJ | Djibouti                        | KM | Comoros                                  |
| DK | Denmark                         | KN | Saint Christopher and<br>Nevis           |
| DM | Dominica                        | KP | Democratic People's<br>Republic of Korea |
| DO | Dominican Republic              | KR | Korea, Republic of                       |
| DZ | Algeria                         | KW | Kuwait                                   |

## Annex B, page 2

|    |                       |    |   |
|----|-----------------------|----|---|
| LA | Laos                  |    |   |
| LB | Lebanon               | TD | Chad  |
| LC | Saint Lucia           | TG | Togo  |
| LI | Liechtenstein         | TH | Thailand  |
| LK | Sri Lanka             | TN | Tunisia   |
| LR | Liberia               | TO | Tonga   |
| LS | Lesotho               | TR | Turkey  |
| LU | Luxembourg            | TT | Trinidad and Tobago   |
| LY | Libya                 | TZ | Tanzania  |
| MA | Morocco               | UA | Ukranian SSR  |
| MC | Monaco                | UG | Uganda  |
| MG | Madagascar            | US | United States of America  |
| ML | Mali                  | UY | Uruguay   |
| MN | Mongolia              |    |   |
| MR | Mauritania            | VA | Holy See  |
| MT | Malta                 | VC | Saint Vincent and the<br>Grenadines                                       |
| MU | Mauritius             | VE | Venezuela   |
| MV | Maldives              | VN | Viet Nam  |
| MW | Malawi                | VU | Vanuatu   |
| MX | Mexico                |    |   |
| MY | Malaysia              | WS | Samoa   |
| MZ | Mozambique            | YD | Democratic Yemen  |
| NE | Niger                 | YE | Yemen   |
| NG | Nigeria               | YU | Yugoslavia  |
| NI | Nicaragua             |    |   |
| NL | Netherlands           | ZA | South Africa  |
| NO | Norway                | ZM | Zambia  |
| NP | Nepal                 | ZR | Zaire   |
| NR | Nauru                 | ZW | Zimbabwe  |
| NZ | New Zealand           |    |   |
| OM | Oman                  |    |   |
| PA | Panama                | AP | Industrial Property Organization for<br>English-speaking Africa (ESARIPO) |
| PE | Peru                  | EP | European Patent Office (EPO)  |
| PG | Papua New Guinea      | OA | African Intellectual Property<br>Organization (OAPI)                      |
| PH | Philippines           | WO | International Bureau of WIPO  |
| PK | Pakistan              |    |   |
| PL | Poland                |    |   |
| PT | Portugal              |    |   |
| PY | Paraguay              |    |   |
| QA | Qatar                 |    |   |
| RO | Romania               |    |   |
| RW | Rwanda                |    |   |
| SA | Saudi Arabia          |    |   |
| SB | Solomon Islands       |    |   |
| SC | Seychelles            |    |   |
| SD | Sudan                 |    |   |
| SE | Sweden                |    |   |
| SG | Singapore             |    |   |
| SL | Sierra Leone          |    |   |
| SM | San Marino            |    |   |
| SN | Senegal               |    |   |
| SO | Somalia               |    |   |
| SR | Suriname              |    |   |
| ST | Sao Tome and Principe |    |   |
| SU | Soviet Union          |    |   |
| SV | El Salvador           |    |   |
| SY | Syria                 |    |   |
| SZ | Swaziland             |    |   |

## ANNEX D

Information from Pamphlet Front Page To Be Included in the Gazette  
under Rule 86.1(i)

The following information shall be extracted from the front page of the pamphlet of each published international application and shall, in accordance with Rule 86.1(i), appear in the corresponding entry of the Gazette:

1. as to the international publication:
  - 1.1 the international publication number
  - 1.2 the date of the international publication
  - 1.3 an indication whether the following items were published in the pamphlet:
    - 1.31 international search report
    - 1.32 declaration under Article 17(2)
    - 1.33 amended claims
    - 1.34 statement under Article 19(1)
    - 1.35 the essence of the comments by the applicant on the translation of the international application as referred to in Rule 48.3(b)
    - 1.36 request for rectification under the third sentence of Rule 91.1(f)
2. as to the international application:
  - 2.1 the title of the invention
  - 2.2 the symbol(s) of the International Patent Classification (IPC)
  - 2.3 the international application number
  - 2.4 the international filing date
3. as to any priority claim:
  - 3.1 the application number of the earlier application
  - 3.2 the date of the earlier application
  - 3.3 the country in or for which the earlier application was filed
4. as to the applicant, inventor and agent:
  - 4.1 their name(s)
  - 4.2 their mailing address(es)
5. as to the designated States:
  - 5.1 their names
  - 5.2 the indication of any wish for a regional patent
  - 5.3 the indication of kind of protection sought, unless a patent is sought.

[Annex E follows]

## ANNEX E

Information To Be Published in the Gazette  
under Rule 86.1(v)

1. The time limits applicable under Articles 22 and 39 in respect of each Contracting State.
2. The list of the non-patent literature agreed upon by the International Searching Authorities for inclusion in the minimum documentation.
3. The names of the national Offices which do not wish to receive copies under Article 13(2)(c).
4. The provisions of the national laws of Contracting States concerning international-type search.
5. The text of the agreements entered into between the International Bureau and the International Searching Authorities or the International Preliminary Examining Authorities.
6. The names of the national Offices which entirely or in part waived their rights to any communication under Article 20.
7. The names of the Contracting States which are bound by Chapter II of the PCT.
8. Index of concordance of international application numbers and international publication numbers, listed according to international application numbers.
9. Index of international publication numbers grouped according to designated States, including an indication of those States for which a "regional patent" is sought.
10. Index of applicants' names giving, for each name, the corresponding international publication number(s).
11. Index of international publication numbers, grouped according to the International Patent Classification symbols.
12. Indication of any subject matter that will not be searched or examined by the various International Searching and Preliminary Examining Authorities under Rules 39 and 67.

[Annex F (Forms) follows]

## ANNEX F

Forms

This Annex, which is the final Annex to the Administrative Instructions, contains the Forms referred to in Section 102 of the Administrative Instructions (Forms PCT/RO/101 to 136, PCT/ISA/201 to 224, PCT/IB/301 to 350 and PCT/IPEA/401 to 425).\*

[End]

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\* Having regard to the separate publication of Annex F mentioned in the footnote to Section 102 of the Administrative Instructions, the said Annex is not reproduced in this publication.