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## Questions about you (being the person completing the survey): Section 1

### Section 1: Your details:

Name:

Industrial Property Office (IPO):

Your function within the IPO:

Email address:

Date of completion of survey:

Other comments if applicable:  
e.g., alternate e-mail address

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## Questions about citation references provided by your IPO: Sections 2-5

**Section 2: Citation reference availability:** Citation references relevant to a patent document can be suggested or decided to be included by people including Applicants, Examiners, and interested third parties. A citation reference may occur in a patent description, a search report or other location associated with a patent document.

2. Does your IPO publish citation references associated with your IPO's patent documents? In the context of this survey "citation reference" refers to the identification of (part of) a document, not the text or other information contained within the cited document.

Yes       No

Please comment if necessary

3. Citation references are: *Select one*

Available to the public     Availability is limited     Not available

If limited, please comment on how the availability is limited? e.g., limited to type of person trying to view the citation reference (e.g., applicants only) or limited to the part of the life cycle in which the citation reference was included (e.g., references suggested during opposition are unavailable)

**Section 2: Citation reference availability (continued)**

4. Select the location(s) within the patent documents published by your IPO where citation references can be found:

- Search report       Patent description       Other patent document location       Bibliographic data

Please comment on any of the above mentioned locations, if appropriate

5. If citations are part of the bibliographic front page information, are citation references included in INID code (56)?  
for INID codes see <http://www.wipo.int/export/sites/www/standards/en/pdf/03-09-01.pdf#INID>

- Yes     No     Sometimes

6. Select the location(s) outside the patent document where citation references can be found:

- Summary information (Internet)       End of document (Internet)       Other location:

Please specify if "Other location"

7. Are hyperlinks included for Internet locations?     Yes     No     Not applicable

Please comment if necessary

8. Does your IPO give information about a patent document that cites the specific patent document the user is looking at (i.e., a forwards citation)?       Yes       No

9. Are hyperlinks back to the citing document provided?     Yes     No

Please comment if necessary

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**Section 2: Citation reference availability (continued)**

10. Does your Office follow the recommendations set out in WIPO Standard ST.14 for the identification of citation references?  
see <http://www.wipo.int/export/sites/www/standards/en/pdf/03-14-01.pdf>

Yes       No       Partially

Comment, if  
necessary

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11. Does your IPO provide indications, to the general public, informing about who suggested or decided on including the citation references? e.g., suggested by applicant, included by examiner. Such indications can be helpful for deciding how relevant a citation reference may be. As an example, the front page bibliographic data (in image format) of US 7,353,465 B1 indicates under INID code (56), by the use of an asterisk \*, that the references were cited by an examiner.

Yes       No

12. If available, where can indications of who created a citation reference be found by the general public?  
select as many items as are applicable.

- |  |   |
|--|---|
| <input type="checkbox"/> Bibliographic data on patent document | <input type="checkbox"/> End of patent document |
| <input type="checkbox"/> Search report                         | <input type="checkbox"/> Other                  |

please comment if  
necessary, and if  
"Other", please  
specify where an  
indication can be  
found

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**Section 3: Search Report Information**

13. Does your IPO publish International Search Reports (ISR) under the PCT?  Yes  No

14. Does your IPO publish IPO-specific national/regional search reports (non PCT)?  Yes  No

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15. For the remainder of the questions in section 3 (if you answered "Yes" to either 13 or 14) please indicate what search reports your answers are based on:

ISR  National / regional  Both ISR and non-ISR  Other

Please specify if "Other"

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16. Do you have quality control on citation references entered on search reports?  Yes  No

Please comment if necessary:

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17. Are relevance indicators stated?: e.g., X, Y (see paragraph 14 of WIPO Standard ST.14)  Yes  No

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18. Do your citation references include online URLs?  Yes  No  Sometimes

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19. Are unique document identifiers used?: e.g., DOI  Yes  No

20. If applicable, which unique document identifiers are used?

Digital Object Identifier (DOI)  ISBN and ISSN

Other: If "Other" please specify:

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21. Please comment on any major differences between the provision of ISR and other search report citation references

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#### Section 4: Centralizing/Tagging Citation References

e.g., suitable for a database containing data elements identifying the location of the cited text/documents

22. Do you (intend to) add tags to identify citation references?

(e.g., see [http://www.wipo.int/export/sites/www/scit/en/taskforce/citation\\_practices/docs/st36-ice-citations.pdf](http://www.wipo.int/export/sites/www/scit/en/taskforce/citation_practices/docs/st36-ice-citations.pdf))

Yes    No    Not now, but we intend to in  specify when

23. Does your IPO have a centralized database containing citation reference identification data elements?

Yes    No    Not now, but we intend to in  specify when

24. If possible, please specify any structural elements on which the database is founded

e.g., ST.36 xml, INID code (56)

25. Are citation references from your IPO searchable by the general public?

i.e., can one search the text of the data elements identifying the text/document cited

Yes    No

If "Yes", please specify the location where the references are searchable e.g., [http://www.?](http://www.?.)

26. Is the information in the database technically and legally exchangeable e.g., with other IPOs?    Yes    No

27. Please add any further comments you may have about any of the questions in section 4 above:

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Questions about your IPO's published documents that may be cited (within a citation reference): Sections 5 to 7

**Section 5: Location of published patent documents which can be considered to be an (or the) authentic source**

28. Which medium and format represents the (or an) authentic legal rendition (authoritative source) of your IPO's published patent documents? *A legal version is, e.g., a publicly available document that could be consulted in court proceedings.*

Medium: *e.g., Internet or paper*

29. Please advise how to locate the medium you have selected: *(e.g., specify the title of a DVD and an Internet address for ordering the DVD)*

30. Regarding the medium indicated above, is there one or more (file) formats that should be looked at *(in preference to other formats)?*

Yes  No

31. If yes, please select all formats that should be considered in preference to other formats

.pdf (Adobe)

.html

.tif image

Paper

Other

*Please comment if "Other"*

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32. Where else can your published patent documents be found? *Select as many as are applicable*

Internet

Main Internet address:

Other known Internet addresses:  
*separate each address with a semi-colon ;*

*If available, please advise how to find or subscribe to each available source (e.g. P.O. Box or internet address)*

CD/DVD

Title / location:

FTP

Title / Location:

Paper

Title / Location:

Web services

*(bulk data download)*

Title / Location:

Commercial providers

Specify, if desired

Other

*Please specify:*

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**Section 6: Availability of location identifiers** (for citing later within a search report) occurring within some or all of your IPO's authentic legal published documents (stated in the first part of section 5 above); e.g., a location identifier may be a page, paragraph, or an image number. Answer this question according to your current publication procedures.

33. Which, if any, page-based identifiers do your published patent documents contain: *tick all that apply*

Page numbers                       Column numbers                       Line numbers

Other                      *Please specify:*

34. Which, if any, identifiers of non-textual elements occur within the description part of your published patent document:  
*tick all that apply*

Image numbers *within the description*                       *include comment if appropriate*

Chemical formulae numbers                       *include comment if appropriate*

Tables                       *include comment if appropriate*

Gene sequences                       *include comment if appropriate*

Mathematical formulae                       *include comment if appropriate*

Computer programs                       *include comment if appropriate*

Other                       *please specify*

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**Section 7: Paragraph number details** complete this section if your published patent documents include paragraph numbers

35. Paragraph numbers are typically created by:

- Applicant / Inventor                       Your IPO *e.g., examiners*                       An external publisher

Other:  *Please specify*

36. Paragraph numbers are recreated: *tick all that apply*

- During the publication process                       When numbered paragraphs are amended

Other process  *Please specify*

37. Describe any special treatment you give to long paragraphs:  
*i.e., longer than 300 words*

38. Describe how you treat numbered paragraphs that are subsequently amended:  
*particularly when one paragraph is replaced by many, or one or more paragraphs are deleted*

39. If necessary, please provide additional comment on any part of the questions or answers given above in this section.



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General questions about Citation Practices: Sections 8-9

**Section 8: Examples of citation references published by your IPO**, if this information is available.

40. If possible, please provide a patent (application) number and a publicly available Internet address that contains one or more typical examples of patent and non-patent literature citation references.

Specify application number and kind code, e.g., *WO 2005/110961 A1*

Specify Internet address and any instructions helpful to find patent (application) number, e.g., *http://www.wipo.int/pctdb/en/ia.jsp?IA=IB2005001265&REF=RSS*

Specify where in the document the citation references can be found, e.g., *page 1, lines 19 and 25, search report at end of document*

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**Section 9: Additional comments about citation practices**

41. If applicable, please make any further comments, e.g., you may wish to comment on difficult aspects of citation practices for your IPO:

e.g., users are confused about the part of a document a citation reference was referring to

e.g., citation references do not refer to the authentic rendering of a patent document

e.g., it is not clear how or whether to state a url as part of a citation reference

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Thank you for your participation in this survey. **Please press the "Submit by Email" button below or print the survey and return the printed to one of the following addresses:**

Email: [scit.mail@wipo.int](mailto:scit.mail@wipo.int)

Fax: +41 22 338 7210

Post: Standards and Documentation Section, World Intellectual Property Organization,  
PO Box 18, CH-1211 Geneva 20, Switzerland

Submit by Email

[Fin de l'annexe et du document]